

# Congestion Avoidance and Control

Van Jacobson,  
“Congestion Avoidance  
and Control”,  
SIGCOMM 1988

Fixes to TCP in BSD

Handwaving arguments

Less rigorous math

Lots of “magical” hacks

1986

Argentina won the World Cup.  
Challenger exploded.  
Internet had a congestion collapse!

TCP throughput from LBL to UC  
Berkeley (two hops) dropped from  
**32K** bps to **40** bps.

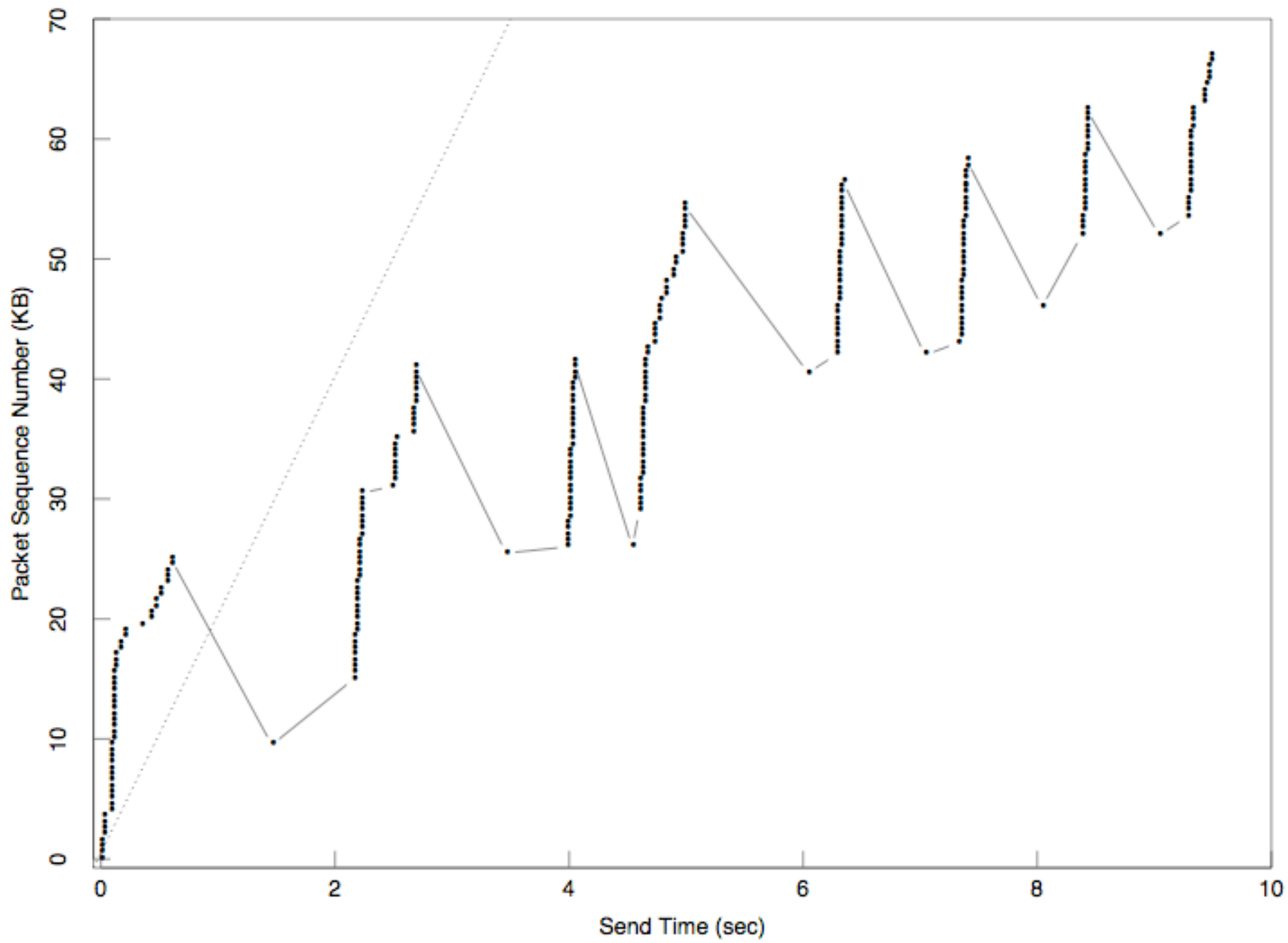
# **Congestion Collapse:**

sender sends too fast

routers delay/drop packets

sender retransmit

no useful data getting through





Observation: a TCP connection should obey

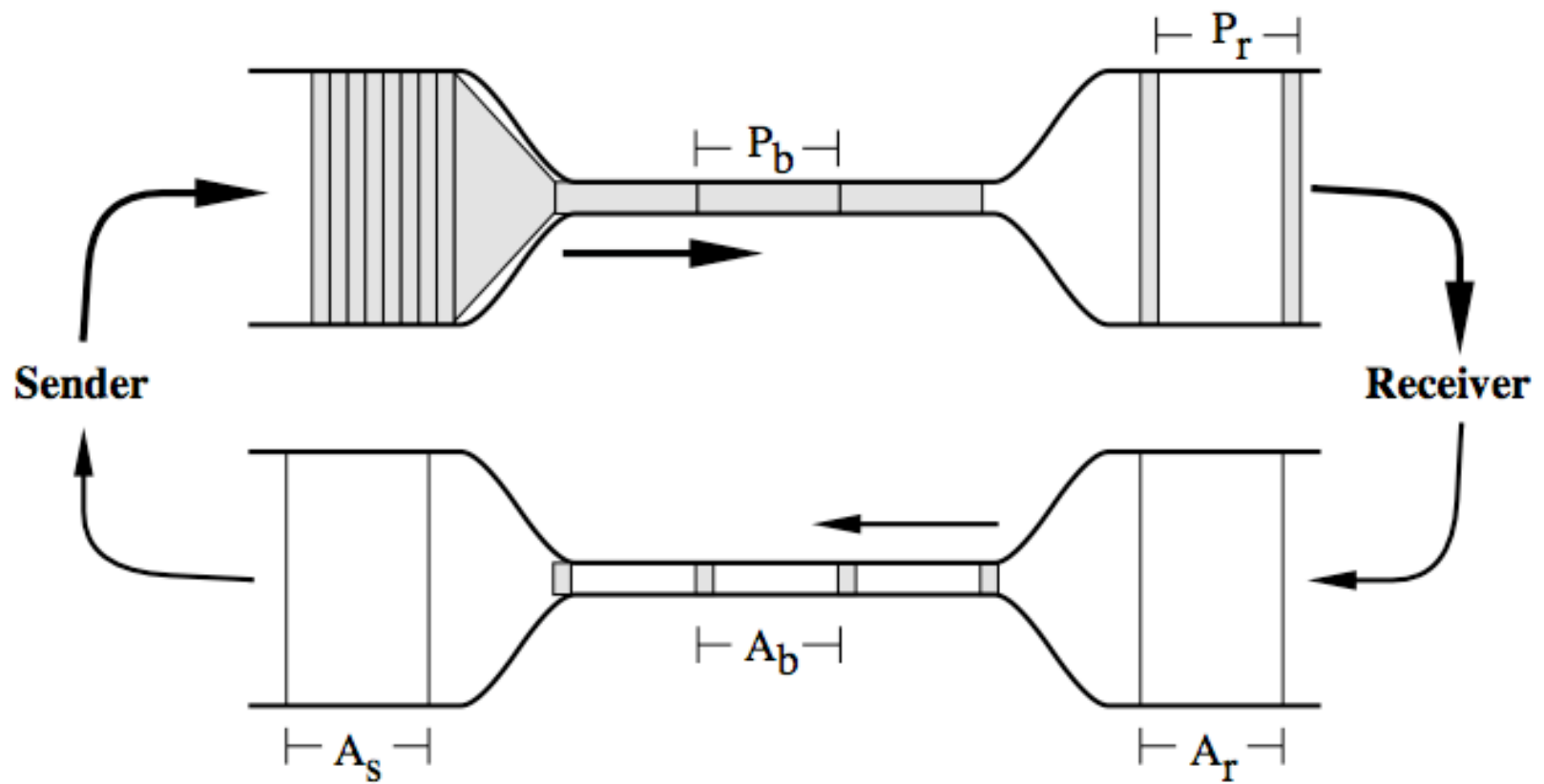
