

# Programming Language Concepts, CS2104

## Lecture 1

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17 Aug 2007

CS2104, Lecture 1

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## The Team

- Lectures
  - Dr. Chin Wei-Ngan (Consultation : Wed 9-11am but other times OK too but email me first.)
- Lectures based on the book:
  - Peter Van Roy, Seif Haridi: Concepts, Techniques, and Models of Computer Programming, The MIT Press, 2004
  - Slides from CS2104, 2003-2006
- Recommended books:
  - Allen Tucker, Robert Noonan: Programming Languages. Principles and Paradigms, McGraw Hill, 2002

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## Programming Language Concepts

- CS2104 is a 4 credit points module
  - Written final exam 50%
  - Midterm exam 25%
  - Lab/tutorial assignments 25%
- Module homepage  
<http://www.comp.nus.edu.sg/~cs2104>  
[IVLE](#)
- Teaching
  - Lectures: Friday, 12:00-14:00, COM1/206
  - Exam: 27 Nov 2007, morning (Tue)

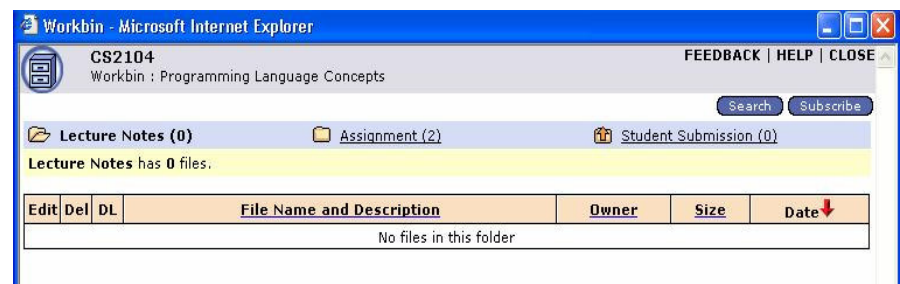
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## Lab Assignment Submissions

- Student submission through [IVLE](#)
- Please use CS2104, Workbin



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## Lecture Structure

- Reminder of last lecture
- Overview
- Content (new notions + examples)
- Summary
- Reading suggestions

## Assignments

- There will be 4 or 5 lab assignments
- Deadline is strict! Don't leave till last-minute.
- Mostly individual programming projects
- Code of conduct
  - no copying (grade penalty for those caught)
  - plagiarism is cheating and can lead to expulsion!

## Tutorials/Labs

- Purposes
  - for self-assessment
  - use material from lectures
  - answer questions
  - help deeper understanding
  - prepare lab assignments
  - compulsory tutorial attendance + credits (up to 10%)
- Supervised lab session
  - First lab/assignment: to be announced
  - done by students (with help from teaching assistant)
- You can discuss tutorials/chapters on the IVLE discussion groups

## Useful Software

- <http://www.mozart-oz.org/>
  - programming language: Oz
  - system: Mozart (1.3.0, released on April 15, 2004)
  - interactive system
- Requires `emacs` on your computer
- Available from module webpage:  
<http://www.comp.nus.edu.sg/~cs2104/Materials/index.html>
- Install yourself
- First lab/assignment will help on installation

## Aim

- Knowledge and skills in
  - Programming languages concepts
  - Corresponding programming techniques
- Acquaintance with
  - Key programming concepts/techniques in computer science
  - Focus on concepts and not on a particular language

## Programming

- **Computation model**
  - formal system that defines a language and how sentences (expressions, statements) are executed by an abstract machine
- **Programming model**
  - a set of programming techniques and design principles used to write programs in the language of the computation model
- **Reasoning model**
  - a set of reasoning techniques to let you reason about programs, to increase confidence that they behave correctly, and to estimate their efficiency

## Overview

- Introduction of main concepts:
  - Computation model
  - Programming model
  - Reasoning model

## Computation Models

- **Declarative** programming (stateless programming)
  - functions over partial data structures
- **Concurrent** programming
  - can interact with the environment
  - can do independent execution of program parts
- **Imperative** programming (stateful programming)
  - uses states (a state is a sequence of values in time that contains the intermediate results of a desired computation)
- **Object-oriented** programming
  - uses object data abstraction, explicit state, polymorphism, and inheritance

