
Programming Language Concepts, CS2104

Lecture 1

Dr Chin Wei Ngan
chinwn@comp.nus .edu.sg
Office : S15 6-01 (old site)

Programming Language Concepts

- CS2104 is a 4 credit points module
 - Written final exam 50%
 - Midterm exam 25%
 - Lab/tutorial assignments 25%
- Module homepage
 - <http://www.comp.nus.edu.sg/~cs2104>
 - [IVLE](#)
- Teaching
 - Lectures: Friday, 12:00-14:00, COM1/206
 - Exam: 27 Nov 2007, morning (Tue)

The Team

■ Lectures

- Dr. Chin Wei-Ngan (Consultation : Wed 9-11am but other times OK too but email me first.)

■ Lectures based of the book:

- Peter Van Roy, Seif Haridi: Concepts, Techniques, and Models of Computer Programming, The MIT Press, 2004

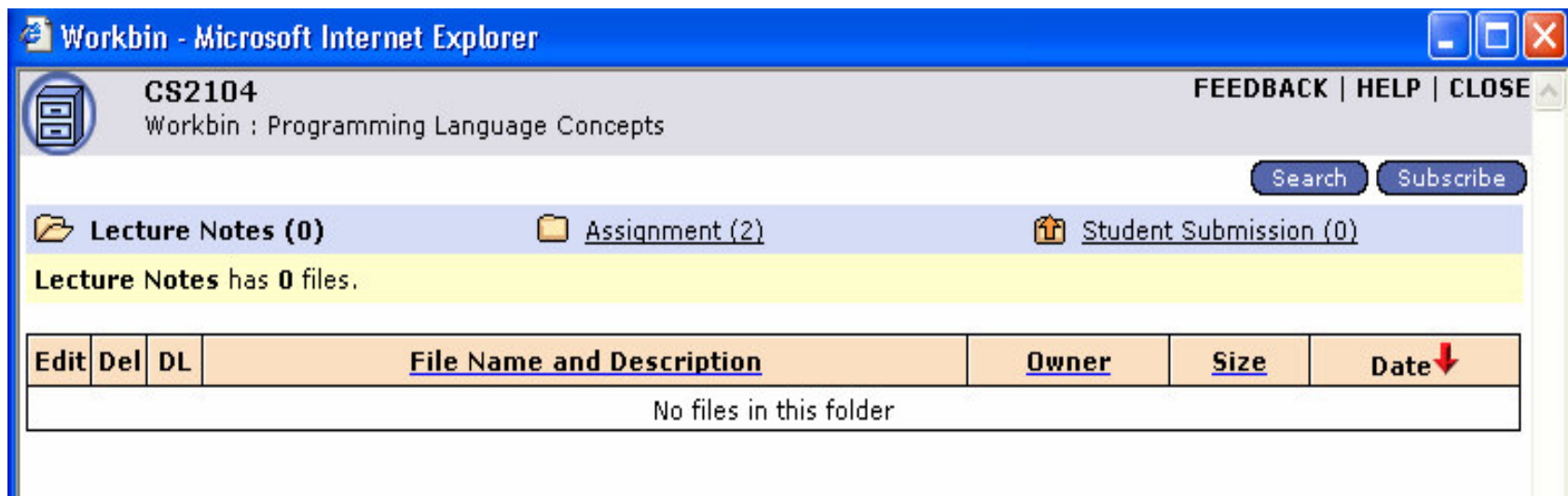
- Slides from CS2104, 2003-2006

■ Recommended books:

- Allen Tucker, Robert Noonan: Programming Languages. Principles and Paradigms, McGraw Hill, 2002

Lab Assignment Submissions

- Student submission through IVLE
- Please use CS2104, Workbin



Lecture Structure

- Reminder of last lecture
- Overview
- Content (new notions + examples)
- Summary
- Reading suggestions

Tutorials/ Labs

■ Purposes

- ❑ for self-assessment
- ❑ use material from lectures
- ❑ answer questions
- ❑ help deeper understanding
- ❑ prepare lab assignments
- ❑ compulsory tutorial attendance + credits (up to 10%)

■ Supervised lab session

- ❑ First lab/assignment: to be announced
- ❑ done by students (with help from teaching assistant)

■ You can discuss tutorials/chapters on the IVLE discussion groups

Assignments

- There will be 4 or 5 lab assignments
- Deadline is strict! Don't leave till last-minute.
- Mostly individual programming projects
- Code of conduct
 - no copying (grade penalty for those caught)
 - plagiarism is cheating and can lead to expulsion!

Useful Software

- <http://www.mozart-oz.org/>
 - ❑ programming language: Oz
 - ❑ system: Mozart (1.3.0, released on April 15, 2004)
 - ❑ interactive system
- Requires `emacs` on your computer
- Available from module webpage:
<http://www.comp.nus.edu.sg/~cs2104/Materials/index.html>
- Install yourself
- First lab/assignment will help on installation

Aim

- Knowledge and skills in
 - Programming languages concepts
 - Corresponding programming techniques
- Acquaintance with
 - Key programming concepts/techniques in computer science
 - Focus on concepts and not on a particular language

Overview

- Introduction of main concepts:
 - Computation model
 - Programming model
 - Reasoning model

Programming

■ Computation model

- formal system that defines a language and how sentences (expressions, statements) are executed by an abstract machine

■ Programming model

- a set of programming techniques and design principles used to write programs in the language of the computation model

■ Reasoning model

- a set of reasoning techniques to let you reason about programs, to increase confidence that they behave correctly, and to estimate their efficiency

