

12 A: Algorithm Design Techniques II

CS1102S: Data Structures and Algorithms

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- 1 Greedy Algorithms (brief review)
- 2 Divide and Conquer (Example)
- 3 Dynamic Programming
- 4 Backtracking Algorithms
- 5 Another Puzzler

- 1 Greedy Algorithms (brief review)
 - Scheduling
 - Huffman Codes
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Nonpreemptive Scheduling

Input

A set of jobs with a running time for each

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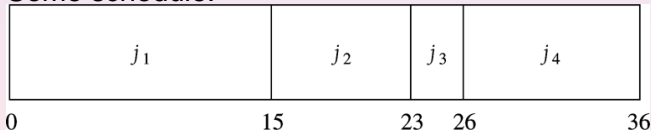
Desired output

A sequence for the jobs to execute on on single machine,
minimizing the average completion time

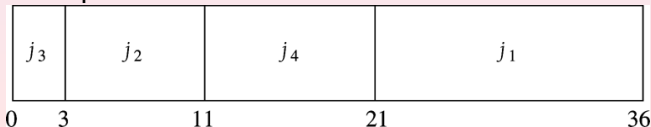
Example

Job	Time
j_1	15
j_2	8
j_3	3
j_4	10

Some schedule:



The optimal schedule:

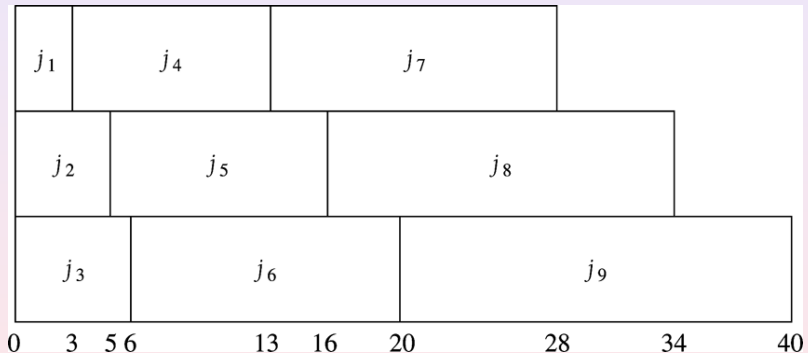


The Multiprocessor Case

N processors

Now we can run the jobs on N identical machines. What is a schedule that minimizes the average completion time?

Example



A “Slight” Variant

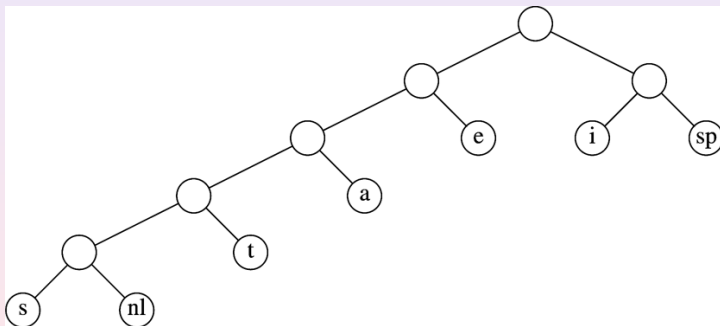
Minimizing *final* completion time

If we want to minimize the *final* completion time (completion time of the last task), the problem becomes NP-complete!

