Query Optimization in Relational Database Systems

It is safer to accept any chance that offers itself, and extemporize a procedure to fit it, than to get a good plan matured, and wait for a chance of using it.

Thomas Hardy (1874) in Far from the Madding Crowd

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Review: Case where index is useful

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Query Optimization

- Since each relational op returns a relation, ops can be composed!
- Queries that require multiple ops to be composed may be composed in different ways - thus *optimization* is necessary for good performance, e.g. A ⋈ B ⋈ C ⋈ D can be evaluated as follows:
 - (((A \bowtie B) \bowtie C) \bowtie D)
 - ((A ⋈B) ⋈ (C ⋈ D))
 - ((B ⋈ A) ⋈ (D ⋈ C))

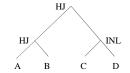
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Query Optimization

• Each strategy can be represented as a query evaluation plan (QEP) - Tree of R.A. ops, with choice of algo for each op.





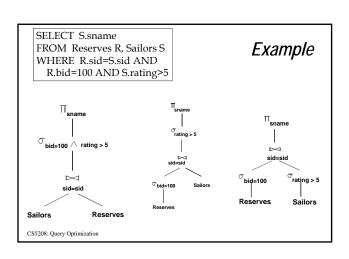
 Goal of optimization: To find the "best" plan that compute the same answer (to avoid "bad" plans)

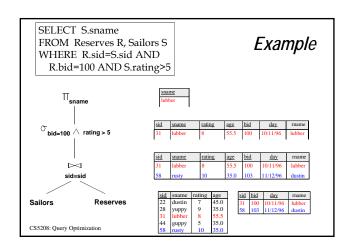
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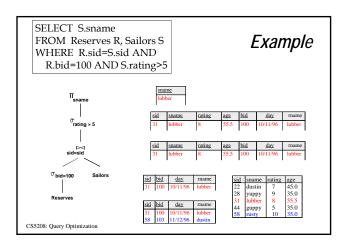
More on Motivating Examples

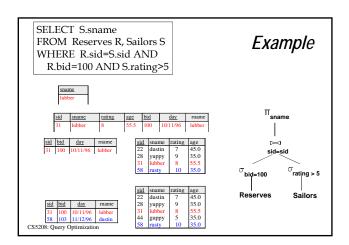
Sailors (<u>sid: integer</u>, sname: string, rating: integer, age: real) Reserves (<u>sid: integer, bid: integer, day: dates</u>, rname: string)

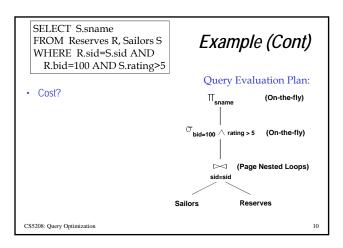
- · Reserves:
 - Each tuple is 40 bytes long, 100 tuples per page, 1000 pages.
- Sailors
 - Each tuple is 50 bytes long, 80 tuples per page, 500 pages.

