# Programming Language Concepts, CS2104 Lecture 3

Statements, Kernel Language, Abstract Machine

### Reminder of last lecture

- Programming language definition: syntax, semantics
   CFG, EBNF
- Data structures
  - □ simple: integers, floats, literals
  - compound: records, tuples, lists

#### Kernel language

- linguistic abstraction
- data types
- variables and partial values
- unification

# Overview

- Some Oz concepts
  - Pattern matching
  - Tail recursion
  - Lazy evaluation
- Kernel language
  - statements and expressions
- Kernel language semantics
  - Use operational semantics
    - Aid programmer in reasoning and understanding
  - The model is a sort of an *abstract machine*, but leaves out details about registers and explicit memory address
    - Aid implementer to do an efficient execution on a real machine

#### Pattern-Matching on Numbers

fun {Fact N}

case N

of 0 then 1

[] N then  $N*{Fact (N-1)}$  end

end

#### Pattern Matching on Structures

fun {Depth T}
case Xs of
 leaf(value:\_) then 1
[] node(left:L right:R value:\_)
 then 1+{Max {Depth L} {Depth R}}
end
end

#### Compared to Conditional

```
fun {SumList Xs}
    case Xs
    of nil then 0
    [] X|Xr then X + {SumList Xr} end
end
```



Linear Recursion

```
fun {Fact N}
    case N
    of 0 then 1
    [] N then N * {Fact (N-1)} end
end
```



Accumulating Parameter

fun {Fact N } {FactT N 1} end



#### Accumulating Parameter



going down recursion and accumulating result in parameter

Accumulating Parameter = Tail Recursion = Loop!

#### Tail Recursion = Loop



Lazy Evaluation

Infinite list of numbers!

```
fun lazy {Ints N} N|{Ints N+1} end
    {Ints 2}
    ⇒ 2|{Ints 3}
    ⇒ 2|(3|{Ints 4})
    ⇒ 2|(3|(4|{Ints 5}))
    ⇒ 2|(3|(4|(5|{Ints 6})))
    ⇒ 2|(3|(4|(5|(6|{Ints 7}))))
    ;
```

What if we were to compute: {SumList {Ints 2}}?

#### Taking first N elements of List

```
fun {Take L N}
  if N<=0 then nil
  else case L of
        nil then nil
      [] X|Xs then X|{Take Xs (N-1)} end end
end</pre>
```

```
{Take [a b c d] 2}
⇒ a|{Take [b c d] 1}
⇒ a|b|{Take [c d] 0}
⇒ a|b|nil
{Take {Ints 2} 2}
⇒ ?
```

#### Eager Evaluation



#### Lazy Evaluation

Evaluate the lazy argument only as needed



# Kernel Concepts

- Single-assignment store
- Environment
- Semantic statement
- Execution state and Computation
- Statements Execution for:
  - skip and sequential composition
  - variable declaration
  - store manipulation
  - conditional

## Procedure Declarations

Kernel language

 $\langle X \rangle = \text{proc} \{ \$ \langle Y_1 \rangle \dots \langle Y_n \rangle \} \langle S \rangle \text{ end}$ is a legal statement • binds  $\langle X \rangle$  to procedure value • declares (introduces a procedure) • Familiar syntactic variant  $\text{proc} \{ \langle X \rangle \langle Y_1 \rangle \dots \langle Y_n \rangle \} \langle S \rangle \text{ end} \}$ 

introduces (declares) the procedure  $\langle x \rangle$ 

A procedure declaration is a value, whereas a procedure application is a statement!

## What Is a Procedure?

#### It is a value of the procedure type.

□ Java: methods with void as return type

declare

$$X = \text{proc } \{\$ Y\} \longrightarrow \$ \text{ is the nesting operator} \\ \{\text{Browse } 2*Y\} \\ \text{end} \\ \{X 3\} \longrightarrow 6 \\ \{\text{Browse } X\} \longrightarrow  \end{cases}$$

- But how to return a result (as parameter) anyway?
  - Idea: use an unbound variable
  - Why: we can supply its value after we have computed it!

## **Operations on Procedures**

#### Three basic operations:

- Defining them (with proc statement)
- Calling them (with { } notation)

#### Testing if a value is a procedure

{IsProcedure P} returns true if P is a procedure,
 and false otherwise

declare

X = proc {\$ Y}

{Browse 2\*Y}

```
end
```

```
{Browse {IsProcedure X}}
```

## Towards Computation Model

Step One: Make the language small

- Transform the language of function on partial values to a small kernel language
- Kernel language
  - procedures
  - records

local declarations

no functions no tuple syntax no list syntax no nested calls no nested constructions

## From Function to Procedure

```
fun {Sum Xs}
   case Xs
   of nil then 0
   [] X|Xr then X+{Sum Xr}
   end
```

#### end

```
Introduce an output parameter for procedure
proc {SumP Xs N}
    case Xs
    of nil then N=0
    [] X|Xr then N=X+{Sum Xr}
    end
end
```

### Why we need local statements?

```
proc {SumP Xs N}
  case Xs
  of nil then N=0
  [] X|Xr then
    local M in {SumP Xr M} N=X+M end
  end
end
```

- Local declaration of variables supported.
- Needed to allow kernel language to be based entirely on procedures

### How N was actually transmitted?

- Having the call {SumP [1 2 3] C}, the identifier Xs is bound to [1 2 3] and C is unbound.
- At the callee of SumP, whenever N is being bound, so will be C.
- This way of passing parameters is called call by reference.
- Procedures output are passed as references to unbound variables, which are bound inside the procedure.

