06 A: Hashing

CS1102S: Data Structures and Algorithms

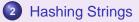
Martin Henz

February 23, 2010

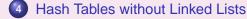
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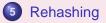
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- 2 Hashing Strings
- 3 Separate Chaining
- 4 Hash Tables without Linked Lists
- 5 Rehashing

6 Puzzlers

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Example

Setup

We would like to quickly find out if a given data item is included in a collection.

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In an underground carpark, a system captures the licence plate numbers of incoming and outgoing cars.

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Setup

We would like to quickly find out if a given data item is included in a collection.

Example

In an underground carpark, a system captures the licence plate numbers of incoming and outgoing cars. Problem: Find out if a particular car is in the carpark.

How About Lists, Arrays, Stacks, Queues?

Problem with Lists, Arrays, Stacks, Queues

With lists, arrays, stacks and queues, we can only access the collection using an index or in a LIFO/FIFO manner.

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How to avoid linear access?

For efficient data structures, we often exploit properties of data items.

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Example

Simple license plates

Let us say the license plate numbers are positive integers from 0 to 9999.

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Keep an array inCarPark of boolean values (initially all false).

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- Keep an array inCarPark of boolean values (initially all false).
- insert(i) sets inCarPark[i] to true
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- contains(i) returns inCarPark[i].

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The Sad Truth

Not all data items are small integers!

In Singapore, license plate numbers start with 2–3 letters, followed by a number, followed by another letter.

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The Sad Truth

Not all data items are small integers!

In Singapore, license plate numbers start with 2–3 letters, followed by a number, followed by another letter.

But: one property remains

We can *compare* two license plate numbers, for example lexicographically.

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Review and Motivation

Hashing Strings Separate Chaining Hash Tables without Linked Lists Rehashing Puzzlers

Comparison-based Search

• If items can be compared (total ordering), we can organize them in a binary search tree

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Review and Motivation

Hashing Strings Separate Chaining Hash Tables without Linked Lists Rehashing Puzzlers

Comparison-based Search

- If items can be compared (total ordering), we can organize them in a binary search tree
- Result: O(log N) retrieval time

Back to Integers

Simplest case

License plate numbers are positive integers from 0 to 9999.

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License plate numbers are positive integers from 0 to 9999.

A slight variation

What if the license plate numbers are positive integers from 150,000 to 159,999?

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Back to Integers

Simplest case

License plate numbers are positive integers from 0 to 9999.

A slight variation

What if the license plate numbers are positive integers from 150,000 to 159,999?

Solution

Store the numbers in an array from 0 to 9999, and apply a *mapping* that generates index from license plate number:

hash(key) = key - 150000

Type of Hash Key

The most common data structures for search are not integers but strings.

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The most common data structures for search are not integers but strings. Examples:

• License plate numbers: "SBX 101 W"

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The most common data structures for search are not integers but strings.

Examples:

- License plate numbers: "SBX 101 W"
- Names: "Lau Tat Seng, Peter"

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The most common data structures for search are not integers but strings.

Examples:

- License plate numbers: "SBX 101 W"
- Names: "Lau Tat Seng, Peter"
- NRIC numbers: "F543209X"

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A HashTable Interface

```
public interface HashTable<Any> {
    public void insert(Any x);
    public void remove(Any x);
    public void contains(Any x);
}
```

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```
Review and Motivation
Hashing Strings
Separate Chaining
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Rehashing
Puzzlers
```

A First Attempt

```
public class NaiveHashTable<Any> {
  private static final int DEFAULT_TABLE_SIZE = 100;
  private static boolean[] theArray;
  public NaiveHashTable() {
    this( DEFAULT_TABLE_SIZE );
  }
  public NaiveHashTable(int size) {
    theArray = new boolean[size];
  }
}
```

```
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```

A First Attempt

```
public void insert(Any x) {
  theArray[myhash(x)] = true;
public void remove(Any x) {
  theArray[myhash(x)] = false;
public boolean contains(Any x) {
  return theArray[myhash(x)];
private int myhash(Any x){
   // mapping x to 0..theArray.length
```

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Some Practical Considerations

Consideration 1: Size of array

The size of array cannot be too large; it must fit into main memory!

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Some Practical Considerations

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Consideration 2: Spread

How to "spread" the hash keys evenly over the available hash values?

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Some Practical Considerations

Consideration 1: Size of array

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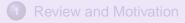
Consideration 2: Spread

How to "spread" the hash keys evenly over the available hash values?

Consideration 3: Collision

How to handle multiple hash keys mapping to the same value?