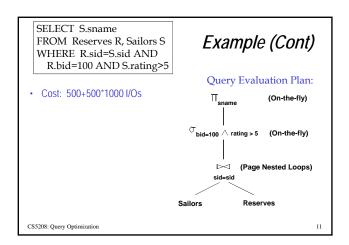


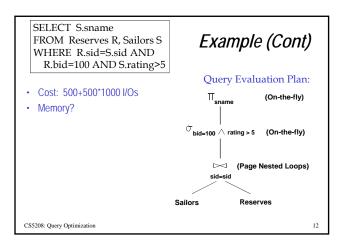


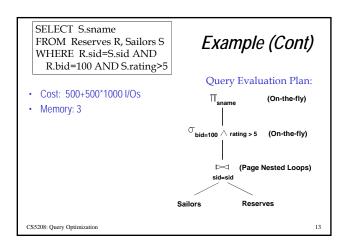


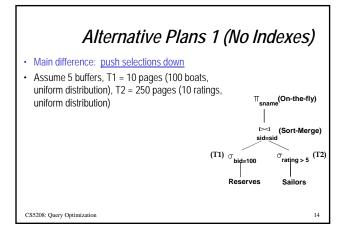


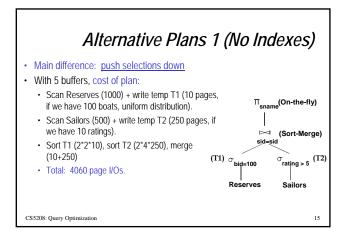


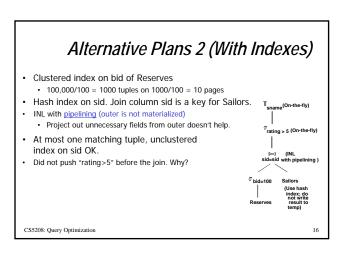


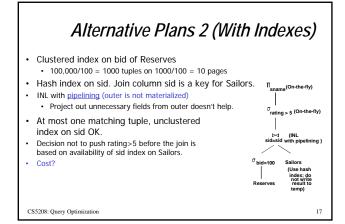


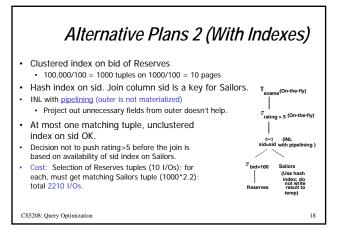


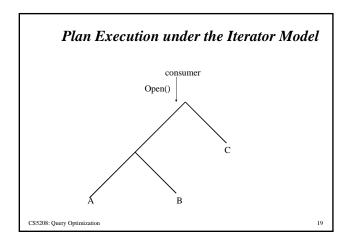


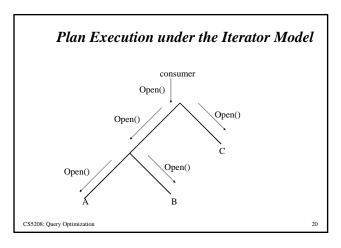


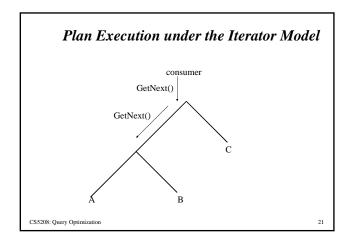


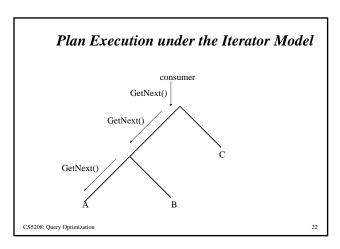


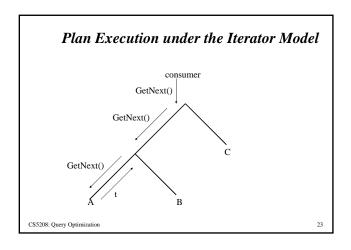


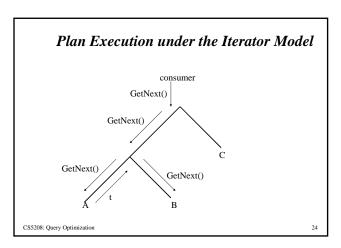


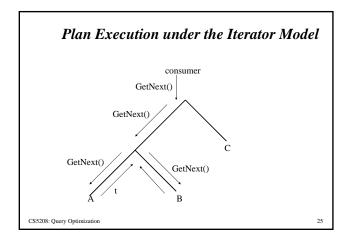


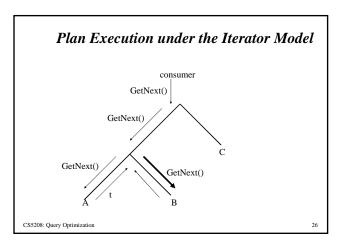


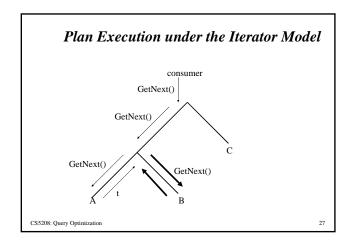


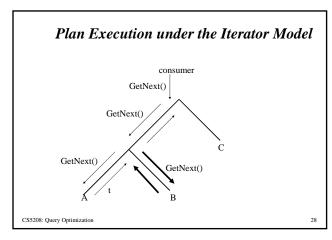


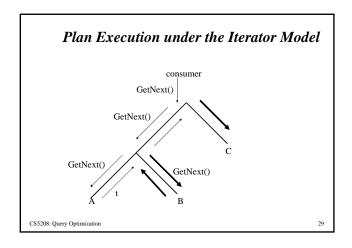


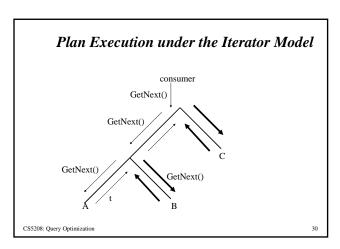


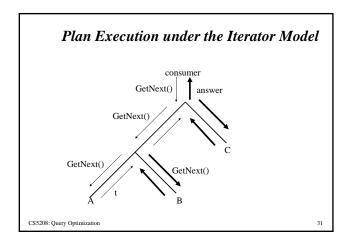


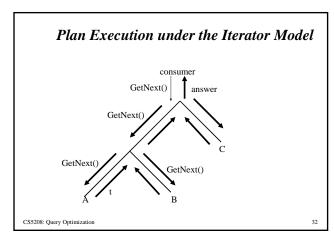


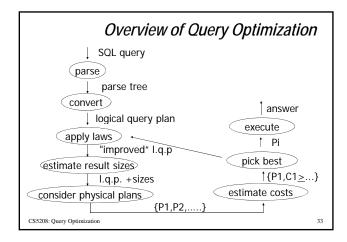


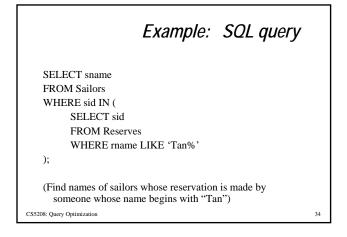


