

# Measurements of a Wireless Link in an Industrial Environment Using an IEEE 802.11-Compliant Physical Layer

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**Abstract**—The design and simulation of coding schemes, Medium Access Control (MAC), and link-layer protocols for future industrial wireless local area networks can be supported by some understanding of the statistical properties of the bit error patterns delivered by a wireless link (which is an ensemble of transmitter, channel, receiver, modems). We present results of bit error measurements taken with an IEEE 802.11-compliant radio modem in an industrial environment. In addition to reporting the most important results, we draw some conclusions for the design of MAC and link-layer protocols. Furthermore, we show that the popular Gilbert/Elliott model and a modified version of it are a useful tool for simulating bit errors on a wireless link, despite their simplicity and failure to match certain measured statistics.

**Index Terms**—IEEE 802.11 wireless local area network (WLAN), industrial environment, Medium Access Control (MAC) design, stochastic bit error models, wireless error measurements.

## I. INTRODUCTION

THERE IS currently an increasing interest in making wireless transmission technologies available not only in offices and homes, but also in industrial environments. Two especially attractive features are the reduced need for cabling and the potential for truly mobile stations. However, due to the special constraints in industrial applications, e.g., hard real-time requirements, it is probably not the best solution to simply use existing wireless technologies and protocols, which often are designed for different application areas. Instead, we see the need to design and develop specialized protocols, specifically for Medium Access Control (MAC) and link layer, which take both the characteristics of the wireless medium and the hard real-time requirements into account.

To design MAC and link-layer protocols it is vital to have some understanding of the error patterns delivered by the *wireless link* (the notion of a wireless link is used as an abstraction of the ensemble of modems, transmitter, receiver, channel). The

same protocol can show different behavior and performance for different error characteristics. For example, the results presented in [29] and [28] show that bursty (Markovian) bit errors are beneficial for the performance of TCP, as compared to the case of independent errors with the same mean bit error rate (MBER). For the PROFIBUS (a popular fieldbus system in Europe) independent errors result in better delay performance and stability of the logical token-passing ring than bursty errors [27]. Advance knowledge of the error characteristics can help the protocol designer to select appropriate protocol mechanisms, e.g., to choose suitable forward error correction (FEC) coding schemes or to find good rules on when to perform retransmissions.

It is often convenient for the protocol designer to evaluate protocols with simulations before developing a prototype implementation and performing complex measurements. A key part of such simulations are link error models, which, in principle, determine for a transmitted packet which of the receiver stations see bit errors; sometimes the exact position of errors within a packet is of interest. Often, simulation-based performance evaluations are done with *stochastic* link error models.<sup>1</sup> Here, a simple stochastic process which often can be described in terms of a few parameters, is employed to generate bit error patterns. Some models are frequently used in performance studies of MAC or link-layer protocols, e.g., the *Gilbert/Elliott model*. It is beneficial to parameterize these models from “real data,” obtained from measurements, or to use the measurement results as a motivation for developing better models.

To serve both needs, i.e., understanding of error patterns as input for MAC protocol design, and finding parameters for stochastic error models to make performance analyzes more realistic, we have done measurements of a wireless links error characteristics. The study was taken in an industrial environment, to be specifically relevant for design and simulation of MAC protocols for wireless fieldbus systems. We have chosen to focus on a single scenario.

We have used an IEEE 802.11-compliant radio modem with direct sequence spread spectrum (DSSS) modulation. This choice was for several reasons: it is standardized, operates in the license-free 2.4-GHz Industrial, Scientific, and Medical (ISM) frequency band, and it is widely used. Furthermore, hardware components are commercially available. Specifically, it was possible to obtain a chipset without any upper layer (MAC) functionality (Harris/Intersil PRISM I chipset as MAC-less

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<sup>1</sup>An alternative approach would be to use traces, but these are only rarely available and their handling is often perceived as clumsy.

version [1], [14]). When this study started, this chipset was very popular and used in commercial wireless local area network (WLAN) products.

Our measurement setup is built such that there is no bias introduced by upper layer protocols or operating systems. There is no MAC protocol nor any higher layer protocol, just a small engine for generating well-known packets. Hence, we have fine-grained control over timing and content of the generated packets. However, more importantly, using a MAC entity would have introduced undesired interaction with MAC mechanisms, e.g., packet discarding in case of wrong checksums or illegal MAC header fields.

Clearly, the study has its limitations. It likely cannot be simply extrapolated to other scenarios than the chosen one, or to other radio modems (like, e.g., the updated PRISM II chip set). One fundamental reason for this difficulty are the unique properties of wireless links in the 2.4-GHz range: radio waves can penetrate walls and are reflected by several materials. As a result, multiple copies of a signal may travel on several paths with different distances from transmitter to receiver. Errors occur not only due to noise, but also due to multipath fading. In addition, distance-dependent path loss and co-/adjacent channel interference influence the channel. Hence, the wave propagation environment (number of propagation paths, their respective loss) and its time-varying nature (moving people, moving machines) play a dominant role in constituting channel characteristics. In general, it is far from being obvious or straightforward, how wave propagation characteristics or presence of noise/interference translate into error behavior. This can be easily confirmed from experience with cellular phones, where sometimes there is only a small distance between seemingly good positions and bad positions. However, we think that with the chosen scenario some common characteristics of industrial environments are captured: presence of strong motors, many (sometimes moving) metal surfaces, moving people, and machines switching on and off. We believe that, although the quantitative results like MBERs are likely not valid for other environments, the qualitative results (time-varying behavior; presence, burstiness behavior, and order of magnitude of packet losses; high variability of error burst lengths) will carry over to similar environments, and are important for designing MAC protocols. This belief is confirmed by the fact, that certain qualitative results (regarding packet losses and time variability) were also obtained in a similar study in an industrial environment [10], using a radio modem of a different manufacturer (see Section IX).

We present results of our study and discuss some implications for the design of MAC and link-layer protocols for future industrial WLANs. The focus is on the statistics of the packet loss and bit error patterns delivered by the wireless link (via its interface provided by the *baseband processor*, see Section II-A) to the MAC and link-layer protocol. We do not try to “explain” our results in terms of physical properties of the environment (noise sources, propagation characteristics) or of the radio modem, since both were not completely accessible.

With respect to error modeling, we show that the popular Gilbert/Elliott model fails to match certain statistics of our measurements and propose a slight modification of the

model. Nonetheless, when applied to an example system the Gilbert/Elliott model and its modification give quite good results in predicting selected protocol performance parameters. Therefore, we advocate these models as useful, yet improvable tools for simulating bit errors on a wireless link.

Our study is different from other bit- and packet-level studies. As compared to [10], we put much attention on the statistics of bit errors and packet losses and their meaning for MAC design. Most other studies (summarized in Section IX) were taken in nonindustrial environments, furthermore they incorporate a protocol stack with UDP packets over WaveLAN (CSMA/CA MAC protocol), which hides the reasons for unsuccessful packet delivery from the users. With our setup, the absence of any MAC or higher level protocol does not only allow to have a close look at the input of any MAC protocol, it also allows us to assess more deeply the phenomenon of packet losses. This is, to our knowledge, not done in the literature (except from [10]), nor are they reflected in most protocol performance studies.

This paper is structured as follows. In Section II, we describe our measurement setup, while in Section III our approach to measurement evaluation is sketched. In Section IV, we discuss our goals and how these are addressed by choosing the environment and the set of fixed and variable parameters. In Section V, we present some baseline results, while in Section VI the issue of packet losses is investigated in some detail. The usage of simple stochastic models is considered in Section VII. In Section VIII, we discuss basic consequences of our findings for the design of MAC protocols aiming at reliability. An overview of other bit- or packet-level measurement studies is provided in Section IX and, in Section X, we conclude the paper. A more detailed presentation of the measurement results reported here along with additional material can be found in a technical report [25].

## II. MEASUREMENT SETUP

In this section, we give a brief overview of our measurement setup. More details can be found in [26] and [25].

### A. IEEE 802.11/PRISM I Radio Modem

In 1997, the IEEE 802.11 standard was finalized [21], describing a WLAN operating in the license-free 2.4-GHz ISM band and offering different bit rates: 1, 2, 5.5, and 11 Mb/s. The standard defines the physical layer (PHY, covering, e.g., modulation, spreading and the format of packets, see below) and the MAC layer (method of arbitrating access to the wireless channel), however, this work does not consider the MAC protocol. We have used a MAC-less radio modem (based on Harris/Intersil PRISM I chipset [1]), which is compliant with IEEE 802.11 and uses the DSSS PHY. It offers among others the following modulation types/bitrates: 1 Mb/s with Differential Binary Phase Shift Keying [(D)BPSK modulation], 2 Mb/s with Differential Quaternary Phase Shift Keying [(D)QPSK], 5.5 Mb/s with Complementary Code Keying (CCK), and 11 Mb/s with CCK modulation. Two antennas are attached to the modem to enable receiver diversity (i.e., the receiver selects the antenna with the maximum signal level). The transmitter power was fixed at 18 dBm, corresponding to 63 mW. The

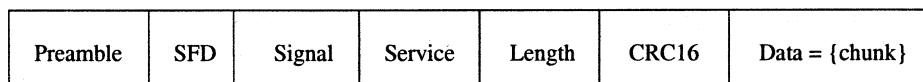


Fig. 1. Format of a packet.

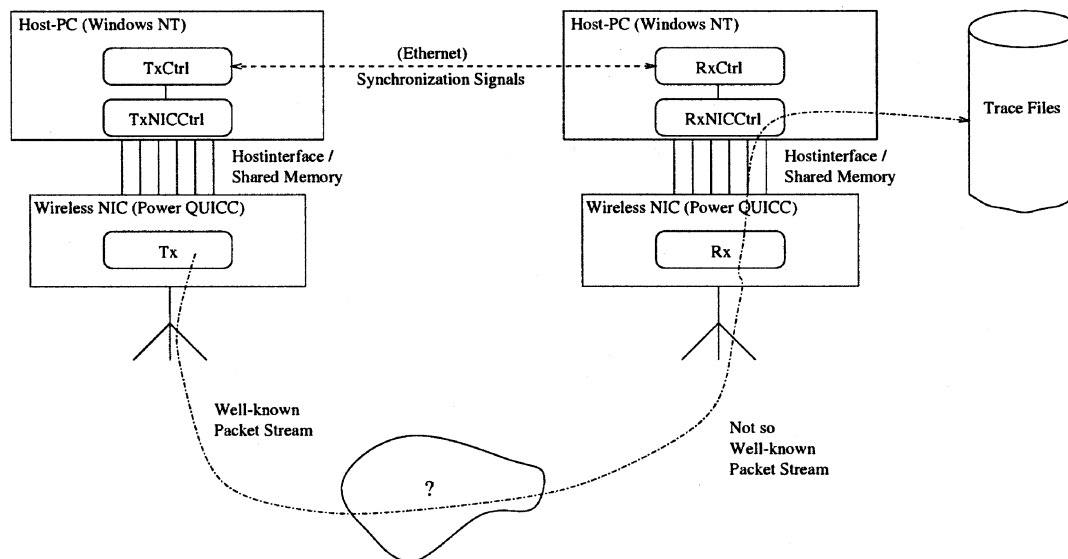


Fig. 2. Measurement setup.

radio modem basically consists of high-frequency circuitry and a baseband processor. The latter accepts and delivers a serial bit stream from upper layers, optionally scrambles the data (employing a shift register with feedback), and performs DSSS processing [14]. The characteristics of the serial bit stream is our focus of interest.

