12 A: Algorithm Design Techniques II

CS1102S: Data Structures and Algorithms

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Divide and Conquer (Example) **Dynamic Programming Backtracking Algorithms** Another Puzzler

Scheduling Huffman Codes



- Scheduling
- Huffman Codes





Dynamic Programming



Backtracking Algorithms



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Scheduling Huffman Codes

Nonpreemptive Scheduling

Input

A set of jobs with a running time for each

Desired output

A sequence for the jobs to execute on on single machine, minimizing the average completion time

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Scheduling Huffman Codes

Example

Job	Time
j_1	15
j ₂	8
j ₃	3
j_4	10

Some schedule:

	j ₁		j2	j ₃	j4	
0		15		23 26		36

The optimal schedule:

0	3]]]	1 2	21	
	j ₃	j 2	j 4	j ₁	

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Scheduling Huffman Codes

The Multiprocessor Case

N processors

Now we can run the jobs on *N* identical machines. What is a schedule that minimizes the average completion time?

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Scheduling Huffman Codes

Example



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A "Slight" Variant

Miniming final completion time

If we want to minimize the *final* completion time (completion time of the last task), the problem becomes NP-complete!

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Scheduling Huffman Codes

Optimal Prefix Code in Table Form

Character	Code	Frequency	Total Bits		
а	001	10	30		
е	01	15	30		
i	10	12	24		
S	00000	3	15		
t	0001	4	16		
space	11	13	26		
newline	00001	1	5		
Total			146		

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Optimal Prefix Code in Tree Form



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Scheduling Huffman Codes

Correctness of Huffman's Algorithm

Observation 1 An optimal tree must be full; no node has only one child.

Observation 2

The two least frequent characters α and β must be the two deepest nodes.

Observation 3

Characters at the same level can be swapped without affecting optimality.

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Scheduling Huffman Codes

Correctness of Huffman's Algorithm

Observation 3

Characters at the same level can be swapped without affecting optimality.

Initial Step of Huffman's Algorithm

An optimal tree can be found that contains the two least frequent symbols as siblings; the first step in Huffman's algorithm is not a mistake.

Observation 4

Every step of Huffman's algorithm produces a simplified problem, resulting from treating two characters as indistinguishable.



Greedy Algorithms (brief review)



Divide and Conquer (Example)



Dynamic Programming



Backtracking Algorithms



Another Puzzler

Closest-Points Problem

Input Set of points in a plane

Euclidean distance between p_1 and p_2

$$[(x_1 - x_2)^2 + (y_1 - y_2)^2]^{1/2}$$

Required output Find the closest pair of points

Example

	-				
	0		с)	
o	o	0 0			
				o	
		o	0		
				0	
o)	0			
		0		0	
	0		0		
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Naive Algorithm

Exhaustive search

Compute the distance between each two points and keep the smallest

Run time There are N^2 pairs to check, thus $O(N^2)$



Preparation

Sort points by x coordinate; $O(N \log N)$

Divide and Conquer

Split point set into two halves, P_L and P_R . Recursively find the smallest distance in each half. Find the smallest distance of pairs that *cross* the separation line.

Partitioning with Shortest Distances Shown



Two-lane Strip



Brute Force Calculation of $min(\delta, d_C)$

```
// Points are all in the strip

for( i = 0; i < numPointsInStrip; i++ )

for( j = i + 1; j < numPointsInStrip; j++ )

if( dist(p_i, p_j) < \delta )

\delta = dist(p_i, p_j);
```



Sort points by y coordinate This allows a *scan* of the strip.

Sort points by y coordinate This allows a *scan* of the strip.

Only p_4 and p_5 Need To Be Considered



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Refined Calculation of $min(\delta, d_C)$

```
// Points are all in the strip and sorted by y-coordinate
```

```
for( i = 0; i < numPointsInStrip; i++ )
for( j = i + 1; j < numPointsInStrip; j++ )
if( p_i and p_j's y-coordinates differ by more than \delta )
break; // Go to next p_i.
else
if( dist(p_i, p_j) < \delta )
\delta = dist(p_i, p_j);
```

At Most Eight Points Fit in Rectangle



Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path





Divide and Conquer (Example)

3 Dynamic Programming

- Fibonacci Numbers
- Optimal Binary Search Tree
- All-pairs Shortest Path



Backtracking Algorithms

Another Puzzler

Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Inefficient Algorithm

```
public static int fib(int n) {
    if (n <= 1)
        return 1;
    else
        return fib(n - 1) + fib(n - 2);
}</pre>
```

Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Trace of Recursion



Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Memoization

```
int[] fibs = new int[100];
public static int fib(int n) {
    if (fibs[n]!=0) return fibs[n];
    if (n <= 1) return 1;
    int new_fib = fib(n - 1) + fib(n - 2);
    fibs[n] = new_fib;
    return new_fib;
}</pre>
```

Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

A Simple Loop for Fibonacci Numbers

```
public static int fib(int n) {
    if (n <= 1) return 1;
    int last = 1, nextToLast = 1; answer = 1;
    for (int i = 2; i <= n; i++) {
        answer = last + nextToLast;
        nextToLast = last;
        last = answer;
    }
    return answer;
}</pre>
```

Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Sample Input

Word	Probability
a	0.22
am	0.18
and	0.20
egg	0.05
if	0.25
the	0.02
two	0.08

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Three Possible Binary Search Trees



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Comparison of the Three Trees

Input		Ti	Tree #1		ree #2	Tree #3		
Word w _i	Probability <i>p</i> i	Access Cost Once Sequence		Acc Once	ess Cost Sequence	Access Cost Once Sequence		
а	0.22	2	0.44	3	0.66	2	0.44	
am	0.18	4	0.72	2	0.36	3	0.54	
and	0.20	3	0.60	3	0.60	1	0.20	
egg	0.05	4	0.20	1	0.05	3	0.15	
if	0.25	1	0.25	3	0.75	2	0.50	
the	0.02	3	0.06	2	0.04	4	0.08	
two	0.08	2	0.16	3	0.24	3	0.24	
Totals	1.00		2.43		2.70		2.15	

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Structure of Optimal Binary Search Tree



Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Idea

Proceed in order of growing tree size

For each range of words, compute optimal tree

Memoization For each range, store optimal tree for later retrieval

Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Computation of Optimal Binary Search Tree

	Lef	t=1	Lef	t=2	Lef	Left=3		Left=4		Left=5		t=6	Lef	Left=7	
Iteration-1	a.	.a	amam andand		eggegg		ifif		thethe		twotwo				
neration=1	.22	а	.18	am	.20	and	.05	egg	.25	if	.02	the	.08	two	
Iteration-2	a	am	amand		andegg		eggif		ifthe		thetwo				
neration=2	.58	а	.56	and	.30	and	.35	if	.29	if	.12	two			
Iteration=3	aand amegg		andif		eggthe		iftwo								
	1.02	am	.66	and	.80	if	.39	if	.47	if					
Iteration-4	aegg		amif a		and.	andthe		eggtwo							
neranon-4	1.17	am	1.21	and	.84	if	.57	if							
Iteration-5	aif amthe		andtwo												
neration=5	1.83	and	1.27	and	1.02	if									
Iteration-6	athe amtwo														
neration=0	1.89	and	1.53	and											
Iteration-7	at	wo													
neradon-7	2.15	and													

Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Run Time

For each cell of table Consider all possible roots

Overall runtime

 $O(N^3)$

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Fibonacci Numbers Optimal Binary Search Tree All-pairs Shortest Path

Example



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Greedy Algorithms (brief review)



Divide and Conquer (Example)



Dynamic Programming







Example: The Turnpike Reconstruction Problem

From points to distances

It is easy to calculate for a set of points on a line all distances between points.

From distances to points

Consider the reverse: given the set of all distances between the points, compute the positions of the points!

Placement of first point

By default, the first point is placed at position 0.



Input set for 6 points

 $D = \{1, 2, 2, 2, 3, 3, 3, 4, 5, 5, 5, 6, 7, 8, 10\}$

First and last point

First point goes to 0, which means that the last point goes to 10.

Placement of third point

The third point can be placed either at position 2 or position 8. This choice is arbitrary; we can flip a solution to get from one to the other!

Decision Tree for the Example



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Summary of Backtracking

Decision tree

Backtracking explores decision tree; typically exponential in size

Pruning

At each node, reasoning is applied to avoid exploring the subtree



Greedy Algorithms (brief review)



Divide and Conquer (Example)



Dynamic Programming



Backtracking Algorithms



Remember Lecture 2 A: Parameter Passing

Java uses pass-by-value parameter passing.

```
public static void tryChanging(int a) {
    a = 1;
    return;
}
...
int b = 2;
tryChanging(b);
Svstem.out.println(b);
```

Remember Lecture 2 A: Parameter Passing with Objects

```
public static void tryChanging(SomeObject obj) {
    obj.someField = 1;
    obj = new SomeObject();
    obj.someField = 2;
    return;
}
...
SomeObject someObj = new SomeObject();
tryChanging(someObj);
System.out.println(someObj.someField);
```

Remember Lecture 7 A: Sorting

Input

Unsorted array of elements

Behavior

Rearrange elements of array such that the smallest appears first, followed by the second smallest etc, finally followed by the largest element

```
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```

Will This Work?

```
public static <AnyType extends Comparable<? super A
 void mergeSort( AnyType [] a) {
   AnyType[] ret = ....; // declare helper array
    .... // here goes a program that places
    .... // the element of "a" into "ret" so
    .... // that "ret" is sorted
   a = ret:
    return :
}
. . .
Integer[] myArray = ...;
IterativeMergeSort.mergeSort(myArray);
```

```
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```

Will This Work?

```
public static <AnyType extends Comparable<? super A
  void mergeSort( AnyType [] a) {
    AnyType[] ret = ....; // declare helper array
     .... // here goes a program that places
     .... // the element of "a" into "ret" so
     .... // that "ret" is sorted
    a = ret;
    return;
}
Integer[] myArray = ...;
IterativeMergeSort.mergeSort(myArray);
Answer: No! The assignment a = ret; has no effect on
myArroyd
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                                                       55
```

Next Lecture

Friday:

- Search trees with external storage (Section 4.7)
- Preview: Extendible hashing (Section 5.7)
- Preview: External sorting (Section 7.10)