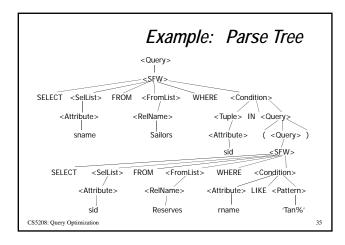


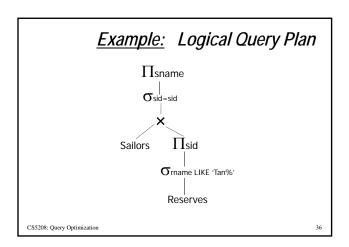




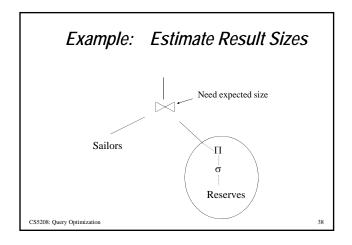


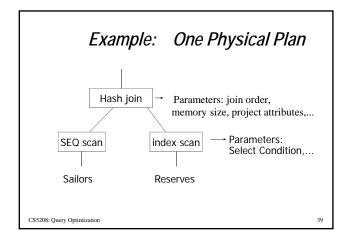


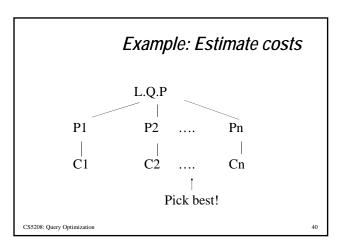




Example: Improved Logical Query Plan Isname Question: Push project to Sailors? Sailors Isid Orname LIKE 'TAN%' Reserves CS5208: Query Optimization 37







Relational Algebra Equivalences

- Allow us to choose different join orders and to `push' selections and projections ahead of joins.
- · Rules on joins, cross products and union

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Relational Algebra Equivalences

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 $R \times S = S \times R$

 $(R \times S) \times T = R \times (S \times T)$

Relational Algebra Equivalences

- Allow us to choose different join orders and to `push' selections and projections ahead of joins.
- · Rules on joins, cross products and union

 $R \times S = S \times R$

(R x S) x T = R x (S x T)

