

## 08—Program Verification II

CS 5209: Foundation in Logic and AI

Martin Henz and Aquinas Hobor

March 11, 2010

Generated on Thursday 11<sup>th</sup> March, 2010, 16:11

- 1 Review
- 2 Hoare Triples; Partial and Total Correctness
- 3 Practical Aspects of Correctness Proofs
- 4 Correctness of the Factorial Function
- 5 Proof Calculus for Total Correctness

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## Expressions in Core Language

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Expressions come as arithmetic expressions  $E$ :

$$E ::= n \mid x \mid (-E) \mid (E + E) \mid (E - E) \mid (E * E)$$

and boolean expressions  $B$ :

$$B ::= \text{true} \mid \text{false} \mid (!B) \mid (B \& B) \mid (B \parallel B) \mid (E < E)$$

Where are the other comparisons, for example  $==$ ?

## Commands in Core Language

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Commands cover some common programming idioms.  
Expressions are components of commands.

$$C ::= x = E \mid C; C \mid \text{if } B \{C\} \text{ else } \{C\} \mid \text{while } B \{C\}$$

## Example

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Consider the factorial function:

$$\begin{aligned} 0! &\stackrel{\text{def}}{=} 1 \\ (n+1)! &\stackrel{\text{def}}{=} (n+1) \cdot n! \end{aligned}$$

We shall show that after the execution of the following Core program, we have  $y = x!$ .

$y = 1;$

$z = 0;$

**while**  $(z \neq x) \{ z = z + 1; y = y * z; \}$

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# Example

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$y = 1;$

$z = 0;$

**while**  $(z \neq x)$  {  $z = z + 1; y = y * z;$  }



## Example

---

$y = 1;$

$z = 0;$

**while**  $(z \neq x)$  {  $z = z + 1; y = y * z;$  }

- We need to be able to say that at the end,  $y$  is  $x!$ , provided that at the beginning, we have  $x \geq 0$ .

# Assertions on Programs

## Shape of assertions

$$\langle \phi \rangle P \langle \psi \rangle$$

## Informal meaning

If the program  $P$  is run in a state that satisfies  $\phi$ , then the state resulting from  $P$ 's execution will satisfy  $\psi$ .

# Partial Correctness

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## Definition

We say that the triple  $(\phi) P (\psi)$  is *satisfied under partial correctness* if, for all states which satisfy  $\phi$ , the state resulting from  $P$ 's execution satisfies  $\psi$ , provided that  $P$  terminates.

## Notation

We write  $\models_{\text{par}} (\phi) P (\psi)$ .

# Total Correctness

## Definition

We say that the triple  $(\phi) P (\psi)$  is *satisfied under total correctness* if, for all states which satisfy  $\phi$ ,  $P$  is guaranteed to terminate and the resulting state satisfies  $\psi$ .

## Notation

We write  $\models_{\text{tot}} (\phi) P (\psi)$ .

## Back to Factorial

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Consider `Fac1`:

`y = 1;`

`z = 0;`

**while** (`z != x`) { `z = z + 1; y = y * z;` }

- $\models_{\text{tot}} (x \geq 0) \text{ Fac1 } (y = x!)$
- $\not\models_{\text{tot}} (\top) \text{ Fac1 } (y = x!)$

## Back to Factorial

---

Consider `Fac1`:

`y = 1;`

`z = 0;`

**while** (`z != x`) { `z = z + 1; y = y * z;` }

- $\models_{\text{tot}} (x \geq 0) \text{ Fac1 } (y = x!)$
- $\models_{\text{par}} (\top) \text{ Fac1 } (y = x!)$

# Rules for Partial Correctness

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$$\frac{(\phi) C_1 (\eta) \quad (\eta) C_2 (\psi)}{(\phi) C_1; C_2 (\psi)} \text{[Composition]}$$

## Rules for Partial Correctness (continued)

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$$\frac{}{([x \rightarrow E]\psi) \ x = E (\psi)} \text{[Assignment]}$$



## Rules for Partial Correctness (continued)

$$\frac{(\phi \wedge B) C_1 (\psi) \quad (\phi \wedge \neg B) C_2 (\psi)}{\text{[If-statement]}} \\ (\phi) \text{ if } B \{ C_1 \} \text{ else } \{ C_2 \} (\psi)$$

$$\frac{(\psi \wedge B) C (\psi)}{\text{[Partial-while]}} \\ (\psi) \text{ while } B \{ C \} (\psi \wedge \neg B)$$

## Rules for Partial Correctness (continued)

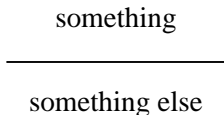
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$$\frac{\vdash_{AR} \phi' \rightarrow \phi \quad (\phi) C (\psi) \quad \vdash_{AR} \psi \rightarrow \psi'}{(\phi') C (\psi')} \text{[Implied]}$$

## Proof Tableaux

Proofs have tree shape

All rules have the structure



As a result, all proofs can be written as a tree.

