

# 01 B—Algorithm Analysis II

CS1102S: Data Structures and Algorithms

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- 1 Review: Growth of Functions
- 2 Comparing Running Times
- 3 Model
- 4 Running Time Calculations

- 1 Review: Growth of Functions
  - Big Oh and Friends
  - Examples
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## Motivation

Which functions grows faster?

$$f(x) = 1000x, \text{ or } g(x) = x^2$$

Intuition

$g$  grows faster than  $f$  because *eventually* it will return larger values.

No worries about constants

We would like to “overlook” when functions differ only by a constant factor.

Example:  $f(x) = 1000x$  grows in the same way as  $g(x) = 2000x$ .

# Big Oh!

## Definition

$T(N) = O(f(N))$  if there are positive constants  $c$  and  $n_0$  such that  $T(N) \leq cf(N)$  when  $N \geq n_0$ .

## Example

$$T(N) = 1000N$$

$$f(N) = N^2$$

$$T(N) = O(f(N))$$

## Notation

We often simply use the function definitions as in:

$$1000N = O(N^2)$$

## Some more definitions

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### Big Oh

$T(N) = O(f(N))$  if there are positive constants  $c$  and  $n_0$  such that  $T(N) \leq cf(N)$  when  $N \geq n_0$ .

### Omega

$T(N) = \Omega(f(N))$  if there are positive constants  $c$  and  $n_0$  such that  $T(N) \geq cf(N)$  when  $N \geq n_0$ .

## Some more definitions

### Theta

$T(N) = \Theta(f(N))$  if and only if  $T(N) = O(f(N))$  and  $T(N) = \Omega(f(N))$ .

### Little oh

$T(N) = o(f(N))$  if for all constants  $c$  there exists an  $n_0$  such that  $T(N) < cf(N)$  when  $N > n_0$ . This means:  $T(N) = O(f(N))$  and  $T(N) \neq \Theta(f(N))$ .

# Examples

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- $1000 = O(1)$
- $N = \Omega(1000N)$
- $N = O(N^2)$



# Rule 1

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If  $T_1(N) = O(f(N))$  and  $T_2(N) = O(g(N))$ , then

- $T_1(N) + T_2(N) = O(f(N) + g(N))$
- $T_1(N) \times T_2(N) = O(f(N) \times g(N))$

## Rule 2

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If  $T(N)$  is a polynomial of degree  $k$ , then  $T(N) = \Theta(N^k)$ .

## Rule 3

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$\log^k N = O(N)$  for any constant  $k$ .

- 1 Review: Growth of Functions
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## Comparing the Growth of Functions

$f$  grows slower than  $g$

$$f(N) = o(g(N))$$

$$\lim_{N \rightarrow \infty} f(N)/g(N) = 0$$

$f$  grows at the same rate as  $g$

$$f(N) = \Theta(g(N))$$

$$\lim_{N \rightarrow \infty} f(N)/g(N) = c \neq 0$$

$f$  grows faster than  $g$

$$g(N) = o(f(N))$$

$$\lim_{N \rightarrow \infty} f(N)/g(N) = \infty$$

## Example

Download a file

After setting up the connection, which takes 3 seconds, the download proceeds at a speed of 1.5Kbytes/second.

Large file sizes

We are interested in the download time  $T(N)$  where the file size  $N$  grows larger and larger.

Big-Oh

As the file size grows, the initial time of 3 seconds becomes negligible. Thus,  $T(N) = O(N)$ .

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# Sequential Computer

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- The computers we consider can do only one thing at a time.
- Contrast this with:
  - A computer cluster in SoC
  - The graphics card of your laptop
  - The internet
- Since PCs have a very small number of CPUs, the assumption of sequentiality is still “reasonable”.



## Everything costs the same

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Simple operations all take constant time

Addition, multiplication, comparison, assignment etc

Integers have fixed-size

The size of integers does not grow as the problem size grows!