# **Conservation of Packets**

**In equilibrium state, a new packet is not inserted until an old packet leaves.**

# I. Getting to the equilibrium state

**Equilibrium state:  
self-clocking**

Figure 1: Window Flow Control 'Self-clocking'



**How to start the 'clock'?**

**Slow Start**

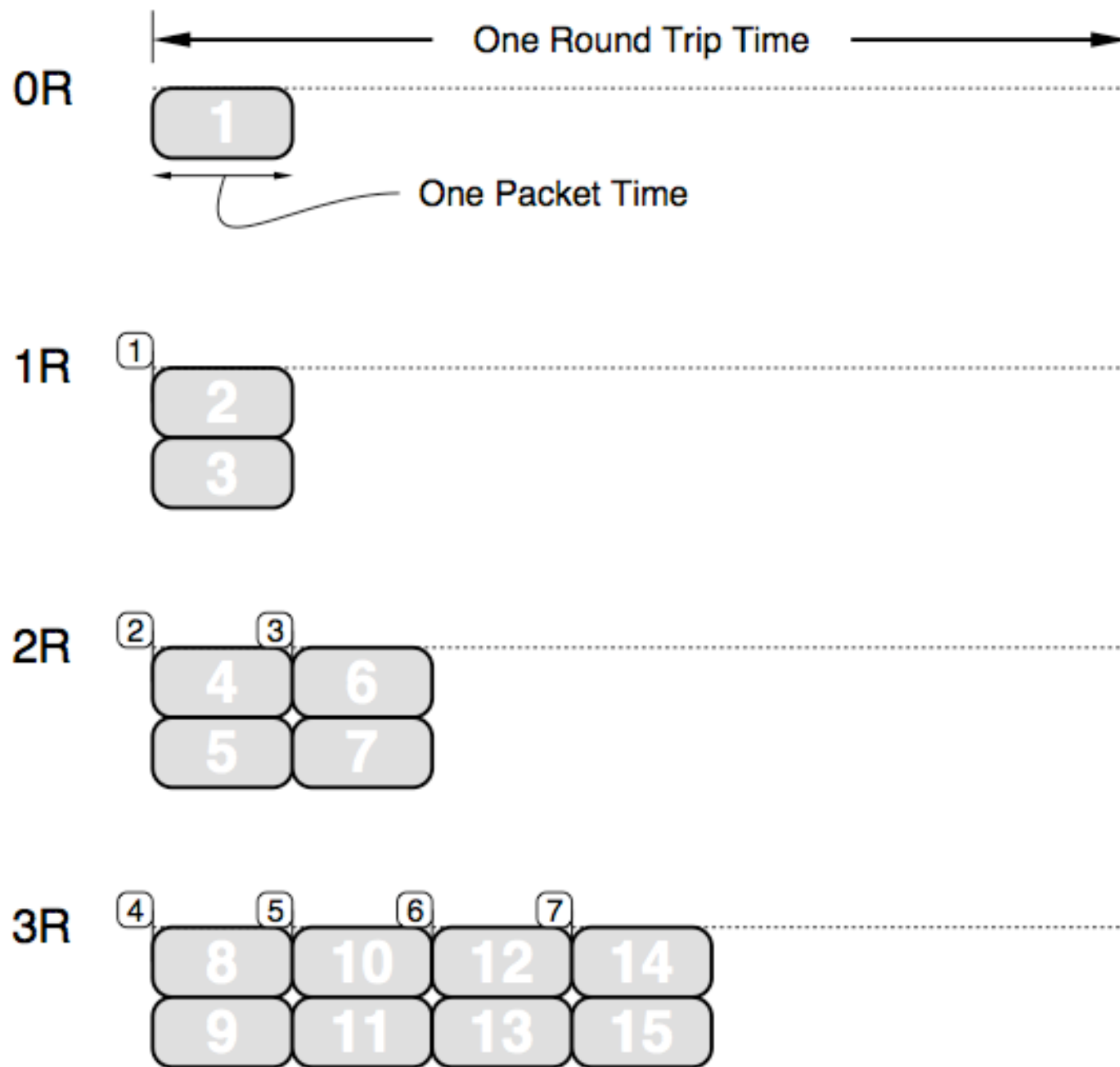
Add a new variable *cwnd*.