The baseband processor transmits and receives data in units of *packets*. A packet consists of a *header* and a *data part*, shown in Fig. 1. The header fields control transmission and contain no MAC-related fields. A packet starts with a well-known preamble of fixed length, followed by a fixed value indicating the start of the header (start frame delimiter, SFD). The preamble and SFD allow the receiver to synchronize on the sender's clock (bit synchronization). The signal field indicates the modulation type used in the data portion of the packet, while the length field indicates the length of the data portion in microseconds (the service field has no significance). The CRC16 field contains a 16-bit cyclic redundancy check (CRC) checksum which is computed from the three previous values. If the checksum is wrong or the signal field carries an unknown value, the whole packet is discarded by the baseband processor. While the data part can use different modulation types, the header is always transmitted with BPSK modulation.

### B. Measurement Setup

We used two dedicated stations, a *transmitter station* and a *receiver station*, which do not change their roles during a measurement. The setup is sketched in Fig. 2. The basic idea is that the transmitter station sends a well-known packet stream over the wireless link, which is captured and stored by the receiver station into a logfile. For generation and reception of the packets we use a microcontroller board carrying the radio modem and

a separate processor (Motorola PowerQUICC [19] with PCI Interface and a 50-MHz PowerPC 603e processor). The coupling to the (Windows NT-based) host is achieved with a segment of 64 kByte shared memory, denoted as *host interface*. We call this board a *wireless Network Interface Card (NIC)*. The wireless NIC contains a specific measurement application and neither MAC functionality nor any higher layer protocols. This way we have fine-grained control over the packet generation and reception process and no bias is introduced by upper layer protocols.

We briefly discuss the different software modules of our measurement setup, see Fig. 2. The *Tx module* is located on the wireless NIC on the transmitter station. It accepts configuration commands from the TxNICCtrl module discussed below (allowing us to set the variable parameters), and generates a well-known *packet stream*. The *Rx module* is also located on the wireless NIC. Its main task is to capture packets from the wireless link, to add meta-information (e.g., timestamps, packet size, reception status) and passing them to the host via the host interface (which puts them in a logfile). The resulting stream of received packets is denoted as *trace*. The *TxNICCtrl module* and *RxNICCtrl module* are wrappers, which offer a command line interface to the Tx module and Rx module. The *TxCtrl module* is a script which synchronizes itself with the RxCtrl software for controlling the measurements (using a TCP connection over the Ethernet). Finally, the *RxCtrl module* is actually controlling a whole measurement. It loops over all variable parameters; for each combination of parameters a packet stream is started (by triggering the TxCtrl module) and the trace is logged onto the harddisk. The evaluation of the traces is done offline, employing several Perl scripts.

Our setup enables variation of several parameters, which are related both to the properties of the radio modem and packet

TABLE I  
ADJUSTABLE RADIO PARAMETERS

Parameter	Description
<i>ScramblingEnabled</i>	determines whether scrambling is used
<i>DiversityEnabled</i>	determines whether receiver antenna diversity is used
<i>PreambleLength</i>	number of bits for PHY preamble
<i>ModulationCode</i>	distinguishes modulation used for data portion: 1 MBit/s BPSK, 2 MBit/s QPSK, 5.5 MBit/s CCK, 11 MBit/s BMBOK, 11 MBit/s CCK, 11 MBit/s QMBOK

TABLE II  
ADJUSTABLE PACKET STREAM PARAMETERS

Parameter	Description
<i>NumPackets</i>	Number of Packets
<i>GapTime</i>	Time gap between two packets
<i>NumChunks</i>	Number of chunks per packet, Packet length = <i>NumChunks</i> times 288 bits

stream generation. The important modem-related parameters are shown in Table I and the set of packet-stream-related parameters is shown in Table II.

Our setup was tested in laboratory measurements and in other measurement campaigns [13] in controlled environments and has been shown to work fine. In several, sometimes long-running traces, no bit errors, packet losses, or other packet phenomena occurred at all, or it was possible to relate the observed phenomena to environmental conditions.

### C. Format of the Generated Packet Stream

The transmitter station generates a *packet stream*. On the other side, what the receiver captures is denoted as the *trace*. When no errors occur, the trace is the same as the packet stream. The format of the packet stream was chosen such that: 1) the number of 0's and 1's are equal; 2) long runs of 0's or 1's are avoided; and 3) it suffices to have a fraction of the packet (denoted as *chunk*) correctly received in order to determine which packet it originally was. In particular, the last property enables bit-by-bit comparison of a received packet with the transmitted packet.

The generated packet stream consists of *NumPackets* packets, which are transmitted at equidistant start times, and all packets having the same parameters and packet size. The data part of a packet consists of an integral number of *chunks*. For generating a chunk, every bit of a 32-b sequence number is mapped to 8 b (with  $0 \mapsto 11000011$  and  $1 \mapsto 00111100$ ), giving 256 bits. Additionally, 32 b of header and trailer are generated, giving an overall chunk size of 288 b. The sequence number is incremented from chunk to chunk. For example, with *NumChunks* = 3 chunks per packet, the first packet of a

packet stream carries sequence numbers 0, 1, and 2, the second packet 3, 4, and 5, and so forth.

## III. MEASUREMENT EVALUATION

Much of the evaluation of the measurements uses the notion of *indicator sequences* or the more special *binary indicator sequences*. First, we give the appropriate definitions, then we describe their use in measurement evaluation.

### A. Indicator Sequences

In general, an indicator sequence is a finite sequence of natural numbers; a binary indicator sequence is restricted to the values zero and one. In the latter case, often we associate with a 1 an error event (e.g., an erroneous bit or a lost packet) and with a 0 the correct event. In this section, for convenience we will use the notion "bits" for the entries of a binary indicator sequence.

We subdivide binary indicator sequences into *error bursts* and *error-free bursts* according to a *burst order*  $k_0$ . We define an error-free burst of order  $k_0$  to be a contiguous all-zero subsequence with a length of at least  $k_0 + 1$ . In contrast, an error burst of order  $k_0$  is a subsequence with ones at its fringes, furthermore, within an error burst at most  $k_0 - 1$  consecutive zeros are allowed.

By this definition, a binary indicator sequence  $i_1 i_2 \dots i_m$  of  $m$  bits length is segmented into  $p$  alternating error bursts and error-free bursts. The length of the  $j$ th error-free burst is denoted as  $X_j$ , the length of the  $j$ th error burst is denoted as  $Y_j$  and  $Z_j$  is the actual number of ones occurring in the  $j$ th error burst. We write this as the *burst length sequence*:<sup>2</sup>

$$X_1, Y_1, Z_1 \quad X_2, Y_2, Z_2 \quad \dots \quad X_p, Y_p, Z_p.$$

We denote the sequence  $X_1 X_2 \dots X_p$  as the *error-free burst length sequence*,  $Y_1 Y_2 \dots Y_p$  as the *error burst length sequence* and  $Z_1/Y_1 \quad Z_2/Y_2 \dots Z_p/Y_p$  as the *error density sequence*.<sup>3</sup> It is important to note that with only recording the number  $Z_j$  of errors within error burst  $Y_j$  we lose information about the exact error positions (except fringes).

Using the notion of burst length sequences, some simple statistics can be computed, e.g., the mean error rate  $\bar{e}$  or the mean error burst length  $\bar{Y}$  by

$$\bar{e} = \frac{\sum_{j=1}^p Z_j}{\sum_{j=1}^p (X_j + Y_j)}, \quad \bar{Y} = \frac{1}{p} \sum_{j=1}^p Y_j.$$

Taking a binary indicator sequence  $i_1 i_2 \dots i_m$  as a sequence of Bernoulli random variables, the conditional probability  $\Pr[i_{n+k} = 1 | i_n = 1]$  for  $(k \geq 1)$  is of some interest, since, assuming that  $i_1, \dots, i_m$  have the same distribution and

<sup>2</sup>We write  $X_j = 0$  or  $Y_j = 0$  to denote the absence of a burst at the fringes of a binary indicator sequence. Furthermore, we do not explicitly indicate the dependence on  $k_0$  in the notation.

<sup>3</sup>As an example, take the binary indicator sequence 00 100 101 000 110 001 100 000. With burst orders of  $k_0 = 1$  and  $k_0 = 2$  we get the burst length sequence

$$\begin{aligned} k_0 = 1: & \quad 2, 1, 1 \quad 2, 1, 1 \quad 1, 1, 1 \quad 3, 2, 2 \quad 3, 2, 2 \quad 5, 0, 0 \\ k_0 = 2: & \quad 2, 1, 1 \quad 2, 3, 2 \quad 3, 2, 2 \quad 3, 2, 2 \quad 5, 0, 0. \end{aligned}$$

$\Pr[i_1 = 1] = \bar{e}$  is small, this probability approximates the correlation function  $\text{Corr}[i_n, i_{n+k}]$  of  $i_1 \dots i_m$  (see [25, Ch. 2]). It is approximated as follows (frequency-based approach)

$$\begin{aligned} \Pr[i_{n+k} = 1 | i_n = 1] &\approx \frac{\text{\#cases with } i_n = 1 \text{ and } i_{n+k} = 1}{\text{\#cases with } i_n = 1} \\ &= \frac{\sum_{j=1}^{m-k} i_j \cdot i_{j+k}}{\sum_{j=1}^p Z_j}. \end{aligned}$$

### B. Trace Evaluation

For every trace two important binary indicator sequences were computed. The *packet loss indicator sequence* (PLIS) of a single trace is constructed by marking lost packets with a 1 and received packets with a 0. During this step, “suspicious” packets, such as ghost packets and bit shifted packets, were identified and marked as lost packets (see Sections V-A and VI).