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6 Puzzlers

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Hashing Strings

Requirement

Map arbitrary strings to integers from 0 to a given limit such that the integers are evenly spread between 0 and the limit

ヘロア 人間 アメヨア 人口 ア

Hashing Strings

Requirement

Map arbitrary strings to integers from 0 to a given limit such that the integers are evenly spread between 0 and the limit

First idea

Sum up the characters in the string

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Summing up Characters

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Summing up Characters

What if tableSize = 10007 and all strings have a length of at most 3 characters?

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Second Attempt

Idea

If the string consists of English words, we could make sure that each different combinations of the first three letters hash to a different value.

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Second Attempt

Analysis

There are $26^3 = 17,576$ possible combinations of three letter characters, but only 2851 actually occur in English!

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Third Attempt

Idea

Compute

$$\sum_{i=0}^{\text{bySize}-1} \textit{Key}[\textit{KeySize}-i-1] \cdot 27^{i}$$

and bring result into proper range between 0 and tableSize.

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Third Attempt

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Common Variations

Use only prefix of overall string

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Common Variations

- Use only prefix of overall string
- Use every second character

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Common Variations

- Use only prefix of overall string
- Use every second character
- Use specific data (street address)

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Recap: Considerations

Consideration 1: Size of array

The size of array cannot be too large; it must fit into main memory!

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Recap: Considerations

Consideration 1: Size of array

The size of array cannot be too large; it must fit into main memory!

Consideration 2: Spread

How to "spread" the hash keys evenly over the available hash values?

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Recap: Considerations

Consideration 1: Size of array

The size of array cannot be too large; it must fit into main memory!

Consideration 2: Spread

How to "spread" the hash keys evenly over the available hash values?

Consideration 3: Collision

How to handle multiple hash keys mapping to the same value?

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Separate Chaining

Idea

Keep all elements that hash to the same value in a linked list

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Separate Chaining

Idea

Keep all elements that hash to the same value in a linked list

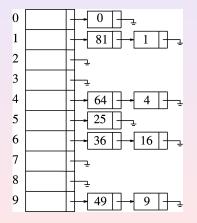
Modify hash table operations

Hash table operations (insert, remove, contains) now iterate through list

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Separate Chaining Example



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Excursion: The Class Object

public class Object {
 protected Object clone() {...}
 boolean equals(Object obj) {...}
 protected void finalize() {...}
 Class<?> getClass() {...}
 int hashCode() {...}
 String toString() {...}
}

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Excursion: Preparing a Class for Hashing

public class Employee {
 public boolean equals(Object rhs) {
 return rhs instanceof Employee &&
 name.equals(((Employee)rhs).name); }
 public int hashCode() {
 return name.hashCode(); }
 private String name;
 private double salary;
 private int seniority; }

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Separate Chaining Implementation

```
public class SeparateChainingHashTable<Any> {
  public SeparateChainingHashTable()
    \{ \dots \}
  public SeparateChainingHashTable(int size)
    \{ \dots \}
  public void insert( Any x )
    \{ \dots \}
  public void remove( Any x )
    \{ ... \}
  public boolean contains (Any x)
    \{ \dots \}
  public void makeEmpty()
    \{ \dots \}
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```

```
Separate Chaining Implementation
```

```
private static final int DEFAULT_TABLE_SIZE = 101;
private List<Any> [ ] theLists;
private int currentSize;
private int myhash(Any x) {
... }
```

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Separate Chaining Implementation
```

```
private int myhash(Any x) {
    int hashVal = x.hashCode( );
    hashVal %= theLists.length;
    if( hashVal < 0 )
        hashVal += theLists.length;
    return hashVal;
}</pre>
```

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Separate Chaining Implementation

```
public SeparateChainingHashTable() {
 this ( DEFAULT_TABLE_SIZE );
ł
public SeparateChainingHashTable(int size) {
  theLists = new LinkedList[ nextPrime( size ) ];
  for( int i = 0; i < theLists.length; i++ )
     theLists[ i ] = new LinkedList<Any>( );
}
public void makeEmpty() {
 for( int i = 0; i < theLists.length; i++ )
     theLists[ i ].clear( );
  currentSize = 0:
```

```
Separate Chaining Implementation
```

```
public boolean contains(Any x) {
  List < Any> which List = the Lists [ myhash( x ) ];
  return whichList.contains( x );
}
public void insert(Any x) {
  List < Any> which List = the Lists [ myhash( x ) ];
  if( !whichList.contains( x ) ) {
    whichList.add( x );
    if( ++currentSize > theLists.length )
      rehash();
}
```

Separate Chaining Implementation

```
public void remove( Any x ) {
  List<Any> whichList = theLists[ myhash( x ) ];
  if( whichList.contains( x ) ) {
    whichList.remove( x );
    currentSize --;
  }
}
```

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Analysis

Effectiveness

Separate chaining is a simple and effective technique to deal with collisions

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Analysis

Effectiveness

Separate chaining is a simple and effective technique to deal with collisions

Disadvantage

Linked lists add inefficiency due to the need to create objects at runtime.

