

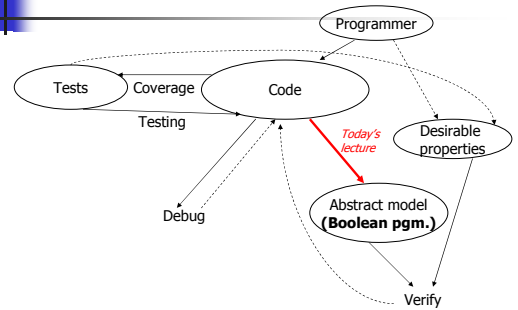
## From Code to Models

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## No model may be available



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## Recap on Model Checking

- Inputs:
  - A finite state transition system  $M$
  - A "temporal" property  $\varphi$
- Check  $M \models \varphi$
- Output
  - True if  $M \models \varphi$
  - Counter-example evidence, otherwise

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## Model Checking for SW Verif.

- The steps:
  - Generate transition system-like models from code
    - Typically involves at least data abstractions
  - Exhaustive search through the model
    - For time/space efficiency, the model may not be explicitly represented and searched.
  - Explaining counter-examples

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## More on the big picture

- Explaining counter-example
  - Counter-example points to an actual violation of property  $\varphi$  in program.
    - How to locate the bug from the counter-example – SW Engineering activity
  - It was introduced owing to the abstractions
    - Refine the abstraction and run model checking on the model derived by refined abstraction
    - Abstract  $\rightarrow$  Model Check  $\rightarrow$  Refine loop.

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## The approach (1)

- Reasoning techniques over finite-state models well-understood.
  - Search based procedures (Model Checking)
- Need to generate models from code
  - Typically finitely many control locations
  - Infinitely many data states (memory store)
- How to abstract the memory store ?
  - This can give a finite state model

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## The approach (2)

- Boolean abstraction used on memory store
  - State of memory captured by finitely many boolean variables which answer queries about its contents
- Check all possible behaviors of a program
  - Translate program to a finite state model and employ model checking (this lecture)
  - OR Modify the state space search algorithm in model checking to directly verify programs
    - e.g. Verisoft checker from Bell Labs (not covered in this course)

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## Model Generation Projects

- Source Language → Modeling Language
- E.g. C → PROMELA (FeaVer tool)
- C → Boolean Pgm (SLAM toolkit)
- Various choices in Bandera toolkit
- In this lecture, we consider a
  - source language with sequential programs
  - Properties are locational invariants
    - Always (pc = 34) ⇒ (v = 0)

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## What kind of model?

- Modeling languages typically do not support
  - Dynamic heap allocation/ de-allocation
  - Call Stack of Procedure Activation Records
- Restriction relaxed in SLAM toolkit
  - Allows for models with procedures
  - Invariant checking of such models by adapting existing inter-procedural dataflow analysis algorithms [Sharir & Pnueli 1981]

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## Predicate Abstraction

- Input
  - Source Program P
  - $S_p$ , Set of Predicates about variables in P
- Output
  - Abstracted program P1
  - Data states in P1 correspond to valuations of predicates in  $S_p$

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## Predicate Abs. (once more)

- Input :
  - A C program P1
  - A set of predicates containing vars of P1
- Output
  - A boolean program P2
    - Only data type of P2 is "boolean"
    - P2 contains more execution paths than P1 i.e.
      - All paths of P1 are captured in P2, not vice-versa
      - P2 is being used for invariant verification of P1.

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## The Language of Predicates

- Boolean expressions containing program variables,
  - No function calls
  - Pointer referencing is allowed
    - $P \rightarrow \text{val} > \text{Var}$
  - Of course Bool. Exp contains
    - $B = B \wedge B \mid B \vee B \mid \neg B \mid A \text{ Relop } A$
    - $A = A + A \mid A - A \mid A * A \mid A / A \mid \text{Var} \mid \text{Int}$
    - Relop = < | > | ≤ | ≥ | ≠ | =

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## Simple Examples

- Source Code
  - Var := 0
  - Var := Var1
- Abstracted Code
  - [Var = 0] := true
  - [Var = 1] := false
  - [Var = 0] := unknown
  - (no preds. about Var1)
  - OR-
  - [Var = 0] := [Var1 = 0]
  - (Var1=0 is another pred)

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## Control constructs

- Abstraction scheme will be developed for
  - Within a procedure
    - Assignments
    - Branches
    - All other constructs can be represented by these
  - Across procedures
    - Formal and actual parameters
    - Local variables
    - Return variables

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## Assignments to predicates

- We are converting a C program to a "boolean" program where the only type is boolean.
  - The boolean program will not be executed.
- Assignment to our predicate variables can assign
  - true / false / unknown
  - If "unknown" is assigned, both possibilities should be explored during model checking