## Programming Models

- **Exception handling**
  - Error management
- **Concurrency**
  - Dataflow, lazy execution, message passing, active objects, monitors, and transactions
- **Components**
  - Programming in the large, software reuse
- **Capabilities**
  - Encapsulation, security, distribution, fault tolerance
- **State**
  - Objects, classes

## Examples of Programming Languages

- CS1102: Java
  - programming with explicit state
  - object-oriented programming
  - concurrent programming (threads, monitors)
- CS2104: Oz (multi-paradigm)
  - declarative programming
  - concurrent programming
  - programming with explicit state
  - object-oriented programming

## Reasoning Models

- **Syntax**
  - Extended Backus-Naur Form (EBNF)
  - Context-free and context-sensitive grammars
- **Semantics**
  - Operational: shows how a statement executes as an abstract machine
  - Axiomatic: defines a statement as a relation between input state and output state
  - Denotational: defines a statement as a function over an abstract domain
  - Logical: defines a statement as a model of a logical theory
- **Programming language**
  - Implements a programming model
  - Describes programs composed of **statements** which compute with **values** and **effects**

## Oz

- The focus is on the programming model, techniques and concepts, but **not** the particular language!
- **Approach**
  - informal introduction to important concepts
  - introducing the underlying kernel language
  - formal semantics based on abstract machine
  - in depth study of programming techniques

## Declarative Programming Model Philosophy

- Ideal of declarative programming
  - say **what** you want to compute
  - let computer find **how** to compute it
- More pragmatically
  - let the computer provide more support
  - free the programmer from some burden

## The Mozart System

- Built by Mozart Consortium ([Universität des Saarlandes](#), [Swedish Institute of Computer Science](#), [Université catholique de Louvain](#))
- Interactive interface (the `declare` statement)
  - Allows introducing program fragments incrementally and execute them
  - Has a tool (Browser), which allows looking into the store using the procedure `Browse`
    - `{Browse 21 * 10} -> display 210`
- Standalone application
  - It consists of a main function, evaluated when the program starts
  - Oz source files can be compiled and linked

## Properties of Declarative Models

- Focus on functions which compute when given data structures as inputs
- Widely used
  - functional languages: LISP, Scheme, ML, Haskell, ...
  - logic languages: Prolog, Mercury, ...
  - representation languages: XML, XSL, ...
- Stateless programming
  - no update of data structures
  - Simple data transformer

## Concept of Single-Assignment Store

- It is a **set of variables** that are initially **unbound** and that can be **bound** to one value
- A **value** is a mathematical constant that does not change.  
For e.g : 2, ~4, true, 'a', [1 2 3]
- Examples:
  - $\{x_1, x_2, x_3\}$  has three unbound variables
  - $\{x_1=2, x_2=\text{true}, x_3\}$  has only one unbound variable

## Concept of Single-Assignment Store

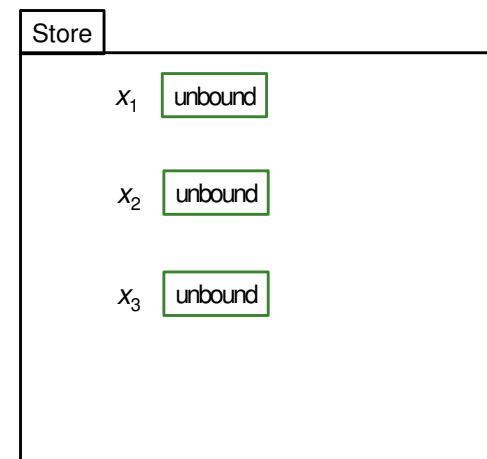
- A **store** where all variables are bound to values is called a **value store**:

$\{x_1=2, x_2=\text{true}, x_3=[1\ 2\ 3]\}$

- Once bound, a variable stays bound to that value
- So, a **value store** is a persistent mapping from variables to values
- A **store entity** is a store variable and its value (which can be unbound).

