- ★ SMV the Symbolic Model Verifier
- \star Example: the alternating bit protocol
- ★ LTL —Linear Time temporal Logic
- \star CTL*
- ★ Fixed Points
- ★ Correctness

SMV - Symbolic Model Verifier was one of the first model checkers. It is based on CTL, was developed in early '90, and had a strong impact on the verification field.

- SMV (Symbolic Model Verifier) was developed at CMU, see www.cs.cmu.edu/~modelcheck/smv.html
- it provides a language for describing the models/diagrams and it checks the validity of CTL formulas in such models
- the output is 'true' or a trace showing why the formula is false

SMV - Syntax (informal)

- SMV programs consist of one or more modules (one of them should be main)
- each module can declare variables and assign values to them
- assignment uses two qualifications: initial (to indicate the initial state) and next (to indicate the next state in the corresponding state transition diagram)
- the assignments may be nondeterministic this is indicated by using the set notation {...} (choose one element form this set)

(....cont.)

- one may use the case construct; in such a case the conditions in front of ':' are parsed from top to bottom and the first which is found true is executed; a default variant (with a always true condition, indicated by 1) is usually placed at the bottom of the case construct
- a module may have proper specifications to be checked, written in CTL syntax (but &, |, ->, ! are used instead of ∧, ∨, →, ¬)

Our first program is rather typical:

- it models a part of the system which pass from ready to busy either due to some hidden reasons (not seen in the model) or due to a visible request request;
- the system pass from busy to ready in a nondeterministic way, too (no visible reason)
- the intention of this simple abstract model is to check if it satisfies the formula
 AG(request -> AF status = busy)

```
MODULE main
VAR
   request : boolean;
   status : {ready,busy};
ASSIGN
                                       req
                                                    req
                                      ready
   init(status) := ready;
                                                    busy
   next(status) :=
      case
        request : busy;
        1 : {ready,busy};
                                                   ~req
                                      ~req
                                      ready
                                                   busy
      esac;
SPEC
   AG(request -> AF status = busy)
```

The 2nd program illustrates the use of modules:

- the program models a counter from 000 to 111
- a module counter_cell is instantiated 3 times with names bit0, bit1, and bit2
- counter_cell has a formal parameter
- the period '.' is used to access the variables of a particular instance (m.v indicates a reference to the variable v of module m)
- we check the following easy formula AG AF bit2.carry_out

```
MODULE main
VAR
   bit0 : counter_cell(1);
   bit1 : counter_cell(bit0.carry_out);
   bit2 : counter_cell(bit1.carry_out);
SPEC
   AG AF bit2.carry_out
MODULE counter_cell(carry_in)
VAR
   value : boolean;
ASSIGN
   init(value) := 0;
   next(value) := value + carry_in mod 2;
DEFINE
   carry_out := value & carry_in;
```

Note: define statement is used to avoid increasing the state space; its effect may be obtained with a variable, too:

```
VAR
    carry_out : boolean;
ASSIGN
    carry_out := value & carry_in;
```

By default, SMV modules are composed *synchronously*: at each clock tick, each module executes a transition (mainly used for hardware verification)

It is also possible to model *asynchronous* composition

at each clock tick, SMV chooses a module in a random way and executes a transition there

(mainly used for verifying communication protocols)

