

# Lifting randomized query complexity to randomized communication complexity

Anurag Anshu<sup>†</sup>      Naresh B. Goud<sup>†</sup>      Rahul Jain \*      Srijita Kundu<sup>†</sup>  
Priyanka Mukhopadhyay<sup>†</sup>

July 12, 2017

## Abstract

We show that for a relation  $f \subseteq \{0, 1\}^n \times \mathcal{O}$  and a function  $g : \{0, 1\}^m \times \{0, 1\}^m \rightarrow \{0, 1\}$  (with  $m = O(\log n)$ ),

$$\mathbf{R}_{1/3}(f \circ g^n) = \Omega \left( \mathbf{R}_{1/3}(f) \cdot \left( \log \frac{1}{\text{disc}(M_g)} - O(\log n) \right) \right),$$

where  $f \circ g^n$  represents the composition of  $f$  and  $g^n$ ,  $M_g$  is the sign matrix for  $g$ ,  $\text{disc}(M_g)$  is the *discrepancy* of  $M_g$  under the uniform distribution and  $\mathbf{R}_{1/3}(f)$  ( $\mathbf{R}_{1/3}(f \circ g^n)$ ) denotes the randomized query complexity of  $f$  (randomized communication complexity of  $f \circ g^n$ ) with worst case error  $\frac{1}{3}$ .

In particular, this implies that for a relation  $f \subseteq \{0, 1\}^n \times \mathcal{O}$ ,

$$\mathbf{R}_{1/3}(f \circ \text{IP}_m^n) = \Omega \left( \mathbf{R}_{1/3}(f) \cdot m \right),$$

where  $\text{IP}_m : \{0, 1\}^m \times \{0, 1\}^m \rightarrow \{0, 1\}$  is the Inner Product (modulo 2) function and  $m = O(\log(n))$ .

## 1 Introduction

Communication complexity and query complexity are two concrete models of computation which are very well studied. In the communication model there are two parties Alice, with input  $x$  and Bob, with input  $y$ , and they wish to compute a joint function  $f(x, y)$  of their inputs. In the query model one party Alice tries to compute a function  $f(x)$  by querying bits of a database string  $x$ . There is a natural way in which a query protocol can be viewed as a communication protocol between Alice, with no input, and Bob, with input  $x$ , in which the only communication allowed is queries to the bits of  $x$  and answers to these queries. Given this, we can (informally) view the query model as a “simpler” sub-model of the communication model. Indeed several results in query complexity are easier to argue and obtain than the corresponding results in

---

\*Centre for Quantum Technologies, National University of Singapore and MajuLab, UMI 3654, Singapore.

<sup>†</sup>Center for Quantum Technologies, National University of Singapore, Singapore.

communication complexity. One interesting technique that is often employed with great success is to first show a result in the query model and then “lift” it to a result in the communication model via some “lifting theorem”.

One of the first such lifting theorems was shown by Raz and McKenzie [RM99] (and its generalization by [GPW15]). Raz and McKenzie [RM99] (and the generalization due to [GPW15]) showed that for a relation  $f \subseteq \{0, 1\}^n \times \mathcal{O}$ , the deterministic communication complexity of  $f$  composed with  $\text{Index}_m$  (with  $m = \text{poly}(n)$ ) is at least the deterministic query complexity of  $f$  times  $\Omega(\log n)$ . Here  $\text{Index}_m : [m] \times \{0, 1\}^m \rightarrow \{0, 1\}$  is defined as  $\text{Index}_m(x, y) = y_x$  (the  $x$ th bit of  $y$ ). Subsequently several lifting theorems for different complexity measures have been shown, for example lifting *approximate-degree* to *approximate-rank* [She11], *approximate junta-degree* to *smooth-corruption-bound* [GLM<sup>+</sup>15] and, more recently, randomized query complexity to randomized communication complexity using the  $\text{Index}_m$  function [GPW17] (for  $m = \text{poly}(n)$ ).

## Our result

In this work we show lifting of (bounded error) randomized query complexity to (bounded error) randomized communication complexity. Let  $R_\varepsilon(\cdot)$  denote the randomized query/communication complexity, as is clear from the context, with worst case error  $\varepsilon$ . We show the following:

**Theorem 1.** *Let  $f \subseteq \{0, 1\}^n \times \mathcal{O}$  be a relation and  $g : \{0, 1\}^m \times \{0, 1\}^m \rightarrow \{0, 1\}$  be a function (with  $m = O(\log n)$ ). It holds that*

$$R_{1/3}(f \circ g^n) = \Omega \left( R_{1/3}(f) \cdot \left( \log \frac{1}{\text{disc}(M_g)} - O(\log n) \right) \right),$$

where  $M_g$  is the sign matrix for  $g$  and  $\text{disc}(M_g)$  is the discrepancy of  $M_g$  under the uniform distribution.

In particular, this implies that for a relation  $f \subseteq \{0, 1\}^n \times \mathcal{O}$ ,

$$R_{1/3}(f \circ \text{IP}_m^n) = \Omega(R_{1/3}(f) \cdot m),$$

where  $\text{IP}_m : \{0, 1\}^m \times \{0, 1\}^m \rightarrow \{0, 1\}$  is the Inner Product (modulo 2) function and  $m = O(\log(n))$ . In comparison, the  $\text{Index}_m$  function used by [GPW17] required  $m = \text{poly}(n)$ .

On the other hand it is easily seen with a simple simulation of a query protocol using a communication protocol that  $R_{1/3}(f \circ g^n) = O(R_{1/3}(f) \cdot m)$ .

## Our techniques

Our techniques are partly based on the techniques of Raz and McKenzie [RM99] as presented in [GPW15] with an important modification to deal with distributional error protocols instead of deterministic protocols. Let  $T$  be a deterministic communication protocol tree for  $f \circ g^n$  (with  $\log \frac{1}{\text{disc}(M_g)} = \Omega(\log n)$ ). We use this to create a randomized query protocol  $\Pi$  (see Algorithm 2) for  $f$ . Let  $z$  be an input for which we are supposed to output a  $b$  such that  $(z, b) \in f$ . We start with the root of  $T$  and continue to simulate  $T$  (using randomness) till we find a co-ordinate  $i \in [n]$  where  $T$  has *worked enough* so that  $g(x_i, y_i)$  is becoming (only slightly) *determined*. Using the properties of  $g$  we conclude that  $T$  must have communicated  $O(\log \frac{1}{\text{disc}(M_g)})$  bits by now. We go ahead and query  $z_i$  (the  $i$ th bit of  $z$ ) and *synchronize* with  $z_i$ , that is go to the appropriate sub-event of the current node in  $T$  consistent with  $z_i$ .

To keep the unqueried bits  $z_i$  (with  $i$  belonging to the unqueried interval  $I$ ) sufficiently *undetermined*, we keep track of how much  $T$  has worked to determine  $x_i$  (or  $y_i$ ), using the conditional probability of  $x_i$  (or  $y_i$ ), given all possible  $x_{I \setminus \{i\}}$  (or  $y_{I \setminus \{i\}}$ ) at other unqueried locations. When either of the conditional probabilities  $p_A(x_i | x_{I \setminus \{i\}})$  or  $p_B(y_i | y_{I \setminus \{i\}})$  (where

$A, B$  are current rectangles) becomes too high for a sufficiently large number of strings, we conclude that a query must be made.

Lets suppose that the conditional probability at some location becomes high in  $A$ . We only want to make a query in that part of  $A$  where the conditional probability violation takes place. This eventually lets us compare the number of queries we make with the number of bits communicated. So within a query subroutine, we first probabilistically split  $A$  into the strings HIGH where the conditional probability becomes too high, and  $A \setminus \text{HIGH}$ , where this does not happen. A query is then made in the HIGH part, and only the  $x_i$  and  $y_i$  that are consistent with the  $z_i$  (that we learn from the query) are retained and partitioned into a collection of rectangles. After this, the conditional probability can be restored to a low enough value for the rest of the indices, and we can move on with communication steps.

As long as we have a bound on the conditional probabilities in the unqueried locations, the unqueried  $z_i$  are sufficiently undetermined and we can move from node to node of  $T$  according to the “flow” of  $T$ , for every input  $z$ . We prove this in Lemma 13 in Section 3. This lets our algorithm to sample the leaves of  $T$  close to the desired probabilities, and thus the correctness of  $T$  on  $(x, y)$  in expectation ensures the correctness of our algorithm on  $z$  in expectation.

During the course of our simulation, we may end up at some “bad” subevents, where we will not be able to maintain a sufficiently large number of  $(x, y)$  consistent with  $z$ . We need to abort the algorithm on such subevents. When we have a bound on the conditional probability and we are going with the “flow” of  $T$ , we can ensure that the probability of going to such bad subevents is small. But, if we need to do a series of queries in one go, we will not be able to maintain the requisite bound on the conditional probabilities in between queries. So it may be possible that when we do a query and try to synchronize  $x_i$  and  $y_i$  with  $z_i$ , we do not find any (or sufficiently many)  $x_i$  and  $y_i$  that are consistent with  $z_i$ . In the technical Lemma 7 we show that there is a way around this: if we do some “preprocessing” on  $A$  before carrying out queries, the probability of this bad subevent happening is still small. The arguments here are similar to showing that  $g$  is a good *strong extractor* for *blockwise sources* with appropriate conditional min-entropy in each block. Thus the algorithm aborts with small probability. Similar arguments hold for  $B$ .

## 2 Preliminaries

In this section, we present some notations and basic lemmas needed for the proof of our main result.

Let  $f \subseteq \{0, 1\}^n \times \mathcal{O}$  be a relation. Let  $\varepsilon > 0$  be an error parameter. Let the randomized query complexity, denoted  $R_\varepsilon(f)$ , be the maximum number of queries made by the best randomized query protocol computing  $f$  with error at most  $\varepsilon$  on any input  $x \in \{0, 1\}^n$ . Let  $\theta$  be a distribution on  $\{0, 1\}^n$ . Let the distributional query complexity, denoted  $D_\varepsilon^\theta(f)$ , be the maximum number of queries made by the best deterministic query protocol computing  $f$  with average error at most  $\varepsilon$  under  $\theta$ . The distributional and randomized query complexities are related by the following Yao’s Lemma.

**Fact 2** (Yao’s Lemma). *Let  $\varepsilon > 0$ . We have  $R_\varepsilon(f) = \max_\theta D_\varepsilon^\theta(f)$ .*

Similarly, we can define randomized and distributional communication complexities with a similar Yao’s Lemma relating them.

Let  $\lambda$  be a *hard* distribution on  $\{0, 1\}^n$  such that  $D_{1/3}^\lambda(f) = R_{1/3}(f)$ , as guaranteed by Yao’s Lemma. Let  $m$  be an integer. Let  $g : \{0, 1\}^m \times \{0, 1\}^m \rightarrow \{0, 1\}$  be a function, interpreted as a *gadget*. Let  $G := g^n$ . Define the following distributions:

$$\mu^0(x, y) := \frac{\mathbb{1}_{g(x,y)=0}}{|g^{-1}(0)|}, \quad \mu^1(x, y) := \frac{\mathbb{1}_{g(x,y)=1}}{|g^{-1}(1)|}.$$

For every  $z \in \{0, 1\}^n$ , define  $\mu^z := \mu^{z_1} \times \mu^{z_2} \times \dots \times \mu^{z_n}$ . The *lifted* distribution for the composed relation  $f \circ g^n$  is  $\mu := \mathbb{E}_{z \sim \lambda} \mu^z$ .

