Evading Classifiers by Morphing in the Dark

Hung Dang, Huang Yue, <u>*Ee-Chien Chang*</u> School of Computing National University of Singapore



1. Motivations

Evasion Attack

- Starting from a malicious sample x that is rejected by a detector, the attacker wants to find a x' s.t.
 - 1. x' is accepted by the detector
 - 2. x' retains the intended malicious property



Examples: Malicious PDF detection



- Attacker wants to send a malicious PDF file as attachment. The email server has a malware detector in-placed. Attacker wants to evade the detector.
- To get feedback on whether a PDF x' is rejected or accepted by the detector, the attacker can send an email with x', back to the attacker.
- The detector functions as a black box. The number of accesses to the black box is limited.

Examples

- Adversarial Examples in machine learning. E.g. Wearing carefully crafted spectacle so as to confuse face recognition system (M. Sharif et al. CCS 2016)
- Sensitivity attacks on image watermark non-machine learning-based. (Linnartz et. al. IH 1998)
- *Malware detection non-image domain*. E.g. PDF malware (Xu et. al., NDSS 2016)
- Many more....

[1] M. Sharif, S. Bhagavatula, L. Bauer, M.K. Reiter, *Accessorize to a Crime: Real and Stealthy Attacks on State-of-the-Art Face Recognition*, CCS 2016.

[2] J.-P.M.G. Linnartz and M. Dijk, Analysis of the Sensitivity Attack against Electronic Watermarks in Images, Information Hiding 1998.

[3] W. Xu, Y. Qi, and D. Evans. Automatically evading classifiers, In NDSS 2016.

Challenges in evasion attacks

- **Difficulty in applying algorithms over different domains** *Reliance on domain knowledge, such as detector's architecture and domain representation/metric space that facilitates transformation (e.g. vector spaces).*
- Limited feedback from the detector Minimal information and number of accesses. However, many known attacks assume the black-box detector provides a real-value feedback on confidence level.

Goal

• To investigate evasion attacks under a generic setting (*separating algorithmic and domain-specific mechanism*) with binary-output detector.

II. Evasion in the Dark



Three black-boxes

- **Detector**. Classifies a sample **x** as malicious (reject) or benign (accept).
- **Tester**: *Provides the ground truth*.
- Morpher. Facilitates sample transformation.



Evasion by Morphing

- Given a malicious sample x that is rejected by Detector. The attacker wants to find a successively morphed x' s.t.
 - x' is accepted by the Detector
 - x' is declared as malicious by the Tester

meeting certain cost requirements on the number of accesses to the black-boxes.



Evasion by Morphing



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Accepted by Detector



• Query to Morpher consists of both *x* and *r*.

- Remarks
- Output of Detector and Tester are binary.

Malicious (Tester)

Remarks: Morphing in the dark

- The only mechanism to obtain other samples is through morphing.
- The attacker might not know the relationship between *r*, *x* and the morphed sample *x'*. To the attacker, the Morpher performs "random" morphing. Such uncertainty captures a situation where the attacker is unable to exploit domain knowledge to manipulate the samples.

Header

Body

CRT

- E.g. given two samples x, y, the attacker may not able to find a morphed sample that is the "average" of x and y.
- Morpher is deterministic, thus morphing is repeatable if supplied with the same seed.

Recent work on black-box evasion

- Xu et al. (NDSS 2016) gave an attack on pdf malware using the 3 black-boxes.
 - Real-value confidence level feedback from *Detector*.
 - Domain knowledge: assume "trace replay", i.e. a same sequence of morphing steps (trace) could produce similar effects on different samples (replay).



II. Proposed Evasion Algorithm

Overcoming Binary Output: Flipping distances

Given a path of successively morphed samples, we can define:

- *Malice-flipping distance*: Distance the samples first switch from *Malicious* to *Benign*.
- *Reject-flipping distance*: Distance the samples first switch from *Reject* to *Accept*.



Assigning numeric state to samples



 $Gap \triangleq Reject-flipping - Malice Flipping$

- For a sample *s*, we can assign the following to be the state of *s*:
 Probability (a random path starting from *s* is evading)
 Such real-value state would be useful in the search of evading samples.
- However, it is difficult to estimate the probability.
- Alternatively, assign *Expected Gap* to be the state.
 - Intuitively, a smaller Gap implies the sample has a higher chance of generating a evading path.
 - Can be estimated from a few (or a single) random paths.

Search heuristic: Main Idea



- 1. Generate *q* random paths from the candidate.
- 2. Determine the path with the shortest gap (or other criteria based on flipping distances). Choose a sample along this path as the next candidate.

Search heuristic: Main Idea



Algorithmic improvement

- To reduce the number of queries to Detector and Tester
 - "Batch" binary search on multiple paths: constant number of Detector query per path.



III. Experimentation Results

PDF malware classifiers: PDFRATE [4], Hidost [5]

- PDFRATE: Random Decision Forest.
- Hidost: SVM-based.

• Trained with 5,000 benign and 5,000 malicious PDF files, and test with another 500 malicious samples. PDF files obtained from Contagio archive.

[4] C. Smutz and A. Stavrou. *Malicious PDF detection using meta-data and structural features*. In ACSAC 2012.
[5] N. Srndić and P. Laskov. *Detection of malicious pdf les based on hierarchical document structure*. NDSS 2013.

Evasion rate on "hardened" classifiers

EvadeHC: Proposed method.

BiRand: Baseline algorithm that performs binary searches on random paths.

EvadeGP: A previous method that has accesses to the real-value confidence score.

- Classifiers are hardened by adjusting the rejection threshold.
- Search limited to 2500 queries to Detector
- Interestingly, EvadeHC outperforms EvadeGP which has accesses to more info. We suspect this could due to
 - EvadeHC makes decision based on Detector and Tester's feedbacks. EvadeGP only based on the Detector's feedbacks.
 - Reject-flipping distances could be a more accurate indicator compares to the confidence level.





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PDFRATE

Trace of a search



Average Flipping distances after one morphing step (Hidost)



An abstract Hidden-state Morpher model

- Every sample has a hidden 2-value state (*a*,*b*).
 - Tester returns "Malicious" iff (a>0);
 - Detector returns "Reject" iff (**b**>0).
 - We can view the two hidden values corresponding to the average malicious-flipping and reject-flipping distances.
- Morpher outputs a random morphed sample with hidden values reduced according to a distribution.
- The Morpher is "random" and yet consistent to previous output. Similarly to Random Oracle.
- Such model is useful in analyzing search algorithm.

Average Flipping distances after one morphing step



IV. Discussion & Conclusions

Conclusion

- Many evasion attacks heavily rely on domain knowledge. It would be interesting to investigate the effectiveness of evasion attacks in a generic setting.
- We formulate *Evasion in the Dark*. This model gives a restricted setting where domain knowledge are confined in the 3 black-boxes. From the attacker's point of view, no other specific domain knowledge are required in evasion.
- The model is useful for complex domain as long as a morpher & tester are available, one can carry out evasion attack.
- We give a method (flipping distances) to assign meaningful real-value states to the samples, and show that evasion is possible even with binary black-boxes.
- Evasion attacks can be employed to enhance defense by feeding evading samples as training samples.