Start/Restart:  $cwnd = 1$ .

Upon receiving ACK,  $cwnd++$ .

Send at most  $\min(cwnd, rwin)$ .

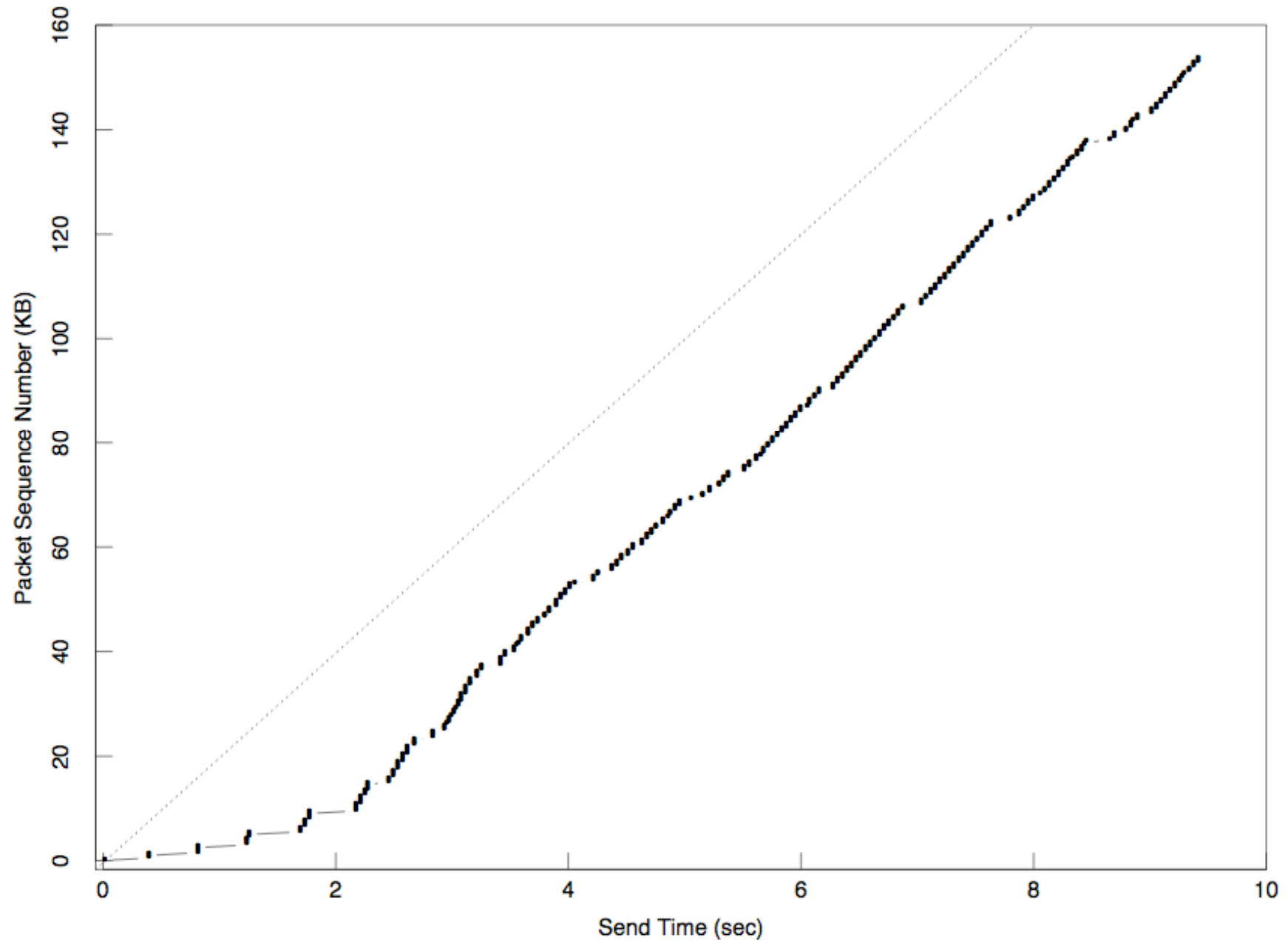




**Never send more than  
2x the max possible rate.**

**(previously 200x is possible!)**

Figure 4: Startup behavior of TCP with Slow-start



**In equilibrium state, a new packet is not inserted until an old packet leaves.**

## 2. Conservation at Equilibrium

# Something's wrong with TCP timer

# TCP (RFC793)

$$R_i \leftarrow (1 - \alpha)R_{i-1} + (\alpha)M_i$$

$$RTO_i \leftarrow \beta R_i$$

$R_i$  : smoothed RTT

$M_i$  : measured RTT

RTO : timeout value

Variation in RTT is inversely  
proportional to  $(1 - \text{load})$



$\beta = 2$  (recommended)  
tolerates only **30%** load

**Idea:** estimate the variation and use in calculating RTO

# Measuring Variation

**variance:**

costly (need to square)