Computation Models

- **Declarative** programming (stateless programming)
 - functions over partial data structures
- **Concurrent** programming
 - can interact with the environment
 - can do independent execution of program parts
- **Imperative** programming (stateful programming)
 - uses states (a state is a sequence of values in time that contains the intermediate results of a desired computation)
- **Object-oriented** programming
 - uses object data abstraction, explicit state, polymorphism, and inheritance

Programming Models

- **Exception handling**

- Error management

- **Concurrency**

- Dataflow, lazy execution, message passing, active objects, monitors, and transactions

- **Components**

- Programming in the large, software reuse

- **Capabilities**

- Encapsulation, security, distribution, fault tolerance

- **State**

- Objects, classes

Reasoning Models

■ Syntax

- Extended Backus-Naur Form (EBNF)
- Context-free and context-sensitive grammars

■ Semantics

- Operational: shows how a statement executes as an abstract machine
- Axiomatic: defines a statement as a relation between input state and output state
- Denotational: defines a statement as a function over an abstract domain
- Logical: defines a statement as a model of a logical theory

■ Programming language

- Implements a programming model
- Describes programs composed of **statements** which compute with **values** and **effects**

Examples of Programming Languages

- CS1102: Java
 - ❑ programming with explicit state
 - ❑ object-oriented programming
 - ❑ concurrent programming (threads, monitors)
- CS2104: Oz (multi-paradigm)
 - ❑ declarative programming
 - ❑ concurrent programming
 - ❑ programming with explicit state
 - ❑ object-oriented programming

Oz

- The focus is on the programming model, techniques and concepts, but **not** the particular language!
- Approach
 - informal introduction to important concepts
 - introducing the underlying kernel language
 - formal semantics based on abstract machine
 - in depth study of programming techniques

Declarative Programming Model Philosophy

- Ideal of declarative programming
 - say **what** you want to compute
 - let computer find **how** to compute it
- More pragmatically
 - let the computer provide more support
 - free the programmer from some burden

Properties of Declarative Models

- Focus on functions which compute when given data structures as inputs
- Widely used
 - functional languages: LISP, Scheme, ML, Haskell, ...
 - logic languages: Prolog, Mercury, ...
 - representation languages: XML, XSL, ...
- Stateless programming
 - no update of data structures
 - Simple data transformer

The Mozart System

- Built by Mozart Consortium (Universität des Saarlandes, Swedish Institute of Computer Science, Université catholique de Louvain)
- Interactive interface (the `declare` statement)
 - Allows introducing program fragments incrementally and execute them
 - Has a tool (Browser), which allows looking into the store using the procedure `Browse`
 - `{Browse 21 * 10} -> display 210`
- Standalone application
 - It consists of a main function, evaluated when the program starts
 - Oz source files can be compiled and linked

Concept of Single-Assignment Store

- It is a **set of variables** that are initially **unbound** and that can be **bound** to one value
- A **value** is a mathematical constant that does not change.

For e.g : `2`, `~4`, `true`, `'a'`, `[1 2 3]`

- Examples:
 - $\{x_1, x_2, x_3\}$ has three unbound variables
 - $\{x_1=2, x_2=\text{true}, x_3\}$ has only one unbound variable

Concept of Single-Assignment Store

- A **store** where all variables are bound to values is called a **value store**:

$\{x_1=2, x_2=\text{true}, x_3=[1\ 2\ 3]\}$

- Once bound, a variable stays bound to that value
- So, a **value store** is a persistent mapping from variables to values
- A **store entity** is a store variable and its value (which can be unbound).

Concept of Single-Assignment Store

- Single-assignment store is set of (store) variables
- Initially variables are unbound
- Example: store with three variables, x_1 , x_2 , and x_3



Concept of Single-Assignment Store

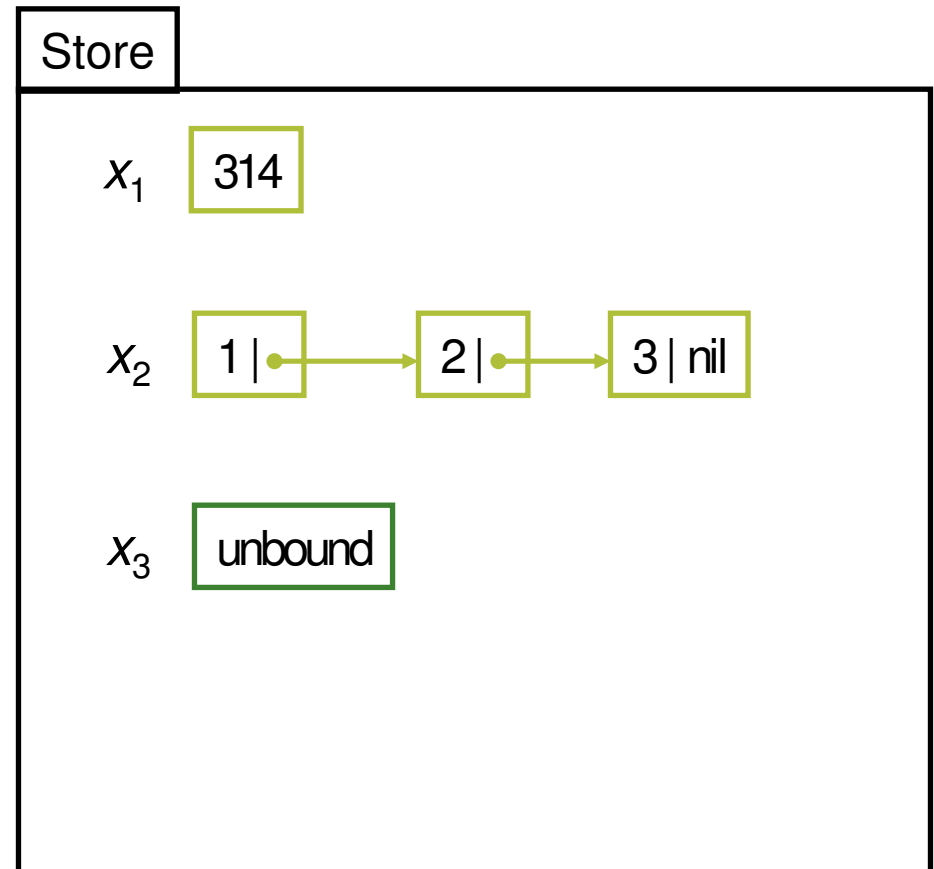
- Variables in store may be bound to values
- Example: assume we allow values of type integers and lists of integers