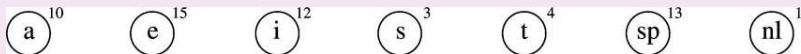
Optimal Prefix Code in Table Form

Character	Code	Frequency	Total Bits
<i>a</i>	001	10	30
<i>e</i>	01	15	30
<i>i</i>	10	12	24
<i>s</i>	00000	3	15
<i>t</i>	0001	4	16
<i>space</i>	11	13	26
<i>newline</i>	00001	1	5
Total			146

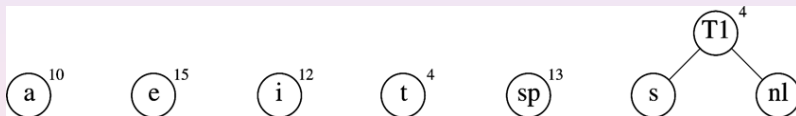
Optimal Prefix Code in Tree Form



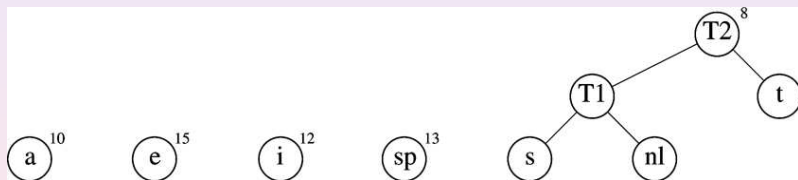
Huffman's Algorithm: An Example



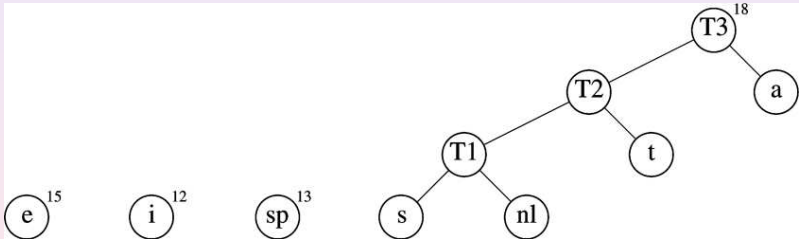
Huffman's Algorithm: An Example



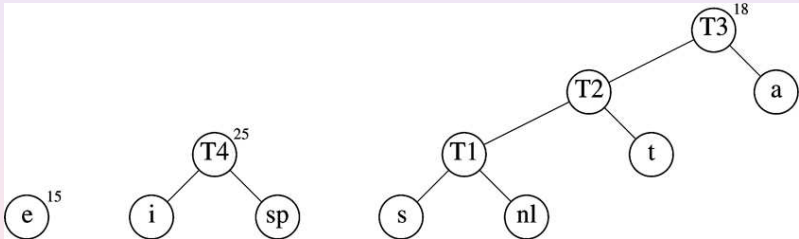
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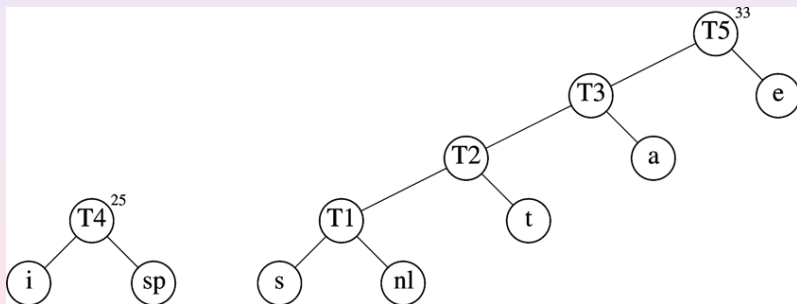
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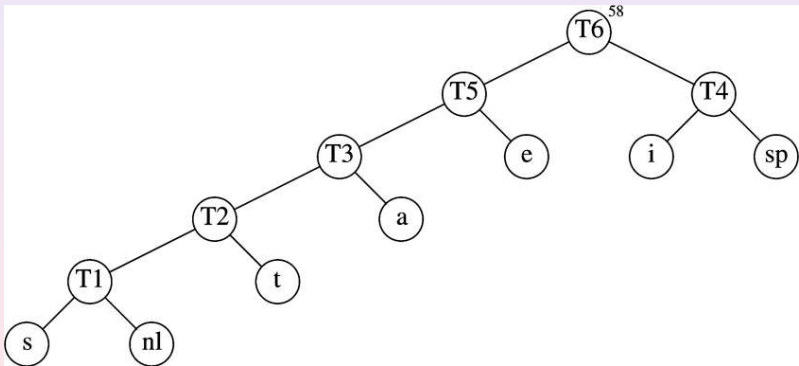
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Correctness of Huffman's Algorithm

Observation 1

An optimal tree must be full; no node has only one child.