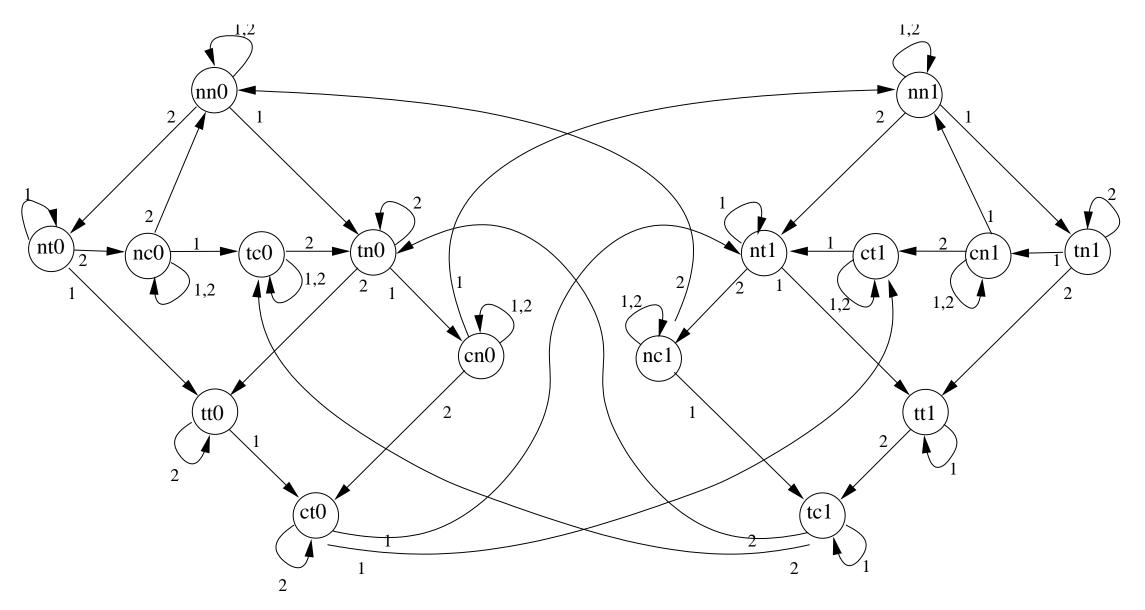
A CTL model for 'mutual exclusion problem' was presented before. Here we give a SMV implementation. A few new features are:

- there is a module main with (1) a variable turn which determines the process to enter in its critical section and (2) two instantiations of the module prc
- because of the turn variable the state transition diagram (shown later) is slightly more complicate
- one important new feature is the presence of the fairness statement; it contains a CTL formula φ and restricts the search to those paths where φ is true infi nitely often (running is an SMV keyword indicating that the corresponding module is selected for execution infi nitely often)

```
MODULE main
   VAR
      pr1 : process prc(pr2.st, turn, 0);
      pr2 : process prc(pr1.st, turn, 1);
      turn : boolean;
   ASSIGN
      init(turn) := 0;
   --safety
   SPEC AG!((pr1.st = c) \& (pr2.st = c))
   --liveness
   SPEC AG((prl.st = t) \rightarrow AF(prl.st = c))
   SPEC AG((pr2.st = t) \rightarrow AF(pr2.st = c))
   --no strict sequencing
   SPEC EF(prl.st = c \& E[prl.st = c U]
           (!pr1.st = c & E[! pr2.st = c U pr1.st = c
])])
```

```
MODULE prc(other-st, turn, myturn)
   VAR
     st : {n, t, c};
   ASSIGN
      init(st) := n;
     next(st) :=
        case
          (st = n) : {t, n};
          (st = t) \& (other-st = n) : c;
          (st = t) \& (other-st = t) \& (turn = myturn) : c;
          (st = c) : \{c, n\};
          1 : st;
        esac;
     next(turn) :=
        case
          turn = myturn & st = c : !turn;
          1 : turn;
        esac;
   FAIRNESS running
   FAIRNESS !(st = c)
```

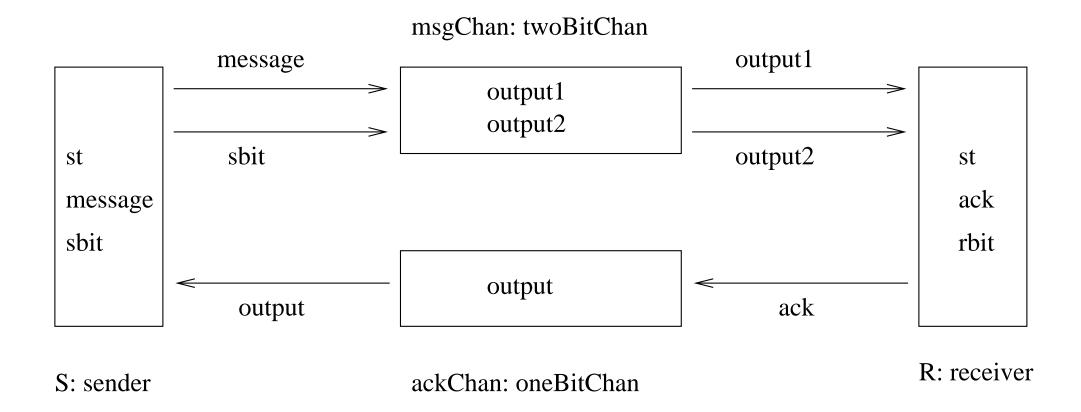
Mutual exclusion in SMV:



ABP: Alternating Bit Protocol

- The Alternating Bit Protocol ABP is a protocol for correctly transmitting data on faulty channels which may lose or duplicate data;
- ABP uses two faulty channels between a sender and a receiver: one to send data from the sender to the receiver and the other to send an acknowledgment from the receiver to the sender;
- in case of a unsuccessful transmission the attempt is repeated;
- to achieve it goal, APB keeps track on this repeated sendings using a control bit which is switched when the sending pass from one datum to another: the sender appends its control bit to the datum to be send and keeps sending till it receives this control bit back via the acknowledgement channel

The figure below describes the structure of the ABP.



...APB

```
00 MODULE sender(ack)
01 VAR
02 st : {sending, sent};
03
  messagè : boolean;
04
   sbit : boolean;
05 ASSIGN
06
  init(st) := sending;
07
  next(st) :=
08
        case
09
          ack = sbit & !(st = sent) : sent;
10
11
          1 : sending;
       esac;
12
13
14
15
16
  next(message) :=
        case
          st = sent : \{0, 1\};
          1 : message;
       esac;
17
  next(sbit) :=
18
        case
19
          st = sent : !sbit;
20
          1:
             sbit;
21
       esac;
22 FAIRNESS running
23 SPEC AG AF st = sent
```

```
24 MODULE receiver(message, sbit)
25 VAR
            {receiving, received};
26
     st :
27
   ack : `boolean;
28
     rbit : boolean;
29 ASSIGN
30
     init(st) := receiving;
31
32
33
34
35
     next(st) :=
        case
          sbit = rbit & !(st = received) : received;
               receiving;
          1:
        esac;
36
   next(ack) :=
37
        case
38
39
          st = received : sbit;
          1 : ack;
40
        esac;
41
     next(rbit) :=
42
        case
43
          st = received : !rbit;
44
          1:
               rbit;
45
        esac;
46 FAIRNESS running
47 SPEC AG AF st = received
```

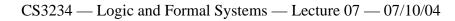
...APB

```
48 MODULE oneBitChan(input)
49 VAR
50 output : boolean;
51 ASSIGN
52
  next(output) := {input, output};
53 FAIRNESS running
54 FAIRNESS (input = 0 \rightarrow AF output = 0) & (input = 1
     -> AF output = 1)
55
56
57 MODULE twoBitChan(input1, input2)
58 VAR
59
  output1 : boolean;
  output2 : boolean;
60
61 ASSIGN
     next(output2) := {input2, output2};
62
63 next(output1) :=
64
       case
65
          input2 = next(output2) : input1;
          1 : {input1, output1};
66
67
       esac;
68 FAIRNESS running
69 FAIRNESS (input1 = 0 \rightarrow AF output1 = 0) & (input1 = 1)
     -> AF output1 = 1) & (input2 = 0 -> AF output2 = 0)
70
     & (input2 = 1 - AF output2 = 1)
71
```

```
72 MODULE main
73 VAR
74 S : process sender(ackChan.output);
  R : process receiver(msgChan.output1,
75
msgChan.output2);
76
     msgChan : process twoBitChan(S.message, S.sbit);
77
     ackChan : process oneBitChan(R.ack);
78 ASSIGN
79
  init(S.sbit) := 0;
80 init(R.rbit) := 0;
81
  init(R.ack) := 1;
82
  init(msgChan.output2) := 1;
83 init(ackChan.output) := 1;
84 SPEC AG(S.st = sent & S.message = 1 \rightarrow
msgChan.output1 = 1)
```

The are many specification languages for reactive systems, e.g.:

- regular expressions
- state-chats
- graphical interval logics
- modal mu-calculus
- linear time temporal logic
- CTL
- CTL*



LTL (linear time temporal logic) is closely related to CTL. Its syntax is the following:

