

Borodin's Gap Theorem

In space/time hierarchy we showed that having “little” extra space or time allows us to compute “more” functions/decide more languages. However we needed the requirement that time/space bounds be “fully constructible”. Can we get rid of this requirement?

Not in general!

Theorem (Borodin): Suppose h is a recursive function such that $h(n) \geq n$. Then there exists an increasing recursive function g such that, $\text{DTIME}(g(n)) = \text{DTIME}(h(g(n)))$.

Similar Theorem applies for space.

Proof: Suppose $T_k(n)$ denotes the maximum time taken by machine k on any input of length n . Note that $T_k(n)$ is partial recursive in k and n .

We will construct a recursive function g such that, for each k , at least one of the following holds.

- (1) $T_k(n) \leq g(n)$ for all but finitely many n .
- (2) $T_k(n) > h(g(n))$ for infinitely many n .

Thus no machine has time complexity between $g(n)$ and $h(g(n))$ for all but finitely many n .

Let $g(0) = 1$. Define $g(n)$, for $n \geq 1$ as follows.

$g(n)$.

Search for a $j > g(n-1)$ such that, for all $y < n$, $[T_y(n) > h(j)$,
or $T_y(n) < j]$.

When such a j is found let $g(n) = j$.

First note that such a j must exist (note that $j = 1 + \max(\{T_y(n) : y \leq n \text{ and } T_y(n) < \infty\})$ satisfies the constraints).

Claim: For every k , g satisfies at least one of (1) and (2) above.

Suppose k is given. By construction, for all $n > k$, $T_k(n) < g(n)$ or $T_k(n) > h(g(n))$. Thus, either there are infinitely many n such that $T_k(n) > h(g(n))$, or, for all but finitely many n , $T_k(n) < g(n)$.

Thus either (1) or (2) must hold.

Now, $\text{DTIME}(g(n)) \subseteq \text{DTIME}(h(g(n)))$, since $h(g(n)) \geq g(n)$.

Suppose L is a language in $\text{DTIME}(h(g(n)))$, as witnessed by machine M_k . Then for all but finitely many n , $T_k(n) \leq g(n)$ (since (2) is not true, (1) must be true!).

Thus L must also be in $\text{DTIME}(g(n))$ (finitely many inputs on which M_k took more time can be patched). QED

Intuitively what the gap theorem says is that for certain $g(n)$ time bounded computations, it does not matter if we even allowed $h(g(n))$ time!

For example if $h(n) = 2^n$, then at $g(n)$ even allowing exponentially more time does not help. Contrast this with the time hierarchy theorem where we showed that if $T(n)$ is fully time constructible then even slightly more than extra logarithmic factors increases what one can accept.

Ofcourse $h(g(.))$ in the above theorem cannot be fully time constructible.

Space below $\log\log n$

Theorem: Suppose space complexity of M is not bounded by a constant for strings which M accepts.

That is, for every i , there exists an input x accepted by M , on which M uses space at least i .

Then, there exists a constant c such that, for infinitely many n , M uses space at least $c \log\log n$, on some input of length n .

Proof: We will show:

There exist infinitely many i such that, M uses space at least i on some input (accepted by M) of length at most $2^{2^{c'i}}$, for some constant c' .

Crossing Sequence:

sequence of (state, work tape contents/head positions, input head move direction), each time the head crosses the boundary between two input cells.

Proposition: Suppose $y = y_1y_2$ and $x = x_1x_2$. Suppose M accepts by moving to the right end of the input. Consider the crossing sequence of M at the boundaries of the cells, for inputs y and x respectively. Suppose M accepts x and the crossing sequence is identical at the boundary of y_1 and y_2 to that of x_1 and x_2 . Then M also accepts y_1x_2 .

Let s be number of states of M , r the alphabet size, and k the number of work tapes.

Consider i such that M uses space i on some input and accepts.

Let y be shortest such string.

Since M accepts y , no ID is repeated.

Thus, at any boundary, no component in the crossing sequence is repeated.

Thus the number of possible crossing sequences is at most $factorial(1 + 2 * s * i^k * r^{ik})$

As crossing sequence at different boundaries are different, we have:

$$|y| \leq factorial(1 + 2 * s * i^k * r^{ik}) \leq factorial(2^{c''i}) \leq 2^{2^{c'i}},$$

for some constants c', c'' .

QED

Theorem: Consider the following language $L = \{1^k 0 1^n : k, n \geq 2 \text{ and } n \text{ is divisible by each } c \leq k\}$. Then $L \in \text{DSPACE}(\log \log n)$.

Proof: Consider the following M . M rejects any input not of the form $1^k 0 1^n$, for some k and $n \geq 2$.

M then works as follows.

1. $c \leftarrow 1$.

(* c is a counter and is kept in first work tape *)

Loop

2. Check whether n is divisible by c .

3. If n is divisible by c then let $c \leftarrow c + 1$ and go to next iteration of the loop.

4. If n is not divisible by c , Then check whether $c > k$. If so accept. Otherwise reject.

Forever

One can implement step 2 above as follows:

- (a) Place the input head at the beginning of 1^n .
- (b) Copy c to work tape 2 (call the counter in tape 2, c').
- (c) Go on decrementing c' on tape 2 and moving input head right with each decrement until c' becomes zero or end of 1^n is reached.

If the end of 1^n is reached before c' becomes 0, then n is not divisible by c . If head reaches end of 1^n exactly when c' becomes 0, then n is divisible by c . If end of 1^n is not reached when c' becomes 0, then go to (b).

Clearly, the language accepted by M above is L .

The space required by M can be bounded as follows.

Suppose r is the maximum value of c in the above computation. (i.e. r is the least number such that n is not divisible by r).

Then the space needed by M is $O(\log r)$.

We know that n is divisible by all numbers smaller than r , and thus all prime numbers smaller than r .

By the prime number theorem, for some constant c' , there are at least $c' * \frac{r}{\log r}$ such prime numbers.

Thus $n \geq \text{factorial}(w) \geq 2^w$, where $w = \Omega(\frac{r}{\log r})$.

Thus, $n \geq 2^{\Omega(\frac{r}{\log r})}$, for large enough n .

Since space used is $O(\log r)$, space used is bounded by $O(\log \log n)$ and hence by $O(\log \log(n + k + 1))$.