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## Assignments

- Predicate abstraction of pgm. P w.r.t.  $\{b_1, \dots, b_k\}$
- Effect of  $X := e$  on  $b_1, \dots, b_k$
- Variable  $b_i$  denotes expression  $\phi_i$
- If  $\phi_i[X \rightarrow e]$  holds before  $X := e$  then set
  - $b_i := \text{true}$
- If  $\neg\phi_i[X \rightarrow e]$  holds before  $X := e$  then set
  - $b_i := \text{false}$

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## Simple Ex. of Assignments

- $b1 \equiv X > 2$   $b2 \equiv Y > 2$
- Assignment  $X := Y$
- Transform it to
  - $b1 := b2$
- $b1 \equiv X > 2$   $b2 \equiv Y > 2$   $b3 \equiv X < 3$   $b4 \equiv Y < 3$
- Transform  $X := Y$  to the parallel assignment
  - $b1, b3 := b2, b4$

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## Assignments – (2)

- But  $\phi_i[X \rightarrow e]$  may not be representable as a boolean formula over  $b_1, \dots, b_k$
- Examples:
  - Predicates:  $X < 5, X = 2$
  - Assignment stmt:  $X := X + 1$
  - $X < 5 [X \rightarrow X+1]$  equivalent to  $X + 1 < 5$  equivalent to  $X < 4$
  - $X = 2 [X \rightarrow X+1]$  equivalent to  $X + 1 = 2$  equivalent to  $X = 1$

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## Assignments – (3)

- Define predicate **b1** as  $X < 5$
- b2** as  $X = 2$
- What is the weakest formula over **b1** and **b2** which implies  $X < 4$  ?
- If this formula is true, we can conclude
  - $X < 4$  before  $X := X + 1$  is executed
  - $X < 5$  after  $X := X + 1$  is executed
  - b1 = true** after  $X := X + 1$  is executed

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## Assignments - Summary

- Predicates:  $\{b_1, \dots, b_k\}$
- Predicate  $b_i$  represents expression  $\varphi_i$
- $X := e$  is an assignment statement in the pgm. being abstracted.
- We can conclude  $b_i = \text{true}$  after  $X := e$  iff  $\varphi_i[X \rightarrow e]$  before  $X := e$  is executed.

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## Assignments - Summary

- Find the weakest formula over  $b_1, \dots, b_k$  which implies  $\varphi_i[X \rightarrow e]$  and check whether it is true before  $X := e$
- If yes, set  **$b_i = \text{true}$**  as an effect of  $X := e$  in the abstracted program
- Set  **$b_i = \text{false}$**  in the abstracted pgm if the weakest formula over  $b_1, \dots, b_k$  which implies  $\neg \varphi_i[X \rightarrow e]$  holds
- If none of this is possible,  **$b_i = \text{unknown}$**

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## Assignments - Example

- Predicates: **b1** is  $X < 5$ , **b2** is  $X = 2$
- Assignment:  $X := X + 1$
- Weakest pre-condition for **b1** to hold, denoted as  **$WP(X := X + 1, b1)$** 
  - $X < 4$
- Weakest formula over  $\{b1, b2\}$  to imply  **$WP(X := X + 1, b1)$** , denoted as  **$F(WP(X := X + 1, b1))$** 
  - $X = 2$ , that is, the formula **b2**

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## Assignments Example

- Predicates: **b1** is  $X < 5$ , **b2** is  $X = 2$
- $WP(X := X + 1, \neg b1)$**  equivalent to  $X + 1 \geq 5$  equivalent to  $X \geq 4$
- $F(WP(X := X + 1, \neg b1)) = F(X \geq 4)$**  is
  - $X \geq 5$ , that is, the formula  **$\neg b1$  itself**
- Computation of the **F** function is in general exponential, Why ??

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## Computation of $F(\varphi)$

- Consider all minterms of  $b_1, \dots, b_k$ 
  - $\neg b1 \wedge \neg b2$
  - $\neg b1 \wedge b2$
  - $b1 \wedge \neg b2$
  - $b1 \wedge b2$
- Which of them imply  $\varphi$  ?
- Take the disjunction of all such minterms and simplify. Improvements to this algo. possible.

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## Exercise

- $b1 \equiv X < 5$ ,  $b2 \equiv X = 2$
- Assignment in the program
  - $X := X + 1$
- What will it be substituted with in our “boolean” program ?
  - Let us do it now

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## Aliasing via pointers

- To compute the effect of  $X := 3$  on  $b1$ 
  - We compute  $F(WP(X := 3, b1))$
  - Suppose  $b1$  is  $*p > 5$ ,  $p$  is a pointer
- Effect of  $X := 3$  depends on whether
  - $X$  and  $p$  are aliases
  - Use a “points-to” analysis to determine this.
    - Typically flow insensitive
  - Aliasing analysis sharpens information about program states and hence the abstraction.