 $\phi ::= \top \mid p \mid (\neg \phi) \mid (\phi \land \phi) \mid (\phi \lor \phi) \mid (\mathbf{G} \phi) \mid (\mathbf{F} \phi) \mid (\mathbf{X} \phi)$

Examples:

GF pFG pG($p \lor \mathbf{X} p$) G $p \to \mathbf{F} q$ Comments:

- a LTL formula is evaluated on a path or set of paths; for this reason the CTL qualifications E (there exists a branch) and A (all branches) are dropped (in this respect LTL looks to be less expressive than CTL)
- however, LTL allows nesting modal operators in a way not allowed in CTL, e.g., GF\$\$\$ (in this respect LTL looks to be more expressive than CTL)

Apparently LTL is more permissive as it allows for boolean combinations of paths, but this may be done in CTP, too.

LTL semantics

Let $\mathcal{M} = (S, \rightarrow, L)$ be a (CTL-like) model and $\pi = s_1 \rightarrow ...$ a path; π^i denotes the path $s_i \rightarrow s_{i+1} \rightarrow ...$

The satisfaction relation $\pi \models \phi$ is inductively defined as follows:

1.
$$\pi \models \top$$

2. $\pi \models p$ iff $p \in L(s_1)$
3. $\pi \models \neg \phi$ iff $\pi \not\models \phi$
4. $\pi \models \phi_1 \land \phi_2$ iff $\pi \models \phi_1$ and $\pi \models \phi_2$
5. $\pi \models \mathbf{X} \phi_1$ iff $\pi^2 \models \phi_1$
6. $\pi \models \mathbf{G} \phi_1$ iff for all $i \ge 1, \pi^i \models \phi_1$
7. $\pi \models \mathbf{F} \phi_1$ iff for some $i \ge 1, \pi^i \models \phi_1$
8. $\pi \models \phi_1 \cup \phi_2$ iff there is some $i \ge 1$ such that $\pi^i \models \phi_2$ and for all $j = 1, \dots, i - 1$ we have $\pi^i \models \phi_1$

- Two LTL formulas ϕ and ψ are *semantically equivalent*, written $\phi \equiv \psi$, if for any model they are true for the same paths.
- An LTL formula φ is *satisfied in a state s* of a model M if φ holds for all paths starting at s.

Examples

Note: From the CTL point of view, a LTL formula ϕ is identified with $A[\phi]$ (all paths are considered when formula satisfiability is to be checked)

For all LTL formulas ϕ and ψ $\neg(\phi \cup \psi) \equiv \neg \psi \cup (\neg \phi \land \neg \psi) \lor G \neg \psi$ Proof: $\neg(\phi \mathbf{U} \mathbf{\psi})$ is true iff (1) either ψ is always false or (2) ϕ is false before ψ becomes true iff (1) either G $\neg \psi$ is true or (2) $\neg \psi \mathbf{U} (\neg \phi \land \neg \psi)$ iff $\neg \psi \mathbf{U}(\neg \phi \land \neg \psi) \lor \mathbf{G} \neg \psi$ is true

An equivalent form is: $\phi \cup \psi \equiv \neg (\neg \psi \cup (\neg \phi \land \neg \psi)) \land F \psi$

The syntax of CTL* define *state formulas* and *path formulas* using the following mutually recursive definitions:

• state formulas (to be evaluated in states)

 $\phi ::= \top \mid p \mid (\neg \phi) \mid (\phi \land \phi) \mid \mathbf{A}[\alpha] \mid \mathbf{E}[\alpha]$

• paths formulas (to be evaluated along paths)

 $\alpha ::= \phi \mid (\neg \alpha) \mid (\alpha \land \alpha) \mid (\mathbf{X} \ \alpha) \mid (\mathbf{G} \ \alpha) \mid (\mathbf{F} \ \alpha) \mid (\alpha \ \mathbf{U} \ \alpha)$

Examples:

- $A[(p \cup r) \lor (q \cup r)]$: along all paths, either *p* is true until *r*, or *q* is true until *r* (not equivalent to $A[(p \lor q) \cup r])$
- $A[X \ p \lor XX \ p]$: *p* is true in the next state, or in the next next state (not equivalent to $AX \ p \lor AX \ AX \ p$)
- E[GF p]: there is a path along which p is infinitely many true (not equivalent to EG EF p)

$\ensuremath{\mathsf{CTL}}^*$ semantics

Let $\mathcal{M} = (S, \rightarrow, L)$ be a model.