Concept of Single-Assignment Store

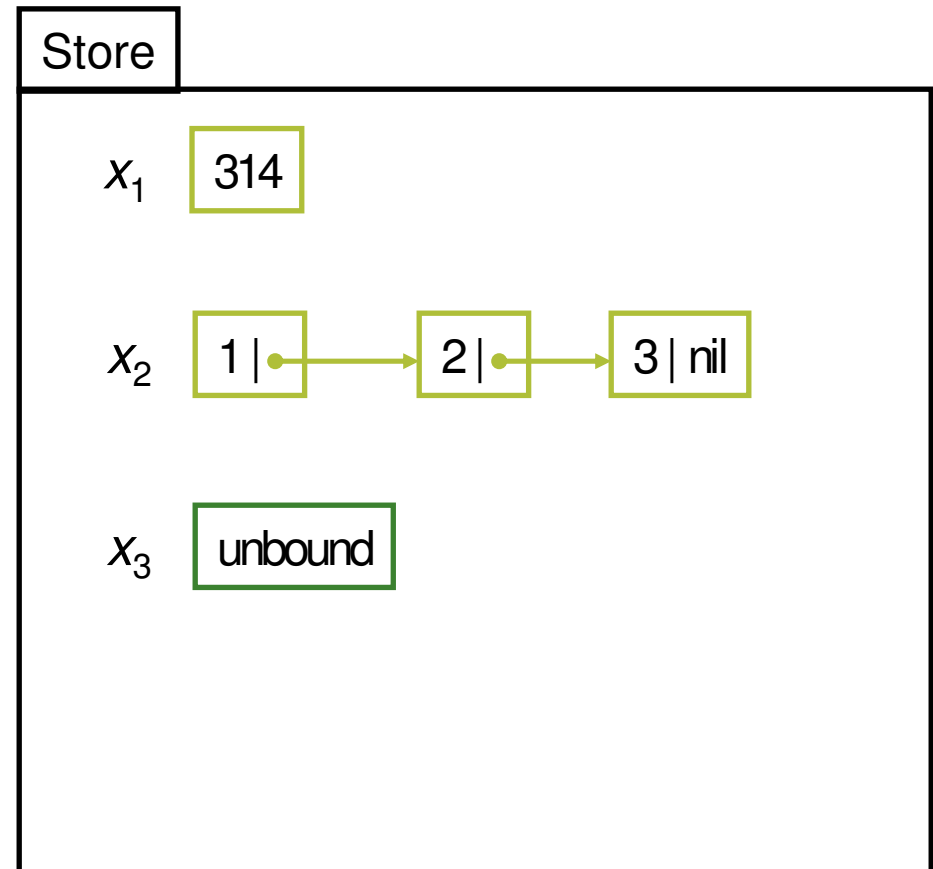
■ Examples:

- ❑ x_1 is bound to integer 314
- ❑ x_2 is bound to list [1 2 3]
- ❑ x_3 is still unbound



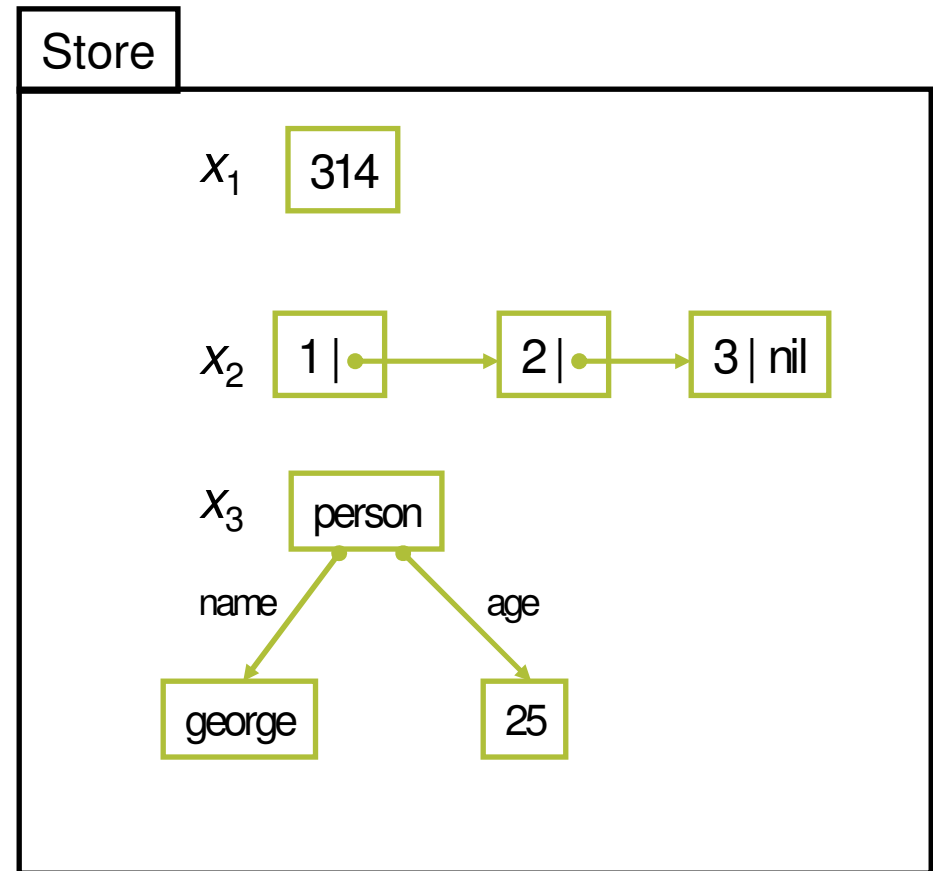
Concept of Declarative Variable

- It is a variable in the single-assignment store
- Created as being *unbound*
- Can be *bound* to exactly one value
- Once bound, stays bound
 - indistinguishable from its value