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## Effect of aliasing

- $WP(X := 3, *p > 5)$  is
  - $(\&x = p \wedge 3 > 5) \vee (\&x \neq p \wedge *p > 5)$
- Thus,  $WP(X := e, \varphi(Y))$  is
  - $(\&X = \&Y \wedge \varphi[Y \rightarrow e]) \vee (\&x \neq \&Y \wedge \varphi(Y))$
  - If  $X$  and  $Y$  are aliases replace  $Y$  by  $e$  in  $\varphi$
  - Otherwise, the assignment has no effect
- If  $\varphi$  refers to several locations, each of them may/may not alias to  $X$ .

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## Another exponential blowup

- If  $\varphi$  refers to  $k$  locations
  - Each may/not alias to  $X$
  - $2^k$  possibilities
  - $WP$  is a disjunction of  $2^k$  minterms
- In practice, accurate static **not-points-to** analysis is feasible
  - Removes conjuncts corresponding to confirmed non-aliases (in any control loc.)

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## Control constructs

- Abstraction scheme will be developed for
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  - Across procedures
    - Formal and actual parameters
    - Local variables
    - Return variables

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## Control branches

- So far, considered straight-line code.
- Consider the effect of conditional branch instructions as in **if-then-else** statements.
- Loops are conditional branch instructions with one branch executing a **goto**.
- Sufficient to consider
  - Abstract( If (c) {S1} else {S2} )

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## Control Branches

- If ( c ) { S1 } else { S2 }
  - $\uparrow\downarrow$ 
    - If ( \* ) { assume ( c ) ; S1 } else { assume ( -c ) ; S2 }
- ( \* ) denotes non-deterministic choice
- assume( $\varphi$ ) terminates exec. if  $\varphi$  is false
  - Otherwise, the statement has no effect.

Different from the assert statement

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## Abstracting Branches

- Abstract( If ( c ) { S1 } else { S2 } ) is
  - If ( \* ) { assume G( c ) ; Abstract(S1) }
    - else { assume G( -c ) ; Abstract(S2) }
- Predicates:  $b_1, \dots, b_k$
- G( c ) is the strongest formula over  $b_1, \dots, b_k$  which is implied by c
  - Formal definition in next slide.

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## Abstracting Branches

- $G(c) = \neg F(\neg c)$ 
  - Dual of the F operator studied earlier
- CAUTION: G and F operators of this lecture different from temporal ops
- Exercise: Why choose the G operator for abstracting branches, why not F ?

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## Questions

- Abstract( if ( c ) { S1 } else { S2 } )
  - $\uparrow\downarrow$
  - If G( c ) { Abstract(S1) } else { Abstract(S2) }
- Was the assume statement necessary  
Does the assume statement introduce new paths ?

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## Abstracting Branches- Example

- If ( \* p <= x ) { \* p := x } else { \* p := \* p + x }
- Predicates
  - b1 is \* p <= 0
  - b2 is x = 0
- $G(*p \leq x) = \neg F(*p > x)$
- To compute F( \* p > x ) consider all minterms of b1 and b2

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## Abstracting Branches- Example

- Minterms of b1, b2
  - $\neg b1 \wedge \neg b2$  is \* p > 0  $\wedge$  x  $\neq$  0
  - $b1 \wedge \neg b2$  is \* p <= 0  $\wedge$  x  $\neq$  0
  - $\neg b1 \wedge b2$  is \* p > 0  $\wedge$  x = 0
  - $b1 \wedge b2$  is \* p <= 0  $\wedge$  x = 0
- $F(*p > x) = \neg b1 \wedge b2$ 
  - &x and p are considered to be non-aliases

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## Abstracting Branches- Example

- $G(*p \leq x) = \neg F(*p > x) = \neg(b2 \wedge \neg b1)$   
 $= \neg b2 \vee b1 = b2 \Rightarrow b1$   
 $= (x = 0) \Rightarrow (*p \leq 0)$
- Similarly compute  $G(\neg(*p \leq x))$
- Abstracted template
  - If (\*) { assume  $(x = 0 \Rightarrow (*p \leq 0))$ ; ... }
  - else { assume  $(x=0 \Rightarrow \neg(*p \leq 0))$ ; ... }

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## Control constructs

- Abstraction scheme will be developed for
  - Within a procedure
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    - All other constructs can be represented by these
  - Across procedures
    - Formal parameter, Local variables, Return variables
    - Procedure calls and returns

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## Inter-procedural Abstraction

- One-to-one mapping of procedure
  - Each proc. to an abstract one
  - No inlining introduced by abstraction.
- Given predicates:  $b_1, \dots, b_k$ 
  - Each pred. is marked global (refers to global vars.) or local to a specific procedure.
  - Does not allow capturing relationships of variables across procedures. **Will Revisit this!**

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## Abstracted procedures ?