RUS = SUR

RU(SUT) = (RUS)UT

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Rules: Selects

$$\sigma_{p1 \wedge p2}(R) =$$

$$\sigma_{p1vp2}(R) =$$

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Rules: Selects

$$\sigma_{p1\text{A}p2}(R) = \sigma_{p1} \ [\sigma_{p2}(R)]$$

$$\mathbf{O}_{p1vp2}(R) = [\mathbf{O}_{p1}(R)] U [\mathbf{O}_{p2}(R)]$$

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Rules: Project

Let: X = set of attributes

Y = set of attributes

XY = X U Y

 $\pi_{xy}(R) = \pi_x[\pi_y(R)]$

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Rules: Project

Let: X = set of attributes

Y = set of attributes

XY = X U Y

 $\pi_{xy}(R) = \pi_x[\pi_y(R)]$

 $\pi_x(R) = \pi_x[\pi_y(R)]$ if y contains x

Rules: $\sigma + \bowtie$ *combined*

Let P = predicate with only R attribs

Q = predicate with only S attribs

M – predicate with only R,S attribs

$$\sigma_{n}(R \bowtie S) =$$

$$\sigma_{\alpha}(R \bowtie S) =$$

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Rules: $\sigma + \bowtie$ *combined*

Let P = predicate with only R attribs

Q = predicate with only S attribs

M - predicate with only R,S attribs

$$\sigma_{n}(R \bowtie S) = [\sigma_{p}(R)] \bowtie S$$

$$\sigma_{\mathsf{q}}(\mathsf{R}\bowtie\mathsf{S})=\mathsf{R}\bowtie\left[\sigma_{\mathsf{q}}(\mathsf{S})\right]$$

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Bags vs. Sets

 $R = \{a,a,b,b,b,c\}$ $S = \{b,b,c,c,d\}$

RUS = ?

• Option 1 SUM

 $RUS = \{a,a,b,b,b,b,c,c,c,d\}$

• Option 2 MAX

 $RUS = \{a,a,b,b,b,c,c,d\}$

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"SUM" is implemented

- Use "SUM" option for bag unions
- Some rules cannot be used for bags
 - e.g. $A \cap_s (B \cup_s C) = (A \cap_s B) \cup_s (A \cap_s C)$

Let A, B and C be $\{x\}$

 $B \cup_B C = \{x, x\} \qquad A \cap_B (B \cup_B C) = \{x\}$ $A \cap_B B = \{x\} \qquad A \cap_B C = \{x\}$ $(A \cap_B B) \cup_B (A \cap_B C) = \{x, x\}$

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Review

- Consider the join R JOIN(R.a=S.b) S, given the following information about the relations to be joined. The cost metric is the number of page I/Os, and the cost of writing out the result should be ignored.
 - R contains 10,000 tuples and has 10 tuples per page.
 - S contains 20,000 tuples and has 10 tuples per page.
 - S.b is the primary key for S.
 - Both relations are stored as simple heap files.
 - 102 buffer pages are available (inclusive of input/output buffers).
- What is the cost of joining R and S using a block nested-loops join algorithm?
 What is the minimum number of buffer pages required for this cost to remain unchanged?
- What is the cost of joining R and S using a sort-merge join algorithm? What is
 the minimum number of buffer pages required for this cost to remain unchanged?

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Review

- Block Nested Loops Join.
 - Using R as the outer relation, and 1 page for input and output buffer.
 - cost = 10,000/10 + (10,000/10)/100*20,000/10 = 21,000
 - minimum number of buffer page s= 102 (no change)
- Sort-merge Join
 - · Each relation needs 2 passes to sort
 - Cost to sort R = 2*2*10,000/10; cost to sort S = 2*2*20,000/10
 - Cost = 4000 + 8000 + 1000 + 2000 = 15,000
 - min buffer required is the same as that required to sort the larger relation, which is S. So, min buffer = 46

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Query Optimizer

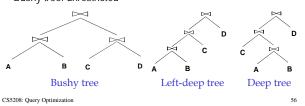
- · Find the "best" plan (more often avoids the bad plan)
- · Comprises the following
 - · Plan space
 - · huge number of alternative, semantically equivalent plans
 - · computationally expensive to examine all
 - · Conventional wisdom: avoid bad plans
 - · need to include plans that have low cost
 - · Cost model
 - · facilitate comparisons of alternative plans
 - has to be "accurate"
 - · Enumeration algorithm (Search space)
 - search strategy (optimization algorithm) that searches through the plan space
 - · has to be efficient (low optimization overhead)