- 1 Review: Growth of Functions
- 2 Comparing Running Times
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  - General Rules for Big-Oh
  - Logarithms in the Running Time

## A Simple Example: Diagonal Sum

```
// assumption: given a square matrix "array"  
public static int diagonalSum(int [][] array) {  
    int len = array.length;  
    int sum = 0;  
    for (int i=0; i < len; i++) {  
        sum += array[i][i];  
    }  
    return sum;  
}
```

## Observations

- The initialization of `len` and `sum` and `return` take one unit each.
- The line “`for (int i=0; i < len; i++)`” takes 1 unit for “`int i=0`”,  $N + 1$  units for the tests and  $N$  units for the increments.
- To execute the line “`sum += array[i][ i ]`” takes four time units: one for each array access, one for the addition, and one for the assignment.
- As the size of the input matrix grows, the time to execute the line “`sum += array[i][ i ]`” once, remains 4 units.
- The line is executed  $N$  times for a matrix of size  $N$ , thus it takes  $4N$  time units.
- Overall:

$$T(N) = 3 + 1 + (N + 1) + N + 4 \times N = 6N + 5 = O(N)$$

# Rule 1

for Loops

The running time of a for loop is at most the running time of the statements inside the for loop times the number of iterations.

Example

```
for (int j = 0; j < n; j++)  
    k++;
```

The runtime is  $2 \times N = O(N)$ , considering that `k++;` contains one addition and one assignment.

## Rule 2 (from Rule 1)

### Nested loops

The running time of a statement inside a group of nested loops is the running time of the statement multiplied by the product of the sizes of all the loops.

### Example

```
for (int i = 0; i < n; i++)  
    for (int j = 0; j < n; j++)  
        k++;
```

The runtime is  $2 \times N \times N = O(N^2)$ .

## Rule 3

### Consecutive Statements

The running time of two consecutive statements is the sum of the running times of each component statement.

### Example

```
for (i = 0; i < n; i++)  
    a[i] = 0;  
for (i = 0; i < n; i++)  
    for (j = 0; j < n; j++)  
        a[i] += a[j] + i + j;
```

The runtime is  $2N + 6 \times N \times N = O(N^2)$ .

## Rule 4

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if/else

The running time of if (condition) S1 else S2 is never more than the running time of the condition plus the larger of the running times of S1 and S2.



## Example: Naive Fibonacci

```
public static int fib(int n) {  
    if (n <= 1) {  
        return n;  
    } else {  
        return fib(n-1) + fib(n-2);  
    }  
}
```

### Task

Find runtime  $T(N)$  where  $N$  is the the given integer.

Critical part: Else

$\text{fib}(n-1)$  takes  $T(N-1)$  units, and  $\text{fib}(n-2)$  takes  $T(N-2)$  units.

# Analysis

Overall

$$T(N) = T(N - 1) + T(N - 2) + 2$$

Compare with *fib* itself

$$\text{fib}(N) = \text{fib}(N - 1) + \text{fib}(N - 2)$$

Assessment

We can show that  $T(N) \geq \text{fib}(N)$ , and know that  $\text{fib}(N) < (5/3)^N$ . Thus

$$T(N) = O(2^N)$$

# Search

## Problem

Given an integer  $X$  and a sorted collection of integers  $A_0, A_1, \dots, A_{N-1}$ , find  $i$  such that  $A_i = X$ , or return  $i = -1$  if  $X$  is not in the collection.

## Naive Solution

Scan the collection from  $i = 0$  to  $N - 1$  and stop when  $X$  is found.

## Binary Search

```
public static int binarySearch(int [] a, int x) {  
    int low = 0, high = a.length - 1;  
    while (low <= high) {  
        int mid = ( low + high ) / 2;  
        if ( a[mid] < x )  
            low = mid + 1;  
        else if ( a[mid] > x )  
            high = mid - 1;  
        else return mid;  
    }  
    return -1;  
}
```

# Analysis

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- The body of the while-loop is  $O(1)$ .
- How often do we go through the loop?
- What happens to  $high - low$  in each iteration?
- Answer:  $high - low$  is halved each time.
- Example: Initially,  $high - low = 128$ . After each iteration,  $high - low$  is at most 64, 32, 16, 8, 4, 2, 1, -1. Overall,  $T(N) = O(\log N)$

# This Evening

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- Crash course 2: Loops and Arrays
- Proceed straight to PL1 at 6:30

## Next Week

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- Crash course 3: Objects, Inheritance
- Crash course 4: Generic Types
- Wednesday lecture: Lists, Stacks, Queues (I)
- Friday lecture: Java Collection Framework