Practical concern

These trees tend to be very wide when written out on paper.  
Thus we are using a linear format, called *proof tableaux*.

## Interleave Formulas with Code

$$\frac{(\phi) C_1 (\eta) \quad (\eta) C_2 (\psi)}{(\phi) C_1; C_2 (\psi)} \text{[Composition]}$$

Shape of rule suggests format for proof of  $C_1; C_2; \dots; C_n$ :

$(\phi_0)$   
 $C_1$ ;  
 $(\phi_1)$      justification  
 $C_2$ ;  
 $\vdots$   
 $(\phi_{n-1})$    justification  
 $C_n$ ;  
 $(\phi_n)$      justification

## Working Backwards

Overall goal

Find a proof that at the end of executing a program  $P$ , some condition  $\psi$  holds.

Common situation

If  $P$  has the shape  $C_1; \dots; C_n$ , we need to find the weakest formula  $\psi'$  such that

$$(\psi') C_n (\psi)$$

Terminology

The weakest formula  $\psi'$  is called *weakest precondition*.

## Example

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$(y < 3)$   
 $(y + 1 < 4)$     Implied  
 $y = y + 1;$   
 $(y < 4)$         Assignment

## Another Example

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Can we claim  $u = x + y$  after  $z = x; z = z + y; u = z; ?$

$(\top)$

$(x + y = x + y)$  Implied

$z = x;$

$(z + y = x + y)$  Assignment

$z = z + y;$

$(z = x + y)$  Assignment

$u = z;$

$(u = x + y)$  Assignment

## An Alternative Rule for If

We have:

$$\frac{(\phi \wedge B) C_1 (\psi) \quad (\phi \wedge \neg B) C_2 (\psi)}{\text{[If-statement]}} \\ (\phi) \text{ if } B \{ C_1 \} \text{ else } \{ C_2 \} (\psi)$$

Sometimes, the following *derived rule* is more suitable:

$$\frac{(\phi_1) C_1 (\psi) \quad (\phi_2) C_2 (\psi)}{\text{[If-stmt 2]}} \\ ((B \rightarrow \phi_1) \wedge (\neg B \rightarrow \phi_2)) \text{ if } B \{ C_1 \} \text{ else } \{ C_2 \} (\psi)$$



## Example

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Consider this implementation of `Succ`:

```
a = x + 1;  
if (a - 1 == 0) {  
    y = 1;  
} else {  
    y = a;  
}
```

Can we prove  $\{\top\} \text{Succ} \{y = x + 1\}$  ?

## Another Example

```

:
if ( a - 1 == 0 ) {
  (1 = x + 1)      If-Statement 2
  y = 1;
  (y = x + 1)     Assignment
} else {
  (a = x + 1)     If-Statement 2
  y = a;
  (y = x + 1)     Assignment
}
(y = x + 1)      If-Statement 2

```

## Another Example

$\langle \top \rangle$	
$\langle (x + 1 - 1 = 0 \rightarrow 1 = x + 1) \wedge$	
$(\neg(x + 1 - 1 = 0) \rightarrow x + 1 = x + 1) \rangle$	Implied
$a = x + 1;$	
$\langle (a - 1 = 0 \rightarrow 1 = x + 1) \wedge$	
$(\neg(a - 1 = 0) \rightarrow a = x + 1) \rangle$	Assignment
if ( $a - 1 == 0$ ) {	
$\langle 1 = x + 1 \rangle$	If-Statement 2
$y = 1;$	
$\langle y = x + 1 \rangle$	Assignment
} else {	
$\langle a = x + 1 \rangle$	If-Statement 2
$y = a;$	
$\langle y = x + 1 \rangle$	Assignment