Let Alice and Bob's inputs for the composed function be respectively  $x = (x_1, \dots, x_n) \in (\{0, 1\}^m)^n$  and  $y = (y_1, \dots, y_n) \in (\{0, 1\}^m)^n$ .

We use the following notation, some of which is adapted from notation used in [GPW15].

- For a node  $v$  in a communication protocol tree, let  $X^v \times Y^v$  denote its associated rectangle. If Alice or Bob send the bit  $b$  at  $v$ , let  $v_b$  be the corresponding child of  $v$  and  $X^{v,b} \subseteq X^v$  and  $Y^{v,b} \subseteq Y^v$  be the set of inputs of Alice and Bob respectively on which they send  $b$ .
- For a string  $x \in (\{0, 1\}^m)^n$  and an interval  $I \subset [n]$ , let  $x_I$  be the restriction of  $x$  to the interval  $I$ . We use shorthand  $x_i$  for  $x_{\{i\}}$ . We use similar notation for a string  $y \in (\{0, 1\}^m)^n$ .
- For a set  $A \subset (\{0, 1\}^m)^n$ , let  $A_I := \{x_I : x \in A\}$  be the restriction of  $A$  to the interval  $I$  and  $A_{x_I} := \{x' \in A : x'_I = x_I\}$ . Our convention is for an  $x_I \notin A_I$ ,  $A_{x_I}$  is the null set. We use similar notation for  $B$ .
- For  $A \subseteq (\{0, 1\}^m)^n$ , we represent the uniform probability distribution on strings  $x$  in  $A$  with  $p_A(x)$ . We use similar notation for  $B$ .
- For  $A \subseteq (\{0, 1\}^m)^n$ , an index  $i \in I$  and  $x_{I \setminus \{i\}} \in \{0, 1\}^{m(|I|-1)}$ , let

$$p_{\max}(A, x_{I \setminus \{i\}}) := \max_{x_i \in \{0, 1\}^m} p_A(x_i | x_{I \setminus \{i\}}).$$

We say a  $p_{\max}$  bound of  $\alpha$  holds for  $A$  with respect to  $I$ , if  $p_{\max}(A, x_{I \setminus \{i\}}) \leq \alpha$  for all  $i \in I$  and all such  $x_{I \setminus \{i\}}$ . Similar terminology holds for  $B$ .

- For  $A \subseteq (\{0, 1\}^m)^n$ ,  $I \subseteq [n]$  and  $i \in I$ , let

$$\text{HIGH}(A, \alpha, i) := \{x \in A : p_A(x_i | x_{I \setminus \{i\}}) > \alpha\}$$

(we omit  $I$  as an argument for HIGH for brevity, as it will clear from context). We use  $\text{HIGH}(A, \alpha, I)$  to denote  $\cup_{i \in I} \text{HIGH}(A, \alpha, i)$ . We define  $\text{HIGH}(B, \alpha, i)$  and  $\text{HIGH}(B, \alpha, I)$  similarly.

- For  $y_i \in \{0, 1\}^m$  and  $z_i \in \{0, 1\}$ , let  $U(y_i, z_i) := \{x_i \in \{0, 1\}^m : g(x_i, y_i) = z_i\}$ . We use  $A|_{U(y_i, z_i)}$  to denote  $\cup_{x_i \in U(y_i, z_i)} A_{x_i}$ . Similarly, for  $x_i \in \{0, 1\}^m$  and  $z_i \in \{0, 1\}$ , let  $V(x_i, z_i) := \{y_i \in \{0, 1\}^m : g(x_i, y_i) = z_i\}$ . We use  $B|_{V(x_i, z_i)}$  to denote  $\cup_{y_i \in V(x_i, z_i)} B_{y_i}$ .
- Let  $M_g$  represent the sign matrix for the function  $g$ . That is,  $M_g(x, y) = (-1)^{g(x, y)}$ . For an interval  $I$  and  $x_I, y_I \in \{0, 1\}^{m|I|}$ , we define  $M_g^{\otimes |I|}(x_I, y_I) := \prod_{i \in I} M_g(x_i, y_i)$ . Observe that  $M_g^{\otimes |I|}$  is also a sign matrix.

Discrepancy of  $M_g$ , defined below, gives a lower bound on the communication complexity of  $g$ . We consider it with respect to the uniform distribution, although it extends to any general distribution (see for example, [LSS08]).

**Definition 3.** *Discrepancy of  $M_g$  with respect to the uniform distribution is defined as*

$$\text{disc}(M_g) := \frac{1}{2^{2m}} \max_{A \subseteq \{0, 1\}^m, B \subseteq \{0, 1\}^m} \left| \sum_{x \in A, y \in B} M(x, y) \right|.$$

This definition extends to the matrix  $M_g^{\otimes r}$ , for any integer  $r > 1$ , in a natural fashion. Following lemma follows from [LSS08, Theorems 16, 17].

**Lemma 4.** *Let  $M_g$  be a sign matrix and  $r > 1$  be an integer. Then it holds that*

$$\text{disc}(M_g^{\otimes r}) \leq (8 \cdot \text{disc}(M_g))^r.$$

For the rest of the proof, we define a parameter  $\beta$  as

$$\beta := \frac{1}{2} \log \frac{1}{\text{disc}(M_g)}.$$

Our working assumption is that  $\beta \geq 100 \log n$ .

We shall use the sets  $\text{SMALL}(A, A', I)$ ,  $\text{SMALL}(B, B', I)$ ,  $\text{UNBAL}_X(A, B, I)$  and  $\text{UNBAL}_Y(A, B, I)$  defined in Lemmas 5 and 7 respectively in our algorithm and analysis.

Following lemma is similar to the Thickness Lemma in [GPW15].

**Lemma 5.** For  $A \subseteq (\{0, 1\}^m)^n$ ,  $I \subseteq [n]$  and  $A' \subseteq A$ , there exists  $A'' \subseteq A'$  such that for all  $i \in I$  and  $x_{I \setminus \{i\}} \in A''_{I \setminus \{i\}}$ ,  $|A''_{x_{I \setminus \{i\}}}| \geq \frac{1}{n^3} |A_{x_{I \setminus \{i\}}}|$ , and

$$p_A(A' \setminus A'') < \frac{1}{n^2}.$$

Define  $\text{SMALL}(A, A', I) := A' \setminus A''$ .

Similarly, for  $B \subseteq (\{0, 1\}^m)^n$ ,  $I \subseteq [n]$  and  $B' \subseteq B$ , there exists  $B'' \subseteq B'$  such that for all  $i \in I$  and  $y_{I \setminus \{i\}} \in B''_{I \setminus \{i\}}$ ,  $|B''_{y_{I \setminus \{i\}}}| \geq \frac{1}{n^3} |B_{y_{I \setminus \{i\}}}|$ , and

$$p_B(B' \setminus B'') < \frac{1}{n^2}.$$

Define  $\text{SMALL}(B, B', I) := B' \setminus B''$ .

*Proof.* We prove the statement for the sets  $A, A'$ . Similar argument holds for  $B, B'$ . The set  $A''$  is obtained by running the following algorithm on  $A'$ . It is easy to see that the  $A''$  obtained satisfies the property required.

---

**Algorithm 1:** Decomposing  $A' = A'' \cup \text{SMALL}(A, A', I)$

---

- 1 Initialize  $A^0 = A'$ ,  $j = 0$
  - 2 **while**  $|A^j_{x_{I \setminus \{i\}}}| < \frac{1}{n^3} |A_{x_{I \setminus \{i\}}}|$  for some  $i \in I$  and  $x_{I \setminus \{i\}} \in A^j_{I \setminus \{i\}}$  **do**
  - 3     Pick such an  $i \in I$  and  $x_{I \setminus \{i\}}$
  - 4     Set  $A^{j+1} = A^j \setminus \{x' \in A^j : x'_{I \setminus \{i\}} = x_{I \setminus \{i\}}\}$
  - 5 **end**
  - 6 Output  $A'' = A^j$
- 

To bound the size of  $\text{SMALL}(A, A', I)$ , let  $(i_j, x_{I \setminus \{i_j\}}^j)$  be the pair picked by the algorithm in the  $j$ -th iteration. Then we have,  $|A^j_{x_{I \setminus \{i_j\}}}| < \frac{1}{n^3} |A_{x_{I \setminus \{i_j\}}}|$ . Note that a particular  $x_{I \setminus \{i_j\}}^j$  can only be removed once in the algorithm, so at most all  $x_{I \setminus \{i\}}$  for all  $i \in I$  can be removed. So the total strings removed is at most

$$\sum_{(i_j, x_{I \setminus \{i_j\}}^j)} |A^j_{x_{I \setminus \{i_j\}}}| < \frac{1}{n^3} \sum_{(i_j, x_{I \setminus \{i_j\}}^j)} |A_{x_{I \setminus \{i_j\}}}| \leq \frac{1}{n^3} \sum_{i \in I} \sum_{x_{I \setminus \{i\}}} |A_{x_{I \setminus \{i\}}}| = \frac{|I|}{n^3} |A| \leq \frac{1}{n^2} |A|.$$

This proves the lemma. □

**Lemma 6.** Fix a real number  $k \in (0, 1)$ . Let  $A, B \subseteq (\{0, 1\}^m)^n$  and  $I \subseteq [n]$  be such that  $p_A(x_I) \leq 2^{-|I|(m-k\beta)}$  for all  $x_I \in \{0, 1\}^{m|I|}$  and  $p_B(y_I) \leq 2^{-|I|(m-k\beta)}$  for all  $y_I \in \{0, 1\}^{m|I|}$ . Then it holds that

$$\left| \sum_{x_I, y_I} p_A(x_I) p_B(y_I) M_g^{\otimes |I|}(x_I, y_I) \right| \leq (8 \cdot 2^{-(2-2k)\beta})^{|I|}.$$

*Proof.* First we show that without loss of generality, we can assume that  $p_A(x_I)$  and  $p_B(y_I)$  are uniform in their support. The probability distributions  $p_A(x_I), p_B(y_I)$  have min-entropy at least  $|I|(m - k\beta)$ . Thus, they can be decomposed as a convex combination of probability distributions having min-entropy at least  $|I|(m - k\beta)$  which are uniform in their support. Thus, we write

$$p_A(x_I) = \sum_i \lambda_i p_A^i(x_I), \quad p_B(y_I) = \sum_j \mu_j p_B^j(y_I), \quad \text{with } \sum_i \lambda_i = \sum_j \mu_j = 1, \lambda_i \geq 0, \mu_j \geq 0.$$

We obtain by triangle inequality that

$$\left| \sum_{x_I, y_I} p_A(x_I) p_B(y_I) M_g^{\otimes |I|}(x_I, y_I) \right| \leq \sum_{i, j} \lambda_i \mu_j \left| \sum_{x_I, y_I} p_A^i(x_I) p_B^j(y_I) M_g^{\otimes |I|}(x_I, y_I) \right|.$$

Thus, the maximum value is attained at distributions that are uniform in their support.