**mean error:**

simpler

$$R_i \leftarrow (1 - \alpha)R_{i-1} + (\alpha)M_i$$

$$R_i \leftarrow R_{i-1} + \alpha(M_i - R_{i-1})$$

$$R_i \leftarrow (1 - \alpha)R_{i-1} + (\alpha)M_i$$

$$R_i \leftarrow R_{i-1} + \alpha(M_i - R_{i-1})$$

$$V_i \leftarrow V_{i-1} + \alpha(|M_i - R_{i-1}| - V_{i-1})$$

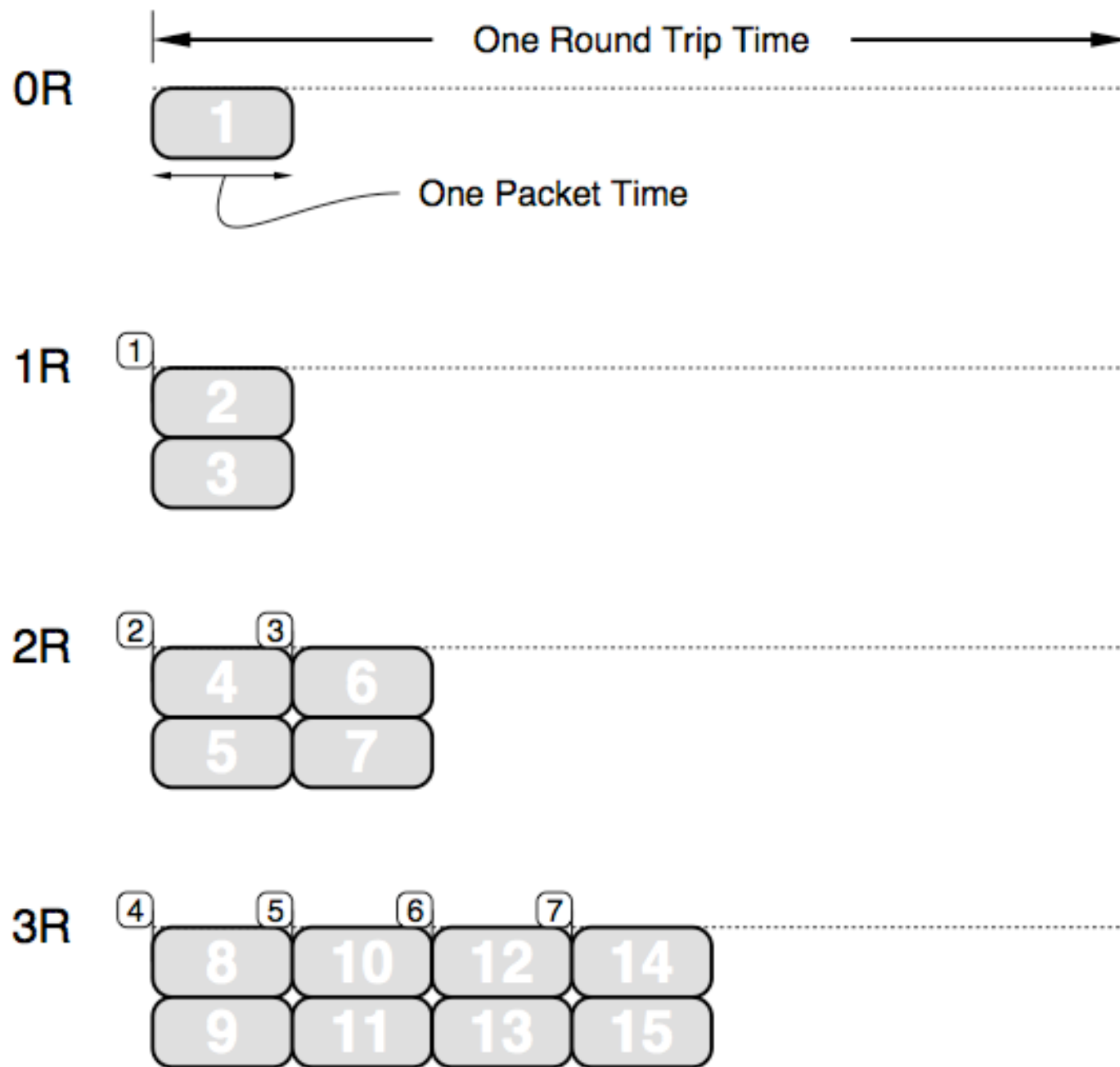
$$RTO_i \leftarrow R_i + kV_i$$

To prevent spurious timeout,

$$RTO_i > R_{i+1}$$

To pick a value of  $k$ ,  
consider bandwidth-  
dominated link.