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The two least frequent characters α and β must be the two deepest nodes.

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Observation 2

The two least frequent characters α and β must be the two deepest nodes.

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Characters at the same level can be swapped without affecting optimality.

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Initial Step of Huffman's Algorithm

An optimal tree can be found that contains the two least frequent symbols as siblings; the first step in Huffman's algorithm is not a mistake.

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Initial Step of Huffman's Algorithm

An optimal tree can be found that contains the two least frequent symbols as siblings; the first step in Huffman's algorithm is not a mistake.

Observation 4

Every step of Huffman's algorithm produces a simplified problem, resulting from treating two characters as indistinguishable.

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- 2 Divide and Conquer (Example)**
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Closest-Points Problem

Input

Set of points in a plane

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Euclidean distance between p_1 and p_2

$$[(x_1 - x_2)^2 + (y_1 - y_2)^2]^{1/2}$$

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Input

Set of points in a plane

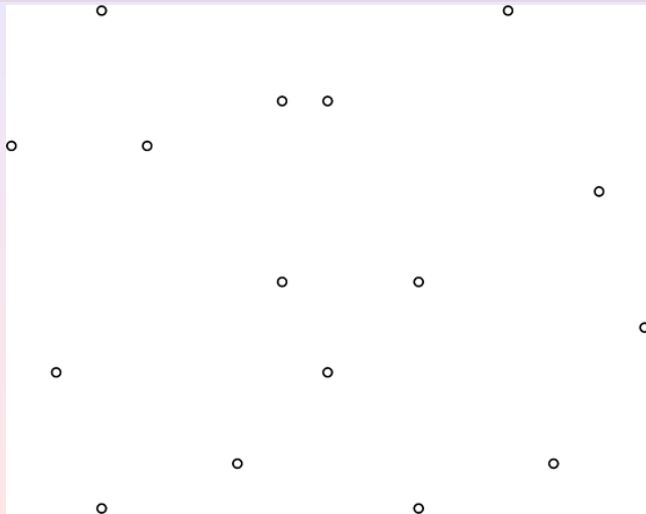
Euclidean distance between p_1 and p_2

$$[(x_1 - x_2)^2 + (y_1 - y_2)^2]^{1/2}$$

Required output

Find the closest pair of points

Example



Naive Algorithm

Exhaustive search

Compute the distance between each two points and keep the smallest

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Exhaustive search

Compute the distance between each two points and keep the smallest

Run time

There are N^2 pairs to check, thus $O(N^2)$

Idea

Preparation

Sort points by x coordinate;

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Sort points by x coordinate; $O(N \log N)$

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Divide and Conquer

Split point set into two halves, P_L and P_R .

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Split point set into two halves, P_L and P_R .
Recursively find the smallest distance in each half.

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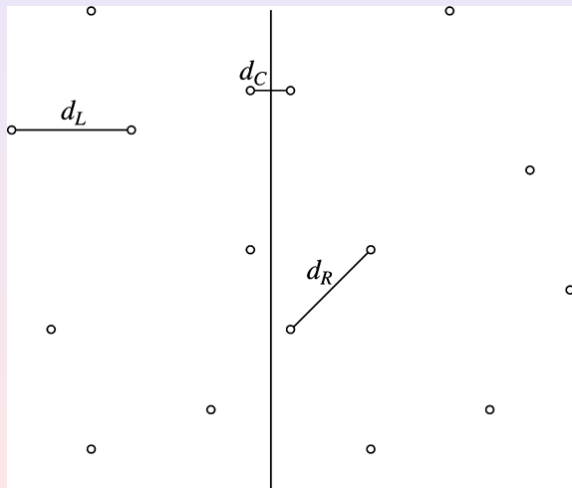
Divide and Conquer

Split point set into two halves, P_L and P_R .

