

# Notes for Luby Algorithm: Parallel Maximal Independent Sets

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January 28, 2016

## 1 The Algorithm

**Problem :** Given a graph find a maximal independent set.

1.  $I = \emptyset$ ,  $G' = G$ .
2. While ( $G'$  is not the empty graph) do IN PARALLEL
  - (a) Choose a random set of vertices  $S \subseteq G'$  by selecting each vertex  $v$  independently with probability  $1/2d(v)$ .
  - (b) For every edge  $(u, v) \in E(G')$  if both endpoints are in  $S$  then remove the vertex of lower degree from  $S$  (Break ties arbitrarily). Denote this new set  $S'$ .
  - (c)  $I = I \cup S'$ .  $G' = G' - (S' \cup N(S'))$ , i.e.,  $G'$  is the induced subgraph on  $V' = (V - (S' \cup N(S')))$  where  $V'$  is the previous vertex set.
3. output the independent set  $I$

**Correctness :** We see that at each stage the set  $S'$  that is added is an independent set. Moreover since we remove, at each stage,  $S' \cup N(S')$  the set  $I$  remains an independent set. Also note that all the vertices removed from  $G'$  at a particular stage are either vertices in  $I$  or neighbors of some vertex in  $I$ . So the algorithm always outputs a maximal independent set.

- A single round can be done in constant time using  $O(|V|^2)$  processors
- The expected value of the number of rounds is in  $O(\log n)$ . With  $n = |E|$

## 2 Expected Running Time (Number of rounds)

In this section we prove that the algorithm take  $O(\log n)$  time. Let  $G_j = (V_j, E_j)$  denote the graph after stage  $j$

**Main Lemma:** For some  $k < 1$ ,

$$E(|E_j| \mid |E_{j-1}|) < k|E_{j-1}|.$$

Hence, in expectation, only  $O(\log n)$  rounds will be required, where  $n = |E_0|$ . We say vertex  $v$  is *bad* if more than  $2/3$  of the neighbors of  $v$  are of higher degree than  $v$ . We say an edge is *bad* if both of its endpoints are bad, otherwise the edge is *good*.

The key claims are that at least half the edges are *good*, and each *good* edge is deleted with a constant probability. The main lemma then follows immediately.

**Lemma 1 :** At least half the edges are *good*.

**Proof :** Denote the set of bad edges by  $E_B$ . We will define  $f : E_B \rightarrow \binom{E}{2}$  so that for all  $e_1 \neq e_2 \in E_B, f(e_1) \cap f(e_2) = \emptyset$ . This proves  $|E_B| \leq |E|/2$ , and we are done.

The function  $f$  is defined as follows. For each  $(u, v) \in E$ , direct it to the higher degree vertex. Break ties as in the algorithm. Now, suppose  $(u, v) \in E_B$ , and is directed towards  $v$ . Since  $v$  is *bad*, it has at least twice as many edges out as in. Hence we can pair two edges out of  $v$  with every edge into  $v$ .

**Lemma 2:** If  $v$  is *good* then  $\Pr(N(v) \cap S \neq \emptyset) \geq 2\alpha$ , where  $\alpha = 1/2 \times (1 - e^{-1/6})$ .

**Proof:** Define  $L(v) := \{w \in N(v) | d(w) \leq d(v)\}$ .

By definition,  $|L(v)| \geq d(v)/3$  if  $v$  is a *good* vertex.

$$\begin{aligned}
\Pr(N(v) \cap S \neq \emptyset) &= 1 - \Pr(N(v) \cap S = \emptyset) \\
&= 1 - \prod_{w \in N(v)} \Pr(w \notin S) \quad \text{using full independence} \\
&\geq 1 - \prod_{w \in L(v)} \Pr(w \notin S) \\
&= 1 - \prod_{w \in L(v)} \left(1 - \frac{1}{2d(w)}\right) \\
&\geq 1 - \prod_{w \in L(v)} \left(1 - \frac{1}{2d(v)}\right) \\
&\geq 1 - \exp(-|L(v)|/2d(v)) \\
&\geq 1 - \exp(-1/6),
\end{aligned}$$

Note, the above lemma is using full independence in its proof. And  $\Pr$ : Probability.

**Lemma 3:**  $\Pr(w \in S' | w \in S) \leq 1/2$ .

**Proof :** Let  $H(w) = N(w) \setminus L(w) = \{z \in N(w) : d(z) > d(w)\}$ .

$$\begin{aligned}
\Pr( w \notin S' \mid w \in S ) &= \Pr( H(w) \cap S \neq \emptyset \mid w \in S ) \\
&\leq \sum_{z \in H(w)} \Pr( z \in S \mid w \in S ) \\
&\leq \sum_{z \in H(w)} \frac{\Pr( z \in S, w \in S )}{\Pr( w \in S )} \\
&= \sum_{z \in H(w)} \frac{\Pr( z \in S ) \Pr( w \in S )}{\Pr( w \in S )} \text{ using pairwise independence} \\
&= \sum_{z \in H(w)} \Pr( z \in S ) \\
&= \sum_{z \in H(w)} \frac{1}{2d(z)} \\
&\leq \sum_{z \in H(w)} \frac{1}{2d(v)} \\
&\leq \frac{1}{2}.
\end{aligned}$$

**Lemma 4:** If  $v$  is *good* then  $\Pr( v \in N(S') ) \geq \alpha$

**Proof:** Let  $V_G$  denote the *good* vertices. We have

$$\begin{aligned}
\Pr( v \in N(S') \mid v \in V_G ) &= \Pr( N(v) \cap S' \neq \emptyset \mid v \in V_G ) \\
&= \Pr( N(v) \cap S' \neq \emptyset \mid N(v) \cap S \neq \emptyset, v \in V_G ) \Pr( N(v) \cap S \neq \emptyset \mid v \in V_G ) \\
&\geq \Pr( w \in S' \mid w \in N(v) \cap S, v \in V_G ) \Pr( N(v) \cap S \neq \emptyset \mid v \in V_G ) \\
&\geq (1/2)(2\alpha) \\
&= \alpha
\end{aligned}$$

**Corollary 4:** If  $v$  is *good* then the probability that  $v$  gets deleted is at least  $\alpha$ .

**Corollary 5:** If an edge  $e$  is *good* then the probability that it gets deleted is at least  $\alpha$ .

**Proof:**  $\Pr( e = (u, v) \in E_{j-1} \setminus E_j ) \geq \Pr( v \text{ gets deleted} )$ .

We now return the main lemma :

**Main Lemma :**

$$E(|E_j| \mid E_{j-1}) < k|E_{j-1}|(1 - \alpha/2).$$

**Proof:**

$$\begin{aligned}
E(|E_j| \mid E_{j-1}) &= \sum_{e \in E_{j-1}} 1 - \Pr( e \text{ gets deleted} ) \\
&\leq |E_{j-1}| - \alpha |GOOD \text{ edges}| \\
&\leq |E_{j-1}|(1 - \alpha/2).
\end{aligned}$$

The constant  $\alpha$  is approximately 0.076.

Thus,

$$E(|E_j|) \leq |E_0|(1 - \alpha/2)^j \leq n \exp(-j\alpha/2) < 1$$

for  $j \geq 2/\alpha \log n$ . Therefore, the expected number of rounds required is  $\leq 4n = O(\log n)$ .

**Theorem:**

The expected number of rounds in Luby algorithm for finding maximal independent set is in  $O(\log n)$ .

## References

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