Thus, assuming that  $p_A(x_I)$  and  $p_B(y_I)$  are uniform in their support, let  $A', B'$  be their respective supports. Since the min-entropy of  $p_A(x_I)$  and  $p_B(y_I)$  is at least  $|I|(m - k\beta)$ , we have that  $|A'| \geq 2^{|I|(m - k\beta)}$  and  $|B'| \geq 2^{|I|(m - k\beta)}$ . Thus,

$$\begin{aligned} \left| \sum_{x_I, y_I} p_A(x_I) p_B(y_I) M_g^{\otimes |I|}(x_I, y_I) \right| &= \frac{1}{|A'| |B'|} \left| \sum_{x_I \in A', y_I \in B'} M_g^{\otimes |I|}(x_I, y_I) \right| \\ &= \frac{2^{2m|I|}}{|A'| |B'|} \cdot \frac{1}{2^{2m|I|}} \left| \sum_{x_I \in A', y_I \in B'} M_g^{\otimes |I|}(x_I, y_I) \right| \\ &\leq \frac{2^{2m|I|}}{2^{2|I|(m - k\beta)}} \text{disc}(M_g^{\otimes |I|}) \\ &\leq 2^{2|I|k\beta} (8 \cdot \text{disc}(M_g))^{|I|} \quad (\text{Lemma 4}) \\ &= (8 \cdot 2^{-(2-2k)\beta})^{|I|} \end{aligned}$$

This completes the proof.  $\square$

**Lemma 7.** For  $A, B \subseteq (\{0, 1\}^m)^n$  suppose a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds with respect to  $I \subseteq [n]$ . We say  $x \in \text{UNBAL}_{\mathcal{X}}(A, B, I)$  if it does not satisfy the property

$$\Pr_{y_I \sim p_B} [g^{|I|}(x_I, y_I) = z_I] \in \frac{1}{2^{|I|}} [1 - 2^{-0.05\beta}, 1 + 2^{-0.05\beta}] \quad \forall z \in \{0, 1\}^{|I|}.$$

We have,

$$p_A(\text{UNBAL}_{\mathcal{X}}(A, B, I)) \leq 2^{-0.05\beta}.$$

Similarly, we say  $y \in \text{UNBAL}_{\mathcal{Y}}(A, B, I)$  if it does not satisfy the property

$$\Pr_{x_I \sim p_A} [g^{|I|}(x_I, y_I) = z_I] \in \frac{1}{2^{|I|}} [1 - 2^{-0.05\beta}, 1 + 2^{-0.05\beta}] \quad \forall z \in \{0, 1\}^{|I|}.$$

We have,

$$p_B(\text{UNBAL}_{\mathcal{Y}}(A, B, I)) \leq 2^{-0.05\beta}.$$

*Proof.* We prove the first part. The second part follows similarly. Fix an interval  $J \subseteq I$ . Since a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds for the given  $A$ , we have that for all  $x_J$ ,  $p_A(x_J) \leq 2^{-|J|(m - 0.8\beta)}$ . Consider any subset  $A'_J \subseteq A_J$  such that  $p_A(A'_J) \geq 2^{-0.1|J|\beta}$ . It holds that

$$p_A(x_J | A'_J) \leq 2^{0.1|J|\beta} 2^{-|J|(m - 0.8\beta)} = 2^{-|J|(m - 0.9\beta)}.$$

Thus, invoking Lemma 6, we obtain

$$\left| \sum_{x_J, y_J} p_A(x_J | A'_J) p_B(y_J) M_g^{\otimes |J|}(x_J, y_J) \right| \leq (8 \cdot 2^{-0.2\beta})^{|J|}. \quad (1)$$

Let  $\text{BAD}_J^{(1)}$  be the set of all  $x_J \in A_J$  for which  $\sum_{y_J} p_B(y_J) M_g^{\otimes |J|}(x_J, y_J) \geq (8 \cdot 2^{-0.2\beta})^{|J|}$  and  $\text{BAD}_J^{(0)}$  be the set of all  $x_J \in A_J$  for which  $\sum_{y_J} p_B(y_J) M_g^{\otimes |J|}(x_J, y_J) \leq -(8 \cdot 2^{-0.2\beta})^{|J|}$ . Let  $\text{BAD}_J := \text{BAD}_J^{(1)} \cup \text{BAD}_J^{(0)}$ . From Equation 1, we conclude that  $p_A(\text{BAD}_J^{(i)}) < 2^{-0.1\beta|J|}$  for  $i \in \{0, 1\}$ . Thus,

$$p_A(\text{BAD}_J) \leq p_A(\text{BAD}_J^{(1)}) + p_A(\text{BAD}_J^{(0)}) \leq 2 \cdot 2^{-0.1\beta|J|}.$$

Using  $\beta \geq 100 \log n$ , we obtain

$$p_A(\cup_{J \subseteq I} \text{BAD}_J) \leq \sum_{J \subseteq I} p_A(\text{BAD}_J) \leq 2 \sum_{r=1}^{|I|} \binom{|I|}{r} 2^{-0.1r\beta} \leq 2 \sum_{r=1}^n 2^{r \log n - 0.1r\beta} \leq 2^{-0.05\beta}.$$

Now we show that  $\text{UNBAL}(A, B, I) \subseteq \cup_{J \subseteq I} \text{BAD}_J$ . For this, we consider an  $x$  such that  $x_J \in \neg \text{BAD}_J$  for all  $J \subseteq I$ . That is,

$$\left| \sum_{y_J} p_B(y_J) M^{\otimes |J|}(x_J, y_J) \right| \leq (8 \cdot 2^{-0.2\beta})^{|J|} \quad \text{for all } J \subseteq I.$$

Following claim shows that  $x \notin \text{UNBAL}(A, B, I)$ , which completes the proof. This claim is a restatement of [GLM<sup>+</sup>15, Lemma 13].

**Claim 8.** *Consider an  $x$  satisfying*

$$\left| \sum_{y_J} p_B(y_J) M^{\otimes |J|}(x_J, y_J) \right| \leq (8 \cdot 2^{-0.2\beta})^{|J|} \quad \text{for all } J \subseteq I. \quad (2)$$

*It holds that*

$$\Pr_{y_I \sim p_B} [g^{|I|}(x_I, y_I) = z_I] \in \frac{1}{2^{|I|}} [1 - 2^{-0.05\beta}, 1 + 2^{-0.05\beta}] \quad \forall z \in \{0, 1\}^{|I|}.$$

*Proof.* Fix an  $x$  satisfying Equation 2. Let  $\chi_J(z) := (-1)^{\oplus_{j \in J} z_j}$  be the parity function. The fact that  $M_g$  is the sign matrix for  $g$  implies

$$\left| \sum_{y_J} p_B(y_J) \chi_J \left( g^{|J|}(x_J, y_J) \right) \right| \leq (8 \cdot 2^{-0.2\beta})^{|J|} \quad \text{for all } J \subseteq I. \quad (3)$$

Let  $p(z_I)$  be the distribution of  $z_I$  for the given  $x$  and averaged over  $y \sim p_B$ , that is  $p(z_I) = \Pr_{y_I \sim p_B} [g^{|I|}(x_I, y_I) = z_I]$ . We Fourier expand  $p(z_I) := \sum_{J \subseteq I} \chi_J(z_I) \hat{p}(J)$ , where

$$\hat{p}(J) = \frac{1}{2^{|I|}} \sum_{z_I} p(z_I) \chi_J(z_I) = \frac{1}{2^{|I|}} \sum_{y_J} p_B(y_J) \chi_J \left( g^{|J|}(x_J, y_J) \right).$$

From Equation 3, we have that  $2^{|I|} |\hat{p}(J)| \leq (8 \cdot 2^{-0.2\beta})^{|J|}$ . Furthermore,  $\hat{p}(\phi) = \frac{1}{2^{|I|}}$ , where  $\phi$  is the empty set. Thus we conclude (using  $\beta \geq 100 \log n$ )

$$\begin{aligned} \left| p(z_I) - \frac{1}{2^{|I|}} \right| &= \left| \sum_{J \subseteq I, J \neq \phi} \chi_J(z_I) \hat{p}(J) \right| \\ &\leq \frac{1}{2^{|I|}} \sum_{J \subseteq I, J \neq \phi} (8 \cdot 2^{-0.2\beta})^{|J|} \\ &= \frac{(1 + 8 \cdot 2^{-0.2\beta})^{|I|} - 1}{2^{|I|}} \\ &\leq \frac{2^{\log n - 0.1\beta}}{2^{|I|}} \leq \frac{2^{-0.05\beta}}{2^{|I|}}. \end{aligned}$$

This establishes the claim. □

□

Following Claim shall be useful for us in bounding the probability of aborts done in the algorithm.

**Claim 9.** *Consider a tree  $\tau$  representing a random process with directed edges weighed by the conditional probability of going to a child node conditioned on being in a parent node. Some of the nodes are marked as aborted nodes, and we have that for any node, the sum of weights of the edges going to aborted children be at most  $\delta$ . If the depth of  $\tau$  is  $d$ , then the overall probability of the random process reaching an aborted node is at most  $\delta \cdot d$ .*

*Proof.* We construct a new tree  $\tau'$  in which nodes which are not aborted at a particular level are coarse-grained into a single node and the aborted nodes are coarse grained into another node (which we again call abort node). For  $\tau'$ , the probability of a node having an aborted child is still at most  $\delta$  and the overall probability of reaching an aborted node is at least as large as in  $\tau$ . The probability of reaching an aborted node in  $\tau'$  is given by

$$\delta + (1 - \delta) \cdot \delta + (1 - \delta)^2 \cdot \delta \dots + (1 - \delta)^{d-1} \delta \leq d\delta$$

which gives us the required bound for the probability of reaching an aborted node in  $\tau$ . □

### 3 Proof of main result

We show the following which implies Theorem 1.

**Theorem 10.** *Let  $f \subseteq \{0, 1\}^n \times \mathcal{O}$  be a relation and  $g : \{0, 1\}^m \times \{0, 1\}^m \rightarrow \{0, 1\}$  be a function (with  $m = O(\log n)$ ). It holds that*

$$D_{1/4}^\mu(f \circ g^n) = \Omega(R_{1/3}(f) \cdot (\beta - 100 \log n)),$$

where  $\beta = \frac{1}{2} \log \frac{1}{\text{disc}(g)}$ .