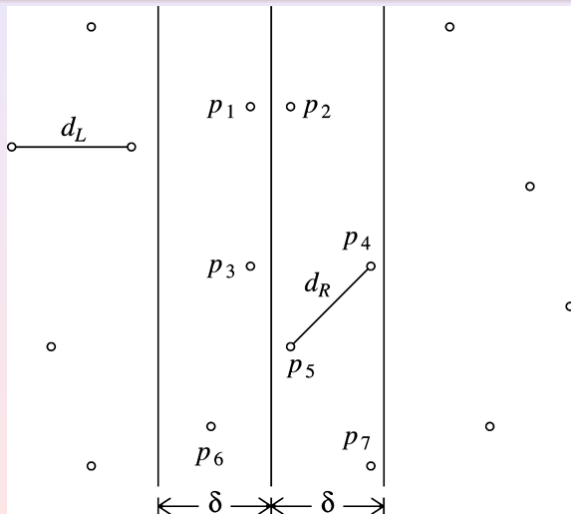
Recursively find the smallest distance in each half.

Find the smallest distance of pairs that *cross* the separation line.

Partitioning with Shortest Distances Shown



Two-lane Strip



Brute Force Calculation of $\min(\delta, d_C)$

```
// Points are all in the strip  
  
for( i = 0; i < numPointsInStrip; i++ )  
    for( j = i + 1; j < numPointsInStrip; j++ )  
        if(  $\text{dist}(p_i, p_j) < \delta$  )  
             $\delta = \text{dist}(p_i, p_j)$ ;
```


Better Idea

Sort points by y coordinate

This allows a *scan* of the strip.

Better Idea

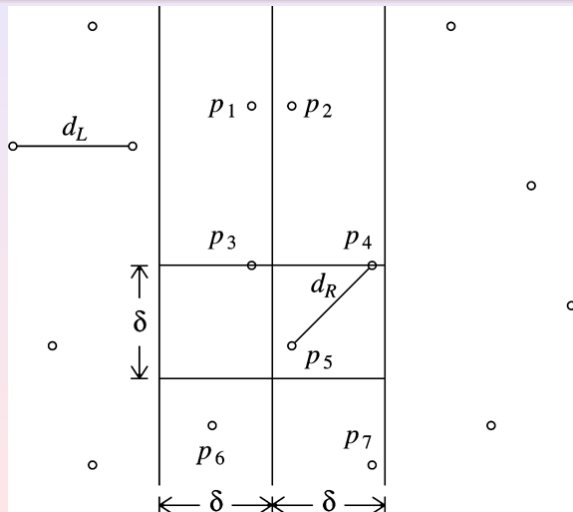
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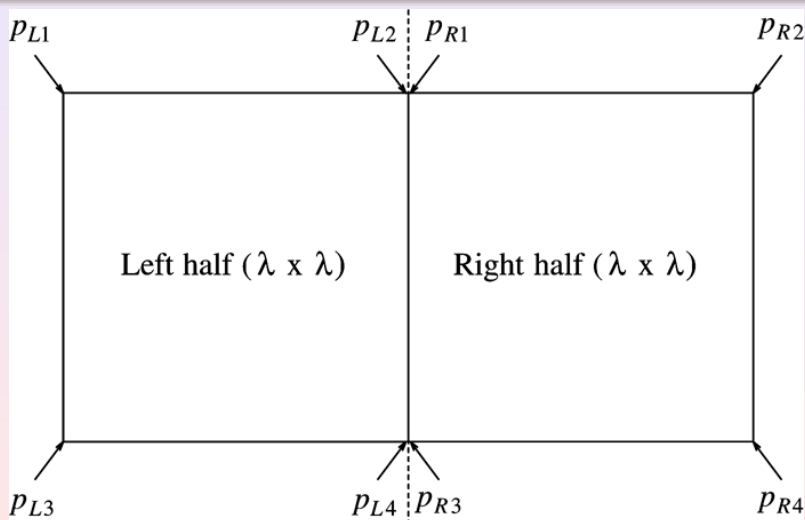
Only p_4 and p_5 Need To Be Considered