The *bit error indicator sequence* (BEIS) of a single trace is constructed by XORing every received (i.e., possibly erroneous) packet with its corresponding expected (error free) packet, and simply concatenating the results in the order of increasing packet numbers. Please note that in the BEIS any information about packet boundaries, lost packets, or packet gap times is completely ignored.

The BEIS can be seen as the available input of a MAC protocol or a coding scheme.

While the PLIS in this paper is analyzed with  $k_0 = 1$ , for the BEIS several values of  $k_0$  were used to get more insight into the burst structure.

## IV. MEASUREMENT PARAMETERS AND ENVIRONMENT

We have conducted two measurement campaigns<sup>4</sup> in an industrial environment, namely, at the Produktionstechnisches Zentrum (PTZ) in Berlin, Germany. The PTZ is a research facility for machinery engineering, supported by industry and academia. The first campaign was performed on June 26, 2000 and its main purpose was to evaluate our measurement setup and to find out which phenomena are important [26]. The second campaign took place from August 28 to August 30, 2000 [25]. In this paper, we focus on the second campaign.

### A. Environment

The PTZ owns a large factory building, which contains several machines of different types and with people walking around all the time. The ground plan of the building has the shape of a circle. At the fringe of the circle is a path which can be used by small vehicles, while the inner circle contains the machinery. During both campaigns we have chosen the same positions within the building. The choice came from asking the PTZ people where they would place both stations. For a discussion of whether the chosen position was a “best case” or “worst

<sup>4</sup>We give the following definitions: a *measurement campaign* consists of one or more *measurements*. A measurement is distinguished by the set of fixed and variable parameters from other measurements. Within a measurement, for each combination of parameters a packet stream is generated.

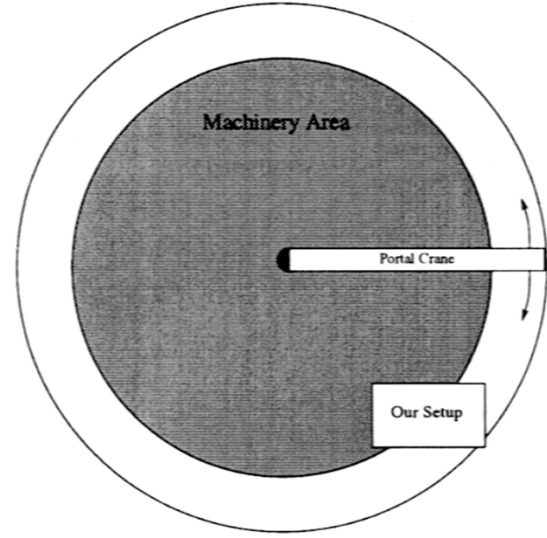


Fig. 3. Position of our setup within the building.

case” position, see Section X. In Fig. 3, we show the relative position of our measurement equipment in the factory building, while in Fig. 4 we show the close neighborhood, especially the machines that are in close proximity. We have investigated a non-line-of-sight (NLOS) scenario, with a closet in between the transmitter and receiver station, and the working area of the die sinking electrical discharge machine (EDM) very close to the direct path. Both stations are  $\approx 7\text{--}8$  m apart and not moved during the measurement campaigns. The receiver station was in close proximity ( $\approx 1$  m) to a cabinet containing the power supply for a huge five-axes milling machine, which, however, was not active during the second campaign. The die sinking EDM was active most of the time, except when changing the workpiece. A second EDM machine was situated behind the first one (see Fig. 4). It was used by PTZ staff almost all the time. At the ceiling, at a height of  $\approx 8$  m, was a portal crane, capable of moving around 20 tons. Its motors are placed at the fringe’s end of the portal crane. The crane was used during the first two days of the second campaign.

Instead of investigating different scenarios with a restricted set of measurements, we have chosen to focus on the single scenario described above. The reason for choosing an NLOS scenario is that the measurement results should help in the design of MAC protocols and coding schemes for industrial WLANs, where we have hard requirements regarding timing behavior and reliability. Hence, it is interesting to see how MAC protocols and coding schemes react in the case of bad channel conditions, which can occur in reality.

### B. Parameters

The second measurement campaign is designed to assess: 1) the packet loss and packet impairment behavior on short and long timescales; 2) the long-term bit error rate behavior; 3) the dependency of the bit error behavior on packet sizes and modulation types; and 4) the dependency of packet losses and impairments and bit error behavior on the scrambling mode. Furthermore, since we are interested in capturing the raw *link*

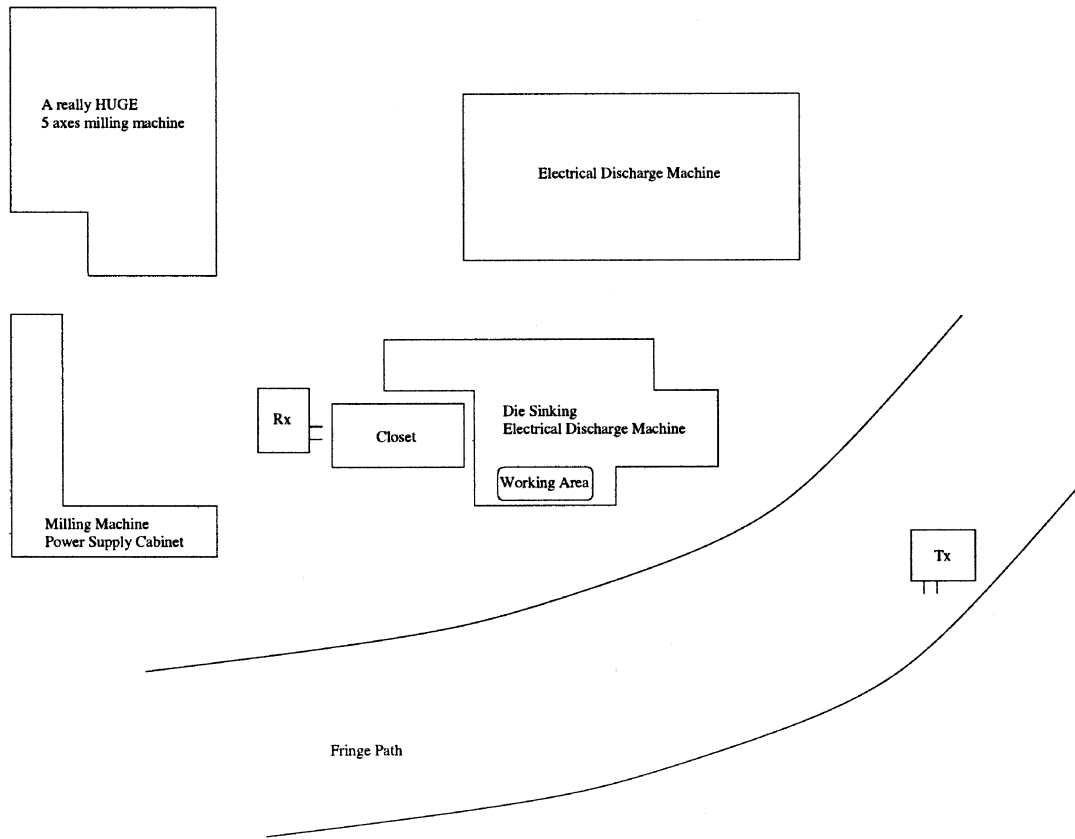


Fig. 4. Setup of PTZ measurement.

behavior, we have assured that no explicit interferers in the same frequency band (e.g., IEEE 802.11 LANs) were present.

We have performed three different measurements within the second campaign: the **longterm1** measurement is a long-term measurement performed with a single modulation type and packet size, only varying the scrambling mode [addressing 1), 2) and 4)]. The **longterm2** measurement is the same as the **longterm1** measurement, however, another pair of PRISM I radio modems was used. In the **factorial** measurement we have varied the scrambling mode, modulation type and packet sizes [thus addressing 3)], and for each combination of parameters the short term bit error behavior was investigated. The main purpose of the **longterm2** measurement was to confirm that the observed phenomena are not due to the particular pair of radio modems used. Indeed, our results confirm this belief and allow us to restrict the discussion to the results obtained with the first pair of radio modems (the **longterm1** measurement and **factorial** measurement).

We have chosen for the **longterm1** and **longterm2** measurements to keep all parameters fixed, except the scrambling mode (on, off) and the pair of radio modems used (see Table III). In both measurements we have taken 90 traces for every scrambling mode. With 90 traces, 2 h and 10 min are covered. Within a measurement the traces are numbered consecutively, thus, the trace number corresponds to the time axis. Within the **longterm1** measurement the first 90 traces are taken without scrambling, the other 90 traces with scrambling. For the **factorial** measurement we have chosen a factorial design, varying the modulation type, packet size, and the scrambling mode as

TABLE III  
FIXED PARAMETERS FOR **longterm1** AND **longterm2** MEASUREMENTS

Parameter	Value
<i>PreambleLength</i>	128 bits
<i>DiversityEnabled</i>	True
<i>Frequency</i>	12
<i>NumPackets</i>	20000
<i>NumChunks</i>	14 (504 bytes)
<i>GapTime</i>	1000 $\mu$ sec
<i>ModulationCode</i>	2 MBit/s QPSK

summarized in Table IV, while keeping the other parameters fixed (Table V). For every combination of parameters two traces are taken. The traces are numbered consecutively, i.e., in the order of their occurrence. The traces 1–56 are taken without scrambling, the traces 57–112 with scrambling. Within each of the two groups we have varied the modulation scheme from low bit rates to high bit rates and for each modulation scheme we have varied the packet sizes from small packets to large packets.

## V. BASELINE RESULTS

In this section, we describe the phenomena we have observed and present the most basic results on MBERs and burst length statistics.

TABLE IV  
VARIABLE PARAMETERS FOR **factorial** MEASUREMENTS

Parameter	Value
<i>ScramblingEnabled</i>	True, False
<i>ModulationCode</i>	1 MBit/s BPSK, 2 MBit/s QPSK, 5.5 MBit/s CCK, 11 MBit/s CCK
<i>NumChunks</i>	3, 9, 14, 28, 56, 112, 167 (corresponding to 108, 324, 504, 1008, 2016, 4032, 6012 bytes)

TABLE V  
FIXED PARAMETERS FOR **factorial** MEASUREMENT

Parameter	Value
<i>PreambleLength</i>	128 bits
<i>DiversityEnabled</i>	True
<i>Frequency</i>	12
<i>NumPackets</i>	20000
<i>GapTime</i>	1000 $\mu$ sec

We exclude the 11-Mb/s CCK and 5.5-Mb/s CCK traces of the **factorial** measurement from further discussion, since these traces are extremely error prone. For example, in trace 52 (11-Mb/s CCK, 2016 bytes packet size, without scrambling) 19 729 out of 20 000 packets do not contain a single well-formed chunk.<sup>5</sup> Many of the 5.5-Mb/s CCK traces also look disastrous (specifically with scrambling) and, thus, are also excluded. A possible reason is that the noise level is too high.