*Proof.* If  $\beta \leq 100 \log n$ , then the statement is trivially true. Thus, we assume  $\beta > 100 \log n$ . For a given relation  $f$ , recall the definition of  $\lambda$  (hard distribution for  $f$ ) and  $\mu$  (lifted distribution for  $f \circ g^n$ ) from Section 2. Let  $T$  be a deterministic communication tree for  $f$  achieving  $D_{1/4}^\mu(f \circ g^n)$ . Let  $c := D_{1/4}^\mu(f \circ g^n)$  be the depth of  $T$ . Using our algorithm  $\Pi$  given in Algorithm 2 and described in the form of a flowchart in Figure 1, we get a randomized query protocol for  $f$  which makes an error of at most  $\frac{1-1}{4}$  under  $\lambda$  (as implied by Lemma 11) and makes at most  $O(c/(0.7\beta - 8 \log n))$  expected number of queries (as implied by Lemma 16). This can be converted into an algorithm with  $O(c/(0.7\beta - 8 \log n))$  number of queries (in the worst case) and distributional error  $\frac{1}{3}$ , using standard application of Markov's inequality. This shows that

$$R_{\frac{1}{3}}(f) = D_{\frac{1}{3}}^\lambda(f) \leq O\left(\frac{c}{0.7\beta - 8 \log n}\right),$$

which shows the desired. □

For an input  $z$ , we construct a tree  $\mathcal{T}$  which represents the evolution of the algorithm  $\Pi$ , depending on the random choices made by it in steps 4, 24, 11, 31, 14, 34 and the FILTER steps of Algorithm 2. All the nodes of the tree are labeled by unique triplets  $(A \times B, I, v)$  where  $I \subseteq [n]$  is the current interval,  $A \subseteq (\{0, 1\}^m)^n, B \subseteq (\{0, 1\}^m)^n$  are the current parts of the rectangle held by Alice and Bob respectively, and  $v$  is the current node of  $T$ . The root



node is  $((\{0, 1\}^m)^n \times (\{0, 1\}^m)^n, [n], r)$  where  $r$  is the root of  $T$ , and the children of any node are all the nodes that can be reached from it depending on random choices made. Each edge is labeled by the conditional probability of the algorithm reaching the child node, conditioned on it reaching the parent node for that  $z$ . The overall probability of the algorithm reaching a node  $(A \times B, I, v)$  on input  $z$ , denoted by  $\Pr_{\mathcal{T}, z}[(A \times B, I, v)]$  is obtained by multiplying all the conditional probabilities along the path from the root to  $(A \times B, I, v)$ .

Note that there are at most  $O(n \log n)$  communication steps in  $T$  and at most  $n$  query steps in  $\Pi$  (along with a constant number of additional operations for each of these steps).

## Error analysis of algorithm $\Pi$

In this section we shall prove the following main lemma.

**Lemma 11.** *The algorithm  $\Pi$  makes an error of at most  $1/4 + O(\log n/n)$  when input  $z$  is drawn from  $\lambda$ .*

The proof of this lemma follows from a series of results below. First, we prove an Invariance Lemma which will show that an appropriate  $p_{\max}$  bound holds at right steps in the algorithm.

**Lemma 12** (Invariance Lemma). *Throughout the execution of  $\Pi$ , we show the following invariants:*

1.  $p_{\max}$  bounds of  $2^{-m+0.76\beta}$ ,  $2^{-m+0.79\beta}$ ,  $2^{-m+0.73\beta}$  and  $2^{-m+0.73\beta}$  for the current  $A$  with respect to the current interval  $I$  hold after steps **27**, **29**, **41** and **16** respectively;
2.  $p_{\max}$  bounds of  $2^{-m+0.76\beta}$ ,  $2^{-m+0.79\beta}$ ,  $2^{-m+0.73\beta}$  and  $2^{-m+0.73\beta}$  for the current  $B$  with respect to the current interval  $I$  hold after steps **7**, **9**, **21** and **36** respectively;

*Proof.* We prove the statement for  $A$ . A similar argument holds for  $B$ .

1. **After step 27:** If ABORT does not happen here,  $A$  is set to  $A' = A^b \setminus A^b|_{\text{SMALL}(A, A^b, I)}$  (where we use  $A^b$  to denote  $A \cap X^{v,b}$ ). For all  $x \in A'$  and for all  $i \in I$ , Lemma 5 implies that  $|A'_{x_{I \setminus \{i\}}}| \geq \frac{1}{n^3} \cdot |A_{x_{I \setminus \{i\}}}|$ . Moreover,  $|A'_{x_{I \setminus \{i\}} \circ x_i}| \leq |A_{x_{I \setminus \{i\}} \circ x_i}|$  for every  $x_i, x_{I \setminus \{i\}}$ . Since a  $p_{\max}$  bound of  $2^{-m+0.73\beta}$  holds for  $A$  (refer to the topmost node in Flowchart 1), we have,

$$\max_{x_i} \frac{|A'_{x_{I \setminus \{i\}} \circ x_i}|}{|A'_{x_{I \setminus \{i\}}}|} \leq \max_{x_i} \frac{n^3 \cdot |A_{x_{I \setminus \{i\}} \circ x_i}|}{|A_{x_{I \setminus \{i\}}}|} \leq 2^{-m+0.76\beta}.$$

Hence, the  $p_{\max}$  bound of  $2^{-m+0.76\beta}$  holds for  $A'$ .

**After step 29:** Here an  $A$  for which a  $p_{\max}$  bound of  $2^{-m+0.76\beta}$  holds is set to  $A'$ . We have for every  $x \in A'$  and  $i \in I$ ,  $|A'_{x_{I \setminus \{i\}}}| \geq \frac{1}{n^3} |A_{x_{I \setminus \{i\}}}|$ . Moreover,  $|A'_{x_{I \setminus \{i\}} \circ x_i}| \leq |A_{x_{I \setminus \{i\}} \circ x_i}|$  for all  $x_i, x_{I \setminus \{i\}}$ . So for any  $x_{I \setminus \{i\}}$ ,

$$\max_{x_i} \frac{|A'_{x_{I \setminus \{i\}} \circ x_i}|}{|A'_{x_{I \setminus \{i\}}}|} \leq \max_{x_i} \frac{n^3 \cdot |A_{x_{I \setminus \{i\}} \circ x_i}|}{|A_{x_{I \setminus \{i\}}}|} \leq 2^{-m+0.79\beta}$$

due to the  $p_{\max}$  bound on  $A$ .

**After step 41:** A similar argument holds here. Since the strings in both  $\text{HIGH}(A, 2^{-m+0.7\beta}, I)$  and  $\text{SMALL}(A, A \setminus \text{HIGH}(A, 2^{-m+0.7\beta}, I), I)$  are removed, we have for the remaining strings in the set  $A'$ ,

$$\max_{x_i} \frac{|A'_{x_{I \setminus \{i\}} \circ x_i}|}{|A'_{x_{I \setminus \{i\}}}|} \leq \max_{x_i} \frac{n^3 \cdot |(A \setminus \text{HIGH}(A, 2^{-m+0.7\beta}, I))_{x_{I \setminus \{i\}} \circ x_i}|}{|A_{x_{I \setminus \{i\}}}|} \leq 2^{-m+0.73\beta}$$

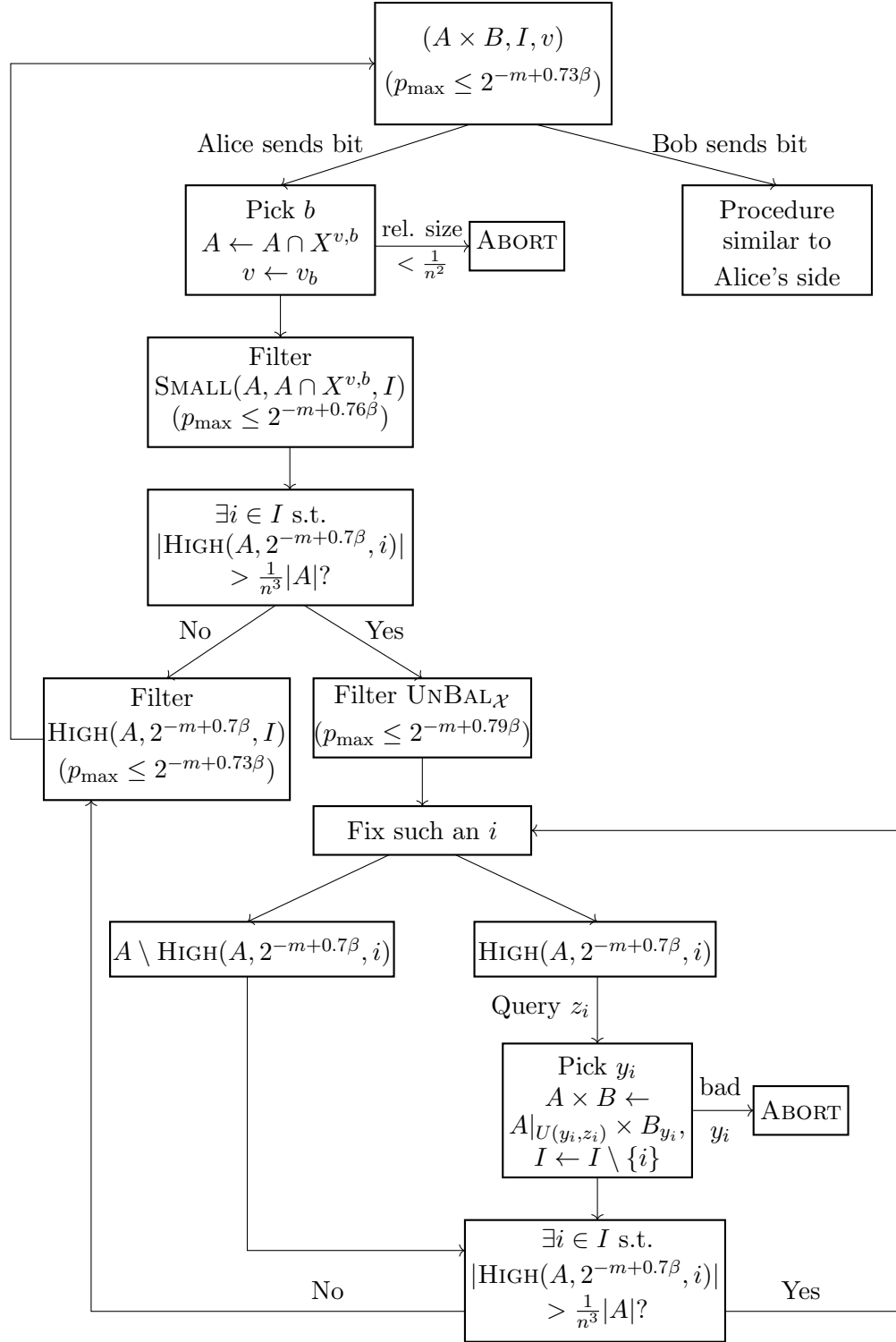


Figure 1: A flowchart description of the algorithm

by the definition of  $\text{HIGH}(A, 2^{-m+0.7\beta}, I)$ .

**After step 16:** The set  $A$  is fixed to  $A_{x_i}$ , for some  $x_i$  and  $i \in I$ , after this step. Since a  $p_{\max}$  bound of  $2^{-m+0.73\beta}$  held before this step in interval  $I$ , and the interval  $I \setminus \{i\}$  is a subset of  $I$ , the same  $p_{\max}$  bound continues to hold.  $\square$

Thus, we conclude that at every step, the  $p_{\max}$  bound is at most  $2^{-m+0.8\beta}$ . We will use this upper bound below unless more precise upper bound is required. Next, we prove the following lemma, which shows that for a node with  $p_{\max}$  bound of  $2^{-m+0.8\beta}$ , each  $z$  is accepted with approximately equal probability. For this, we denote the number of inputs  $(x, y)$  in  $A \times B$  consistent with  $z$  by  $\rho_{(A \times B, I)}(z) = |(A \times B) \cap G^{-1}(z)|$ .