Concept of Value Store

- Store where all variables are bound to values is called a *value store*
- Examples:
 - x_1 bound to integer 314
 - x_2 bound to list [1 2 3]
 - x_3 bound to record
`person (name: george
 age: 25)`
- Functional programming computes functions on values



Concept of Single-Assignment Operation

$x = \text{value}$

- It is also called “value creation”
- Assumes that x is unbound
- Examples:
 - $x_1 = 314$
 - $x_2 = [1 \ 2 \ 3]$



Concept of Single-Assignment Operation

$x = \text{value}$

■ $x_1 = 314$

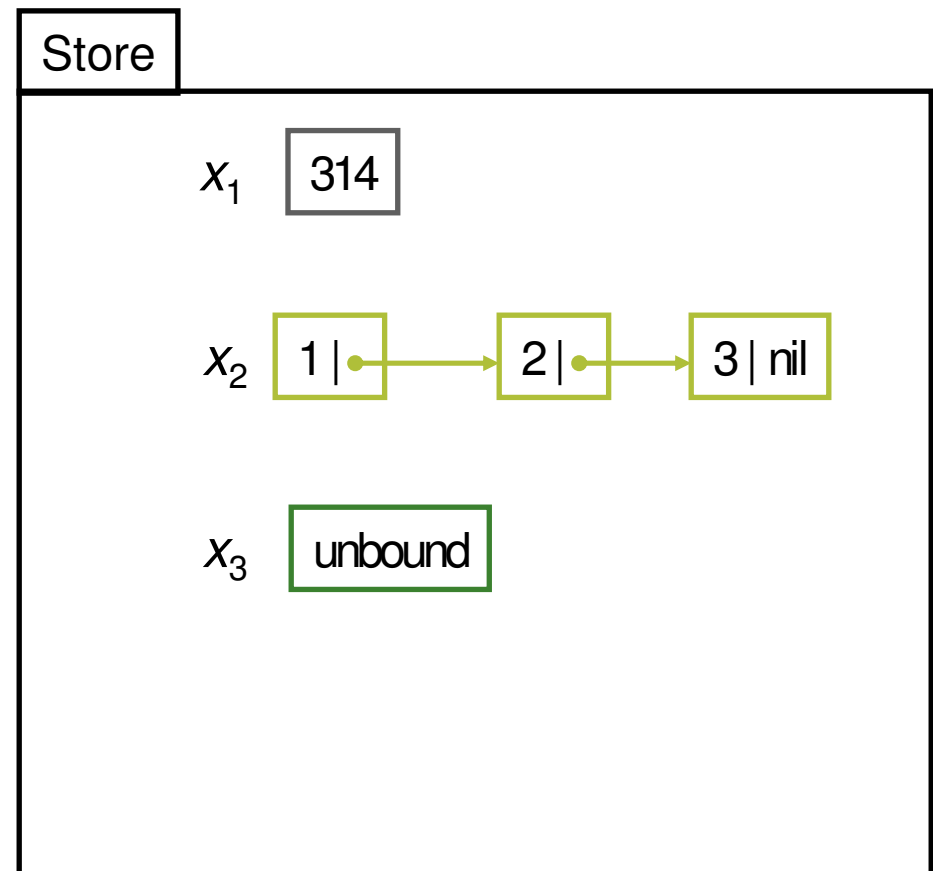
■ $x_2 = [1 \ 2 \ 3]$



Concept of Single-Assignment Operation

$x = \text{value}$

- *Single assignment operation* ('=')
 - constructs *value* in store
 - binds variable x to this value
- If the variable is already bound, operation tests compatibility of values
 - if the value being bound is different from that already bound, an error is raised