Refined Calculation of $\min(\delta, d_C)$

```
// Points are all in the strip and sorted by y-coordinate  
  
for( i = 0; i < numPointsInStrip; i++ )  
    for( j = i + 1; j < numPointsInStrip; j++ )  
        if(  $p_i$  and  $p_j$ 's y-coordinates differ by more than  $\delta$  )  
            break;           // Go to next  $p_i$ .  
        else  
            if(  $\text{dist}(p_i, p_j) < \delta$  )  
                 $\delta = \text{dist}(p_i, p_j)$ ;
```

At Most Eight Points Fit in Rectangle

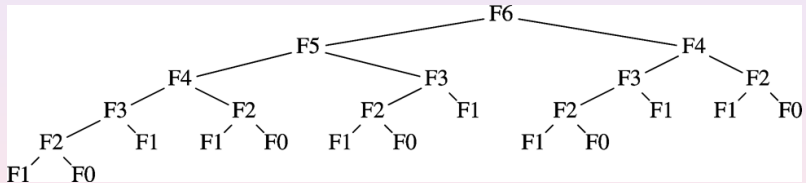


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 - Fibonacci Numbers
 - Optimal Binary Search Tree
 - All-pairs Shortest Path
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Inefficient Algorithm

```
public static int fib(int n) {  
    if (n <= 1)  
        return 1;  
    else  
        return fib(n - 1) + fib(n - 2);  
}
```

Trace of Recursion



Memoization

```
int[] fibs = new int[100];  
public static int fib(int n) {  
    if (fibs[n]!=0) return fibs[n];  
    if (n <= 1) return 1;  
    int new_fib = fib(n - 1) + fib(n - 2);  
    fibs[n] = new_fib;  
    return new_fib;  
}
```

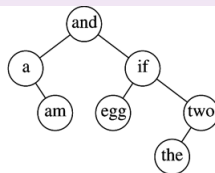
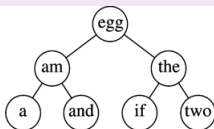
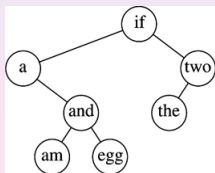
A Simple Loop for Fibonacci Numbers

```
public static int fib(int n) {  
    if (n <= 1) return 1;  
    int last = 1, nextToLast = 1; answer = 1;  
    for (int i = 2; i <= n; i++) {  
        answer = last + nextToLast;  
        nextToLast = last;  
        last = answer;  
    }  
    return answer;  
}
```