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Plan Space

- · Left-deep trees: right child has to be a base table
- · Right-deep trees: left child has to be a base table
- Deep trees: one of the two children is a base table
- · Bushy tree: unrestricted



Cost Models

- Typically, a combination of CPU and I/O costs
- · Objective is to be able to rank plans
 - · exact value is not necessary
- · Relies on
 - · statistics on relations and indexes
 - formulas to estimate CPU and I/O cost
 - formulas to estimate selectivities of operators and intermediate results

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Cost Estimation

- For each plan considered, must estimate cost:
 - · Must estimate cost of each operation in plan tree.
 - · Depends on input cardinalities.
 - We've already discussed how to estimate the cost of operations (sequential scan, index scan, joins, etc.)
 - · Must estimate size of result for each operation in tree!
 - · Use information about the input relations.
 - For selections and joins, assuming independence of predicates can simplify size estimation but is error prone.

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Statistics and Catalogs

- Need information about the relations and indexes involved. Catalogs typically contain at least:
 - # tuples of R (T(R)), #bytes in each R tuple (S(R))
 - # blocks to hold all R tuples (B(R))
 - # distinct values in R for attribute A (V(R,A))
 - · NPages for each index.
 - Index height, low/high key values (Low/High) for each tree index.
- Catalogs updated periodically.
 - Updating whenever data changes is too expensive; lots of approximation anyway, so slight inconsistency ok.

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Example

R В С 1 10 cat 1 20 b 1 30 a 1 40 С dog bat 1 50

A: 20 byte string

B: 4 byte integer C: 8 byte string

D: 5 byte string

T(R) = 5 S(R) = 37

V(R,A) = 3 V(R,C) = 5

V(R,B) = 1 V(R,D) = 4

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Size estimate for $W = \sigma_{Z=val}(R)$

$$V(R,A)=3$$
 $T(W) = \frac{T(R)}{V(R,Z)}$
 $V(R,B)=1$

V(R,C)=5V(R,D)=4

$$S(W) = S(R)$$

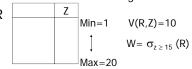
Values in select expression Z = val are uniformly distributed over possible V(R,Z) values

Alternative assumption: use DOM(R,Z)

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What about $W = \sigma_{z > val}(R)$?

Solution: Estimate values in range



$$f \text{ (fraction of range)} = \frac{20\text{-}15\text{+}1}{20\text{-}1\text{+}1} = \frac{6}{20} \qquad \text{T(W)} = f \times \text{T(R)}$$

Alternative: (Max(Z)-value)/(Max(Z)-Min(Z))

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$$W = R1 \bowtie R2$$



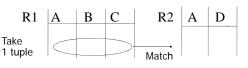
Assumption:

 $V(R1,A) \le V(R2,A) \implies \text{Every A value in R1 is in R2}$ $V(R2,A) \le V(R1,A) \Rightarrow \text{Every A value in } R2 \text{ is in } R1$

"containment of value sets"

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Computing T(W) when $V(R1,A) \leq V(R2,A)$



1 tuple matches with $\frac{T(R2)}{V(R2,A)}$ tuples

so T(W) =
$$\frac{T(R2)}{V(R2,A)}$$
 T(R1)

 $V(R2,A) \le V(R1,A)$ $T(W) = \frac{T(R2)T(R1)}{V(R1,A)}$

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For complex expressions, need intermediate T,S,V results.

E.g.
$$W = [\sigma_{A=a}(R1)] \bowtie R2$$

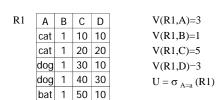
Treat as relation U

$$T(U) = T(R1)/V(R1,A)$$
 $S(U) = S(R1)$

Also need V (U, *)!!

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Example



V(U,A) = ?

Example

V(U,A) = 1 V(U, B) = ?