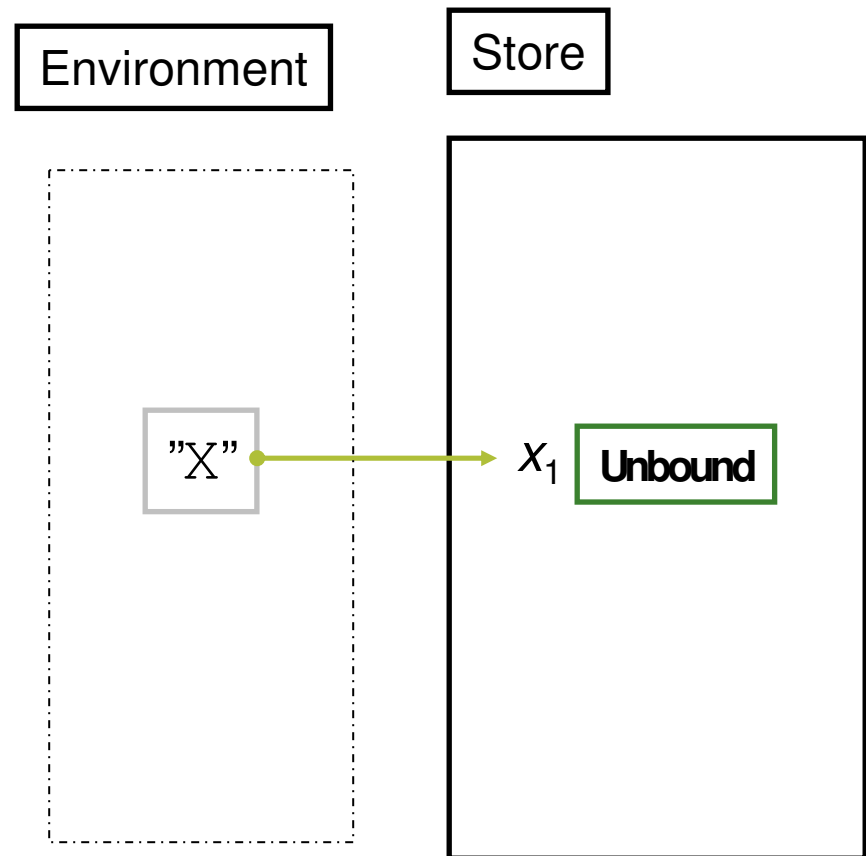


Concept of Variable Identifier

- Variable identifiers start with capital letter: X , Y
- The **environment** is a mapping from variable identifiers to store entities
- `declare X = <value>`
 - creates a new store variable x and binds it to `<value>`
 - maps variable identifier X in environment to store variable x , e.g. $\{X \rightarrow x\}$
- `declare`
`X = Y`
`Y = 2`
- The environment: $E = \{X \rightarrow x, Y \rightarrow y\}$
- The single-assignment store: $\sigma = \{x = y, y = 2\}$

Concept of Variable Identifier

- Refer to store entities
- Environment maps variable identifiers to store variables
 - `declare X`
 - `local X in ... end`
- X is variable identifier
- Corresponds to 'environment' $\{X \rightarrow x_1\}$