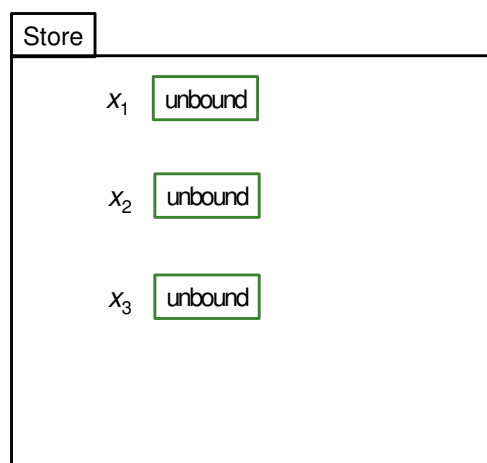
## Concept of Single-Assignment Store

- Variables in store may be bound to values
- Example: assume we allow values of type integers and lists of integers



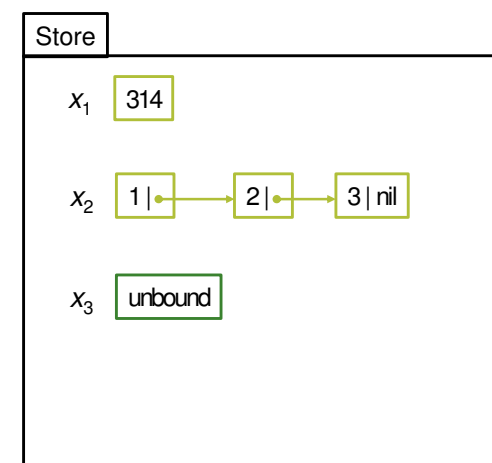
## Concept of Single-Assignment Store

- Single-assignment store is set of (store) variables
- Initially variables are unbound
- Example: store with three variables,  $x_1$ ,  $x_2$ , and  $x_3$



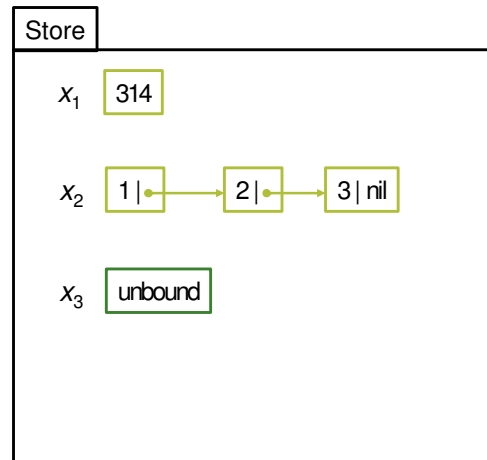
## Concept of Single-Assignment Store

- Examples:
  - $x_1$  is bound to integer 314
  - $x_2$  is bound to list [1 2 3]
  - $x_3$  is still unbound



## Concept of Declarative Variable

- It is a variable in the single-assignment store
- Created as being *unbound*
- Can be *bound* to exactly one value
- Once bound, stays bound
  - indistinguishable from its value



## Concept of Single-Assignment Operation

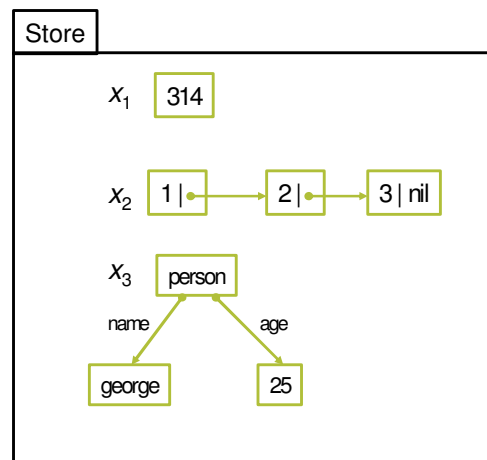
$x = \text{value}$

- It is also called “value creation”
- Assumes that  $x$  is unbound
- Examples:
  - $x_1 = 314$
  - $x_2 = [1\ 2\ 3]$



## Concept of Value Store

- Store where all variables are bound to values is called a *value store*
- Examples:
  - $x_1$  bound to integer 314
  - $x_2$  bound to list  $[1\ 2\ 3]$
  - $x_3$  bound to record  
`person(name: george age: 25)`
- Functional programming computes functions on values