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Example

Α	В	С	D	V(R1,A)=3
cat	1	10	10	V(R1,B)=1
cat	1	20	20	V(R1,C)=5
dog	1	30	10	V(R1,D)-3
dog	1	40	30	$U = \sigma_{A=a}(R1)$
bat	1	50	10	n-a · · ·
	cat cat dog dog	cat 1 cat 1 dog 1 dog 1	cat 1 10 cat 1 20 dog 1 30 dog 1 40	cat 1 10 10 cat 1 20 20 dog 1 30 10 dog 1 40 30

V(U,A) = 1 V(U,B) = 1 (= V(R,B)) V(U,C) =

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R1

Example

V(U,A) = 1 V(U,B) = 1 $V(U,C) = \frac{T(R1)}{V(R1,A)}$

V(D,U) ... somewhere in between V(U,B) and V(U,C)

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For Joins $U = R1(A,B) \bowtie R2(A,C)$

 $V(U,A) = min \{ V(R1, A), V(R2, A) \}$

V(U,B) = V(R1, B)

V(U,C) - V(R2, C)

(Assumption: Preservation of value sets)

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Example

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$$Z = R1(A,B) \bowtie R2(B,C) \bowtie R3(C,D)$$

R1
$$T(R1) = 1000 V(R1,A)=50 V(R1,B)=100$$

R2
$$T(R2) = 2000 V(R2,B) = 200 V(R2,C) = 300$$

R3 T(R3) = 3000 V(R3,C)=90 V(R3,D)=500

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Partial Result: $U = R1 \bowtie R2$

$$T(U) = 1000 \times 2000$$
 $V(U,A) = 50$ $V(U,B) = 100$ $V(U,C) = 300$

$$Z = U \bowtie R3$$

$$T(Z) = \frac{1000 \times 2000 \times 3000}{200 \times 300} \qquad V(Z,A) = 50$$
$$V(Z,B) = 100$$
$$V(Z,C) = 90$$
$$V(Z,D) = 500$$

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Estimating Size of Plan

- Since a plan may contain multiple operators, need to propagate statistical information to those operators.
- Errors
 - source include uniformity assumption, and inability to capture correlation
 - propagated to other operators at the higher level of the plan tree
- During runtime, may need to sample the actual intermediate results
 - dynamic query optimization

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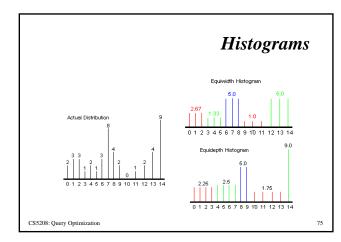
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Statistical Summaries of Data

- More detailed information are sometimes stored e.g., histograms of the values in some field
 - · a histogram divides the values on a column into k buckets
 - · k is predetermined or computed based on space allocation.
 - several choices for "bucketization" of values
 - If a table has n records, an equi-depth histograms divides the set of values on a column into k ranges such that each range has the same number of records, i.e. n/k.
 - · Equi-width histograms.
 - · Frequently occurring values may be placed in singleton buckets.
 - histograms on single column do not provide information on the correlations among columns
 - 2-dimensional histograms can be used but too many buckets!

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Search Algorithms

- Exhaustive
 - · enumerate each possible plan, and pick the best
- · Greedy Techniques
 - · smallest relation next
 - · smallest result next
 - · typically polynomial time complexity
- Randomized/Transformation Techniques
- · System R approach
 - Dynamic Programming with Pruning

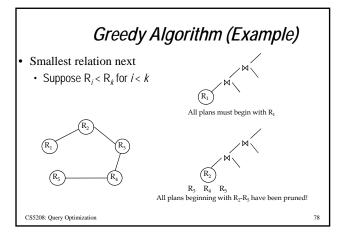
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Multi-Join Queries

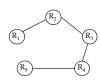
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- · Focus on multi-join queries first
 - · Join is the most expensive operations
 - Selections and projections can be pushed down as early as possible
- Query
 - a query graph whose nodes are relations and edges represent a join condition between the two nodes



Greedy Algorithm (Example)

- Smallest relation next
 - What if R1 < R5 < R3 < R2 < R4???