Concept of Variable Identifier

■ declare

X = 21

X = 22

% raise an error

X = 21

% do nothing

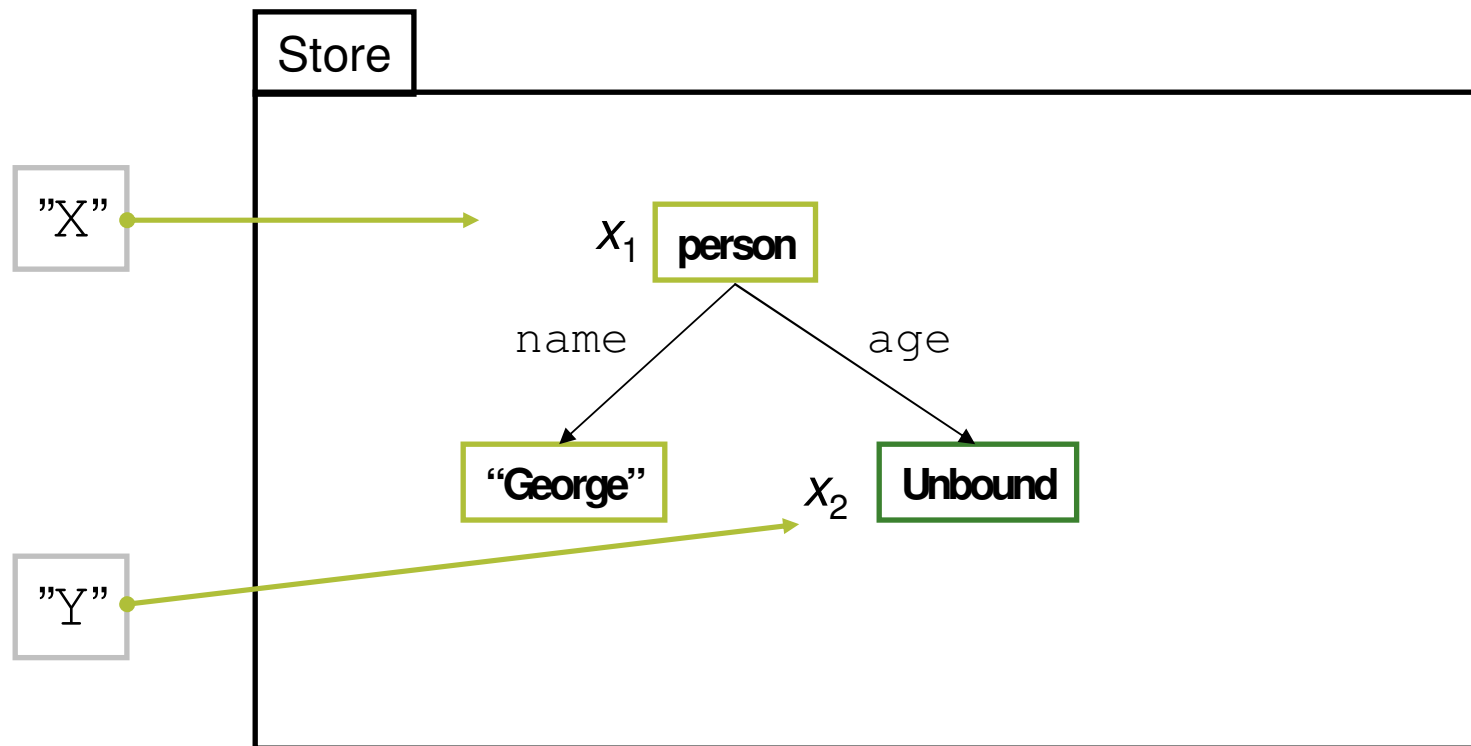
declare

X = 22

% from now on, X will be bound to 22

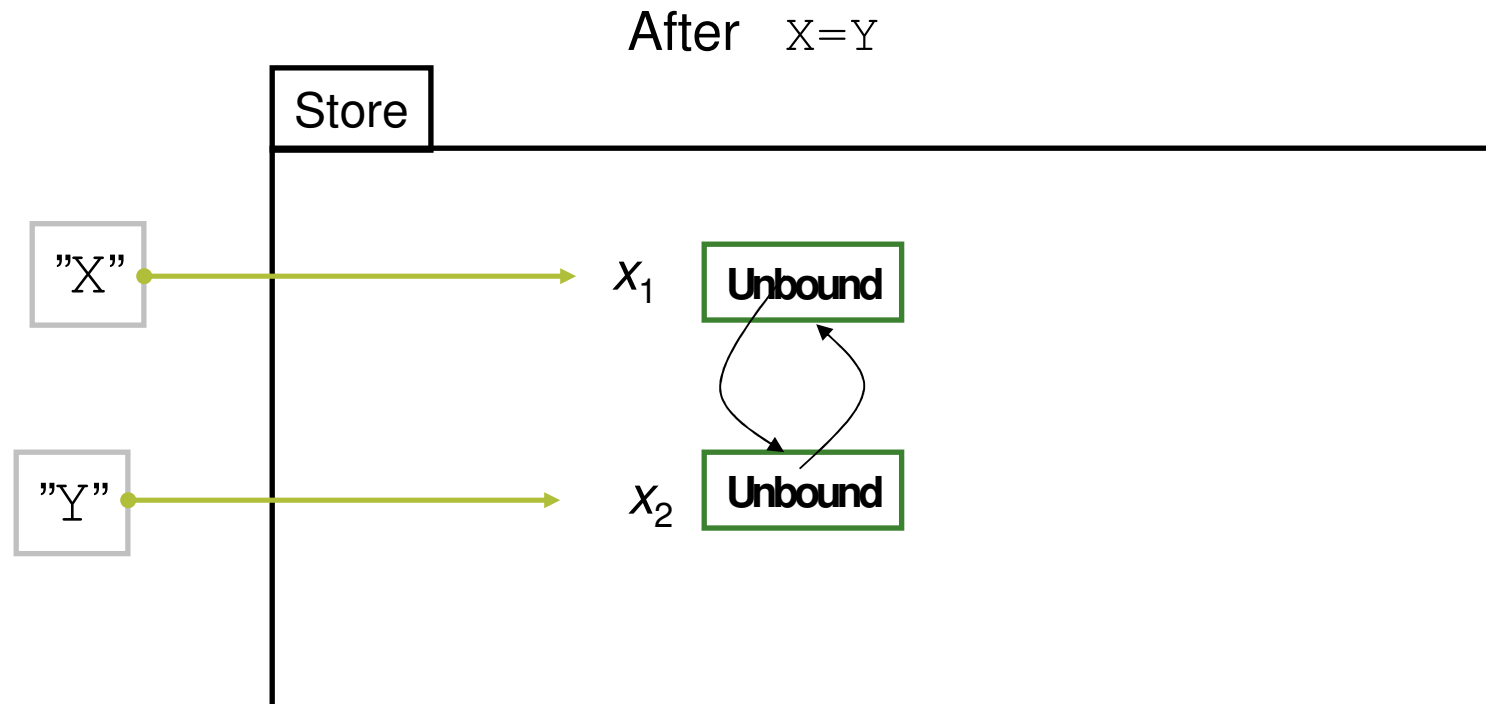
Partial Value

- A partial value is a data structure that *may* contain unbound variables. For example, x_2 is unbound. Hence, x_1 is a partial value.