## Concept of Single-Assignment Operation

$x = \text{value}$

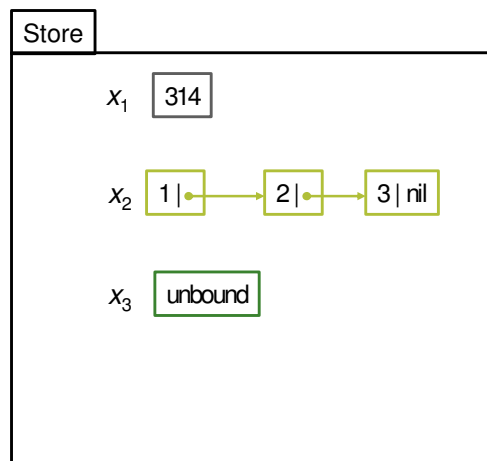
- $x_1 = 314$
- $x_2 = [1\ 2\ 3]$



## Concept of Single-Assignment Operation

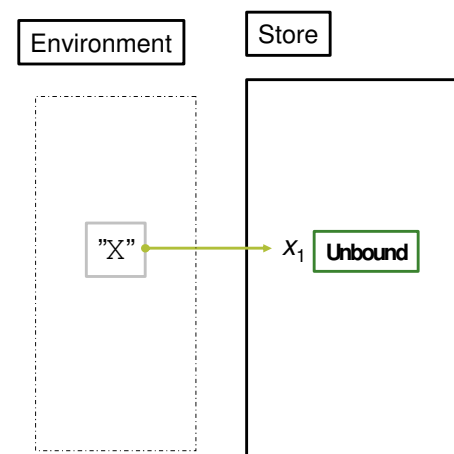
$x = \text{value}$

- Single assignment operation ('=')
  - constructs *value* in store
  - binds variable  $x$  to this value
- If the variable is already bound, operation tests compatibility of values
  - if the value being bound is different from that already bound, an error is raised



## Concept of Variable Identifier

- Refer to store entities
- Environment maps variable identifiers to store variables
  - `declare X`
  - `local X in ... end`
- $X$  is variable identifier
- Corresponds to 'environment'  $\{X \rightarrow x_1\}$



## Concept of Variable Identifier

- Variable identifiers start with capital letter:  $X$ ,  $Y2$
- The **environment** is a mapping from variable identifiers to store entities
- `declare X = <value>`
  - creates a new store variable  $x$  and binds it to `<value>`
  - maps variable identifier  $X$  in environment to store variable  $x$ , e.g.  $\{X \rightarrow x\}$
- `declare`
  - `X = Y`
  - `Y = 2`
- The environment:  $E = \{X \rightarrow x, Y \rightarrow y\}$
- The single-assignment store:  $\sigma = \{x = y, y = 2\}$

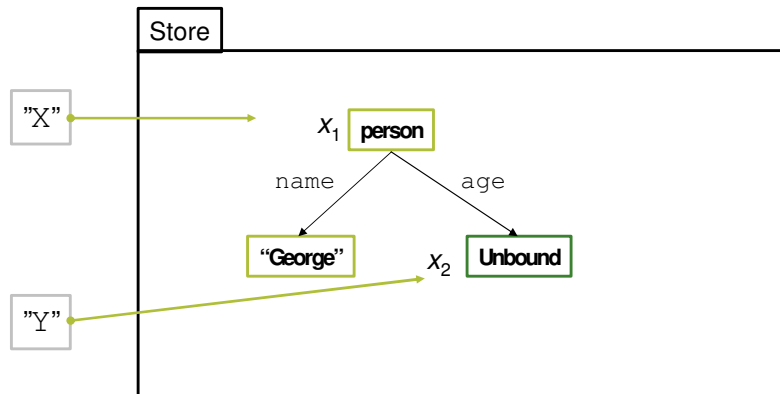
## Concept of Variable Identifier

- `declare`
  - `X = 21`
  - `X = 22`
  - `% raise an error`
  - `X = 21`
  - `% do nothing`
  - `declare`
  - `X = 22`
  - `% from now on, X will be bound to 22`