#### A. Packet-Related Phenomena

There are three major causes of transmission errors (see Sections II-A and II-B): Failure to acquire bit synchronization or to properly detect the start frame delimiter, an error in the header fields (e.g., wrong value in signal field or CRC error), and bit errors in the packet's data part.

Failing to acquire bit synchronization leads to *packet losses*. For the receiver station a lost packet is indistinguishable from the case that no packet was sent at all. Therefore, for detecting lost packets we inspect the timestamps of the packets in the log-file and compare them with the *InterpacketTime* (given as the sum of the *GapTime*, the fixed length header (see Fig. 1) and the known length of the data part). Packet losses are discussed in Section VI.

An error in the header fields leads to other packet-related phenomena (*ghost packets*, *missized packets* and *bit shifted packets*), which are discussed in [25, Ch. 2 and 4]. The corresponding packets are treated as lost packets. This is reasonable, since their rates are low and they have the tendency to occur paired with lost packets.

<sup>5</sup>For many packets it was not possible to compute the error rate, since we could not determine the corresponding expected packet. For those packets where we could guess the expected packet, bit error rates of 25%–30% are easily reached.

Our setup is able to distinguish between getting no bit synchronization and the other packet phenomena, since the baseband processor generates an interrupt, when it has acquired bit synchronization and detected the SFD field. Therefore, we can conclude that packet losses are due to not acquiring bit synchronization.

#### B. Positions of Bit Errors

Bit errors do not occur in all positions of a packet's data part with equal probability. This is exemplarily shown in Fig. 5 for a QPSK trace of the **factorial** measurement. In the figure, for the first 2000 bit positions within a packet the number of bit errors occurring at this position during a trace is displayed. It is representative for the patterns occurring with QPSK.<sup>6</sup> The other modulation schemes also have their typical patterns; for BPSK they are similar to QPSK.

There is a peak at the beginning of a packet's data part. For QPSK and BPSK traces without scrambling it is frequently found between bit  $\approx 200$ –250, for traces with scrambling often the peak is at positions  $\approx 80$ –120.

The figures for BPSK and QPSK traces show some periodicity. From inspection, for BPSK traces the basic period is 64 b, and for QPSK it is 128 b. This periodicity is visible with and without scrambling. In [25] we present some figures, which, for selected QPSK traces, show the conditional probability that bit  $n + k$  is wrong given that bit  $n$  is wrong (calculated over the respective BEIS). These figures indicate that indeed often bit errors have a distance of 128 b (64 b for BPSK). We have no validated explanation for this phenomenon, but after several personal discussions we think that it is due to artifacts of the wireless receiver's bit synchronization algorithm.

#### C. MBERs

The MBERs per trace are varying over several orders of magnitude over time, even for the same modulation type and packet size. To illustrate this, we show in Fig. 6 the MBERs for the **longterm1** measurement; please note that this figure spans more than 4 h (see Section IV-B). It can be seen that the MBERs are higher with scrambling enabled<sup>7</sup> (traces 91–180); our data backs this up. For the **factorial** measurement the MBER versus trace number figure has the same characteristic of spanning several orders of magnitude.

From looking at the MBERs, only a few clear patterns emerge: MBERs seem to be higher with scrambling enabled and, furthermore, the MBERs increase with transmission speed, as shown in Table VI. The BPSK modulation shows the best error rates, followed by the QPSK scheme. Other patterns, e.g., dependency on packet sizes, were not clearly visible; they are

<sup>6</sup>Provided that we are looking at those traces where the number of errors is sufficiently high to have results of statistical significance.

<sup>7</sup>This is true for both the **factorial** and **longterm1** measurements, taken with the same modem set. For the **longterm2** measurement both scrambling modes show approximately the same MBER. A possible explanation goes as follows: the scrambler XORs the received bit stream with the (already internally XORed) contents of a shift register of 8-b depth. The shift register changes its content with every bit in dependence of the incoming bit stream. Hence, an error in the bit stream propagates into the shift register and may influence the following 8 bits.

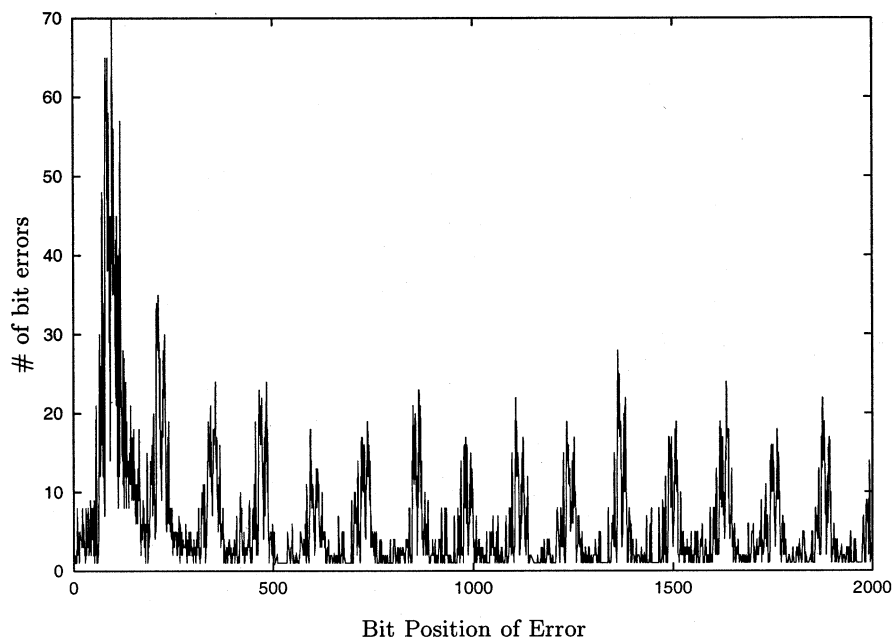


Fig. 5. Positions of bit errors, **factorial** trace 83 (QPSK modulation, with scrambling, 6012-B packet size).

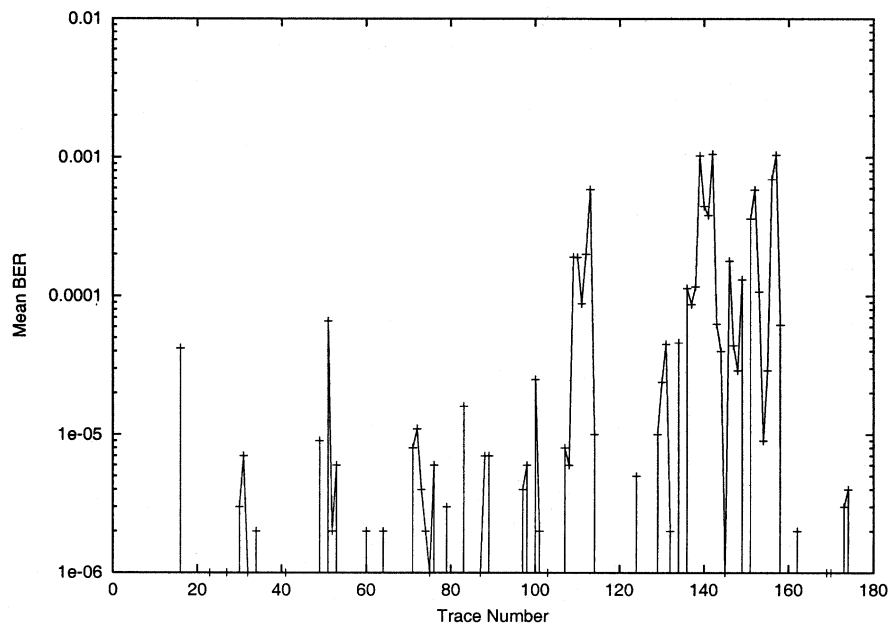


Fig. 6. MBER versus trace number for **longterm1** measurement (logarithmic scale).

TABLE VI  
MBERS FOR DIFFERENT MODULATION TYPES (**factorial** MEASUREMENT)

Modulation	MBER w/o scrambl.	MBER w/ scrambl.
BPSK	2.5571e-05	0.0003
QPSK	7.4428e-05	0.0001
CCK (5.5 MBit/s)	0.0018	0.0399
CCK (11 MBit/s)	0.0544	0.0589

likely overshadowed by the inherently time-varying nature of the link.

#### D. Burst Length Statistics

As described in Section III, we have formed for every trace its BEIS  $i_1 i_2 \dots i_m$ . With a given burst order  $k_0$  we can investigate error bursts and error-free bursts and their respective lengths  $X_1 \dots X_p$  and  $Y_1 \dots Y_p$ .

In Fig. 7, we show for selected BPSK traces mean error burst lengths versus  $k_0$ , while in Fig. 8 the same is shown for selected QPSK traces. The respective curves are typical for BPSK and QPSK traces. In general, clearly the mean error burst length increases when increasing  $k_0$  from  $k'_0$  to  $k''_0 > k'_0$ . The same is true for the mean error-free burst lengths, since the error-free bursts of length  $l$  with  $l > k''_0$  survive as they are, while the



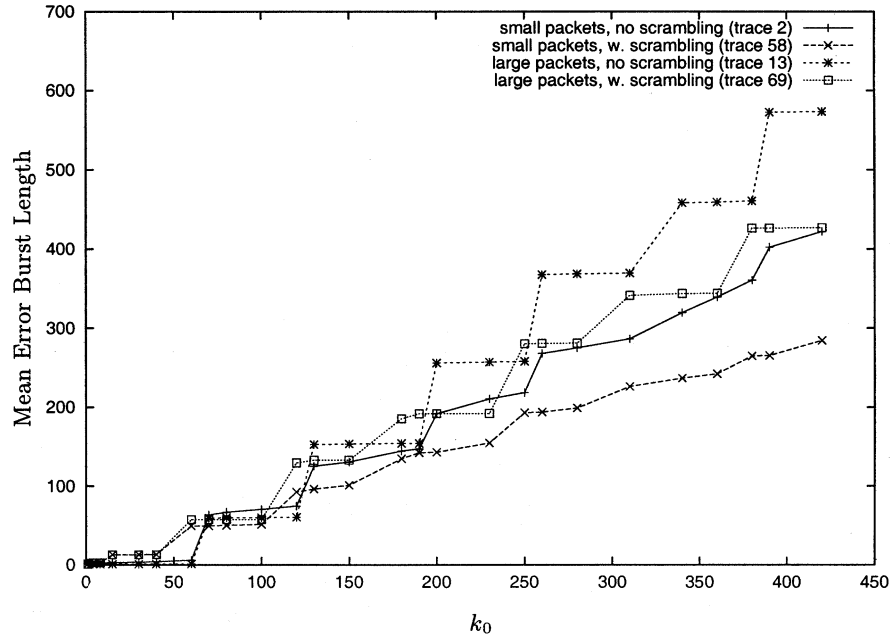


Fig. 7. Mean error burst length versus  $k_0$  for selected BPSK traces BEIS (**factorial** measurement).