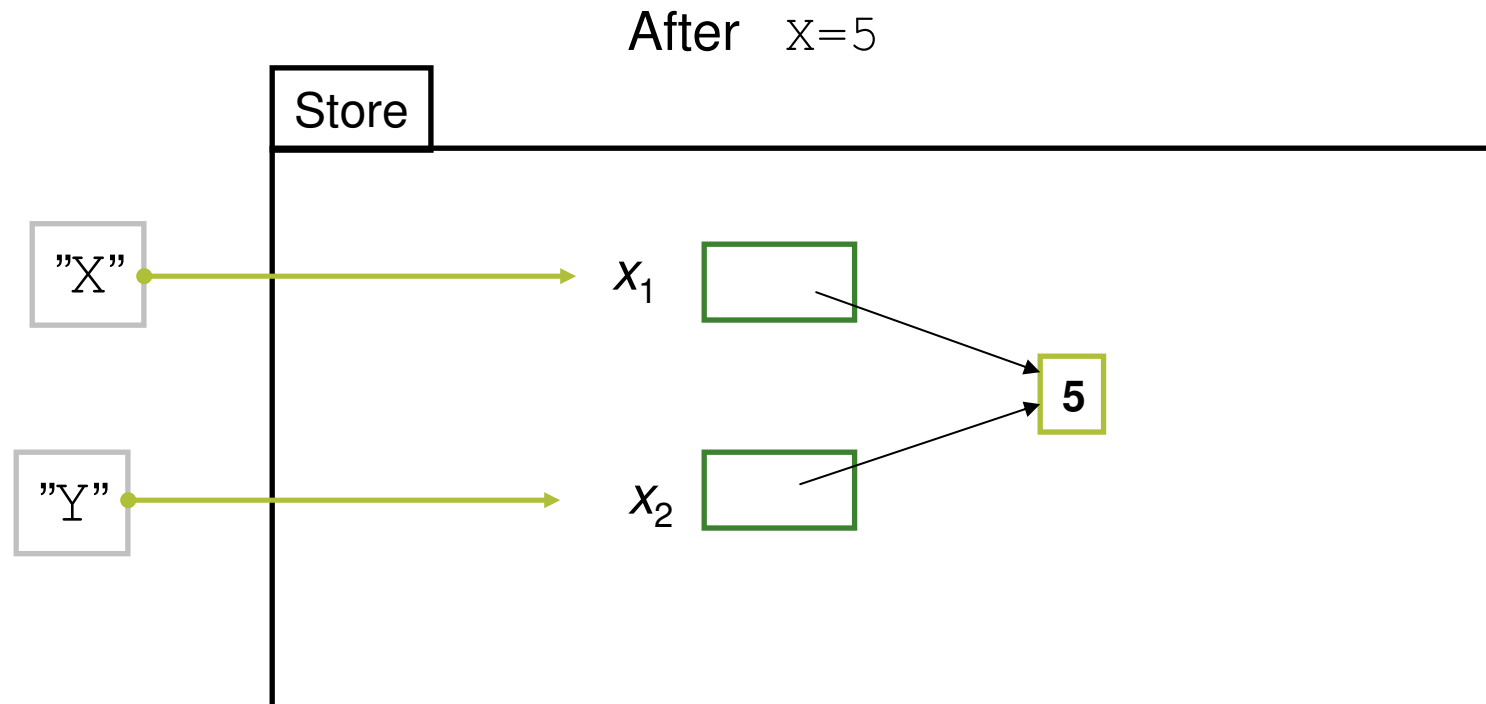
Variable-Variable Binding

- Variables can be bound to variables. They form an **equivalence set** of store variables after such binding.
- They throw exception if their values are different.



Variable-Variable Binding

- After binding one of the variables.



Concept of Dataflow Variables

- Variable creation and binding can be separated. What happens if we use a variable before it is bound? Scenario is known as **variable use error**.
- Possible solutions:
 1. Create and bind variables in one step (use error cannot occur): functional programming languages
 2. Execution continues and no error message is given (variable's content is "garbage"): C/C++
 3. Execution continues and no error message is given (variable's content is initialized with a default value): Java

Concept of Dataflow Variables



.....

4. Execution stops with error message (or an exception is raised): Prolog
5. Execution is not possible; the compiler detects that there is an execution path to the variable's use that does not initialize it: Java – local variables
6. Execution waits until the variable is bound and then continues (dataflow programming): Oz

Example of Dataflow Variables

```
declare X Y  
Y = X + 1  
{Browse Y}
```

Running this Oz code, the Oz Browser does not display anything

```
X = 2
```

Running the previous line, the Oz Browser displays 3

Dynamic Typing in Oz

- A variable type is known only after the variable is bound
- For an unbound variable, its type checking is left for run time.
- An operation with values of wrong type will raise exceptions
- This setting is **dynamically typed**.
- In contrast, Java is a static type language, as the types of all variables can be determined at compile time
- Examples: Types of `x` maybe `Int`, `Float`, ..
 - `X < 1`
 - `X < 1.0`

Concept of Cell

- A **cell** is a multiple-assignment variable
- A memory cell is also called **explicit state**
- Three functions operate on cells:
 - `NewCell` creates a new cell
 - `:=` (assignment) puts a new value in a cell
 - `@` (access) gets the current value stored in the cell
- `declare`
`C = {NewCell 0}`
`{Browse @C}`
`C := @C + 1`
`{Browse @C}`

Concept of Function

- Function definition

```
fun {<Identifier> <Arguments>}  
    [<Declaration Part> in]  
    [<Statement>]  
    <Expression>  
end
```

- The value of the **last expression in the body** is the **returned value** of the function
- Function application (call)

```
X = {<Identifier> <Arguments> }
```

Concept of Function. Examples

```
declare
fun {Minus X}
    ~X
end
{Browse {Minus 15}}
declare
fun {Max X Y}
    if X>Y then X else Y end
end
declare
X = {Max 22 18}
Y = {Max X 43}
{Browse Y}
```

Recursive Functions

- Direct recursion: the function is calling itself
- Indirect (or mutual) recursion: e.g. F is calling G , and G is calling F
- General structure
 - base case
 - recursive case
- Typically, for a natural number n
 - base case: n is zero
 - recursive case:
 - n is different from zero
 - n is greater than zero

Inductive Function Definition

- Factorial function: $n! = 1 * 2 * 3 * \dots * n$
 - inductively defined as
$$0! = 1$$
$$n! = n * ((n-1)!)$$
 - program as function `Fact`

Inductive Function Definition

■ Factorial function definition in Oz

```
fun {Fact N}
  if N == 0 then 1
  else N * {Fact N-1}
end
end
{Browse {Fact 5}}
```

Correctness

- The most popular reasoning techniques is mathematical induction:
 - Show that for the simplest (initial) case the program is correct
 - Show that, if the program is correct for a given case, then it is correct for the next case
- `{Fact 0}` returns the correct answer, namely 1
- **Assume** `{Fact N-1}` is correct. Suppose $N > 0$, then `Fact N` returns $N * \{ \text{Fact } N-1 \}$, which is correct according to the Oz inductive hypothesis!
- `Fact N` for negative N goes into an infinite number of recursive calls, so it is wrong!

Complexity

- The execution time of a program as a function of input size, up to a constant factor, is called the program's **time complexity**.

```
declare
fun {Fibo N}
  case N of
    1 then 1
  [] 2 then 1
  [] M then {Fibo (M-1)} + {Fibo (M-2)}
  end
end
{Browse {Fibo 100}}
```

- The time complexity of {Fibo N} is proportional to 2^N .

Complexity

```
declare
fun {FiboTwo N A1 A2}
  case N of
    1 then A1
  [] 2 then A2
  [] M then {FiboTwo (M-1) A2 (A1+A2)}
  end
end
{Browse {FiboTwo 100 1 1}}
```

- The time complexity of `{FiboTwo N}` is proportional to `N`.

