

05 A: Trees II

CS1102S: Data Structures and Algorithms

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- 1 Review: Trees
- 2 Binary Search Trees
- 3 Sets in Java Collections API

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Motivation

Trees in computer science

Trees are ubiquitous in CS, covering operating systems, computer graphics, data bases, etc.

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Trees as data structures

Provide $O(\log N)$ search operations

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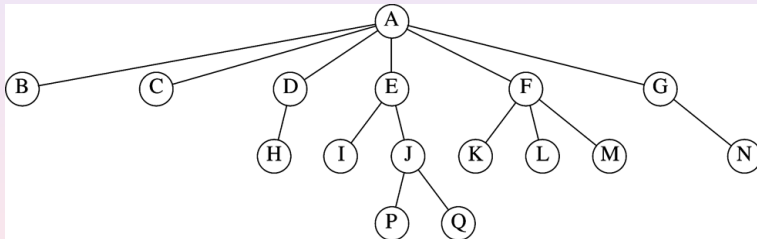
Trees as data structures

Provide $O(\log N)$ search operations

Heaps

Serve as basis for other efficient data structures, such as heaps

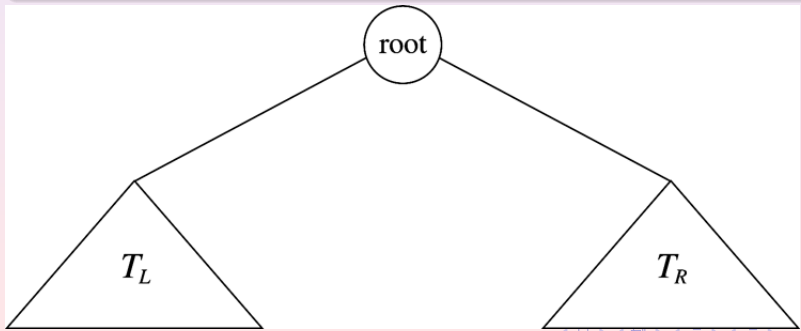
Example



Binary Trees

Definition

A binary tree is a tree in which no node can have more than two children.



Implementation

```
ClassBinaryNode {  
    // accessible by other package routines  
    Object      element;           // The data in the node  
    BinaryNode left;              // Left child  
    BinaryNode right;            // Right child  
}
```

1 Review: Trees

2 Binary Search Trees

- Motivation
- Excursion: Bounded Types
- Binary Search Trees
- Binary Search
- Insertion and Deletion
- Analysis

3 Sets in Java Collections API

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In an underground carpark, a system captures the licence plate numbers of incoming and outgoing cars.

Problem: Find out if a particular car is in the carpark.

Operations for Sets

```
interface Set<T> {  
    public void add(T x);  
    // same as insert(T x);  
  
    public void remove(T x);  
    public boolean contains(T x);  
    ...  
}
```

How About Lists, Arrays, Stacks, Queues?

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With lists, arrays, stacks and queues, we can only access the collection using an index or in a LIFO/FIFO manner.

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How to avoid linear access?

For efficient data structures, we often exploit properties of data items.

Example

Simple license plates

Let us say the license plate numbers are positive integers from 0 to 9999.

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- `contains(i)` returns `inCarPark[i]`.

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But: one property remains

We can *compare* two license plate numbers, for example lexicographically.

Lexicographic Ordering on License Plate Numbers

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- If the letters and numbers are the same, use the final letter
e.g. “SBX 101 P” < “SBX 101 Q”

The Comparable Interface

API Interface Comparable

```
interface Comparable<T> {  
    public int compareTo(T o);  
}
```

Mathematics of Comparable

Ordering

Instances of the Comparable interface are subject to a *total ordering*. For any two elements x and y , we know whether:

- x smaller than y : $x.compareTo(y)$ returns negative int
- x smaller than y : $x.compareTo(y)$ returns positive int
- x equals y : $x.compareTo(y)$ returns 0

Excursion: Bounded Types

Type variables

allow the programmer to refer to a type at multiple places.

Example

```
public static <Any> SchemeList<Any>  
    concatAll (SchemeList<SchemeList<Any>>  
               aListList                               ) {  
    ...  
}
```

Excursion: Bounded Types

Wildcard Types

Sometimes, a generic type is completely unrestricted. We use `?` without having to declare it.