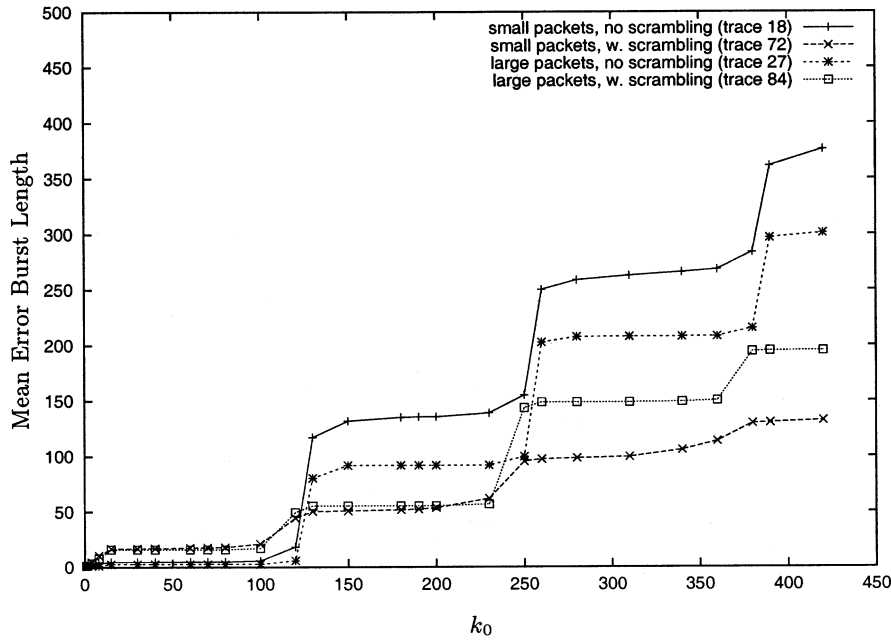


Fig. 8. Mean error burst length versus  $k_0$  for selected QPSK traces BEIS (**factorial** measurement).

error-free bursts of length  $l$  with  $k'_0 < l < k''_0$  disappear and are not considered in mean burst length calculation. From Fig. 8, for QPSK traces we can observe a trend to “step functions” with the steps having a distance of  $\approx 128$ . After inspection of several traces it shows that this behavior is due to the periodicity of bit errors described in Section V-B. A similar behavior, however, with a period length of 64, can be observed for BPSK traces (for other traces not shown in Fig. 7 the “step function” character is more pronounced).

Of some interest is the view along the time axis: for selected values of  $k_0$  we show in Fig. 9 the mean error burst length versus the trace number for the **longterm1** measurement. It can be seen

that, even for all parameters fixed, the mean error burst lengths vary substantially over time (the mean error-free burst length for fixed  $k_0$  fluctuates over several orders of magnitude, not shown here). Furthermore, as already seen for the MBERs in Fig. 6, the mean error burst lengths are higher for scrambling enabled. Thus, the bit error characteristics vary not only on short timescales (from burst to burst, range of milliseconds), but also on larger timescales (trace order, range of minutes).

In Figs. 10 and 11, we show for selected BPSK and QPSK traces of the **factorial** measurement and several values of  $k_0$  the coefficients of variation (CoV) of the error burst length and error-free burst length distribution of the respective BEIS. The

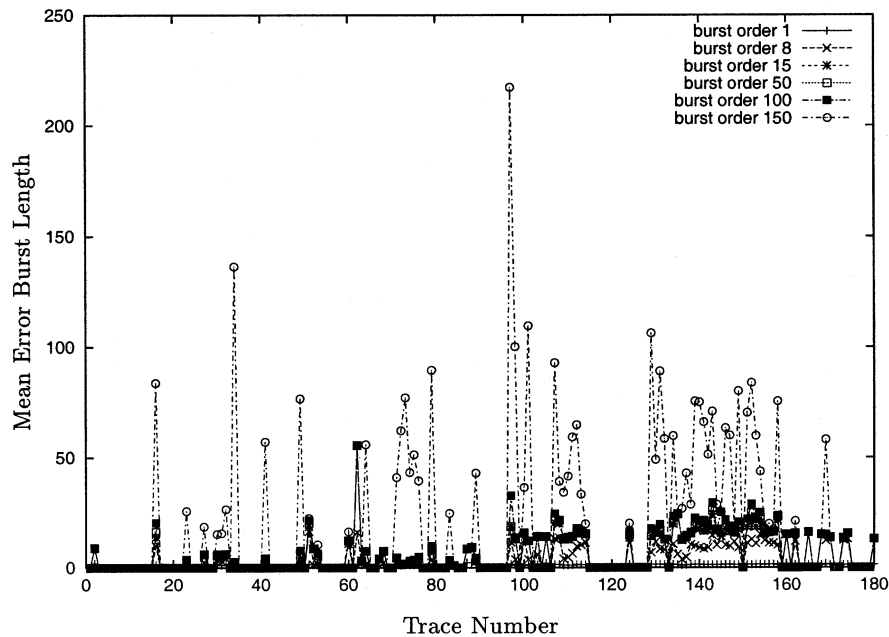


Fig. 9. Mean error burst length versus trace number for selected  $k_0$  (for BEIS of **longterm1** measurement).

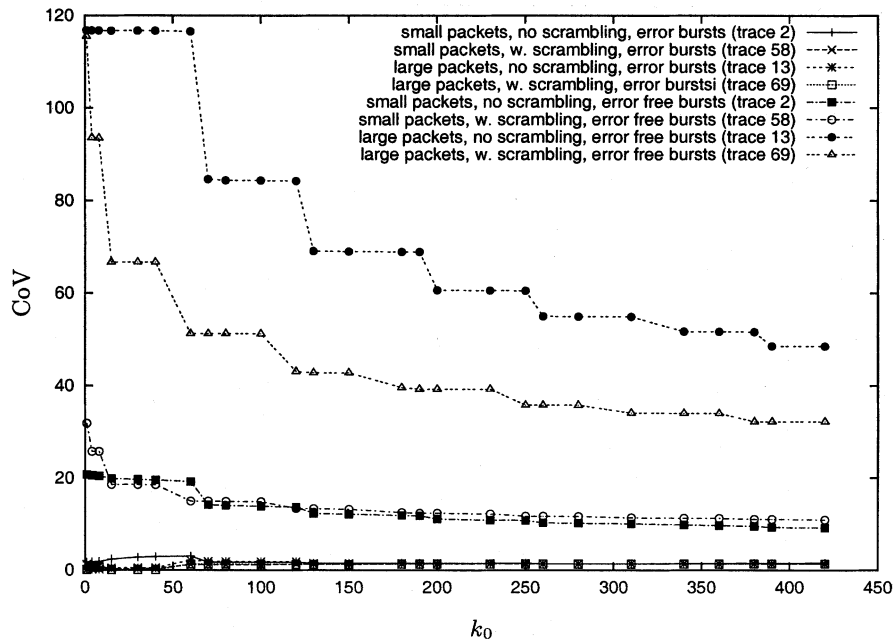


Fig. 10. CoV of error burst lengths and error-free burst lengths versus  $k_0$  for selected BPSK traces BEIS (**factorial** measurement).

variability of the error-free burst length distributions is much higher than that of the error burst length distributions (typically between 1–3). This is due to very long periods of no errors within the respective BEIS. For the error-free burst length distributions, there is a tendency of increased variability for increased packet sizes. This is likely due to the tendency of bit errors to cluster at the beginning of packets (see Section V-B): for large packets the packet beginnings have a larger distance in the BEIS, hence, likely longer error-free bursts occur, which increases the variance. Furthermore, the CoV of the error-free burst lengths is larger for BPSK as compared to QPSK. A likely

reason is the typically lower MBER of BPSK traces, which leads to longer error free bursts, the latter increasing the variance. All observations are also true for the other BPSK and QPSK traces.

The bit errors for the BPSK and QPSK traces without scrambling have different characteristics. For BPSK the dominant case is that of single bit errors or cases where two single bit errors have a distance of 64 b (see [25]). For QPSK we have different characteristics: many error bursts show two erroneous bits, and the bursts have lengths of 2, 4, 14, or 16 b. Other burst lengths (and other numbers of errors in a burst) occur rarely.

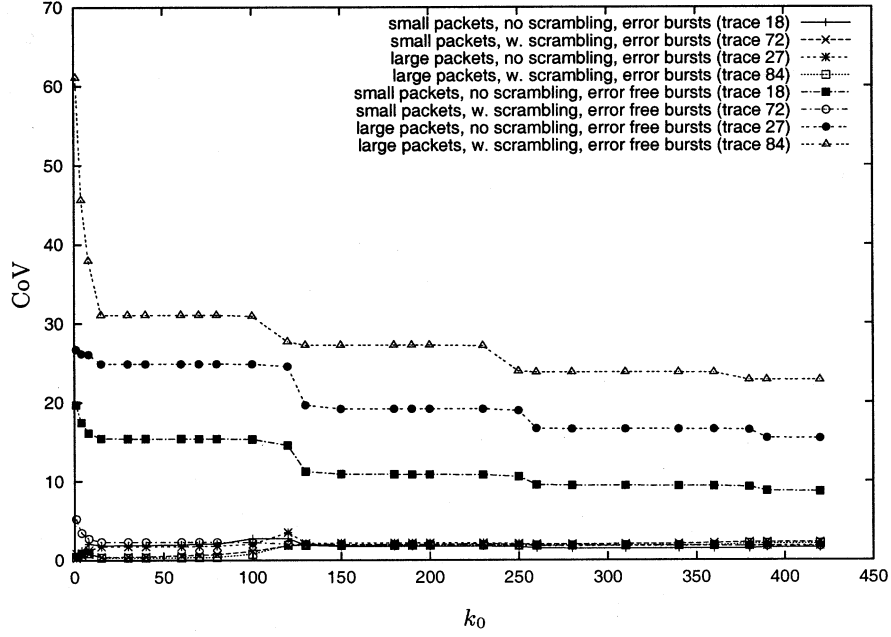


Fig. 11. CoV of error burst lengths and error-free burst lengths versus  $k_0$  for selected QPSK traces BEIS (factorial measurement).