**Lemma 13** (Uniformity lemma). *Let  $(A \times B, I, v)$  be a node of  $\mathcal{T}$  at which a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds for  $A, B$  with respect to  $I$ . Then,*

$$\rho_{(A \times B, I)}(z) \in \frac{1}{2^{|I|}} [1 - 2^{-0.04\beta}, 1 + 2^{-0.04\beta}] \cdot |A| \cdot |B|.$$

*Proof.* Without loss of generality, we assume  $I = \{1, \dots, l\}$ , which means that the bits of  $z$  that have been queried till now are  $l+1, \dots, n$ . Since  $\Pi$  reaches  $(A \times B, I, v)$  on  $z$ , we must have that  $g^{n-l}(x_{[n] \setminus [l]}, y_{[n] \setminus [l]}) = z_{[n] \setminus [l]}$ . We view  $\frac{1}{|A||B|} \rho_{(A \times B, I)}(z)$  as a probability distribution over  $z_I$ , which we denote by  $p(z_I)$ . Our bound shall follow by computing  $p(z_1)p(z_2|z_1) \dots p(z_l|z_1, \dots, z_{l-1})$ . Setting  $I = \{1\}$  in Lemma 6, we conclude that

$$\begin{aligned} p(z_1) &= \sum_{x_1, y_1} p_A(x_1)p_B(y_1) \mathbb{1}_{g(x_1, y_1)=z_1} \\ &= \frac{1}{2} + \frac{1-2z_1}{2} \sum_{x_1, y_1} p_A(x_1)p_B(y_1) M_g(x_1, y_1) \\ &\in \frac{1}{2} [1 - 2^{-0.05\beta}, 1 + 2^{-0.05\beta}]. \end{aligned}$$

Now, we consider  $p(z_2|z_1)$ . For this, it is sufficient to consider  $p(z_2|x_1, y_1)$  for any  $x_1 \in A_{\{1\}}, y_1 \in B_{\{1\}}$  satisfying  $g(x_1, y_1) = z_1$ . Since a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  also holds for the sets  $A_{x_1}, B_{y_1}$  with respect to  $I \setminus \{1\}$ , we can appeal to Lemma 6 to conclude that

$$p(z_2|x_1, y_1) \in \frac{1}{2} [1 - 2^{-0.05\beta}, 1 + 2^{-0.05\beta}] \implies p(z_2|z_1) \in \frac{1}{2} [1 - 2^{-0.05\beta}, 1 + 2^{-0.05\beta}].$$

Proceeding in similar fashion, we conclude that

$$p(z) \in \frac{1}{2^{|I|}} [(1 - 2^{-0.05\beta})^n, (1 + 2^{-0.05\beta})^n] \in \frac{1}{2^{|I|}} [1 - 2^{\log n - 0.05\beta}, 1 + 2^{\log n - 0.05\beta}].$$

This completes the proof.  $\square$

The lemma has the following immediate corollary.

**Corollary 14.** *Consider any node  $(A \times B, I, v)$  that partitions into children  $(A_1 \times B_1, I, v_1)$  and  $(A_2 \times B_2, I, v_2)$  in Steps 7, 11, 27 and 31. Suppose a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds for  $A, B, A_1, B_1$  and  $A_2, B_2$  with respect to  $I$ . Then, it holds for  $i \in \{1, 2\}$  that*

$$\frac{\rho_{(A_i \times B_i, I_i)}(z)}{\rho_{(A \times B, I)}(z)} \in [1 - 2^{-0.039\beta}, 1 + 2^{-0.039\beta}] \cdot \frac{|A_i| \cdot |B_i|}{|A| \cdot |B|}.$$

The lemma below says that in the abort steps of the algorithm, the relative size of aborted set (that is consistent with a fixed  $z$ ) is small. For a node  $((A \times B), I, v)$ , let its *non-query successors* be the set of non-aborting successor nodes  $\{((A_k \times B_k), I, v_k)\}_k$  with the following property: any node that is predecessor to  $((A_k \times B_k), I, v_k)$  and successor to  $((A \times B), I, v)$  is formed in steps 16 or 36.

**Lemma 15.** *Let  $((A \times B), I, v)$  be a node of  $\mathcal{T}$  which does not appear in the steps 16 or 36 in the algorithm  $\Pi$ . Let  $\{((A_k, \times B_k), I_k)\}_k$  be its set of non-query successors. Then it holds that*

$$\frac{\sum_k \rho_{(A_k \times B_k, I_k)}(z)}{\rho_{(A \times B, I)}(z)} \geq 1 - \frac{6}{n^2}.$$

*Proof.* We will compute the quantity in the statement of the lemma for steps corresponding to  $A$ . A similar argument holds for  $B$ . The algorithm aborts on steps 25, 35 and the FILTER steps. We shall consider each of these separately, and further subdivide the argument into query and communication parts.

First we consider the communication steps.

**On steps 25 and 26:** Consider the communication sub-routine of Alice starting from step 23 and ending at step 26, conditioned on being at a node  $(A \times B, I)$  at the beginning of this subroutine at step 23. Note that a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds at  $A$  and a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds for all possible non-aborting  $A_j$  obtained from it at step 26. A  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds for  $B$  at the beginning and does not change in these steps. Hence by Lemma 13,

$$\sum_{\text{non-aborting } j} \frac{\rho_{(A_j \times B, I)}(z)}{\rho_{(A \times B, I)}(z)} \geq (1 - 2^{-0.039\beta}) \sum_{\text{non-aborting } j} \frac{|A_j|}{|A|}.$$

Note that at first  $A$  is partitioned into two subsets  $A^0$  and  $A^1$  according to the picked  $b$  in step 24. At most one of  $A^0$  and  $A^1$  could have lead to an abort and because of our aborting condition we have

$$\sum_{\text{non-aborting } b \in \{0,1\}} \frac{|A^b|}{|A|} \geq 1 - \frac{1}{n^2}.$$

Moreover, from Lemma 5,

$$p_A(\text{SMALL}(A, A^b, I)) \leq \frac{1}{n^2}$$

which gives us

$$\begin{aligned} \sum_{\text{non-aborting } j} \frac{|A_j|}{|A|} &= \sum_{\text{non-aborting } b \in \{0,1\}} \frac{|A^b \setminus \text{SMALL}(A, A^b, I)|}{|A|} \\ &\geq \sum_{\text{non-aborting } b \in \{0,1\}} \frac{1}{|A|} (|A^b| - |\text{SMALL}(A, A^b, I)|) \\ &\geq \left(1 - \frac{1}{n^2}\right) - \frac{2}{n^2} \geq 1 - \frac{3}{n^2}. \end{aligned}$$

So finally we get,

$$\sum_{\text{non-aborting } j} \frac{\rho_{(A_j \times B, I)}(z)}{\rho_{(A \times B, I)}(z)} \geq (1 - 2^{-0.039\beta})(1 - 3n^{-2}) \geq 1 - 3n^{-2} - 2^{-0.039\beta}.$$

This leads to the desired lower bound using the value of  $\beta$ .

**On steps 29 and 41 (no queries):** We can do very similar calculations for the abort on step 29, conditioned on the  $A$  after step 26. Note that a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds for both the parent node  $A$  in step 26 and the non-aborting child node  $A'$  in step 29. Hence

$$\frac{\rho_{(A' \times B, I)}(z)}{\rho_{(A \times B, I)}(z)} \geq (1 - 2^{-0.039\beta}) \cdot \frac{|A'|}{|A|}.$$

Now in  $A'$  the strings  $\text{UNBAL}(A, B, I)$  and the strings  $\text{SMALL}(A, A \setminus \text{UNBAL}(A, B, I), I)$  are removed. By Lemma 7, the total probability loss due to removal of the strings in  $\text{UNBAL}(A, B, I)$  is  $2^{-0.05\beta}$  and the total probability loss due to removal of the strings in  $\text{SMALL}(A, A \setminus \text{UNBAL}(A, B, I), I)$  is  $n^{-2}$  by Lemma 5. Hence the relative size of aborted set is again upper bounded by  $O(n^{-2})$  by the choice of  $\beta$ . A similar argument holds for the abort probability in step 41 if there are no queries carried out.

**On steps 35 and 41 (at least one query):** Now assuming at least one query happens, we consider the aborts on steps 35 and 41, conditioned on being at a node  $(A \times B, I, v)$  before the while loop began. A  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds for  $A, B$  with respect to  $I$  by the Invariance Lemma. By Lemma 13 we can say,

$$\rho_{(A \times B, I)}(z) \leq \frac{1 + 2^{-0.04\beta}}{2^l} |A| \cdot |B| \quad (4)$$

*Warm up, one query:* Consider the simplest case where the while loop has only one iteration, querying say  $z_1$  (where we assume, without loss of generality, that  $\{1\} \in I$ ). In the while loop,  $A$  is split into  $A' (= \text{HIGH}(A, 2^{-m+0.7\beta}, \{1\}))$  and  $A \setminus A'$ .  $A \setminus A'$  exits the while loop without any queries being done, and then a FILTER step is carried out on it, after which a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  holds by the Invariance Lemma. Suppose the part that is not aborted in the FILTER step is  $A''$ , then  $|A''| \geq (1 - 2n^{-2})|A \setminus A'|$ , since at most  $n^{-2}$  fraction is removed in HIGH and SMALL parts each (for this, notice that at this stage,  $|\text{HIGH}(A \setminus A', 2^{-m+0.7\beta}, \{i\})| \leq \frac{|A \setminus A'|}{n^3}$  for all  $i \in I$ ). By Lemma 13 we have,

$$\rho_{(A'' \times B, I)}(z) \geq \frac{1 - 2^{-0.04\beta}}{2^{|I|}} |A''| \cdot |B| \geq \frac{(1 - 2^{-0.04\beta})(1 - 2n^{-2})}{2^{|I|}} |A \setminus A'| \cdot |B|.$$

On  $A'$ ,  $z_1$  is queried and  $A'$  is set to  $A'|_{U(y_1, z_1)}$  depending on the choice of  $y_1$  in step 34. Some of these  $y_1$  lead to abort in step 35. Let  $\text{Ab}$  (representing abort) denote this set of  $y_1$ , that is,  $|A'|_{U(y_1, z_1)}| \leq \frac{1}{n^3}|A'|$ . The non-aborting part then goes through another FILTER step, after which at most  $2n^{-2}$  fraction of  $A'|_{U(y_1, z_1)}$  is removed, and it has a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$  with respect to  $I \setminus \{1\}$ . So if we let  $\{A_k \times B_k\}_k$  denote the rectangles on which a query happens and which are not aborted on steps 35 or 41, then by Lemma 13,

$$\begin{aligned} \sum_k \rho_{(A_k \times B_k, I \setminus \{1\})}(z) &\geq \frac{(1 - 2^{-0.04\beta})(1 - 2n^{-2})}{2^{|I|-1}} \sum_{y_1 \notin \text{Ab}} |A'|_{U(y_1, z_1)}| \cdot |B_{y_1}| \\ &= \frac{(1 - 2^{-0.04\beta})(1 - 2n^{-2})}{2^{|I|-1}} \left( \sum_{y_1} |A'|_{U(y_1, z_1)}| \cdot |B_{y_1}| - \sum_{y_1 \in \text{Ab}} |A'|_{U(y_1, z_1)}| \cdot |B_{y_1}| \right). \end{aligned}$$