- Given
  - A concrete procedure R
  - A set  $E_R$  of predicates  $b_1, \dots, b_j$  specific to R
  - $E_R$  can refer to parameters of R
- Need to define an abstract procedure R1
  - Formal Parameters of R1
  - Return Vars. of R1

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## Example

```
int procedure(int* q, int y)
{
  int l1, l2;
  .....
  .....
  return l1;
}
```

Predicates:  
**b1 is  $y \geq 0$**   
**b2 is  $*q \leq y$**   
**b3 is  $y = l1$**   
**b4 is  $y > l2$**

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## Parameters, Local Vars

- Formal parameters of R1
  - All predicates in  $E_R$  which do not refer to local variables of R
- All other preds. in  $E_R$  are local vars. of R1.
- Natural notion of *input context* for R1.
- Example:
  - Concrete Parameters:  $q, y$
  - Abstract Parameters:  $y \geq 0, *q \leq y$

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## Return Variables

- Natural notion of *output context* for R1. Pass info. to callers about
  - Return value of R
  - Global Vars
  - Call-by-reference parameters ...
- Info. about return value captured by those preds in  $E_R$  which refer to return var. of R, but no *other* local variable (return var. can be a local var.)

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## Return Variables

- Info about global var/reference parameters
  - Preds. in  $E_R$  which were computed to be formal parameters of R1, **AND**
  - Refer to global variables, dereferences
- $E_R = \{ y \geq 0, *q \leq y, y = l1, y > l2 \}$ 
  - Concrete ret. Var. : l1
  - Concrete Parameters: q, y
  - Abst. Ret. Vars:  $y = l1, *q \leq y$

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## Control constructs

- Abstraction scheme will be developed for
  - Within a procedure
    - Assignments
    - Branches
    - All other constructs can be represented by these
  - Across procedures
    - Formal parameter, Local variables, Return variables
    - **Procedure calls and returns**

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## Procedure Calls

- So far, abstraction of a single procedure
  - Assignments (with aliasing)
  - Branches (if-then-else, loops)
  - Formal Parameters
  - Local and global variables
  - Return variables
- Use input/output contexts in procedure call/return in inter-procedural abstraction.

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## Passing Parameters

- Take any formal parameter predicate b of R1

Void main()	int procedure(int *q, int y){	All predicates of
{	int l1, l2;	"procedure" :
...	...	- y >= 0
r = procedure(p, x);	return l1;	- *q <= y
}	}	- y = l1
	Formal parameter preds. of procedure	- y > l2
	- y >= 0	
	- *q <= y	

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## Passing Parameters

- Replace formals by actuals in b.
  - $y \geq 0$  is a formal parameter pred.
  - After replacement, it becomes  $x \geq 0$
- If  $F(b[\text{formals} \rightarrow \text{actuals}])$  holds during procedure invocation of the boolean pgm, then pass *true* to the parameter b
- If  $F(\neg b[\text{formals} \rightarrow \text{actuals}])$  holds, then pass *false* to parameter b
- Otherwise, pass *unknown*.

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## Exercise

- Work out the **boolean expressions** passed to the two parameters of *procedure* in our example shown before
- Use the definition of the F operator given earlier and the abst. predicates given.

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## Procedure Returns

- If procedure S calls procedure R, and
  - S1/R1 are abstractions of S/R
  - $b_1, \dots, b_j$  are abstract ret. Vars of R1
- Then S1 has j corresponding local boolean vars. which will be updated by call to R1.
- Do the local preds. in S need to be updated ? **YES**

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## Procedure returns

- These local preds. of S can refer to
  - Concrete Return var. for R
  - Global Vars (along with other local vars)
- For each such pred b, again compute F(b) and F( $\neg b$ ) to decide the value of b.
- The function F is computed w.r.t
  - Set of abstraction preds (under the carpet ☺)

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## Procedure returns

- To compute the effect of return from R into S (calling procedure), compute F w.r.t.
  - Return predicates of R
    - (Capture effect on global vars/return vars/ref.)
  - Predicates of S which do not need to be updated.
- An implicit partitioning of the preds of S !!
- **Self Study: This portion in the reading.**

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## Reading(s)

- *Automatic Predicate Abstraction of C Programs*
  - Ball, Majumdar, Millstein, Rajamani
  - PLDI 2001.
- Also useful: *Polymorphic Predicate Abstraction*
  - MSR Tech Rep. by same set of authors.

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## Reading Exercise

- Currently, the predicates used for abstraction can only contain program variables. Is this a restriction ?
  - What about values returned by procedures and/or passed by parameters ?
  - Can we track such values by introducing new names ? We can have preds like
    - $\text{Ret\_value\_of\_v} = \text{Passed\_value\_of\_v} + 1$

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