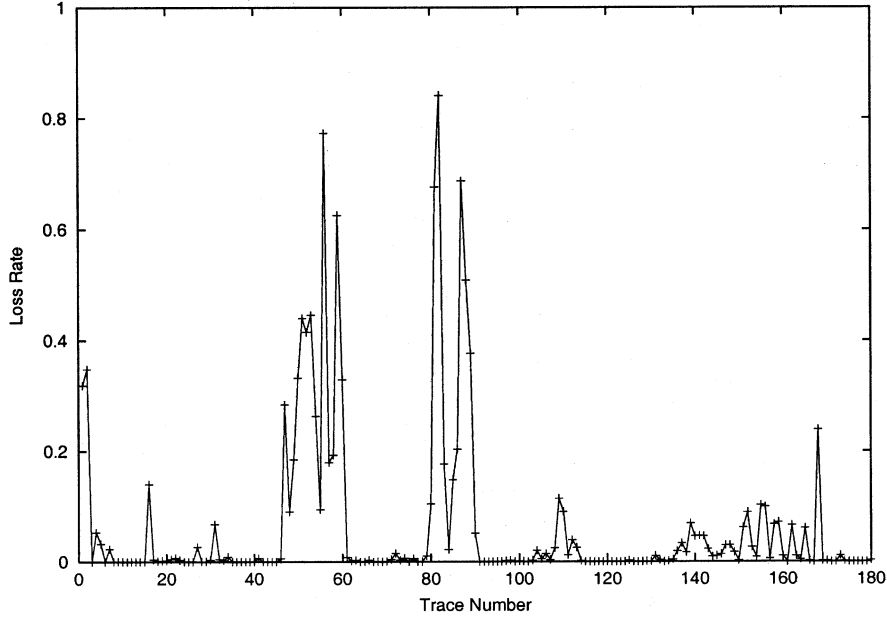


Fig. 12. Rates of lost packets for **longterm1** measurement.

## VI. PACKET LOSSES

In this section, we discuss the packet loss behavior found in the **longterm1** measurement, as manifested in the corresponding PLISs (see Section III-B). Instead of “error bursts” for the PLIS we use the term “packet loss bursts.”

Packet loss rates are time varying. To show this, we present in Fig. 12 the rate of lost packets of a single trace versus the trace number; please note that this figure spans more than 4 h (see Section IV-B). The packet loss rates are sometimes very high (more than 80%) and strongly varying. A possible explanation is offered in Fig. 13, where the “portal crane function” for the **longterm1** measurement is shown. This function displays the

distance of the portal crane to our setup (0 = directly above the setup, 1 = no more than 5 m away, 2 = more than 5 m away). It can be seen that, except for a peak at traces one and two, the packet loss rates have the highest values and the highest degree of fluctuation when the portal crane is close to the setup. During the **factorial** measurement the portal crane was not active and the packet loss rates are always below 10%.

We present some results on the “burstiness” of packet losses. To get summary information, we have formed the *compound PLIS* (COMP-PLIS) by concatenating the PLIS of all **longterm1** traces in order of increasing trace number. The COMP-PLIS was analyzed with burst order  $k_0 = 1$ . Hence, only consecutive packet losses belong to the same packet loss

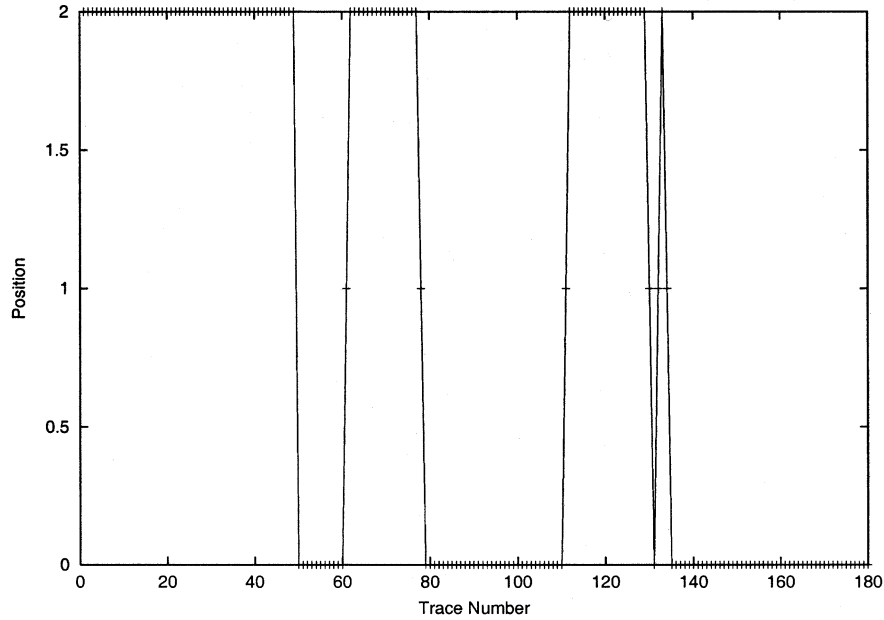


Fig. 13. Position of portal crane (0 = close proximity, 1 = short distance, 2 = longer distance) for **longterm1** measurement.

TABLE VII  
FIRST-ORDER STATISTICS OF BURST LENGTHS (BL) OF THE  
**longterm1** MEASUREMENT (COMP-PLIS,  $k_0 = 1$ )

Fraction of Received Packets	93.5144%
Fraction of Lost Packets	6.4855%
Received Packets: Mean BL	51.1254
Lost Packets: Mean BL	3.5457
Received Packets: CoV BL	20.0712
Lost Packets: CoV BL	17.1956
Received Packets: Max. BL	101158
Lost Packets: Max. BL	14936
Pr[Packet $n + 1$ lost Packet $n$ lost]	0.7179
Pr[Packet $n + 1$ received Packet $n$ received]	0.9804

burst.  $X_1 \dots X_p$  and  $Y_1 \dots Y_p$  are the corresponding packet loss-free burst lengths and packet loss burst lengths. The main statistics of the packet loss burst lengths and packet loss free burst lengths are summarized in Table VII, and their respective distribution functions are shown in Fig. 14. The overall packet loss rate is  $\approx 6.4\%$  and, thus, nonnegligible. The packet loss bursts are typically short ( $\approx 95\%$  of all bursts last ten packets or less), but their lengths are highly variable, and very long bursts can be observed (long-tailed distribution). The packet loss-free burst length distribution is even more variable, has a higher mean value and a longer tail, which fortunately leads to long periods of no packet losses. Another view on the burstiness of packet losses is given by the conditional probability that packet  $n + k$  is lost given that packet  $n$  is lost: it decays monotonically from  $\approx 0.71$  for  $k = 1$  to  $\approx 0.44$  for  $k = 2000$ . Hence, by the approximation given in Section III-A, packet losses are strongly correlated over several hundreds of packets. With respect to the burst length sequences, it can be observed that the sequence  $Y_1 Y_2 \dots Y_p$  is uncorrelated [25]. In contrast, the sequence

$X_1 X_2 \dots X_p$  shows more than weak ( $>0.2$ ) correlation for short lags  $< 5$  and weak correlation ( $< 0.2$ ) for lags up to 100.

A surprising observation is documented in Table VIII, which shows the overall number of lost packets for the different measurements with and without scrambling. Packet losses occur significantly more often, if scrambling is disabled. Furthermore, not shown here, with scrambling the packet loss bursts are typically shorter than without scrambling.

## VII. FEASIBILITY OF SIMPLE BIT ERROR MODELS

Often, the performance of proposed MAC and link-layer protocols is evaluated with stochastic discrete event simulations, a key part of which are bit error models. Two popular models for wireless links are the *independent model* (where bit errors occur independently with a fixed rate  $p$ ) and the *Gilbert/Elliot model* [12], [8]. The latter is quite simple and fails to match certain statistics of our measurements, but nonetheless gives surprisingly good results. We demonstrate this with a simple example system. Furthermore, the results can be improved with a small modification of the model. Our findings show that the Gilbert/Elliot model and its modification are a useful tool for simulating bit errors on a wireless link.

The Gilbert/Elliot model introduces two states named “good” and “bad” (in our setting these can be identified with the error-free bursts and error bursts, respectively). The intuition behind this is to capture the “bursty” nature of the channel, as observed in low-level measurements or predicted from propagation models. Conceptually, after every transmitted bit the new channel state is determined according to a discrete two-state time-homogeneous Markov chain. Within every state bit errors occur independently with rates  $e_g$  and  $e_b$ , respectively. A more detailed discussion of bit error models can be found in [25].

For the Gilbert/Elliot model, by the Markov property the state holding times are geometrically distributed and independent. When applying the model to our BEIS such that the mean burst

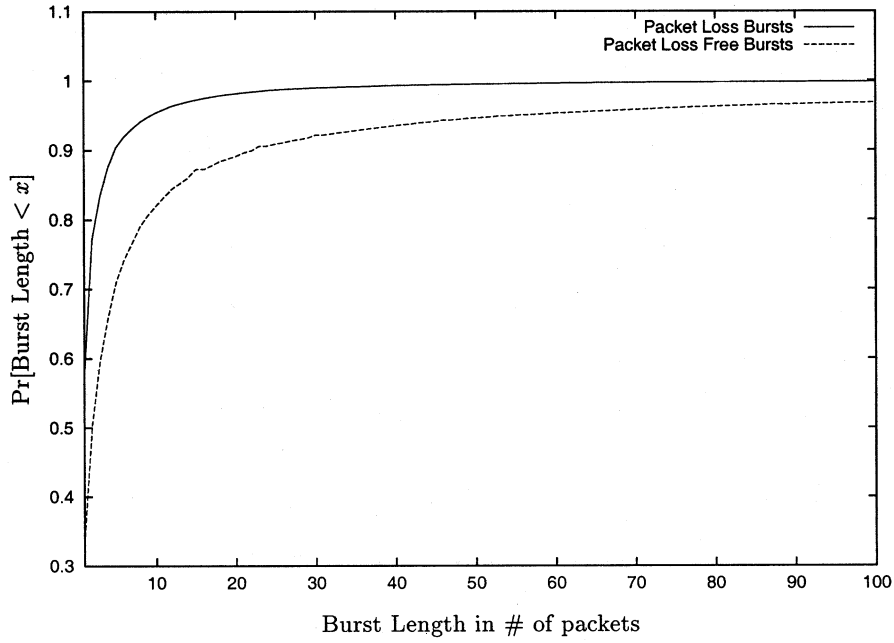


Fig. 14. Cumulative distribution functions of packet loss burst lengths and packet loss-free burst lengths (for COMP-PLIS).