Sample Input

Word	Probability
a	0.22
am	0.18
and	0.20
egg	0.05
if	0.25
the	0.02
two	0.08

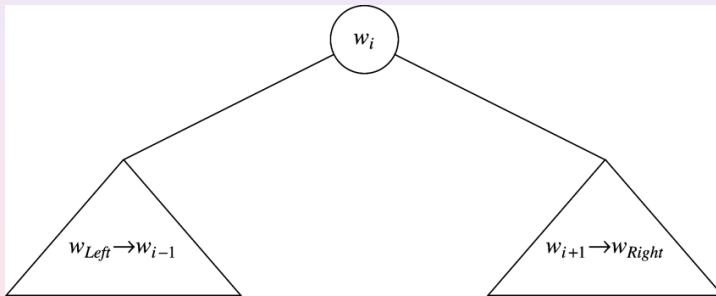
Three Possible Binary Search Trees



Comparison of the Three Trees

Input		Tree #1		Tree #2		Tree #3	
Word w_i	Probability p_i	Access Cost Once	Access Cost Sequence	Access Cost Once	Access Cost Sequence	Access Cost Once	Access Cost Sequence
a	0.22	2	0.44	3	0.66	2	0.44
am	0.18	4	0.72	2	0.36	3	0.54
and	0.20	3	0.60	3	0.60	1	0.20
egg	0.05	4	0.20	1	0.05	3	0.15
if	0.25	1	0.25	3	0.75	2	0.50
the	0.02	3	0.06	2	0.04	4	0.08
two	0.08	2	0.16	3	0.24	3	0.24
Totals	1.00		2.43		2.70		2.15

Structure of Optimal Binary Search Tree



Idea

Proceed in order of growing tree size

For each range of words, compute optimal tree

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Proceed in order of growing tree size

For each range of words, compute optimal tree

Memoization

For each range, store optimal tree for later retrieval

Computation of Optimal Binary Search Tree

	Left=1	Left=2	Left=3	Left=4	Left=5	Left=6	Left=7
Iteration=1	a..a .22 a	am..am .18 am	and..and .20 and	egg..egg .05 egg	if..if .25 if	the..the .02 the	two..two .08 two
Iteration=2	a..am .58 a	am..and .56 and	and..egg .30 and	egg..if .35 if	if..the .29 if	the..two .12 two	
Iteration=3	a..and 1.02 am	am..egg .66 and	and..if .80 if	egg..the .39 if	if..two .47 if		
Iteration=4	a..egg 1.17 am	am..if 1.21 and	and..the .84 if	egg..two .57 if			
Iteration=5	a..if 1.83 and	am..the 1.27 and	and..two 1.02 if				
Iteration=6	a..the 1.89 and	am..two 1.53 and					
Iteration=7	a..two 2.15 and						

Run Time

For each cell of table

Consider all possible roots

Run Time

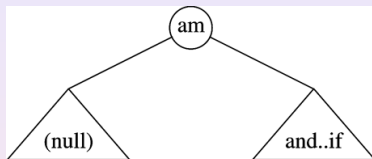
For each cell of table

Consider all possible roots

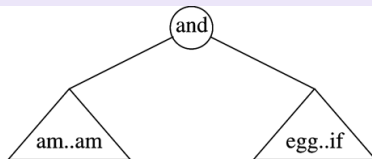
Overall runtime

$$O(N^3)$$

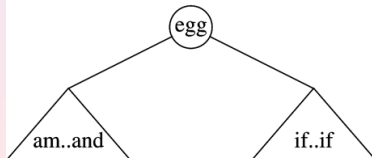
Example



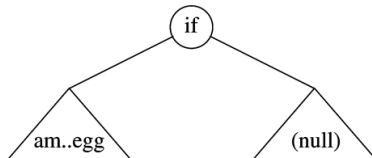
$$0 + 0.80 + 0.68 = 1.48$$



$$0.18 + 0.35 + 0.68 = 1.21$$



$$0.56 + 0.25 + 0.68 = 1.49$$



$$0.66 + 0 + 0.68 = 1.34$$

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Example: The Turnpike Reconstruction Problem

From points to distances

It is easy to calculate for a set of points on a line all distances between points.

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From points to distances

It is easy to calculate for a set of points on a line all distances between points.

From distances to points

Consider the reverse: given the set of all distances between the points, compute the positions of the points!

Example: The Turnpike Reconstruction Problem

From points to distances

It is easy to calculate for a set of points on a line all distances between points.

From distances to points

Consider the reverse: given the set of all distances between the points, compute the positions of the points!

Placement of first point

By default, the first point is placed at position 0.