- If \$\phi\$ is a state formula, the notation \$\mathcal{M}\$, \$s ⊨ \$\phi\$ means that \$\phi\$ holds in state \$s\$.
- If α a path formula, then $\mathcal{M}, \pi \models \alpha$ means that α holds along path π .

These relations are inductively defined as follows:

...CTL* semantics

6.
$$\mathcal{M}, \pi \models \phi$$
 iff *s* is the first state of π and $\mathcal{M}, s \models \phi$
7. $\mathcal{M}, \pi \models \alpha_1 \land \alpha_2$ iff $\mathcal{M}, \pi \models \alpha_1$ and $\mathcal{M}, \pi \models \alpha_2$
8. $\mathcal{M}, \pi \models \mathbf{X} \alpha$ iff $\mathcal{M}, \pi^1 \models \alpha$
9. $\mathcal{M}, \pi \models \mathbf{G} \alpha$ iff for all $k \ge 0, \mathcal{M}, \pi^k \models \alpha$
10. $\mathcal{M}, \pi \models \mathbf{F} \alpha$ iff there exists a $k \ge 0$ such that $\mathcal{M}, \pi^k \models \alpha$
11. $\mathcal{M}, \pi \models \alpha_1 \cup \alpha_2$ iff there exists a $k \ge 0$ such that $\mathcal{M}, \pi^k \models \alpha_2$
and for all $0 \le j < k, \mathcal{M}, \pi^j \models \alpha_1$

• CTL is the particular case of CTL* where the paths formulas are restricted to

 $\alpha ::= (\mathbf{X} \phi) \mid (\mathbf{G} \phi) \mid (\mathbf{F} \phi) \mid (\phi \mathbf{U} \phi)$

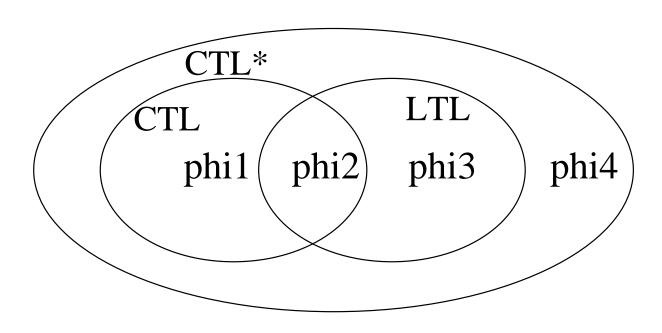
where ϕ is a state formula. (In other words, each temporal operator is directly preceded by a path quantification A or E leading to the known CTL operators consisting of 'two letters': AG, etc.)

• an LTL formula α is identified with CTL* formula

$\underline{\mathsf{A}}[\alpha]$

(semantically all paths are considered when LTL formula satisfiability is checked)

- LTL and CTL are incomparable with respect to their expressive power
- a useful common extension CTL* was developed and extensively studied



Example:

$$\phi_1 = AG(EF p)$$

 $\phi_3 = A[FG p]$
 $\phi_4 = \phi_1 \lor \phi_3$
Or:
 $\phi_3 = A[GF p \rightarrow F q]$
 $\phi_4 = E[GF p]$

...CTL, LTL, and CTL*

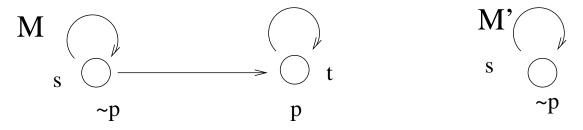
The CTL formula

phi1 = AG EF p

describes

"wherever we have got to, we can always get back to a state in which *p* is true"

This property can not be expressed in LTL. If there is an LTL formula ϕ such that $A[\phi] \equiv AG EF p$, then with respect to the diagram



 $\mathcal{M}, s \models AG EF p$ is valid, hence also $\mathcal{M}, s \models A[\phi]$. On the other hand, the paths in \mathcal{M}' of the diagram is a subset of the paths in \mathcal{M} , hence $\mathcal{M}', s \models A[\phi]$, but this is not true.