**R doubles each round  
during slow-start.**

$$RTO_i > R_{i+1}$$

$$R_i + kV_i > 2R_i$$

$$R_i + k(R_i - R_{i-1}) > 2R_i$$

$$R_i + k\left(R_i - \frac{1}{2}R_i\right) > 2R_i$$

$$k\left(\frac{1}{2}\right) > 1$$

$$k > 2$$

$$RTO_i = R_i + 4V_i$$

Figure 5: Performance of an RFC793 retransmit timer

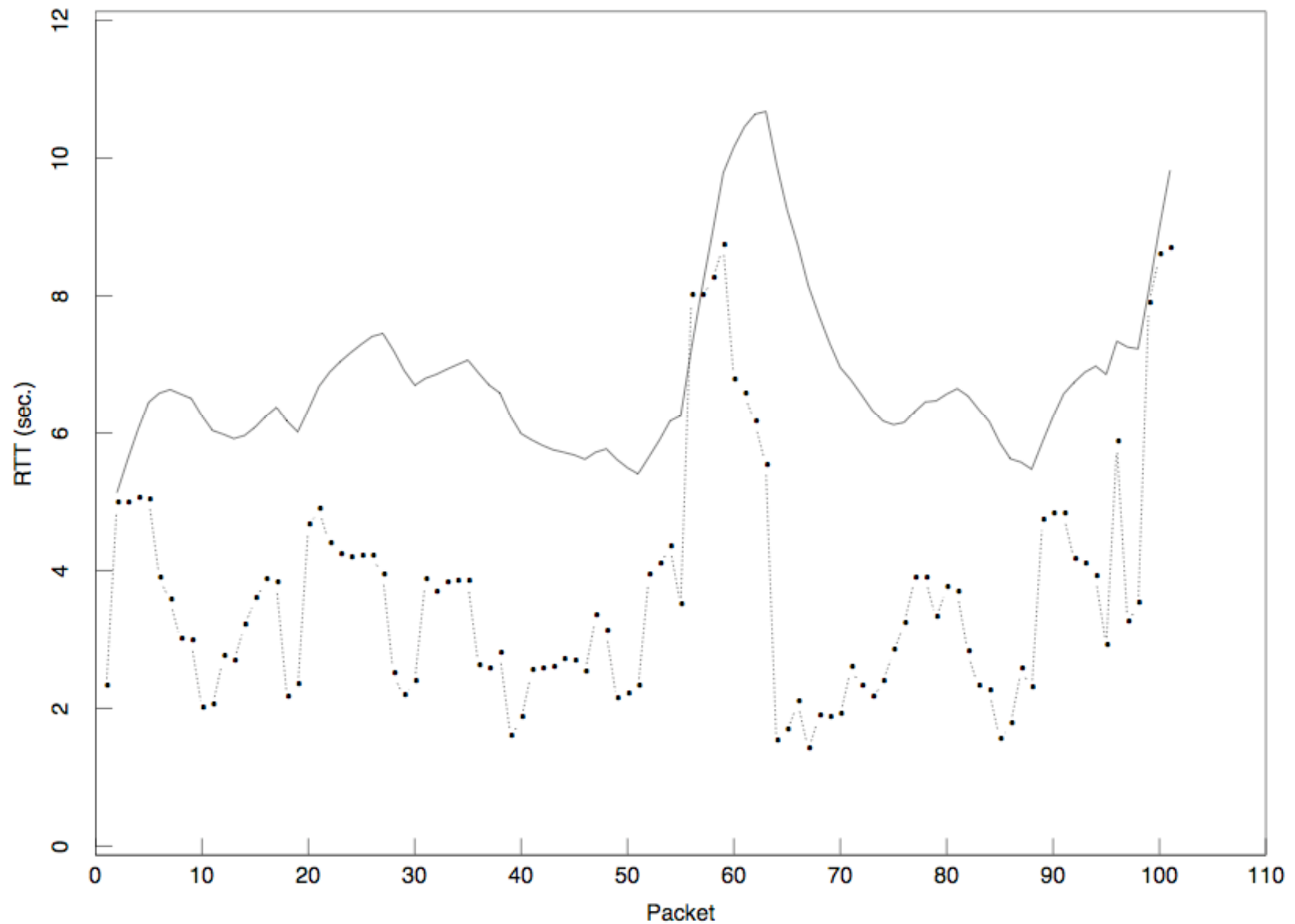
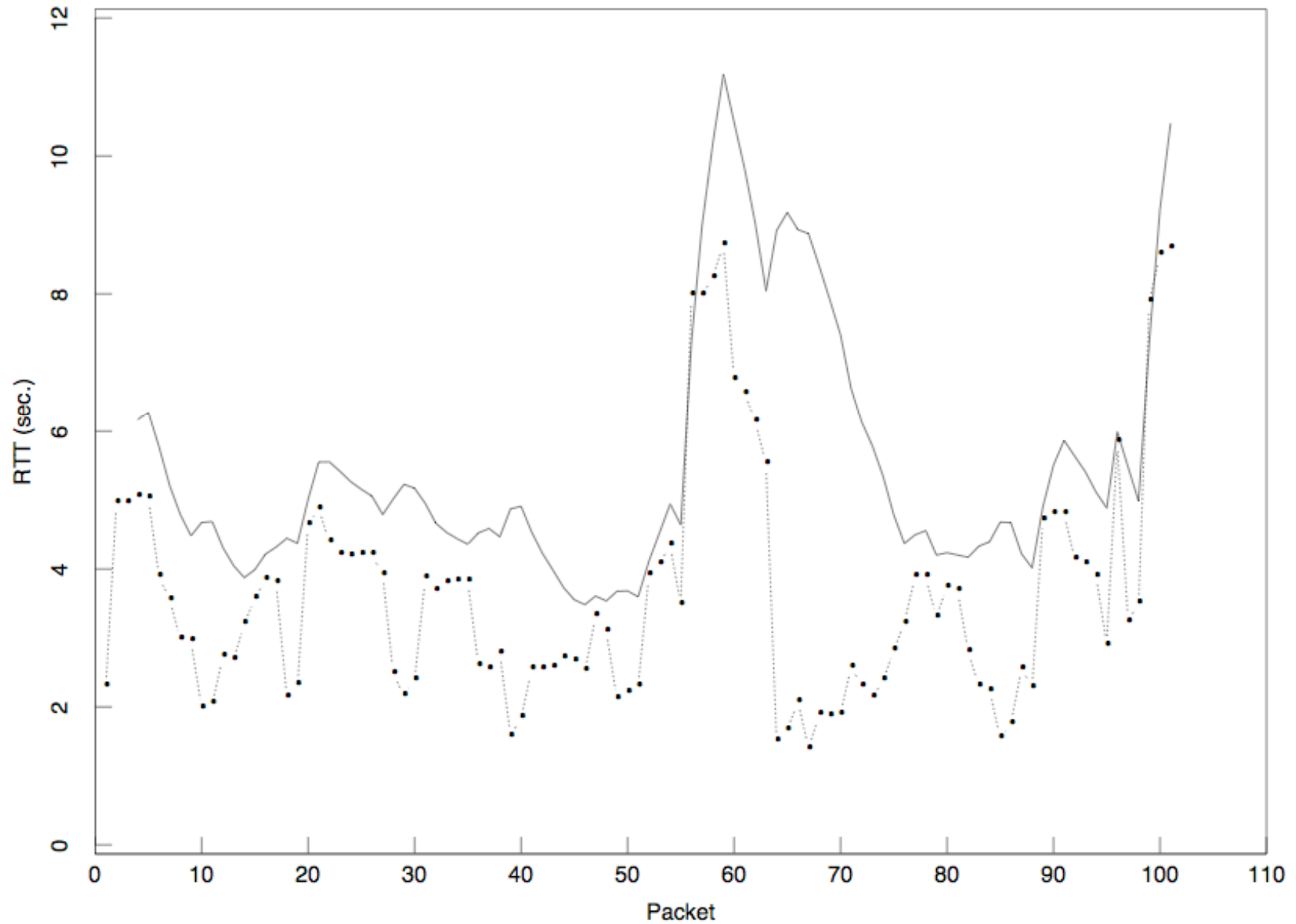


Figure 6: Performance of a Mean+Variance retransmit timer



# 3. Moving towards new equilibrium when path changes

**Idea:** adjust *cwnd* when  
congestion happens



**Assume:** congestion  
leads to packet loss, leads  
to timeout.

On timeout,  $cwnd \div= 2$

On ACK,  $cwnd += 1/cwnd$

Why drop by half ?

1. Slow-start:

we know  $R/2$  works

2. Steady state:

a new flow probably?

Chiu and Jain, “**Analysis of  
Increase and Decrease  
Algorithms for Congestion  
Avoidance in Computer  
Networks**”, **Comp. Net. &  
ISDN Sys. 1989**