We bound each of the summations in the above expression separately. For the first term, note that

$$\sum_{y_1} |A'|_{U(y_1, z_1)}| \cdot |B_{y_1}| = |B| \sum_{x \in A'} \Pr_{y_1 \sim p_B} [g(x_1, y_1) = z_1] \geq \frac{1}{2} (1 - 2^{-0.05\beta}) |A'| \cdot |B| \quad (5)$$

where the inequality holds due to Lemma 7. For the second term we have,

$$\sum_{y_1 \in \text{Ab}} |A'|_{U(y_1, z_1)} \cdot |B_{y_1}| \leq \frac{1}{n^3} |A'| \cdot |B| \sum_{y_1 \in \text{Ab}} p_B(y_1) \leq |A'| \cdot |B| \cdot n^{-3}. \quad (6)$$

This gives us

$$\sum_k \rho_{(A_k \times B_k, I \setminus \{1\})}(z) \geq \frac{(1 - 2^{-0.04\beta})(1 - 2n^{-2})}{2^{|I|}} \left(1 - 2^{-0.05\beta} - \frac{2}{n^3}\right) |A'| \cdot |B|.$$

So we have

$$\begin{aligned} & \frac{\sum_k \rho_{(A_k \times B_k, I \setminus \{1\})}(z) + \rho_{(A'' \times B, I)}(z)}{\rho_{(A \times B, I)}(z)} \\ & \geq (1 - 3n^{-2}) \cdot \frac{1 - 2^{-0.04\beta}}{1 + 2^{-0.04\beta}} \cdot \left(1 - 2^{-0.05\beta} - \frac{2}{n^3}\right) \left(\frac{|A'| + |A \setminus A'|}{|A|}\right) \\ & \geq 1 - 6n^{-2}, \end{aligned}$$

for the choice of  $\beta$ .

*More than one query:* For a larger number of queries, there are more possible divisions of  $A$ , but the calculations are similar, applying different cases of Lemma 7. There are different sequences of queries for the different partitions of the rectangle  $(A \times B)$  at the beginning of the while loop. Recall that the unqueried interval for  $(A \times B)$  is  $I$ . To capture the branching sequence, we consider the subtree  $\mathcal{T}^q$  of  $\mathcal{T}$ , with root at  $(A \times B, I)$  (we shall drop the  $v$  label) and the leaves at all the nodes that reach (but do not exit) step 41. For every non-aborting leaf node  $(A_L \times B_L, I_L)$  of  $\mathcal{T}^q$ , there is a child node  $(A'_L \times B_L, I_L)$  in  $\mathcal{T}$  that goes through step 41 and does not abort. We have the following relation for all leaves  $L \in \mathcal{T}^q$ , using Lemma 13,

$$\rho_{(A'_L \times B_L, I_L)}(z) \geq (1 - 2^{-0.04\beta})(1 - 2n^{-2}) \frac{2^{|I \setminus I_L|}}{2^{|I|}} |A_L| \cdot |B_L|. \quad (7)$$

Let  $\text{Leaf}(\mathcal{T}^q)$  represent the non-aborting leaves of  $\mathcal{T}^q$ . We shall argue that

$$\sum_{L \in \text{Leaf}(\mathcal{T}^q)} \rho_{(A'_L \times B_L, I_L)}(z) \geq (1 - 4n^{-2}) \frac{1}{2^{|I|}} |A| |B|.$$

Combined with Equation 4, this shall allow us to prove the required lower bound. To show the desired inequality, it suffices to lower bound

$$\sum_{L \in \text{Leaf}(\mathcal{T}^q)} 2^{|I \setminus I_L|} |A_L| \cdot |B_L|, \quad (8)$$

To achieve this, we shall evaluate the expression starting from the leaves of  $\mathcal{T}^q$  and going up to the roots.

We call a node *penultimate* if it is a parent of a leaf node. Consider a penultimate node  $L = (A^* \times B^*, I^*)$ , which was partitioned into children  $\{(A_k \times B_k, I')\}_k$ . Suppose the partition happened through a query step (observe that  $I'$  is same for each child, as they are all queried at the same location). Let  $i = I^* \setminus I'$  be the queried location and  $\text{Ab}_i$  be the set of aborting  $y_i$ 's. Following relation holds using Lemma 7, where the argument is similar to that used in Equations 5 and 6:

$$\begin{aligned} \sum_{(A_k \times B_k, I')} |A_k| |B_k| &= \sum_{y_i \notin \text{Ab}_i} |A^*|_{U(y_i, z_i)} |B_{y_i}^*| \\ &\geq \frac{1}{2} \left(1 - 2^{-0.05\beta} - \frac{2}{n^3}\right) |A^*| |B^*|. \end{aligned}$$

If the children of penultimate node were not formed due to any query step, then none of them were aborted (abort only occurs at Step 35 within the While loop) and  $I_L$  did not change. Then it trivially holds that

$$\sum_{(A_k \times B_k, I')} |A_k| |B_k| = |A^*| |B^*|.$$

Now, consider the tree  $\mathcal{T}_1^q$  formed by removing all the leafs from  $\mathcal{T}^q$ . Let  $\text{Leaf}(\mathcal{T}_1^q)$  be the leafs of  $\mathcal{T}_1^q$ . Above argument allows us to conclude that the summation in Equation 8 is lower bounded by the following:

$$(1 - 2^{-0.05\beta} - \frac{2}{n^3}) \sum_{L \in \text{Leaf}(\mathcal{T}_1^q)} 2^{|I \setminus I_L|} |A_L| \cdot |B_L|,$$

Continuing the same process, we can reduce the tree till it is just the node  $(A \times B, I)$ . Then Equation 8 is lower bounded as

$$\sum_{L \in \text{Leaf}(\mathcal{T}^q)} 2^{|I \setminus I_L|} |A_L| \cdot |B_L| \geq (1 - 2^{-0.05\beta} - \frac{2}{n^3})^n |A| |B| \geq (1 - 4n^{-2}) |A| |B|,$$

for the choice of  $\beta$ . This completes the proof.  $\square$

Now we are in a position to do error analysis for the algorithm.

*Proof of Lemma 11.* The probability that  $\Pi$  makes an error is at most the sum of the probability that  $\Pi$  aborts, given by Lemma 15, and the probability that it makes an error on a leaf. We know by Lemma 15 that the overall probability of abort on any  $z$  is at most  $O(\log n/n)$ , hence the overall probability of abort when  $z$  is drawn from  $\lambda$  is also at most  $O(\log n/n)$ .

Let us denote the output of a leaf  $L$  of  $T$  by  $b^L$ . Let the rectangle associated with the leaf  $L$  of  $T$  be denoted as  $A^L \times B^L$ . In  $\mathcal{T}$ , the rectangle  $(A_L \times B_L)$  is partitioned into a collection of rectangles  $\{(A_k \times B_k, I_k, L)\}_k$ , and among these, the set of aborted rectangles is denoted by  $\text{ABORT}(L)$ . Let probability that  $T$  on input  $(x, y)$  drawn uniformly from  $G^{-1}(z)$  for a fixed  $z$ , reaches leaf  $L$  by  $q_z^L$ . By correctness of  $T$  on the distribution  $\mu$  we have,

$$\Pr_{(x,y) \sim \mu} [(x, y), T(x, y)) \in f \circ g^n] = \mathbb{E}_{z \sim \lambda} \left[ \sum_{L: (z, b^L) \in f} q_z^L \right] \geq \frac{3}{4}. \quad (9)$$

Let us further denote the probability of  $\Pi$  reaching the set  $\cup_{k \notin \text{ABORT}(L)} (A_k \times B_k)$  on a fixed input  $z$  by  $q_z'^L$ . We will lower bound

$$\Pr_{z \sim \lambda} [(z, \Pi(z)) \in f] = \mathbb{E}_{z \sim \lambda} \left[ \sum_{L: (z, b^L) \in f} q_z'^L \right].$$

Due to (9), it is enough to show that  $q_z^L$  and  $q_z'^L$  are close. Since  $T$  has no internal randomness and conditioned on a particular  $z$  the underlying distribution is uniform in its support, the probability that an input drawn uniformly from  $G^{-1}(z)$  reaches  $L$  is given only by the relative number of  $(x, y) \in A^L \times B^L$  that are consistent with  $G^{-1}(z)$ . That is,

$$q_z^L = \frac{|(A^L \times B^L) \cap G^{-1}(z)|}{|G^{-1}(z)|}.$$

From Corollary 14, it follows that the probability of  $\Pi$  reaching the set  $\cup_{k \notin \text{ABORT}(L)} (A_k \times B_k)$  on input  $z$  is in the range

$$[(1 - 2^{-0.039\beta})^{n \log n}, (1 + 2^{-0.039\beta})^{n \log n}] \cdot \sum_{k \notin \text{ABORT}(L)} \frac{|(A_k \times B_k) \cap G^{-1}(z)|}{|G^{-1}(z)|},$$

as transition probabilities in  $\Pi$  are according to relative sizes of rectangles in the non-query steps and all the non-aborting leaf nodes have a  $p_{\max}$  bound of  $2^{-m+0.8\beta}$ . So,

$$\begin{aligned} q_z^L &\geq (1 - 2^{2 \log n - 0.039\beta}) \frac{\sum_{k \in \text{ABORT}(L)} |(A_k \times B_k) \cap G^{-1}(z)|}{|G^{-1}(z)|} \\ &\geq (1 - 2^{-0.02\beta}) \frac{|(A^L \times B^L) \cap G^{-1}(z)| - \sum_{k \in \text{ABORT}(L)} |(A_k \times B_k) \cap G^{-1}(z)|}{|G^{-1}(z)|} \\ &= (1 - 2^{-0.02\beta}) q_z^L - (1 - 2^{-0.02\beta}) \sum_{k \in \text{ABORT}(L)} \frac{|(A_k \times B_k) \cap G^{-1}(z)|}{|G^{-1}(z)|} \end{aligned}$$

We now appeal to Lemma 15 and Claim 9, along with the fact that the tree  $\mathcal{T}$  has at most  $O(n \log n)$  steps to conclude that

$$\sum_{L: (z, b^L) \in f} \sum_{k \in \text{ABORT}(L)} \frac{|(A_k \times B_k) \cap G^{-1}(z)|}{|G^{-1}(z)|} \leq O\left(\frac{\log n}{n}\right).$$

This gives us the probability of the algorithm making an error on a leaf to be

$$1 - \mathbb{E}_{z \sim \lambda} \left[ \sum_{L: (z, b^L) \in f} q_z^L \right] \leq 1 - (1 - 2^{-0.02\beta}) \mathbb{E}_{z \sim \lambda} \left[ \sum_{L: (z, b^L) \in f} q_z^L \right] + O\left(\frac{\log n}{n}\right) \leq \frac{1}{4} + O\left(\frac{\log n}{n}\right).$$

□

## Expected number of queries of $\Pi$

**Lemma 16.** *The algorithm  $\Pi$  makes at most  $\frac{3c}{0.7\beta - 8 \log n}$  expected number of queries, where  $c$  is the number of bits communicated in  $\mathcal{T}$  in the worst case.*

*Proof.* We construct a tree  $\mathcal{T}_1$ , which is obtained by following  $\mathcal{T}$ , with three modifications

- The label  $v$  from the node  $((A \times B), I, v)$  is dropped.
- If a leaf node of  $\mathcal{T}$  has aborted, we replace the label of this leaf node with the label of its parent.
- Consider the partition of a node  $((A \times B), I)$  in the Steps 10 and 30, where  $A$  (or similarly  $B$ ) is partitioned into  $\text{HIGH}(A, 2^{-m+0.7\beta}, i)$  and  $A \setminus \text{HIGH}(A, 2^{-m+0.7\beta}, i)$ , and the second partition is further partitioned in similar way. That is,  $A$  (or similarly  $B$ ) is partitioned into  $\{(\cup_k A_k) \cup A_{\text{noquery}}\}$  (or similarly  $\{(\cup_k B_k) \cup B_{\text{noquery}}\}$ ), where  $A_{\text{noquery}}$  and  $B_{\text{noquery}}$  are the partitions on which no query takes place before the next communication step. The children of  $((A \times B), I)$  are taken as  $\{((\cup_k A_k \times B), I), (A_{\text{noquery}} \times B), I\}$  (or similarly for  $B$ ). The node  $((\cup_k A_k \times B), I)$  is further divided into children  $\{((A_k \times B), I)\}_k$ .