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Analysis

Effectiveness

Separate chaining is a simple and effective technique to deal with collisions

Disadvantage

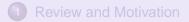
Linked lists add inefficiency due to the need to create objects at runtime.

Idea

Store items directly into array; use alternative cells if a collision occurs

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Linear Probing Quadratic Probing



- 2 Hashing Strings
- 3 Separate Chaining
- 4 Hash Tables without Linked Lists
 - Linear Probing
 - Quadratic Probing

5 Rehashing

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Linear Probing Quadratic Probing

Hash Tables without Linked Lists

Idea

Store items directly into array; use alternative cells if a collision occurs

Puzzlers

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Linear Probing Quadratic Probing

Hash Tables without Linked Lists

Idea

Store items directly into array; use alternative cells if a collision occurs

More formally

Try cells $h_0(x), h_1(x), h_2(x), \ldots$ until an empty cell is found.

Puzzlers

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Linear Probing Quadratic Probing

Hash Tables without Linked Lists

Idea

Store items directly into array; use alternative cells if a collision occurs

More formally

Try cells $h_0(x), h_1(x), h_2(x), \ldots$ until an empty cell is found.

Puzzlers

How to define h_i ?

 $h_i(x) = (hash(x) + f(i)) \text{ mod } TableSize, where f(0) = 0$

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Linear Probing Quadratic Probing

Hash Tables without Linked Lists

Idea

Store items directly into array; use alternative cells if a collision occurs

More formally

Try cells $h_0(x), h_1(x), h_2(x), \ldots$ until an empty cell is found.

Puzzlers

How to define h_i ?

$$h_i(x) = (hash(x) + f(i)) \text{ mod } TableSize, where f(0) = 0$$

Definition

They function f is called the collision resolution strategy.

Linear Probing Quadratic Probing

Linear Probing

Idea

If hash(x) is taken, try the next cell to the right. If that is taken, too, try the next one, etc.

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Linear Probing Quadratic Probing

Linear Probing

Idea

If hash(x) is taken, try the next cell to the right. If that is taken, too, try the next one, etc.

Formally

$$f(i) = i$$

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Linear Probing Quadratic Probing

Linear Probing: Example

	Empty Table	After 89	After 18	After 49	After 58	After 69
0				49	49	49
1					58	58
2						69
3						
4						
5						
6						
7						
8			18	18	18	18
9		89	89	89	89	89

Puzzlers

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Linear Probing Quadratic Probing

Problem with linear probing

Definition

The *load factor*, λ , of a hash table is the ratio of the number of elements in the hash table to the table size.

Puzzlers

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Linear Probing Quadratic Probing

Problem with linear probing

Definition

The *load factor*, λ , of a hash table is the ratio of the number of elements in the hash table to the table size.

Puzzlers

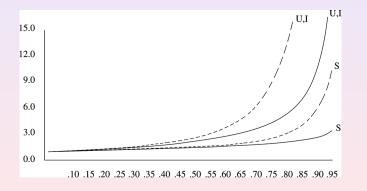
Clustering

As the load factor λ increases, occupied areas in the array tend to occur in clusters, leading to frequent unsuccessful insertion tries.

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Linear Probing Quadratic Probing

Linear Probing vs Random Strategy



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Linear Probing Quadratic Probing

Quadratic Probing

Idea

To avoid clustering, increase the step size with each unsuccessful try.

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Linear Probing Quadratic Probing

Quadratic Probing

Idea

To avoid clustering, increase the step size with each unsuccessful try.

Formally

$$f(i)=i^2$$

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Linear Probing Quadratic Probing

Quadratic Probing: Example

	Empty Table	After 89	After 18	After 49	After 58	After 69
0				49	49	49
1						
2					58	58
3						69
4						
5						
6						
7						
8			18	18	18	18
9		89	89	89	89	89

Puzzlers

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Linear Probing Quadratic Probing

Properties of Linear and Quadratic Probing

Expected number of probes for linear probing

$$\frac{1}{2}(1+1/(1-\lambda)^2)$$

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Linear Probing Quadratic Probing

Properties of Linear and Quadratic Probing

Expected number of probes for linear probing

$$\frac{1}{2}(1+1/(1-\lambda)^2)$$

Quadratic probing

Can we guarantee that we find an empty slot, if an empty slot exists?

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Linear Probing Quadratic Probing

Properties of Linear and Quadratic Probing

Expected number of probes for linear probing

$$\frac{1}{2}(1+1/(1-\lambda)^2)$$

Quadratic probing

Can we guarantee that we find an empty slot, if an empty slot exists?