TABLE VIII  
NUMBER OF LOST PACKETS

	<b>factorial</b>	<b>longterm1</b>	<b>longterm2</b>
<b>w scrambling</b>	9885	34755	26392
<b>w/o scrambling</b>	20411	191456	45159

lengths match, the resulting geometric distributions for both error burst lengths and error-free burst lengths will have coefficients of variation close to 1. However, especially for the error-free burst lengths coefficients of variations of 20 up to 100 are typical (see Figs. 10 and 11), thus, the “true” distributions are much more variable than the geometric distribution. In order to resolve this problem, we propose to use other distributions instead, and thus losing the Markov property. Therefore, we denote the resulting class of models as *semi-Markov models* [26]. Specifically, we use a quantized version of the lognormal distribution. It can be parameterized to match our traces burst lengths mean value and variance. Both models can capture short-term correlation for bit errors, but no long-term correlation, since in both models the burst lengths are independent. However, in our data for the error-free burst lengths we often observed strong correlation for small lags and weak correlation for larger lags. For the error burst lengths we often have weak correlation for a longer time.

There are many other stochastic error models. Some models employing  $N$ -state Markov chains are described in [24], [9], [18], [15], and [16]; the class of *Hidden Markov Models* is treated in [11] and [23]; the class of *bipartite models* is introduced in [25].

#### A. Investigation of an Example System

One transmitter and one receiver station are connected via a wireless link. The transmitter wishes to transfer a file of 1 GB

TABLE IX  
SUMMARY STATISTICS OF TRACE 24 (EBL = ERROR BURST LENGTH, EFBL = ERROR-FREE BURST LENGTH, MBER = MEAN BIT ERROR RATE)

	trace 24 ( $k_0 : 100$ )	trace 24 ( $k_0 : 150$ )
<b>MBER</b>	0.000370	0.000370
<b>mean EBL</b>	6.873	114.807
<b>CoV EBL</b>	2.457	2.341
<b>max. EBL</b>	229	6529
<b>mean EFBL</b>	6353.049	11514.112
<b>CoV EFBL</b>	77.441	57.775

TABLE X  
TRANSMISSION TIMES FOR 1-GB DATA OVER CHANNELS WITH DIFFERENT ERROR MODELS (BASED ON **factorial** TRACE 24)

<b>Model</b>	<b>Mean Time</b>	<b>Variance</b>
<b>Trace</b>	5915.63 s	0
<b>independent</b>	8028.61 s	0.47
<b>Gilbert/Elliott</b>	6100.30 s	0.65
<b>Semi-Markov</b>	5803.03 s	137.41
<b>Null model</b>	5540.00 s	0
<b>Bipartite (20,20)</b>	5917.76 s	608.74

size to the receiver. The file is split into packets with the data part having the size of 1000 b (the protocol overhead is neglected). There are no further stations present and we do not consider any MAC protocol or propagation delay. The transmitter sends a data packet and waits for an acknowledgment. If the acknowledgment does not arrive within two bit times the packet is re-peated, otherwise the next packet is transmitted (Send and Wait

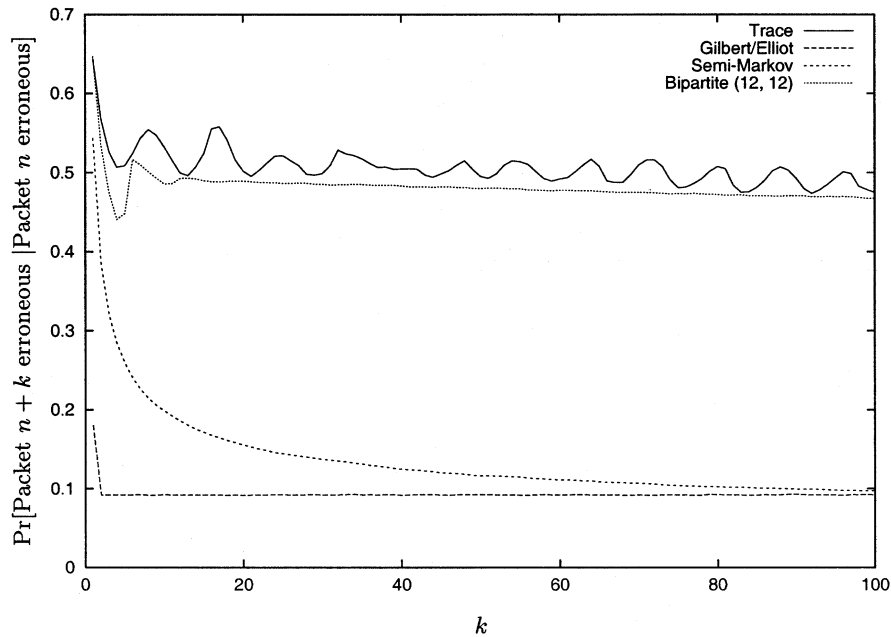


Fig. 15. Conditional probability that packet  $n + k$  is erroneous given that packet  $n$  is erroneous.

Protocol). Data packets can be subject to errors, and acknowledgments are always error free and of negligible size. The receiver only acks a packet if it contains no errors. The number of retransmissions per packet is unbounded. The transmission rate is 2-Mb/s QPSK.

For modeling the wireless link we have chosen to use trace 24 of the **factorial** measurement as the basis; its corresponding BEIS was generated with burst order  $k_0 = 150$  (the basic statistics are shown in Table IX). We have used the following error models: the independent model, the Gilbert/Elliot model, the semi-Markov model, a null model with no bit errors, a more complex 24-state bipartite model, and, finally, we have used the trace itself. We have not taken packet losses into account. The performance measure of interest is the time necessary to transmit the whole file, i.e., from sending the first packet until receiving the last acknowledgment. With the exception of the null model and the trace, every simulation was performed 40 times with different seeds of the pseudorandom number generator.

The mean values reported in Table X show that, indeed, the Gilbert/Elliot model predicts the correct result with only 3.1% (Gilbert/Elliot) error, and the semi-Markov model improves this to 1.9%. In contrast, the independent model generates an error of 35.7%. The results are quite good, despite the fact that all models fail to capture long-term correlation, as is demonstrated next.

From the receivers logfile we have computed the conditional probability that packet  $n + k$  is erroneous, given that packet  $n$  is erroneous. The results are displayed in Fig. 15. It can be seen that the Gilbert/Elliot model largely underestimates the correlation of the packet error behavior even on short timescales. The semi-Markov model gives better predictions on short timescales ( $<5$  packets), but then decays rapidly. The Gilbert/Elliot and semi-Markov models fail to predict the long-term correlation; instead, they converge to their respective mean packet error rate (PER) of  $\approx 10\%$  as taken from Fig. 15. However, the “true” PER

is  $\approx 6.3\%$ . Hence, both models make significant failure in forecasting PERs. The possible influence of long-term correlation is an issue for further research.

## VIII. CONSEQUENCES FOR PROTOCOL DESIGN

Our results allow to draw some simple conclusions for the design of MAC and link-layer protocols aiming at reliability. A general observation is that packet loss rates and MBERs are time variable; even for the same modulation type bit error rates vary over several orders of magnitude. This calls for inclusion of adaptivity into the protocol implementations. Possible control knobs are the transmit power, FEC code rate, number of retransmissions, modulation schemes, packet sizes, Automatic Repeat reQuest (ARQ) strategies, duplicating packets, and so forth. A MAC protocol can dynamically tune these parameters based on feedback and history information.

The occurrence of (sometimes long lasting) packet losses is a challenge. Packet losses are due to failure of acquiring bit synchronization. This happens already in the header, thus, no MAC protocol can protect itself against packet losses by influencing the contents of the data part of a packet. Instead, it is necessary to incorporate other mechanisms, e.g., variation of transmit power level, using retransmission schemes, enable scrambling, or better shielding the radio equipment.<sup>8</sup> Furthermore, for invoking these mechanisms a feedback from the receiver is needed, i.e., the MAC protocol has to incorporate an immediate acknowledgment mechanism. Instead of using whole packets for immediate acknowledgments, it may suffice to rely on the presence or absence of short noise bursts/jam signals.

Consider a scenario where a single base station (BS) serves a number of spatially distributed wireless terminals (WTs), and the traffic is mainly from BS to WT and vice versa. Furthermore,

<sup>8</sup>At the time of writing, it is not clear whether longer preambles would help.

TABLE XI  
MEAN PACKET ERROR RATE (PER) AND MAX. PER FOR QPSK AND BPSK  
MODULATION AND DIFFERENT SCRAMBLING MODES

	Mean PER	Max. PER
BPSK w/o scrambling	0.9%	6%
BPSK w/ scrambling	6.4%	25.6%
QPSK w/o scrambling	7.7%	20.7%
QPSK w/ scrambling	3.2%	14.7%

we assume that channel access is time-multiplexed between stations, not code or frequency multiplexed. In this case, and with multipath fading being a significant source of errors, the BS has for every WT a different propagation environment (number of paths and their respective loss). Hence, the BS has to every WT a separate channel, which can be viewed as independent from the others. If the errors on every channels have a bursty nature, this calls for introducing link state-dependent scheduling approaches, as proposed in [3]. In this type of scheme, the BS may decide to postpone retransmissions (triggered by a packet loss or packet error) and to serve another WT meanwhile. When assuming a strong FEC code and considering only packet losses, our results indicate that, if the retransmission is postponed for 5–10 packet times, with  $\approx 95\%$  probability it will be successful (compare Fig. 14).

The occurrence of longer outage conditions due to packet losses should be recognized by a MAC protocol and signaled to upper layers to allow them to react properly (e.g., enabling emergency stop handling). In contrast to wireline or fiber-optic communications, applications cannot assume the underlying network to be reliable, but should take changing network conditions explicitly into account. Therefore, some service primitives for signaling network conditions should be added to the interface of the MAC or link-layer protocol.

The presence of long-term correlation in the packet error process (compare Fig. 15 for an example) can be considered in different ways. If it is likely that many of the packets following an erroneous packet will also be erroneous, it can be worthwhile to protect them by e.g., switching back to BPSK (increasing energy per bit), using FEC, or increasing transmit power for a while. Another way is to use postponing schemes as sketched above for packet losses in a one BS/many WT scenario.