Example

```
public static int
  iterativeLength(SchemeList<?> aList) {
  int acc = 0;
  while (! aList.isNil()) {
    aList = aList.cdr();
    acc++; }
  return acc; }
```

Excursion: Bounded Types

Upper bounds for types

Sometimes, a type variable must be *bounded* to restrict the types that it stands for to a class and all its sub-classes.

Example

```
interface Collection<E> { ...  
    boolean add(E e);  
    boolean addAll(Collection<? extends E> c);  
    ...  
}
```


Excursion: Bounded Types

interface Comparable

```
interface Comparable<T> {  
    public int compareTo(T o);  
}
```

Invariance of generic types

If Lion is a subtype of Animal, then Cage<Lion> is *not* a subtype of Cage<Animal>.

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Therefore, if `Animal` implements `Comparable<Animal>`, `Lion` does *not necessarily* implement `Comparable<Lion>`.

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Invariance of Comparable

Therefore, if `Animal` implements `Comparable<Animal>`, `Lion` does *not necessarily* implement `Comparable<Lion>`.

Lower bounds for Comparable

We want to allow `Lion` to implement `Comparable<T>` as long as `T` is a super type of `Lion`.

Excursion: Bounded Types

Lower bounds for Comparable

We want to allow Lion to implement Comparable<T> as long as T is a super type of Lion.

```
class BinarySearchTree  
    <Any extends Comparable<? super Any>>  
    { ... }
```

Binary Search

Setup

Keep items in a tree. Each node holds one data item.

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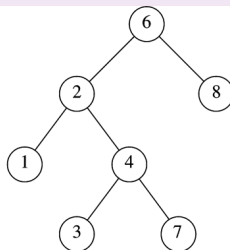
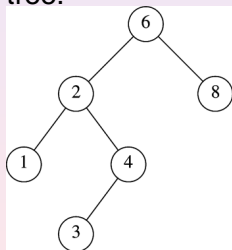
The left subtree of a node V only contains items smaller than V and the right subtree only contains items larger than V .

Search

can then proceed top-down, starting at the root. If the search item is smaller than the item at the root, go down to the left, and if it is larger, go right.

Example

Both trees are binary trees, but only the left tree is a search tree.



Implementation

```
private static class BinaryNode<AnyType>{
    AnyType element;
    BinaryNode<AnyType> left;
    BinaryNode<AnyType> right;
    BinaryNode( AnyType theElement ) {
        this( theElement, null, null ); }
    BinaryNode(AnyType theElement,
                BinaryNode<AnyType> lt ,
                BinaryNode<AnyType> rt) {
        element = theElement;
        left = lt; right = rt; }
}
```

Implementation

```
public class
BinarySearchTree<AnyType extends
    Comparable<? super AnyType>> {
    private static class BinaryNode<AnyType> {..}
    private BinaryNode<AnyType> root;
    public BinarySearchTree() {
        root = null; }
    public void makeEmpty() {
        root = null; }
    public boolean isEmpty() {
        return root == null; }
    ...
}
```

Implementation

```
public class
BinarySearchTree<AnyType extends
    Comparable<? super AnyType>> {
    ...
    public boolean contains( AnyType x ) {
        return contains( x, root ); }
    public AnyType findMin( ) { // findMax similar
        if( isEmpty( ) ) throw new UnderflowException(
        return findMin( root ).element; }
    public void insert( AnyType x ) {
        root = insert( x, root ); }
    public void remove( AnyType x ) {
        root = remove( x, root ); }
```

Implementation of Search

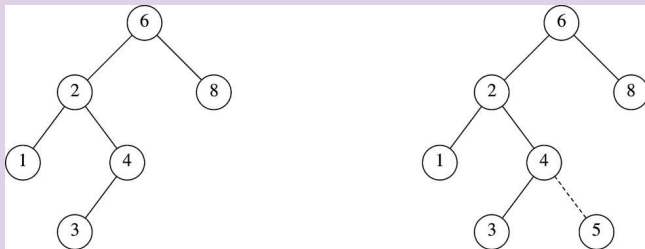
```
private boolean contains(AnyType x,  
                        BinaryNode<AnyType> t ) {  
    if( t == null ) return false;  
    int compareResult = x.compareTo( t.element );  
    if (compareResult < 0)  
        return contains( x, t.left );  
    else if( compareResult > 0 )  
        return contains( x, t.right );  
    else  
        return true; }
```

Insertion

Idea

Proceed like in search. If item is found, do nothing. If not, insert it in the last visited position.