Theorem

If quadratic probing is used, and the table size is prime, then a new element can always be inserted if the table is at least half empty.

Puzzlers

Rehashing

Idea

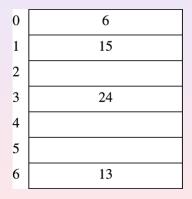
When load factor gets too large (for quadratic hashing close to 1/2), double the array size and *rehash* all elements.

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Renashin

Puzzlers

Rehashing: Example

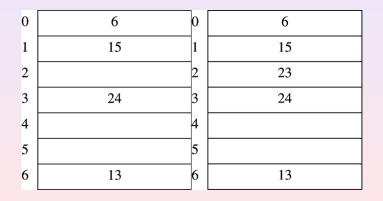


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Puzzlers

Rehashing: Example

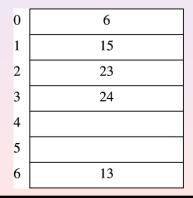


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Renashin

Puzzlers

Rehashing: Example



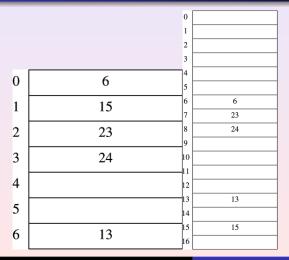
CS1102S: Data Structures and Algorithms

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Rehashing

Puzzlers

Rehashing: Example

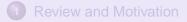


CS1102S: Data Structures and Algorithms

06 A: Hashing

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"



- 2 Hashing Strings
- 3 Separate Chaining
- 4 Hash Tables without Linked Lists
- 5 Rehashing



- Solution Puzzler "Shades of Gray"
- New Puzzler: "It's Elementary"

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```
Review and Motivation
Hashing Strings
Separate Chaining
Hash Tables without Linked Lists
Rehashing
Puzzlers
```

Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

Last Puzzler: Shades of Gray

```
What does the following program print?
public class ShadesOfGray {
  public static void main(String[] args) {
    System.out.println(X.Y.Z);
class X {
  static class Y {
    static String Z = "Black";
  static C Y = new C();
class C {
  String Z = "White";
```

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

Obscuring Declarations

```
public class Test {
    public int myVar = 3;
    public void f(int myVar) {
        return myVar + 7;
    }
}
```

There are two declarations of myVar. The inner declaration *obscures* the outer declaration.

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

Declarations at Same Level...

... are usually not allowed:

. . .

}

Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

Exceptions

- When a variable and a type have the same name and both are in scope, the variable name takes precedence.
- A variable name takes precedence over package names.
- A type name takes precedence over package names.

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

Puzzler Solution: Shades of Gray

```
The program
public class ShadesOfGray {
  public static void main(String[] args) {
    System.out.println(X.Y.Z);
class X {
  static class Y {
    static String Z = "Black";
  static C Y = new C();
class C {
  String Z = "White";
                                  ◆□▶ ◆□▶ ◆ □▶ ◆ □ ● ● ● ●
```

Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

How to Avoid Conflicts?

Naming conventions

- Classes (types) begin with a capital letter
- Variables begin with a lowercase letter
- Constants arwe written in ALL_CAPS
- Package names are written in lower.case
- Avoid variable names such as com, org, net, edu, java

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

The Program using Naming Convention

```
public class ShadesOfGray {
  public static void main(String[] args) {
    System.out.println(Ex.Why.z);
} }
class Ex {
  static class Why {
    static String z = "Black";
  static See y = new See(); }
class See {
  String z = "White";
}
```

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

New Puzzler: It's Elementary

```
What does the following program print?
```

```
public class Elementary {
    public static void main(String[] args) {
        System.out.println(12345 + 54321);
    }
}
```

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

New Puzzler: It's Elementary

```
What does the following program print?
public class Elementary {
    public static void main(String[] args) {
        System.out.println(12345 + 54321);
    }
}
Output: 17777
```

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```
Review and Motivation
Hashing Strings
Separate Chaining
Hash Tables without Linked Lists
Rehashing
Puzzlers
```

Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

```
New Puzzler: It's Elementary
```

```
What does the following program print?
```

```
public class Elementary {
    public static void main(String[] args) {
        System.out.println(12345 + 54321);
    }
Output: 17777
Why?
```

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

Next Week

• Friday: Hashing; priority queues

CS1102S: Data Structures and Algorithms 06 A: Hashing

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Solution Puzzler "Shades of Gray" New Puzzler: "It's Elementary"

Next Week

- Friday: Hashing; priority queues
- After that: Sorting, sorting, and more sorting!

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