In [25, Ch. 5], we investigated the feasibility of some simple block FEC schemes capable of correcting  $t$  bit errors in  $n$  ( $n \in \{8, \dots, 32\}$ )<sup>9</sup> transmitted bits, which carry  $k$  ( $k < n$ ) bits of user data. By the *Hamming bound*<sup>10</sup> [17, Ch. 3] the best achievable code rate  $k/n$  for  $t = 1$  is  $\approx 84\%$  ( $(n, k, t) = (31, 26, 1)$ ), for  $t = 2$  it is  $\approx 71\%$  ( $(n, k, t) = (31, 22, 2)$ ). Stated differently, if every packet is transmitted with FEC, we have an overhead of at least 16% for  $t = 1$  and at least 29% for  $t = 2$ .

<sup>9</sup>The restriction to 32 is somewhat arbitrary but can be justified by the observation that in industrial communications frequently we have very small packets, i.e.,  $k$  is small.

<sup>10</sup>The Hamming bound states that up to  $t$  errors can be corrected in a code-word of  $n$  bits length and  $k$  user bits, only if the relation  $2^{n-k} \geq \sum_{i=0}^t \binom{n}{i}$  holds. However, the fact that a triple  $(n, k, t)$  satisfies this relation, does not imply that a code with these properties really exists.

In Table XI, we show the mean and maximum PER (all packets with at least one bit error) for BPSK and QPSK with and without scrambling.<sup>11</sup> If we consider the tendency of BPSK to have mostly single bit errors it suffices to look at codes with  $t = 1$ . For QPSK we often have longer bursts (see Section V-D) and we consider the case  $t = 2$ . Comparing the minimum redundancy needed for both cases with the mean and maximum PERs, and furthermore ignoring different packet sizes, we conclude that in every case it is wasteful to apply FEC to all packets. Instead, FEC should be enabled only for retransmissions, and, since packet errors show longer term correlation, FEC should stay enabled for a while.

A somewhat disappointing result is that the 5.5-Mb/s and 11-Mb/s CCK modulations are very sensitive and show disastrous results during our measurements. This finding is backed up by the results reported in [13], where under nearly ideal conditions (no interferers, line of sight, distance of 20 m) the CCK modes also show unsatisfactorily results. Although it is common communications knowledge that higher modulation schemes are more susceptible to errors, the big jump in quality was surprising. Even for upcoming radio modem designs the different susceptibility of the modulation schemes is likely to remain. This suggests, that a good heuristic for protocol design might be to switch back to lower modulation schemes, when there are problems with the higher ones. For safety-critical data types (e.g., alarm messages) which tend to be short in industrial applications, it makes sense to always use the lower modulation schemes, since this increases probability of reception at only small costs (for short packets the PHY header takes most of the time).

## IX. RELATED WORK

In this section, some other measurement studies are summarized, focusing on packet-level or bit-level measurements. For lower level (wave propagation) measurements of indoor scenarios see, e.g., [4] for measurements on channel impulse response, and [2] for an overview of propagation measurements and models. In the following, we restrict ourselves to indoor measurements.

Within the FUNBUS project, some measurements with an IEEE 802.11-compliant DSSS PHY were carried out [10, Chs. 9, 10]. Namely, the Silver Data Stream radio modem [22] was used. Their measurement setup<sup>12</sup> has similarities to ours: MAC-less radio modem, dedicated transmitter and receiver stations, packet stream with equidistant start times, and well-known packet contents (3-, 64-, and 252-B-long frames). All measurements were performed without diversity, BPSK modulation, and scrambling enabled; the transmitter power was not given. Their setup was able to distinguish between lost packets, truncated packets (data part too short), erroneous packets (of correct length but with bit errors), and correct packets. In an outdoor LOS scenario their setup showed no transmission errors for distances up to 800 m, hence, it can be

<sup>11</sup>One would expect increasing PERs for increasing packet sizes. This is only true for QPSK without scrambling.

<sup>12</sup>Developed at the Institute for Automation and Communication (ifak), Magdeburg, Germany.

assumed to work properly. Amongst others, they have investigated an industrial scenario without interferers: the setup was placed in a hall of the University of Magdeburg with several (not further specified) machines in it. The authors assume a relatively large delay spread of 150 ns. There was no activity at the time of the measurements. The documented results indicate that in a LOS scenario for varying distance between 5%–100% of all frames were error free, however, there was no relationship to the distance. The missing packets are mainly due to packet losses (sometimes up to 60%) and truncations; the respective rates are varying. The transmission quality in NLOS scenarios was rated as “unusable.” The presence and variability of packet losses was also confirmed in other scenarios. For example, when the two stations are placed at different positions within the same four-room apartment, no significant errors occurred. However, when moving one station to another floor, very high packet loss rates (up to 100%) and packet truncation rates (up to 30%) could be observed, and the results are varying.

In a recent paper by Eckhardt and Steenkiste [7], adaptive error correction techniques are applied to WLAN traces, recorded in measurements using WaveLAN (902–928-MHz frequency band, 2-Mb/s QPSK modulation, receiver antenna diversity). They generate a specific UDP/IP packet stream, the underlying WaveLAN uses a CSMA/CA variant without retransmission on the MAC level. All packets are captured by the receiver, even if the WaveLAN checksum is wrong. The main findings are: 1) bit errors are insensitive to the bit value; 2) at short distances with no interferers the packet loss rate is zero and the PER (rate of packets with at least one bit error) is negligible, while with cochannel interferers the packet loss rates go up to 31%, a lot of truncated packets occur, and the PER is strongly varying. Almost all packets with corrupted bits have fewer than 5% of their bits corrupted. Bit errors do not have a trend to cluster in specific bit positions within a packet. Errors tend to occur in bursts, which are most often restricted to one or two bytes length (burst order  $k_0 = 7$ ). The packet loss rate and bit error rate are insensitive to the packet size. The same authors have published another set of results on WaveLAN measurements before [6], using the same measurement setup. They have investigated signal quality parameters in an in-room LOS scenario, a scenario with passive obstacles, and a third scenario with active interferers.

The work described in [20] concentrates on tracing and modeling of wireless channel errors on a packet level, incorporating a full UDP/IP protocol stack over WaveLAN (902–928-MHz frequency band, DSSS, QPSK, 2 Mb/s). All interference sources are suppressed. When only the load is varied (in terms of inter-arrival times for packets of fixed size 1400 B), the PER rate does not change. When varying the packet size, the PER doubles with every 300-B increase of packet size, reaching  $\approx 10^{-3}$  for 1400 B. When only varying the distance, the PER doubles every 17 ft, up to  $\approx 0.08$  at 130 ft. They defined a binary indicator sequence by assigning a one for an erroneous packet and a zero for an error-free packet. For  $k_0 = 1$  the mean error burst length was in most cases between two and three, while the mean-error free length seems to decay almost linearly with increasing distance. The authors calculated suitable param-

eters for semi-Markov models for generating binary indicator sequences from their measurements.

One of the earliest WLAN packet-level studies is [5]. Again, a 902–928-MHz WaveLAN with 2-Mb/s QPSK, DSSS, and receiver antenna diversity was used. The authors have focused on varying the distance. For increasing distance the PER increases, however, there is a sharp cutoff, since it increases dramatically within a few meters, while before the increase rate was low. In their evaluation, if two erroneous bits occurred in neighbored bytes, they belong to the same error burst. When evaluating their BEIS, they found that errors tend to be nonconsecutive, typically, only the minimum number of bits for constituting an error burst is erroneous (only one erroneous bit per byte). Furthermore, some error burst lengths are strongly preferred at all distances and packet sizes, e.g., 13 or 14 b long. This is similar to our results with 14- or 16-b-long error bursts for QPSK. When looking at the burst length distribution functions, for longer runs they observed a (decaying) sawtooth pattern with maxima at multiples of 8. Hence, the authors also found some position dependency in the bit error behavior. The MBERs are found to be “roughly constant” over all packet sizes and distances. An explanation for this could be that multipath fading instead of noise is the dominant source of errors. The effects of multipath fading do not correspond in a simple way to the distance.

## X. CONCLUSIONS

In this paper, we have presented the results of measurements done over a wireless link in an industrial environment.

The most obvious, yet far-reaching result is the variability of the wireless link over several timescales, even when looking over hours. This concerns, for example, packet loss rates and MBERs. We attribute this variability to frequently changing environmental conditions: moving people, portal crane activity, moving parts of machines, and so forth. Many industrial environments share this property of a frequently changing environment, hence, our study is representative in this respect. Stated differently, it cannot be said to represent “worst case” or “best case” conditions, but “typical” conditions (it must be understood that this claim refers to the input of a MAC protocol; regarding signal propagation it is hard to speak of “typical” conditions). This gives us some confidence, that, although one cannot directly transfer numerical results from this environment to others, the qualitative results in fact can be transferred: 1) time-varying behavior; 2) occurrence, burstiness properties, and orders of magnitude of packet losses; 3) the great variability of error free burst length distributions for both packet losses and bit errors, leading to long periods of good conditions; and 4) the tendency of packet losses and bit errors (QPSK) to occur in bursts. Specifically, the time variability and the presence and orders of magnitude of packet losses were confirmed in a similar study. The same consideration applies to the restriction to a single scenario: when changing to a seemingly “better” position, this likely will not fix the variability. Furthermore, one often does not have the freedom to move to “better positions.”

We can learn several important lessons for the further development of industrial WLANs on the basis of the (Intersil



PRISM I) IEEE 802.11 DSSS PHY. Beyond several statistical results, of some importance is the finding that the 5.5-Mb/s and 11-Mb/s modulation schemes showed serious performance problems. For the design of MAC and link-layer protocols the phenomenon of (sometimes long lasting) packet losses is of utmost importance. More generally, MAC and link-layer protocols and coding schemes should incorporate some adaptivity, since the channel is time varying, both in terms of MBERs and packet loss rates and, from a practical point of view, care should be taken in planning antenna locations.

The popular stochastic models used in the literature (independent model, Gilbert/Elliot model) fail to match the statistics of our data in several respects. Furthermore, it does not suffice to take only bit errors into account, but the phenomenon of packet losses should be modeled, too. Nonetheless, the popular models give surprisingly good predictions for selected performance parameters of an example system, which can be improved by a slight modification of the model. We conclude that the Gilbert/Elliot model and its modification are a useful tool for simulating bit errors on a wireless link.

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