Consider the leaf nodes  $\{(A_k \times B_k, I_k)\}_k$  in  $\mathcal{T}_1$ . In each of  $A_k, B_k$ , some strings are fixed in intervals  $J_A, J_B$  respectively (we drop the label  $k$  from these intervals, as it will be clear from context), where  $J_A$  and  $J_B$  are disjoint. Moreover  $J_A \cup J_B = [n] \setminus I_k$ .



Assume for simplicity that  $I_k = \{1, 2, \dots, |I_k|\}$ ,  $J_A = \{|I_k| + 1, |I_k| + 2, \dots, |I_k| + |J_A|\}$  and  $J_B = \{|I_k| + |J_A| + 1, |I_k| + |J_A| + 2, \dots, n\}$ . For any pair of strings  $(x, y) \in A_k \times B_k$ , we have that,

$$\frac{1}{|A_k||B_k|} = p_{A_k}(x)p_{B_k}(y) = p_{A_k}(x_{I_k \cup J_A}) \cdot p_{A_k}(x_{J_B} | x_{I_k \cup J_A}) \times p_{B_k}(y_{I_k \cup J_B}) \cdot p_{B_k}(y_{J_A} | y_{I_k \cup J_B}) \quad (10)$$

We evaluate the term  $p_{A_k}(x_{J_B} | x_{I_k \cup J_A})$  in the following way. Suppose the queries in  $J_B$  happened in the sequence  $\{|I_k| + |J_A| + 1, |I_k| + |J_A| + 2, \dots, n\}$ . Let  $A'_k$  be the ancestor of  $A_k$  when index  $\{n\}$  was queried. Since  $A_k \subseteq A'_k$ , we have

$$p_{A_k}(x_{J_B} | x_{I_k \cup J_A}) = \frac{1}{|(A_k)_{x_{I_k \cup J_A}}|} \geq \frac{1}{|(A'_k)_{x_{I_k \cup J_A}}|} = p_{A'_k}(x_{J_B} | x_{I_k \cup J_A}).$$

Now, the fact that the query happened at index  $\{n\}$  implies  $p_{A'_k}(x_n | x_{I_k \cup J_A}) \geq 2^{-m+0.7\beta}$ . Thus,  $p_{A'_k}(x_{J_B} | x_{I_k \cup J_A}) \geq 2^{-m+0.7\beta} \cdot p_{A'_k}(x_{J_B \setminus \{n\}} | x_{I_k \cup J_A \cup \{n\}})$ . Now, we can consider the ancestor  $A''_k$  of  $A'_k$  at which  $x_{n-1}$  was queried and further lower bound this quantity. Continuing this way, we obtain

$$p_{A_k}(x_{J_B} | x_{I_k \cup J_A}) \geq 2^{-(m-0.7\beta)|J_B|}.$$

Similar argument for  $B_k$  gives us

$$p_{B_k}(y_{J_A} | y_{I_k \cup J_B}) \geq 2^{-(m-0.7\beta)|J_A|}.$$

Combining, we obtain

$$p_{A_k}(x_{J_B} | x_{I_k \cup J_A}) p_{B_k}(y_{J_A} | y_{I_k \cup J_B}) \geq 2^{-(m-0.7\beta)(|J_B|+|J_A|)}.$$

Now, there is at least one  $x_{I_k}$  such that  $p_{A_k}(x_{I_k \cup J_A}) \geq 2^{-m \cdot |I_k|}$  (recall that  $x_{J_A}$  is fixed). Similarly, there is at least one  $y_{I_k}$  such that  $p_{B_k}(y_{I_k \cup J_B}) \geq 2^{-m \cdot |I_k|}$  (recall that  $y_{J_B}$  is fixed). Thus, collectively, we find from Equation 10 that

$$\frac{1}{|A_k||B_k|} \geq 2^{-2m \cdot |I_k|} \cdot 2^{-(|J_A|+|J_B|)(m-0.7\beta)}.$$

This implies that

$$\frac{2^{m(2n-|J_A|-|J_B|)}}{|A_k||B_k|} \geq 2^{m(2n-|J_A|-|J_B|)} \cdot 2^{-2m \cdot |I_k|} \cdot 2^{-(|J_A|+|J_B|)(m-0.7\beta)} = 2^{0.7\beta(|J_A|+|J_B|)}.$$

Taking logarithm on both sides, we get

$$0.7\beta \cdot (|J_A| + |J_B|) \leq \log \left( \frac{2^{m \cdot (n-|J_A|)}}{|A_k|} \frac{2^{m \cdot (n-|J_B|)}}{|B_k|} \right). \quad (11)$$

At this stage we denote the fixed indices in  $A_k$  and  $B_k$  with  $J_{A_k}$  and  $J_{B_k}$  respectively. Now, recall that  $|J_{A_k}| + |J_{B_k}|$  is the number of queries in the rectangle  $(A_k \times B_k)$ . Let

$$E_q := \mathbb{E}_{((A_k \times B_k), I_k) \in \text{Leaf}(\mathcal{T}_1)} (|J_{A_k}| + |J_{B_k}|)$$

be the expected number of queries in the algorithm II. From Equation 11, we conclude that

$$0.7\beta \cdot E_q \leq \mathbb{E}_{((A_k \times B_k), I_k) \in \text{Leaf}(\mathcal{T}_1)} \log \left( \frac{2^{m \cdot (n-|J_{A_k}|)}}{|A_k|} \frac{2^{m \cdot (n-|J_{B_k}|)}}{|B_k|} \right) \quad (12)$$

To upper bound the right hand side, consider any node  $((A \times B), I) \in \mathcal{T}_1$  and its children  $\{((A_j \times B_j), I_j)\}_j$ . It suffices to upper bound

$$\mathbb{E}_{((A_j \times B_j), I_j)} \log \left( \frac{2^{m \cdot (n - |J_{A_j}|)} 2^{m \cdot (n - |J_{B_j}|)}}{|A_j| |B_j|} \right) - \log \left( \frac{2^{m \cdot (n - |J_A|)} 2^{m \cdot (n - |J_B|)}}{|A| |B|} \right), \quad (13)$$

where the expectation is according to the distribution of  $((A_j \times B_j), I_j)$  (as determined by  $\Pi$ ) conditioned on  $((A \times B), I)$ . We first consider the expression arising due to Steps 14 or 34. The values of  $|J_A|, |J_B|$  change only in these steps. Let the parent node be  $((A \times B), I)$  and a query be done on the  $A$  part at index  $i$ . Then the probability of transition to  $((A|_{U(y_i, z_i)} \times B_{y_i}), I \setminus \{i\})$  is equal to  $\frac{|B_{y_i}|}{|B|}$  and condition for non-abort is that  $|A|_{U(y_i, z_i)} \geq \frac{1}{n^3} |A|$ . Let  $\text{Ab}$  be the set of  $y_i$  that led to abort and  $\text{Pr}(\text{Ab}) := \sum_{y_i \in \text{Ab}} \frac{|B_{y_i}|}{|B|}$ . Then recalling that the label of aborted leaf is same as the label of its parent, we can bound Equation 13 in the following way.

$$\begin{aligned} & \sum_{y_i \notin \text{Ab}} \frac{|B_{y_i}|}{|B|} \log \left( \frac{2^{m(2n - |J_{A|_{U(y_i, z_i)}}| - |J_{B_{y_i}}|)}}{|A|_{U(y_i, z_i)} |B_{y_i}|} \right) + \sum_{y_i \in \text{Ab}} \frac{|B_{y_i}|}{|B|} \log \left( \frac{2^{m(2n - |J_A| - |J_B|)}}{|A| |B|} \right) \\ & \leq 3 \log n + \sum_{y_i \notin \text{Ab}} \frac{|B_{y_i}|}{|B|} \log \left( \frac{2^{m(2n - |J_A| - |J_{B_{y_i}}|)}}{|A| |B_{y_i}|} \right) + \sum_{y_i \in \text{Ab}} \frac{|B_{y_i}|}{|B|} \log \left( \frac{2^{m(2n - |J_A| - |J_B|)}}{|A| |B|} \right) \\ & = 3 \log n + \log \left( \frac{2^{m(2n - |J_A| - |J_B|)}}{|A| |B|} \right) + \sum_{y_i \notin \text{Ab}} \frac{|B_{y_i}|}{|B|} \log \left( \frac{|B|}{2^m |B_{y_i}|} \right) \\ & = 3 \log n + \log \left( \frac{2^{m(2n - |J_A| - |J_B|)}}{|A| |B|} \right) + (1 - \text{Pr}(\text{Ab})) \sum_{y_i \notin \text{Ab}} \frac{|B_{y_i}|}{(1 - \text{Pr}(\text{Ab})) |B|} \log \left( \frac{|B|}{2^m |B_{y_i}|} \right) \\ & = 3 \log n + \log \left( \frac{2^{m(2n - |J_A| - |J_B|)}}{|A| |B|} \right) + (1 - \text{Pr}(\text{Ab})) \log \frac{1}{1 - \text{Pr}(\text{Ab})} \\ & \quad + (1 - \text{Pr}(\text{Ab})) \sum_{y_i \notin \text{Ab}} \frac{|B_{y_i}|}{(1 - \text{Pr}(\text{Ab})) |B|} \log \left( \frac{(1 - \text{Pr}(\text{Ab})) |B|}{2^m |B_{y_i}|} \right) \\ & \leq 3 \log n + 1 + \log \left( \frac{2^{m(2n - |J_A| - |J_B|)}}{|A| |B|} \right), \end{aligned} \quad (14)$$

where we have used the facts that  $|J_{A|_{U(y_i, z_i)}}| = |J_A|, |J_B| = |J_{B_{y_i}}| - 1, x \log \frac{1}{x} < 1$  for  $x \in (0, 1)$  and

$$\sum_{y_i \notin \text{Ab}} \frac{|B_{y_i}|}{(1 - \text{Pr}(\text{Ab})) |B|} \log \left( \frac{(1 - \text{Pr}(\text{Ab})) |B|}{|B_{y_i}|} \right) \leq m,$$

being an entropy.