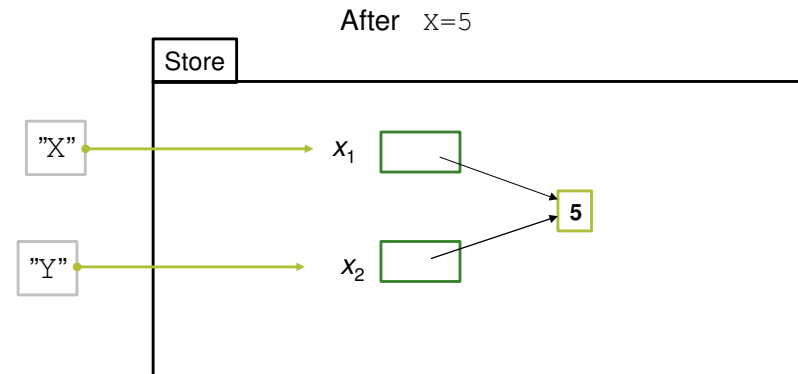
## Partial Value

- A partial value is a data structure that *may* contain unbound variables. For example,  $x_2$  is unbound. Hence,  $x_1$  is a partial value.



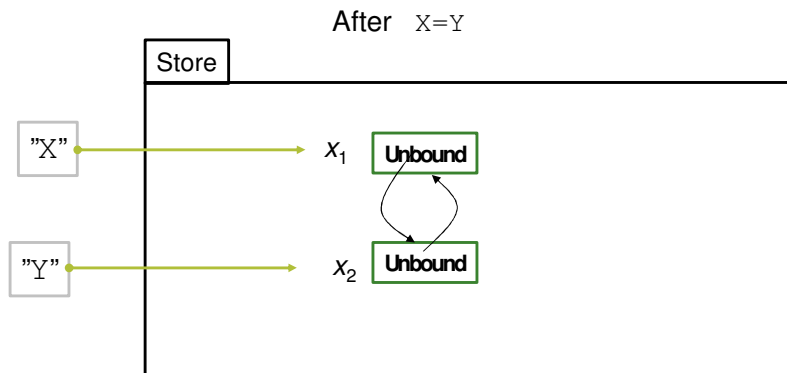
## Variable-Variable Binding

- After binding one of the variables.



## Variable-Variable Binding

- Variables can be bound to variables. They form an **equivalence set** of store variables after such binding.
- They throw exception if their values are different.



## Concept of Dataflow Variables

- Variable creation and binding can be separated. What happens if we use a variable before it is bound? Scenario is known as **variable use error**.
- Possible solutions:
  1. Create and bind variables in one step (use error cannot occur): functional programming languages
  2. Execution continues and no error message is given (variable's content is "garbage"): C/C++
  3. Execution continues and no error message is given (variable's content is initialized with a default value): Java

## Concept of Dataflow Variables

- .....
- 4. Execution stops with error message (or an exception is raised): Prolog
- 5. Execution is not possible; the compiler detects that there is an execution path to the variable's use that does not initialize it: Java – local variables
- 6. Execution waits until the variable is bound and then continues (dataflow programming): Oz

## Dynamic Typing in Oz

- A variable type is known only after the variable is bound
- For an unbound variable, its type checking is left for run time.
- An operation with values of wrong type will raise exceptions
- This setting is **dynamically typed**.
- In contrast, Java is a static type language, as the types of all variables can be determined at compile time
- Examples: Types of X maybe `Int`, `Float`, ..
  - `X < 1`
  - `X < 1.0`

## Example of Dataflow Variables

```
declare X Y
Y = X + 1
{Browse Y}
```

Running this Oz code, the Oz Browser does not display anything

```
X = 2
```

Running the previous line, the Oz Browser displays 3

## Concept of Cell

- A **cell** is a multiple-assignment variable
- A memory cell is also called **explicit state**
- Three functions operate on cells:
  - `NewCell` creates a new cell
  - `:=` (assignment) puts a new value in a cell
  - `@` (access) gets the current value stored in the cell
- ```
declare
C = {NewCell 0}
{Browse @C}
C := @C + 1
{Browse @C}
```