Example



Deletion

Idea

Proceed like in search. If item is not found, do nothing. If item is found, take action depending on node.

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One child

If the node has one child, move the child to parent.

Example: Deletion of Node with One Child

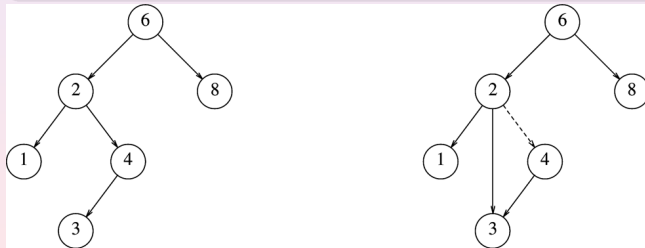
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Deletion of Node with Two Children

Idea

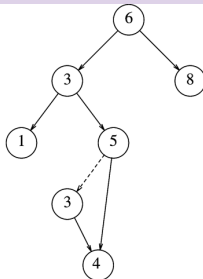
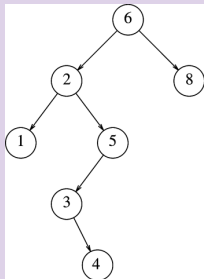
Replace data with data of smallest child on the right; then delete smallest child on the right.

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Example



Average-case Analysis

Average Depth

If all insertion sequences are equally likely, the average depth of any node is $O(\log N)$ (proof in Chapter 7)

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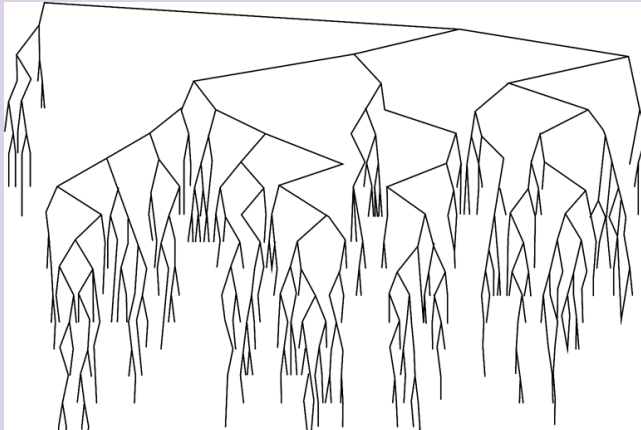
If all insertion sequences are equally likely, the average depth of any node is $O(\log N)$ (proof in Chapter 7)

Deletion introduces imbalance

Deletion favours right subtree, and therefore trees become “left-heavy” on the long run.

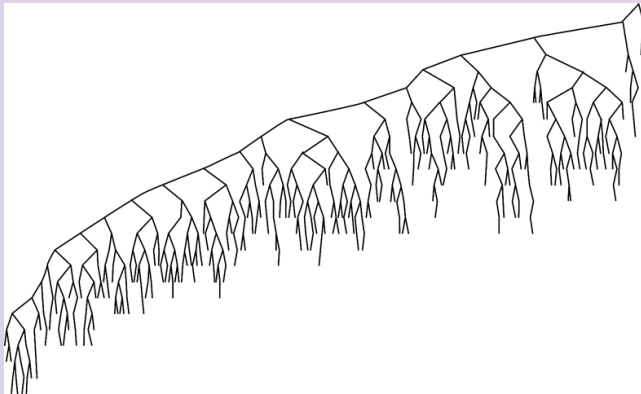
Average-case Analysis

Randomly generated binary search tree



Average-case Analysis

Search tree after N^2 insert/delete



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Sets

Idea

A Set (interface) is a Collection (interface) that does not allow duplicate entries.

Sorted Sets

A SortedSet (interface) assumes that the data items are comparable (using a Comparator operation).

```
interface SortedSet<E> extends Set<E>
```

Implementation

The most common implementation of SortedSet is TreeSet.

Next Week

- Friday: Midterm
- Monday Lab: Lab tasks (attendance taken)
- Wednesday: Hashing
- Thursday: Tutorial on midterm solutions
- Friday: Priority Queues