Concept of Lazy Evaluation

- **Eager** (supply-driven, or data-driven) **evaluation**: calculations are done as soon as they are called
- **Lazy** (demand-driven) **evaluation**: a calculation is done only when the result is needed

```
declare
```

```
fun lazy {F1 X} X*X end
```

```
fun lazy {Ints N} N|{Ints N+1} end
```

```
A = {F1 5}
```

```
{Browse A}
```

% it will display: A

Note that {F1 5} does not execute until it is demanded!

Concept of Lazy Evaluation

- F1 and Ints created “stopped executions” that continue when their results are needed.
- After demanding value of A (function * is not lazy!), we get:

```
B = {Ints 3}
```

```
C = 2 * A           // A={F1 5}
```

```
{Browse A}
```

% it will display: 25

```
{Browse B}
```

% it will display: B

```
case B of X|Y|Z|_ then {Browse X+Y+Z} end
```

% it will cause only first three elements of B to be evaluated and then display: 12

% previous B is also refined to: 3|4|5|_

Concept of Higher-Order Programming

- Ability to pass functions as arguments or results
- We want to write a function for $1+2+\dots+n$ (GaussSum)
- It is similar to `Fact`, except that:
 - “*” is “+”
 - the initial case value is not “0” but “1”
- The two operators are written as functions; they will be arguments for the generic function

```
fun {Add X Y} X+Y end
```

```
fun {Mul X Y} X*Y end
```

Concept of Higher-Order Programming

- The generic function is:

```
fun {GenericFact Op InitVal N}  
  if N == 0 then InitVal  
  else {Op N {GenericFact Op  
              InitVal (N-1) }}  
end  
end
```

Concept of Higher-Order Programming

- The instances of this generic function may be:

```
fun {FactUsingGeneric N}
    {GenericFact Mul 1 N}
end
fun {GaussSumUsingGeneric N}
    {GenericFact Add 0 N}
end
```

- They can be called as:

```
{Browse {FactUsingGeneric 5}}
{Browse {GaussSumUsingGeneric 5}}
```

Concept of Concurrency

- Is the ability of a program to run independent activities (not necessarily to communicate)
- A **thread** is an executing program
- Concurrency is introduced by creating threads

```
thread P1 in
```

```
    P1 = {FactUsingGeneric 5}
```

```
    {Browse P1}
```

```
end
```

```
thread P2 in
```

```
    P2 = {GaussSumUsingGeneric 5}
```

```
    {Browse P2}
```

```
end
```

Concept of Dataflow

- Is the ability of an operation to wait until all its variables become bounded

```
declare X in
thread {Delay 5000} X = 10 end
thread {Browse X * X} end
thread {Browse 'start'} end
```

- The second `Browse` waits for `x` to become bound
- `X = 10` and `X * X` can be done in any order, so dataflow execution will always give the same result

```
declare X in
thread {Delay 5000} {Browse X * X} end
thread X = 10 end
thread {Browse 'start'} end
```

- Dataflow concurrency (Chapter 4)

Concept of Object

- It is a function with internal memory (cell)

```
declare
local C in
    C = {NewCell 0}
    fun {Incr}
        C := @C + 1
        @C
    end
    fun {Read} @C end
end
```

- C is a counter object, Incr and Read are its interface
- The declare statement makes the variables Incr and Read globally available. Incr and Read are bounded to functions

Concept of Object-Oriented Programming

■ Encapsulation

- ❑ Variable `C` is visible only between `local` and `last end`
- ❑ User can modify `C` only through `Incr` function (the counter will work correctly)
- ❑ User can call only the functions (methods) from the interface
`{Browse {Incr} }`
`{Browse {Read} }`

■ Data abstraction (Section 6.4)

- ❑ Separation between interface and implementation
- ❑ User program does not need to know the implementation

■ Inheritance (Chapter 7)

Concept of Class

- It is a “factory” which creates objects

declare

```
fun {ClassCounter} C Incr Read in
  C = {NewCell 0}
  fun {Incr}
    C := @C + 1
    @C
  end
  fun {Read}
    @C
  end
  counter(incr:Incr read:Read)
end
```

Concept of Class

- `ClassCounter` is a function that creates a new cell and returns new functions: `Incr` and `Read` (recall higher-order programming)
- The record result groups the methods so that they can be accessed by its fields.

`declare`

`Counter1 = {ClassCounter}`

`Counter2 = {ClassCounter}`

- The methods can be accessed by “.” (dot) operator

`{Browse {Counter1.incr}}`

`{Browse {Counter2.read}}`

Concept of Nondeterminism

- It is concurrency + state
- The order in which threads access the state can **change** from one execution to the next
- The time when operations are executed is not known
- Interleaving (mixed order of threads statements) is dangerous (one of most famous concurrent programming error :
 - [N.Leveson, C.Turner: An investigation of the Therac-25 accidents. *IEEE Computer*, 26(7):18-41, 1993])
- Solution: An operation is **atomic** if no intermediate states can be observed (Chapter 8)

Summary

- Oz, Mozart
- Variable, Type, Cell
- Function, Recursion, Induction
- Correctness, Complexity
- Lazy Evaluation
- Higher-Order Programming
- Concurrency, Dataflow
- Object, Classes
- Nondeterminism

Reading suggestions

- From [van Roy, Haridi; 2004]
 - Chapter 1
 - Appendix A
 - Exercises 1.18.1-1.18.10
- From [Tucker, Noonan; 2002]
 - Chapter 1
 - Exercises 1.1-1.7 from [Tucker, Noonan; 2002]
- First lab/assignment: Fri 24 Aug 2007
(15:00-18:00 Venue : ?) Compulsory attendance. Choose a 1-hr session.