## Concept of Function

- Function definition

```
fun {<Identifier> <Arguments>}  
  [<Declaration Part> in]  
  [<Statement>]  
  <Expression>  
end
```

- The value of the **last expression in the body** is the **returned value** of the function

- Function application (call)

```
X = {<Identifier> <Arguments>}
```

## Recursive Functions

- Direct recursion: the function is calling itself
- Indirect (or mutual) recursion: e.g. F is calling G, and G is calling F
- General structure
  - base case
  - recursive case
- Typically, for a natural number  $n$ 
  - base case:  $n$  is zero
  - recursive case:
    - $n$  is different from zero
    - $n$  is greater than zero

## Concept of Function. Examples

```
declare  
fun {Minus X}  
  ~X  
end  
{Browse {Minus 15}}  
declare  
fun {Max X Y}  
  if X>Y then X else Y end  
end  
declare  
X = {Max 22 18}  
Y = {Max X 43}  
{Browse Y}
```

## Inductive Function Definition

- Factorial function:  $n! = 1 * 2 * 3 * \dots * n$ 
  - inductively defined as
$$0! = 1$$
$$n! = n * ((n-1)!)$$
  - program as function Fact

## Inductive Function Definition

### ■ Factorial function definition in Oz

```
fun {Fact N}
  if N == 0 then 1
  else N * {Fact N-1}
  end
end
{Browse {Fact 5}}
```

## Complexity

- The execution time of a program as a function of input size, up to a constant factor, is called the program's **time complexity**.

```
declare
fun {Fibo N}
  case N of
    1 then 1
  [] 2 then 1
  [] M then {Fibo (M-1)} + {Fibo (M-2)}
  end
end
{Browse {Fibo 100}}
```

- The time complexity of {Fibo N} is proportional to  $2^N$ .

## Correctness

- The most popular reasoning techniques is mathematical induction:
  - Show that for the simplest (initial) case the program is correct
  - Show that, if the program is correct for a given case, then it is correct for the next case
- {Fact 0} returns the correct answer, namely 1
- Assume {Fact N-1} is correct. Suppose  $N > 0$ , then Fact N returns  $N * \{Fact N-1\}$ , which is correct according to the Oz inductive hypothesis!
- Fact N for negative N goes into an infinite number of recursive calls, so it is wrong!

## Complexity

```
declare
fun {FiboTwo N A1 A2}
  case N of
    1 then A1
  [] 2 then A2
  [] M then {FiboTwo (M-1) A2 (A1+A2)}
  end
end
{Browse {FiboTwo 100 1 1}}
```

- The time complexity of {FiboTwo N} is proportional to N.

## Concept of Lazy Evaluation

- **Eager** (supply-driven, or data-driven) **evaluation**: calculations are done as soon as they are called
- **Lazy** (demand-driven) **evaluation**: a calculation is done only when the result is needed

```
declare
fun lazy {F1 X} X*X end
fun lazy {Ints N} N|{Ints N+1} end
A = {F1 5}
{Browse A}
```

% it will display: A

Note that {F1 5} does not execute until it is demanded!

## Concept of Higher-Order Programming

- Ability to pass functions as arguments or results
- We want to write a function for  $1+2+\dots+n$  (GaussSum)
- It is similar to `Fact`, except that:
  - “\*” is “+”
  - the initial case value is not “0” but “1”
- The two operators are written as functions; they will be arguments for the generic function

```
fun {Add X Y} X+Y end
```

```
fun {Mul X Y} X*Y end
```

## Concept of Lazy Evaluation

- `F1` and `Ints` created “stopped executions” that continue when their results are needed.
- After demanding value of `A` (function `*` is not lazy!), we get:

```
B = {Ints 3}
C = 2 * A      // A={F1 5}
{Browse A}
```

% it will display: 25

```
{Browse B}
```

% it will display: B

```
case B of X|Y|Z|_ then {Browse X+Y+Z} end
```

% it will cause only first three elements of `B` to be evaluated and then display: 12

% previous `B` is also refined to: 3|4|5|\_

## Concept of Higher-Order Programming

- The generic function is:

```
fun {GenericFact Op InitVal N}
  if N == 0 then InitVal
  else {Op N {GenericFact Op
                                   InitVal (N-1)}}
  end
end
```