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Randomized Techniques

- Employ randomized/transformation techniques for query optimization
- State space -- space of plans, State -- plan
- · Each state has a cost associated with it
 - · determined by some cost model
- A move is a perturbation applied to a state to get to another state
 - a move set is the set of moves available to go from one state to another
 - · any one move is chosen from this move set randomly
 - each move set has a probability associated to indicate the probability of selecting the move

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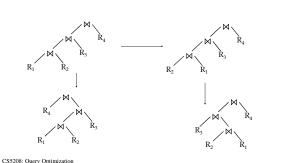
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More on Randomized Techniques

- Two states are neighboring states if one move suffices to go from one state to the other
- A local minimum in the state space is a state such that its cost is lower than that of all neighboring states
- A global minimum is a state which has the lowest cost among all local minima
 - · at most one global minimum
- A move that takes one state to another state with a lower cost is called a downward move; otherwise it is an upward move
 - in a local/global minimum, all moves are upward moves

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Randomized Algorithm (Example)



Local Optimization S = initialize() A move is accepted if minS = Sthe adjacent state bein repeat { moved to has a lower repeat { cost By doing so repeatedly, newS = move(S) a local minimum can if (cost(newS) < cost(S)) be reached S = newS} until ("local minimum reached") if (cost(S) < cost(minS)) Run: sequence of minS = Smoves to a local newStart(S); minimum from the } until ("stopping condition satisfied") start state return (minS); CS5208: Query Optimization

Issues on Local Optimization

- How is the start state obtained?
 - · The state in which we start a run.
 - · The start state of the first run is the initial state.
 - · All start states should be different.
 - · Should be obtained quickly
 - random

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- greedy heuristics
- making a number of moves from the local minimum, except that this time each move is accepted irrespective of whether it increases or decreases the cost
- · How is the local minimum detected?
- How is the stopping criterion detected?

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Issues on Local Optimization (Cont)

- · How is the local minimum detected?
 - Not practical to examine all neighbors to verify that one has reached a local minimum.
 - · Based on random sampling
 - examine a sufficiently large number of neighbors
 - if any one is lower, we move to that state, and repeat the process
 - if no tested neighbor is of lower cost, the current state can be considered a local minimum
 - the number of neighbors to examine can be specified as a parameter, and is called the sequence length.

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Issues in Local Optimization (Cont)

- How is the stopping criterion detected?
 - Determines the number of times that the outer loop is executed.
 - Can be fixed and is given by sizeFactor*N, where sizeFactor is a parameter, N is the number of relations.

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Transformation Rules

- · Restricted to left-deep trees
 - all possible permutations of the N relations
 - let S be the current state, S = (... i ... j ... k ...)
 - swar
 - select two relations, say i and j at random. Check if interchanging them results in a valid permutation. If so, the move consists of swapping i and j to get the new state newS = (... j ... i ... k ...)
 - · 3Cycle
 - select three relations, say i and j and k at random. The move consists of cycling i, j and k: i is moved to the position of j, j is moved to the position of k and k is moved to the position of i. Check if resulting permutation is valid. If so, the move consists of swapping i and j to get the new state newS = (... k ... i ... j ...)
- Other methods (e.g., join methods)? Bushy trees?

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Comparison between Exhaustive, Greedy and Randomized Algorithms

- · Plan quality
- · Optimization overhead

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Dynamic Programming (Left-Deep Trees)

- The algorithm proceeds by considering increasingly larger subsets of the set of all relations.
- Plans for a set of cardinality i are constructed as extensions of the best plan for a set of cardinality i-1
- Search space can be pruned based on the principal of optimality
 - if two plans differ only in a subplan, then the plan with the better subplan is also the better plan

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Dynamic Programming (Cont) [1] [2] [3] [4] [1 2 3] [1 2 4] [2 3 4] [3 4] [1 2 3] [1 2 4] [2 3 4] [1 3 4] [1 2 3 4] [1 3 4] [2] [3] [4] [4] [2 3] [2 4] [3 4]

Dynamic Programming (Left-Deep Trees)

- accessPlan(R) produces the best plan for relation R
- joinPlan(p1,R) extends the join plan p1 into another plan p2 in which the result of p1 is joined with R in the best possible way
- Optimal plans for subsets are stored in optplan() array and are reused rather than recomputed