Example

Input set for 6 points

$$D = \{1, 2, 2, 2, 3, 3, 3, 4, 5, 5, 5, 6, 7, 8, 10\}$$

Example

Input set for 6 points

$$D = \{1, 2, 2, 2, 3, 3, 3, 4, 5, 5, 5, 6, 7, 8, 10\}$$

First and last point

First point goes to 0, which means that the last point goes to 10.

Example

Input set for 6 points

$$D = \{1, 2, 2, 2, 3, 3, 3, 4, 5, 5, 5, 6, 7, 8, 10\}$$

First and last point

First point goes to 0, which means that the last point goes to 10.

Placement of third point

The third point can be placed either at position 2 or position 8.

Example

Input set for 6 points

$$D = \{1, 2, 2, 2, 3, 3, 3, 4, 5, 5, 5, 6, 7, 8, 10\}$$

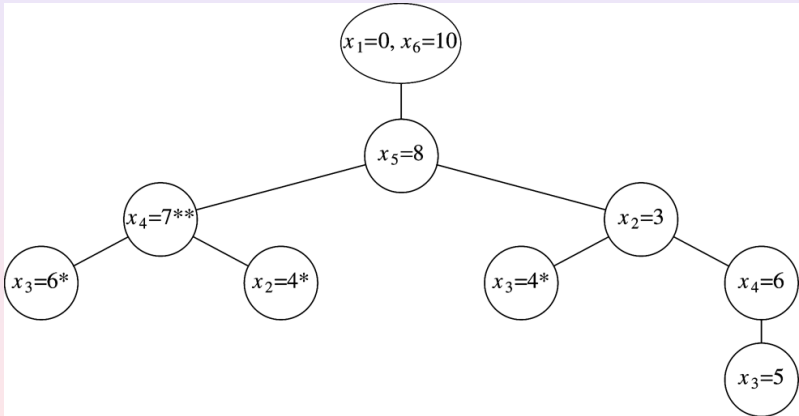
First and last point

First point goes to 0, which means that the last point goes to 10.

Placement of third point

The third point can be placed either at position 2 or position 8. This choice is arbitrary; we can flip a solution to get from one to the other!

Decision Tree for the Example



Summary of Backtracking

Decision tree

Backtracking explores decision tree; typically exponential in size

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Pruning

At each node, reasoning is applied to avoid exploring the subtree

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Remember Lecture 2 A: Parameter Passing

Java uses pass-by-value parameter passing.

```
public static void tryChanging(int a) {  
    a = 1;  
    return;  
}  
...  
int b = 2;  
tryChanging(b);  
System.out.println(b);
```

Remember Lecture 2 A: Parameter Passing with Objects

```
public static void tryChanging(SomeObject obj) {  
    obj.someField = 1;  
    obj = new SomeObject();  
    obj.someField = 2;  
    return;  
}
```

```
...  
SomeObject someObj = new SomeObject();  
tryChanging(someObj);  
System.out.println(someObj.someField);
```

Remember Lecture 7 A: Sorting

Input

Unsorted array of elements

Behavior

Rearrange elements of array such that the smallest appears first, followed by the second smallest etc, finally followed by the largest element

Will This Work?

```
public static <AnyType extends Comparable<? super A  
    void mergeSort( AnyType [] a) {  
        AnyType[] ret = ....; // declare helper array  
        .... // here goes a program that places  
        .... // the element of "a" into "ret" so  
        .... // that "ret" is sorted  
        a = ret;  
        return;  
    }  
    ...  
    Integer[] myArray = ...;  
    IterativeMergeSort.mergeSort(myArray);
```

Will This Work?

```
public static <AnyType extends Comparable<? super A  
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        a = ret;  
        return;  
    }
```

```
    ...  
    Integer[] myArray = ...;  
    IterativeMergeSort.mergeSort(myArray);
```

Answer: No! The assignment `a = ret;` has no effect on
`myArray`.

Next Lecture

Friday:

- Search trees with external storage (Section 4.7)
- Preview: Extendible hashing (Section 5.7)
- Preview: External sorting (Section 7.10)