The LTL formula $phi3 = A[GF \ p \rightarrow F \ q]$ describes

"if there are infinitely many p along the path, then there is an occurrence of q"

This property can not be expressed in CTL.

The CTL* formula

phi4 = E[GF p]

describes

"there is a path with infinitely many p" This property can be expressed neither in CTL nor in LTL. • Boolean combinations of paths in CTL:

$$- \mathbf{E}[\mathbf{F}p \wedge \mathbf{F}q] \equiv \mathbf{EF}[p \wedge \mathbf{EF}q] \vee \mathbf{EF}[q \wedge \mathbf{EF}p]$$

$$- \mathbf{E}[(p_1 \mathbf{U} q_1) \land (p_2 \mathbf{U} q_2)] \equiv \mathbf{E}[(p_1 \land p_2) \mathbf{U}(q_1 \land \mathbf{E}[p_2 \mathbf{U} q_2])] \lor \mathbf{E}[(p_1 \lor p_2) \mathbf{U}(q_2 \land \mathbf{E}[p_1 \mathbf{U} q_1])]$$
$$- \mathbf{E}[\neg (p \mathbf{U} q)] \equiv \mathbf{E}[\neg q \mathbf{U}(\neg p \land \neg q)] \lor \mathbf{E} \mathbf{G} \neg q$$

• The *weak until* operator w is defined in LTL or CTL* by

 $- p \mathbf{W} q \equiv (p \mathbf{U} q) \lor \mathbf{G} p$

This does not work in CTL, but the following identities do the job

$$- \mathbf{E}[p\mathbf{W}q] \equiv \mathbf{E}[p\mathbf{U}q] \lor \mathbf{E}\mathbf{G}p$$
$$- \mathbf{A}[p\mathbf{W}q] \equiv \neg \mathbf{E}[\neg q\mathbf{U}\neg (p\lor q)]$$

Fixed points

- Let *S* be a set of states and $F : \mathcal{P}(S) \to \mathcal{P}(S)$ a function.
- *F* is called *monotone* if $X \subseteq Y$ implies $F(X) \subseteq F(Y)$.
- An $X \in \mathcal{P}(S)$ is called *fixed point* if F(X) = X.
- Denote $F^k(X) = F(F(...F(X)...))$, where F is applied k times.
- *F* is called *continuous* if $F(\bigcup X_i) = \bigcup F(X_i)$ for any increasing sequence $X_0 \subseteq X_1 \subseteq X_2 \dots$

A well-known theorem of Kleene shows that in such a setting

- a monotone and continuous F has both a least fixed point, denoted $\mu Z.F(Z)$, and a greatest fixed point, denoted denoted $\nu Z.F(Z)$;
- moreover, the following formulas may be used to compute them:

$$\mu Z.F(Z) = \emptyset \cup F(\emptyset) \cup F(F(\emptyset)) \cup \dots$$

and

$$\nu Z.F(Z) = S \cap F(S) \cap F(F(S)) \cap \ldots$$

In the special case when *S* is finite, say with *n* elements, the continuity condition is not necessary. Indeed,

Theorem: If S has n elements and F is monotone, then

 $\mu Z.F(Z) = F^n(\emptyset)$ and $\nu Z.F(Z) = F^n(S)$

Proof:

(1) Clearly $\emptyset \subseteq F^1(\emptyset)$; applying F we get $F^1(\emptyset \subseteq F^2(\emptyset)$; repeating, we get: $\emptyset \subseteq F^1(\emptyset) \subseteq F^2(\emptyset) \subseteq \ldots \subseteq F^{n+1}(\emptyset)$ The above chain of inclusions can not be strict, hence one of ' \subseteq ' should in fact be an equality (otherwise at each step we add at least one element, hence $F^{n+1}(\emptyset)$ will have at least n+1 elements, which is not possible); it follows that for some $0 \leq i_0 \leq n$, $F^{i_0}(\emptyset) = F(F^{i_0}(\emptyset))$, which entails that $F^{i_0}(\emptyset)$ is a fixed point.