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 \begin{array}{l} \textbf{Dynamic Programming (Cont)} \\ \text{for } i = 1 \text{ to N} \\ \text{optPlan}(\{Ri\}) = \text{accessPlan}(Ri) \\ \text{for } i = 2 \text{ to N} \{ \\ \text{forall S subset of } \{R_1, R_2, \dots R_n\} \text{ such that } |S| = i \ \{ \\ \text{bestPlan} = \text{dummy plan with infinite cost} \\ \text{forall Rj, Sj such that } S = \{R\}\} \cup Sj \ \{ \\ p = \text{joinPlan}(\text{optPlan}(Sj), Rj) \\ \text{if } \text{cost}(p) < \text{cost}(\text{bestPlan}) \\ \text{bestPlan} = p \\ \} \\ \text{optPlan}(S) = \text{bestPlan} \\ \} \\ P_{\text{opt}} = \text{optPlan}\{R_1, R_2, \dots R_n\} \\ \text{CSS208: Overy Optimization} \end{array}
```

Dynamic Programming Example

Consider the join of 4 relations, R, S, T and U
Each table has 1000 tuples
Assume intermediate result size (tuples) as cost metrics

R(a,b)	S(b,c)	T(c,d)	U(d,a)
V(R,a)=100			V(U,a)=50
V(R,b)=200	V(S,b)=100		
	V(S,c)=500	V(T,c)=20	
		V(T,d)=50	V(U,d)=1000

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	{R}	{S}	{T}	{U}
Size	1,000	1,000	1,000	1,000
Cost	0	0	0	0
BestPlan	R	S	T	U

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Example (Cont)

	{R,S}	{R,T}	{R,U}	$\{S,T\}$	{S,U}	{T,U}
Size Cost BestPlan	5,000	1M	10,000	2,000	1M	1,000
Cost	0	0	0	0	0	0
BestPlan	R⋈S	$R \bowtie T$	$R\bowtie U$	$S \bowtie T$	S ⋈U	$T\bowtie U$

What about S M R since its cost is also 0??

		E	Example	(Cont)
	{R,S,T}	{R,S,U}	{R,T,U}	{S,T,U}
Size	10,000	50,000	10,000	2,000
Cost	2,000	5,000	1,000	1,000
BestPlan	$(S \bowtie T) \bowtie R$		$(T \bowtie U) \bowtie R$	
		$(R\bowtie S)\bowtie U$		$(T \bowtie U) \bowtie S$

Example (Cont)

Grouping	Cost
$((S \bowtie T) \bowtie R) \bowtie U)$	12,000
$((R \bowtie S) \bowtie U) \bowtie T)$	55,000
$((T \bowtie U) \bowtie R) \bowtie S)$	11,000
$((T \bowtie U) \bowtie S) \bowtie R)$	3,000
$(T \bowtie U) \bowtie (R \bowtie S)$	6,000
$(R \bowtie T) \bowtie (S \bowtie U)$	2M
$(S \bowtie T) \bowtie (R \bowtie U)$	12,000

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Example (Cont)

Grouping	Cost
$((S \bowtie T) \bowtie R) \bowtie U)$	12,000
$((R \bowtie S) \bowtie U) \bowtie T)$	55,000
$((T \bowtie U) \bowtie R) \bowtie S)$	11,000
$((\mathbf{T} \bowtie \mathbf{U}) \bowtie \mathbf{S}) \bowtie \mathbf{R})$	3,000
$(T \bowtie U) \bowtie (R \bowtie S)$	6,000
$(R \bowtie T) \bowtie (S \bowtie U)$	2M
$(S \bowtie T) \bowtie (R \bowtie U)$	12,000

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Dynamic Programming (Cont)

- Time & Space complexity
 - For k relations, for left-deep trees, $2^k 1$ entries!
 - For bushy trees, O(3k)
- DP may maintain multiple plans per subset of relations
 - Interesting orders
- Is DP optimal?

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Summary

- · Query optimization is NP-hard.
- Instead of finding the best, the objective is largely to avoid the bad plans
- Many different optimization strategies have been proposed
 - greedy heuristics are fast but may generate plans that are far from optimal
 - dynamic programming is effective at the expense of high optimization overhead

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