## Concept of Higher-Order Programming

- The instances of this generic function may be:

```
fun {FactUsingGeneric N}
    {GenericFact Mul 1 N}
end
fun {GaussSumUsingGeneric N}
    {GenericFact Add 0 N}
end
```

- They can be called as:

```
{Browse {FactUsingGeneric 5}}
{Browse {GaussSumUsingGeneric 5}}
```

## Concept of Dataflow

- Is the ability of an operation to wait until all its variables become bounded

```
declare X in
thread {Delay 5000} X = 10 end
thread {Browse X * X} end
thread {Browse 'start'} end
```

- The second `Browse` waits for `x` to become bound
- `x = 10` and `x * x` can be done in any order, so dataflow execution will always give the same result

```
declare X in
thread {Delay 5000} {Browse X * X} end
thread X = 10 end
thread {Browse 'start'} end
```

- Dataflow concurrency (Chapter 4)

## Concept of Concurrency

- Is the ability of a program to run independent activities (not necessarily to communicate)
- A **thread** is an executing program
- Concurrency is introduced by creating threads

```
thread P1 in
    P1 = {FactUsingGeneric 5}
    {Browse P1}
end
thread P2 in
    P2 = {GaussSumUsingGeneric 5}
    {Browse P2}
end
```

## Concept of Object

- It is a function with internal memory (cell)

```
declare
local C in
    C = {NewCell 0}
    fun {Incr}
        C := @C + 1
        @C
    end
    fun {Read} @C end
end
```

- `C` is a counter object, `Incr` and `Read` are its interface
- The `declare` statement makes the variables `Incr` and `Read` globally available. `Incr` and `Read` are bounded to functions

## Concept of Object-Oriented Programming

### ■ Encapsulation

- Variable `C` is visible only between `local` and `last end`
- User can modify `C` only through `Incr` function (the counter will work correctly)
- User can call only the functions (methods) from the interface  
`{Browse {Incr}}`  
`{Browse {Read}}`

### ■ Data abstraction (Section 6.4)

- Separation between interface and implementation
- User program does not need to know the implementation

### ■ Inheritance (Chapter 7)

## Concept of Class

- `ClassCounter` is a function that creates a new cell and returns new functions: `Incr` and `Read` (recall higher-order programming)
- The record result groups the methods so that they can be accessed by its fields.

```
declare
Counter1 = {ClassCounter}
Counter2 = {ClassCounter}
```

- The methods can be accessed by “.” (dot) operator  
`{Browse {Counter1.incr}}`  
`{Browse {Counter2.read}}`

## Concept of Class

- It is a “factory” which creates objects

```
declare
fun {ClassCounter} C Incr Read in
  C = {NewCell 0}
  fun {Incr}
    C := @C + 1
    @C
  end
  fun {Read}
    @C
  end
  counter(incr:Incr read:Read)
end
```

## Concept of Nondeterminism

- It is concurrency + state
- The order in which threads access the state can **change** from one execution to the next
- The time when operations are executed is not known
- Interleaving (mixed order of threads statements) is dangerous (one of most famous concurrent programming error :
  - [N.Leveson, C.Turner: An investigation of the Therac-25 accidents. *IEEE Computer*, 26(7):18-41, 1993])
- Solution: An operation is **atomic** if no intermediate states can be observed (Chapter 8)

## Summary

- Oz, Mozart
- Variable, Type, Cell
- Function, Recursion, Induction
- Correctness, Complexity
- Lazy Evaluation
- Higher-Order Programming
- Concurrency, Dataflow
- Object, Classes
- Nondeterminism

## Reading suggestions

- From [van Roy,Haridi; 2004]
  - Chapter 1
  - Appendix A
  - Exercises 1.18.1-1.18.10
- From [Tucker, Noonan; 2002]
  - Chapter 1
  - Exercises 1.1-1.7 from [Tucker, Noonan; 2002]
- First lab/assignment: Fri 24 Aug 2007  
(15:00-18:00 Venue : ?) Compulsory attendance. Choose a 1-hr session.