## Recall: Partial-while Rule

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$$\frac{(\psi \wedge B) \ C \ (\psi)}{(\psi) \ \text{while } B \ \{ C \} \ (\psi \wedge \neg B)} \text{[Partial-while]}$$

## Factorial Example

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We shall show that the following Core program `Fac1` meets this specification:

```
y = 1;  
z = 0;  
while (z != x) { z = z + 1; y = y * z; }
```

Thus, to show:

$$\langle \top \rangle \text{Fac1} \langle y = x! \rangle$$

## Partial Correctness of $\text{Fac1}$

$\vdots$   
 $(y = z!)$   
 $\text{while } (z \neq x) \{$   
     $(y = z! \wedge z \neq x)$       Invariant  
     $(y \cdot (z + 1) = (z + 1)!)$       Implied  
     $z = z + 1;$   
     $(y \cdot z = z!)$       Assignment  
     $y = y * z;$   
     $(y = z!)$       Assignment  
     $\}$   
 $(y = z! \wedge \neg(z \neq x))$       Partial-while  
 $(y = x!)$       Implied

## Partial Correctness of $\text{Fac1}$

$\langle \top \rangle$	
$\langle (1 = 0!) \rangle$	Implied
$y = 1;$	
$\langle y = 0! \rangle$	Assignment
$z = 0;$	
$\langle y = z! \rangle$	Assignment
$\text{while } ( z \neq x ) \{$	
$\vdots$	
$\}$	
$\langle y = z! \wedge \neg(z \neq x) \rangle$	Partial-while
$\langle y = x! \rangle$	Implied

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## Ideas for Total Correctness

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- The only source of non-termination is the `while` command.
- If we can show that the value of an integer expression decreases in each iteration, but never becomes negative, we have proven termination.  
Why? Well-foundedness of natural numbers
- We shall include this argument in a new version of the `while` rule.

## Rules for Partial Correctness (continued)

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$$\frac{(\psi \wedge B) C (\psi)}{\text{[Partial-while]}} (\psi) \text{ while } B \{ C \} (\psi \wedge \neg B)$$

$$\frac{(\psi \wedge B \wedge 0 \leq E = E_0) C (\psi \wedge 0 \leq E < E_0)}{\text{[Total-while]}} (\psi \wedge 0 \leq E) \text{ while } B \{ C \} (\psi \wedge \neg B)$$

## Factorial Example (Again!)

---

$y = 1;$

$z = 0;$

**while** ( $z \neq x$ ) {  $z = z + 1; y = y * z;$  }

What could be a good variant  $E$ ?

## Factorial Example (Again!)

---

$y = 1;$

$z = 0;$

**while**  $(z \neq x)$  {  $z = z + 1; y = y * z;$  }

What could be a good variant  $E$ ?

$E$  must strictly decrease in the loop, but not become negative.

## Factorial Example (Again!)

---

$y = 1;$

$z = 0;$

**while** ( $z \neq x$ ) {  $z = z + 1; y = y * z;$  }

What could be a good variant  $E$ ?

$E$  must strictly decrease in the loop, but not become negative.

Answer:

$$x - z$$

## Total Correctness of $\text{Fac1}$

$\vdots$	
$(y = z! \wedge 0 \leq x - z)$	
while ( $z \neq x$ ) {	
$(y = z! \wedge z \neq x \wedge 0 \leq x - z = E_0)$	Invariant
$(y \cdot (z + 1) = (z + 1)! \wedge 0 \leq x - (z + 1) < E_0)$	Implied
$z = z + 1;$	
$(y \cdot z = z! \wedge 0 \leq x - z < E_0)$	Assignment
$y = y * z;$	
$(y = z! \wedge 0 \leq x - z < E_0)$	Assignment
}	
$(y = z! \wedge \neg(z \neq x))$	Total-while
$(y = x!)$	Implied

## Total Correctness of $\text{Fac1}$

$\langle x \leq 0 \rangle$   
 $\langle (1 = 0! \wedge 0 \leq x - 0) \rangle$  Implied  
 $y = 1;$   
 $\langle y = 0! \wedge 0 \leq x - 0 \rangle$  Assignment  
 $z = 0;$   
 $\langle y = z! \wedge 0 \leq x - z \rangle$  Assignment  
while (  $z \neq x$  ) {  
  :  
}  
 $\langle y = z! \wedge \neg(z \neq x) \rangle$  Total-while  
 $\langle y = x! \rangle$  Implied