...Fixed points on finite sets

- (2) To show that $F^i(\emptyset)$ is less than any other fixed point is easy: Let *X* be a fixed point; then $\emptyset \subseteq X$; applying *F* we get $F(\emptyset) \subseteq F(X) = X$; repeating, we get that $F^k(\emptyset) \subseteq X$ for any *k*, hence $F^{i_0}(\emptyset) \subseteq X$.
- (3) The case of the greatest fixed point is similar, but one has to start with *S* and the reverse the inclusions.

Denote by $[\![\phi]\!]$ the set of states satisfying ϕ and by *F* the mapping

 $Z \mapsto \llbracket \psi \rrbracket \cup (\llbracket \phi \rrbracket \cap \{s : \text{ exists } s' \text{ such that } s \to s' \text{ and } s' \in Z\}$

Theorem: If F is as above and n = |S|, then: (1) F is monotone: (2) $[[E[\phi U \psi]]]$ is the least fixed point of F; and (3) $[[E[\phi U \psi]]] = F^{n+1}(\emptyset)$

Proof:

(1) The mapping $H(Z) = \{s : \text{exists } s' \text{ such that } s \to s' \text{ and } s' \in Z\}$ is monotone (similar to a tutorial question). *F* is obtained from *H* by intersection and union with certain sets, hence is monotone, too.

...Correctness of SAT_{EU}

(2) Looking at the states $F^k(\emptyset)$ we see that

- $F^{0}(\emptyset)$ contains the states in $\llbracket \psi \rrbracket$; - $F^{1}(\emptyset)$ contains the states in $\llbracket \psi \rrbracket$, or those in $\llbracket \phi \rrbracket$ which have transitions to states in $\llbracket \psi \rrbracket$;

In general,

_. . .

 $F^k(\emptyset)$ contains those states which have a path of length less than k to a state in $[\![\psi]\!]$ going through states in $[\![\varphi]\!]$, only

hence the union of all $F^k(\emptyset)$ gives $[\![\mathbf{E}[\phi \mathbf{U} \psi]]\!]$.

We know that the chain $F^k(\emptyset)$ is increasing and $F^{n+1}(\emptyset)$ is a fixed point, hence the union of all $F^k(\emptyset)$ is just $F^{n+1}(\emptyset)$.

(3) already shown at (2)

The final observation is that SAT_{EU} uses an equivalent, but somehow simpler iterative process: instead of

$$F^{k+1}(\emptyset)$$

= $\llbracket \Psi \rrbracket \cup (\llbracket \varphi \rrbracket \cap \{s: \text{ exists } s' \text{ such that } s \to s' \text{ and } s' \in F^k(\emptyset)\}$

it uses the iterative process

$$F_1^{k+1}(\emptyset) = F_1^k(\emptyset) \cup (\llbracket \varphi \rrbracket \cap \{s : \text{ exists } s' \text{ such that } s \to s' \text{ and } s' \in F_1^k(\emptyset) \}$$

function SAT_{*EG*}(ϕ): /* pre: ϕ is an arbitrary CTL formula */ /* post: SAT_{*EG*}(ϕ) returns the set of states satisfying EG ϕ */

```
local var X, Y
begin
  X := \emptyset;
  Y := SAT(\phi);
  repeat until X = Y
      begin
        X := Y;
        Y := Y \cap \{s \in S : \text{ exists } s' \text{ with } s \to s' \text{ and } s' \in Y\};
      end
   return Y
end
```

Denote by $[\![\phi]\!]$ the set of states satisfying ϕ and by *G* the mapping

 $Z \mapsto \llbracket \phi \rrbracket \cap \{s : \text{ exists } s' \text{ such that } s \to s' \text{ and } s' \in Z\}$

Theorem: If F is as above and n = |S|, then: (1) G is monotone: (2) [[EG ϕ]] is the greatest fixed point of G; and (3) [[EG ϕ]] = $G^{n+1}(S)$

The proof is similar to the previous theorem.

Finally, instead of the iterative process

 $G^{k+1}(S) = \llbracket \phi \rrbracket \cap \{s : \text{ exists } s' \text{ such that } s \to s' \text{ and } s' \in G^k(S) \}$

the SAT_{EG} algorithm uses the simpler equivalent iterative process

$$G_1^{k+1}(S) = G^k(S) \cap \{s : \text{ exists } s' \text{ such that } s \to s' \text{ and } s' \in G^k(S) \}$$