Now, consider the Steps 10 and 30. Recall that the values of  $J_A, J_B$  do not change in these steps. Without loss of generality, we analyze the case where the set  $A$  is partitioned. Suppose  $A$  is partitioned into  $A_1 := \text{HIGH}(A, 2^{-m+0.7\beta}, i_1)$  (for some  $i_1$ ) and  $A \setminus A_1$ .  $A_1$  is queried, but  $A \setminus A_1$  may partition further into  $A_2 := \text{HIGH}(A \setminus A_1, 2^{-m+0.7\beta}, i_2)$  (for some  $i_2$ ) and  $A \setminus (A_1 \cup A_2)$ . Observe that the condition for the while loop in this step of the algorithm implies that for the resulting partition of  $A$  into  $\{A_1, A_2, \dots, A_{\text{noquery}}\}$ , we have  $|A_1| \geq \frac{1}{n^3} |A|, |A_2| \geq \frac{1}{n^3} |A \setminus A_1|$  and so on. In the tree  $\mathcal{T}_1$ ,  $A$  is first partitioned into  $A \setminus A_{\text{noquery}}$  and  $A_{\text{noquery}}$ . For this partition, Equation 13 can be upper bounded by 1. For the partition of  $A \setminus A_{\text{noquery}}$  into its children in  $\mathcal{T}_1$ , Equation 13 can be upper bounded as

$$\sum_j \frac{|A_j|}{|A \setminus A_{\text{noquery}}|} \log \frac{|A \setminus A_{\text{noquery}}|}{|A_j|} \leq \sum_{j=1}^{\infty} \frac{1}{n^3} \left(1 - \frac{1}{n^3}\right)^{j-1} \log \frac{n^3}{\left(1 - \frac{1}{n^3}\right)^{j-1}} \leq 4 \log n. \quad (15)$$

In all other steps where a contribution to Equation 13 arises, the values of  $|J_{A_k}|, |J_{B_k}|$  do not change and a parent rectangle splits into two rectangles. Hence we can give an upper bound of 1 to the contribution. Now, observe that for the root  $((A_k, B_k), I_k)$  of  $\mathcal{T}$ , we have

$$\log \left( \frac{2^{m(2n - |J_{A_k}| - |J_{B_k}|)}}{|A_k||B_k|} \right) = 0.$$

Thus, we obtain the following upper bound:

$$\begin{aligned} & \mathbb{E}_{((A_k \times B_k), I_k) \in \text{Leaf}(\mathcal{T}_1)} \log \left( \frac{2^{m(2n - |J_{A_k}| - |J_{B_k}|)}}{|A_k||B_k|} \right) \\ & \leq (7 \log n + 2) \cdot \mathbb{E}_{((A_k \times B_k), I_k) \in \text{Leaf}(\mathcal{T}_1)} (|J_{A_k}| + |J_{B_k}|) + 3c, \end{aligned} \quad (16)$$

where we have used Equations 14 and 15 for each query; used the fact that each partition of  $A$  into  $A \setminus A_{\text{noquery}}$  and  $A_{\text{noquery}}$  can be associated to either an immediately preceding communication step or an immediately preceding query step; and for each communication in  $T$ , there are at most two partitions of current rectangle. Equations 12, 16 now give

$$(0.7\beta - 8 \log n)E_q \leq 3c \implies E_q \leq \frac{3c}{0.7\beta - 8 \log n}.$$

This proves the lemma. □

## Acknowledgement

This work is supported by the Singapore Ministry of Education and the National Research Foundation, also through the Tier 3 Grant ‘‘Random numbers from quantum processes’’ MOE2012-T3-1-009.

## References

- [GLM<sup>+</sup>15] Mika Göös, Shachar Lovett, Raghu Meka, Thomas Watson, and David Zuckerman. Rectangles are nonnegative juntas. In *Proceedings of the Forty-seventh Annual ACM Symposium on Theory of Computing, STOC ’15*, pages 257–266, New York, NY, USA, 2015. ACM.
- [GPW15] Mika Göös, Toniann Pitassi, and Thomas Watson. Deterministic communication vs. partition number. In *2015 IEEE 56th Annual Symposium on Foundations of Computer Science*, pages 1077–1088, Oct 2015.
- [GPW17] Mika Göös, Toniann Pitassi, and Thomas Watson. Query-to-communication lifting for BPP. 2017. <https://arxiv.org/abs/1703.07666>.
- [LSS08] T. Lee, A. Shraibman, and R. Spalek. A direct product theorem for discrepancy. In *2008 23rd Annual IEEE Conference on Computational Complexity*, pages 71–80, June 2008.
- [RM99] Ran Raz and Pierre McKenzie. Separation of the monotone nc hierarchy. *Combinatorica*, 19(3):403–435, 1999.
- [She11] Alexander A. Sherstov. The pattern matrix method. *SIAM Journal on Computing*, 40(6):1969–2000, 2011.

---

**Algorithm 2:** Randomized query algorithm  $\Pi$  for  $f$ 

---

**Input:**  $z \in \{0, 1\}^n$

- 1 Initialize  $v$  as root of the protocol tree  $T$ ,  $I = [n]$ ,  $A = (\{0, 1\}^m)^n$  and  $B = (\{0, 1\}^m)^n$
- 2 **while**  $v$  is not a leaf **do**
- 3     **if** Bob sends a bit at  $v$  **then**
- 4         For  $b \in \{0, 1\}$  pick  $B' = B \cap Y^{v,b}$  with probability  $|B \cap Y^{v,b}|/|B|$
- 5         **if**  $|B'| < \frac{1}{n^2}|B|$  for the picked  $b$  **then** ABORT
- 6         FILTER( $B'$ , SMALL( $B, B', I$ ))
- 7         Set  $v \leftarrow v_b$  and  $B \leftarrow B'$
- 8         **if** there is an  $i \in I$  such that  $|\text{HIGH}(B, 2^{-m+0.7\beta}, i)| > \frac{1}{n^3}|B|$  **then**
- 9             FILTER( $B$ , UNBAL $_{\mathcal{Y}}$ ( $A, B, I$ )  $\cup$  SMALL( $B, B \setminus \text{UNBAL}_{\mathcal{Y}}$ ( $A, B, I$ ),  $I$ ))
- 10            **while**  $|\text{HIGH}(B, 2^{-m+0.7\beta}, i)| > \frac{1}{n^3}|B|$  for some  $i \in I$  **do**
- 11                Pick  $B' = \text{HIGH}(B, 2^{-m+0.7\beta}, i)$  or  $B \setminus \text{HIGH}(B, 2^{-m+0.7\beta}, i)$  with probability  $|\text{HIGH}(B, 2^{-m+0.7\beta}, i)|/|B|$  or  $1 - |\text{HIGH}(B, 2^{-m+0.7\beta}, i)|/|B|$  respectively
- 12                **if**  $B' = \text{HIGH}(B, 2^{-m+0.7\beta}, i)$  is picked **then**
- 13                    Query  $z_i$
- 14                    Pick  $x_i \in \{0, 1\}^m$  with probability  $|A_{x_i}|/|A|$
- 15                    **if**  $|B'|_{V(x_i, z_i)} < \frac{1}{n^3}|B'|$  **then** ABORT
- 16                    Set  $B \leftarrow B'|_{V(x_i, z_i)}$ ,  $A \leftarrow A_{x_i}$  and  $I \leftarrow I \setminus \{i\}$
- 17                **end**
- 18                Set  $B \leftarrow B'$
- 19            **end**
- 20         **end**
- 21         FILTER( $B$ , HIGH( $B, 2^{-m+0.7\beta}, I$ )  $\cup$  SMALL( $B, B \setminus \text{HIGH}(B, 2^{-m+0.7\beta}, I)$ ,  $I$ ))
- 22     **end**
- 23     **else if** Alice sends a bit at  $v$  **then**
- 24         For  $b \in \{0, 1\}$  pick  $A' = A \cap X^{v,b}$  with probability  $|A \cap X^{v,b}|/|A|$
- 25         **if**  $|A'| < \frac{1}{n^2}|A|$  for the picked  $b$  **then** ABORT
- 26         FILTER( $A'$ , SMALL( $A, A', I$ ))
- 27         Set  $v \leftarrow v_b$  and  $A \leftarrow A'$
- 28         **if** there is an  $i \in I$  such that  $|\text{HIGH}(A, 2^{-m+0.7\beta}, i)| > \frac{1}{n^3}|A|$  **then**
- 29             FILTER( $A$ , UNBAL $_{\mathcal{X}}$ ( $A, B, I$ )  $\cup$  SMALL( $A, A \setminus \text{UNBAL}_{\mathcal{X}}$ ( $A, B, I$ ),  $I$ ))
- 30            **while**  $|\text{HIGH}(A, 2^{-m+0.7\beta}, i)| > \frac{1}{n^3}|A|$  for some  $i \in I$  **do**
- 31                Pick  $A' = \text{HIGH}(A, 2^{-m+0.7\beta}, i)$  or  $A \setminus \text{HIGH}(A, 2^{-m+0.7\beta}, i)$  with probability  $|\text{HIGH}(A, 2^{-m+0.7\beta}, i)|/|A|$  or  $1 - |\text{HIGH}(A, 2^{-m+0.7\beta}, i)|/|A|$  respectively
- 32                **if**  $A' = \text{HIGH}(A, 2^{-m+0.7\beta}, i)$  is picked **then**
- 33                    Query  $z_i$
- 34                    Pick  $y_i \in \{0, 1\}^m$  with probability  $|B_{y_i}|/|B|$
- 35                    **if**  $|A'|_{U(y_i, z_i)} < \frac{1}{n^3}|A'|$  **then** ABORT
- 36                    Set  $A \leftarrow A'|_{U(y_i, z_i)}$ ,  $B \leftarrow B_{y_i}$  and  $I \leftarrow I \setminus \{i\}$
- 37                **end**
- 38                Set  $A \leftarrow A'$
- 39            **end**
- 40         **end**
- 41         FILTER( $A$ , HIGH( $A, 2^{-m+0.7\beta}, I$ )  $\cup$  SMALL( $A, A \setminus \text{HIGH}(A, 2^{-m+0.7\beta}, I)$ ,  $I$ ))
- 42     **end**

20

- 43 **end**
- 44 Output as  $T$  does on the leaf  $v$ .

---

---

**Procedure 3:** Filter( $T, S$ )

---

**Input:**  $T \subseteq (\{0, 1\}^m)^n$  and  $S \subseteq T$

- 1 Pick  $T' = S$  or  $T \setminus S$  with probability  $|S|/|T|$  or  $1 - |S|/|T|$  respectively
  - 2 **if**  $T' = S$  *is picked* **then** ABORT
  - 3 Set  $T \leftarrow T'$
-