## Local Declarations

#### local X in ... end

Introduces the variable identifier x

- visible between in and end
- called scope of the variable/declaration
- Creates a new store variable
- Links environment identifier to store variable

## Abbreviations for Declarations

- Kernel language
  - just one variable introduced at a time
  - no assignment when first declared
- Oz language syntax supports:
  - several variables at a time
  - variables can be also assigned (initialized) when introduced

# Transforming Declarations Multiple Variables



Transforming away Declarations' Initialization

#### local

 $X = \langle expression \rangle$ 

#### in

*(statement)* 

#### end

local X in
 X=(expression)
 (statement)
end

# Transforming Expressions

- Replace function calls by procedure calls
- Use local declaration for intermediate values
- Order of replacements:
  - left to right
  - innermost first
  - it is different for record construction: outermost first
  - □ Left associativity: 1+2+3 means ((1+2)+3)
  - Right associativity: a|b|X means (a|(b|X)), so build the first '|', then the second '|'

### Function Call to Procedure Call

 $X = \{F \mid Y \}$ 



# Replacing Nested Calls



# Replacing Nested Calls



# **Replacing Conditionals**



## Expressions to Statements



## Functions to Procedures: Length (0)

fun {Length Xs}
 case Xs
 of nil then 0
 [] X|Xr then 1+{Length Xr}
 end
end

## Functions to Procedures: Length (1)

proc {Length Xs N}
N=case Xs
 of nil then 0
 [] X|Xr then 1+{Length Xr}
 end
end

Make it a procedure

## Functions to Procedures: Length (2)

proc {Length Xs N}
 case Xs
 of nil then N=0
 [] X|Xr then N=1+{Length Xr}
 end
end

#### Expressions to statements

## Functions to Procedures: Length (3)

```
proc {Length Xs N}
  case Xs
  of nil then N=0
  [] X|Xr then
    local U in
      {Length Xr U}
      N=1+U
      end
  end
end
```

Replace function call by its corresponding proc call.
## Functions to Procedures: Length (4)

```
proc {Length Xs N}
   case Xs
   of nil then N=0
   [] X|Xr then
      local U in
          {Length Xr U}
          {Number.' + ' 1 U N}
      end
   end
end
```

Replace operation (+, dot-access, <, >, ...): procedure!

## Kernel Language Statement Syntax

 $\langle s \rangle$  denotes a statement

 $\begin{array}{l} \langle s \rangle ::=skip \\ | \langle x \rangle = \langle y \rangle \\ | \langle x \rangle = \langle v \rangle \\ | \langle s_1 \rangle \langle s_2 \rangle \\ | local \langle x \rangle in \langle s_1 \rangle end \\ | if \langle x \rangle then \langle s_1 \rangle else \langle s_2 \rangle end \\ | \{\langle x \rangle \langle y_1 \rangle \dots \langle y_n \rangle \} \\ | case \langle x \rangle of \langle pattern \rangle then \langle s_1 \rangle else \langle s_2 \rangle end \end{array}$ 

empty statement variable-variable binding variable-value binding sequential composition declaration conditional procedure application pattern matching

value expression

 $\langle pattern \rangle$  ::= ...

 $\langle V \rangle ::= ...$ 

## Abstract Machine

- *Environment* maps variable identifiers to store entities
- Semantic statement is a pair of:
  - statement
  - environment
- *Execution state* is a pair of:
  - stack of semantic statements
  - single assignment store
- *Computation* is a sequence of execution states
- An **abstract machine** performs a computation

## Single Assignment Store

- Single assignment store
  - set of store variables
  - partitioned into
    - sets of variables that are equivalent but unbound
    - variables bound to a value (number, record or procedure)

σ

- Example store  $\{x_1, x_2 = x_3, x_4 = a | x_2\}$ 
  - $\square X_1$  unbound
  - $x_{2,} x_{3}$  equal and unbound
  - $x_4$  bound to partial value  $a|x_2$

## Environment

#### Environment

 $\hfill\square$  maps variable identifiers to entities in store  $\sigma$ 

Ε

- written as set of pairs  $X \rightarrow x$ 
  - identifierX
  - store variable x
- Example of environment: {  $X \rightarrow x, Y \rightarrow y$  }
  - $\Box$  maps identifier X to store variable x
  - maps identifier Y to store variable y

## Environment and Store

- Given: environment *E*, store  $\sigma$
- Looking up value for identifier X:
  - find store variable in environment using *E*(X)
     take value from σ for *E*(X)
- Example:

 $\sigma = \{x_1, x_2 = x_3, x_4 = a | x_2\} \qquad E = \{X \to x_1, Y \to x_4\}$ 

- $E(X) = x_1$  where no information in  $\sigma$  on  $x_1$
- $\Box E(Y) = x_4 \qquad \text{where } \sigma \text{ binds } x_4 \text{ to } a | x_2$

## Calculating with Environments

#### Program execution looks up values

- $\square$  assume store  $\sigma$
- $\Box$  given identifier  $\langle x \rangle$
- $\hfill\square$  E((x)) is the value of (x) in store  $\sigma$
- Program execution modifies environments
  - □ for example: declaration
  - add mappings for new identifiers
  - overwrite existing mappings
  - restrict mappings on sets of identifiers

## Environment Adjunction

Given: Environment *E* then *E* + {⟨x⟩<sub>1</sub>→x<sub>1</sub>, ..., ⟨x⟩<sub>n</sub>→x<sub>n</sub>}
 is a new environment *E* with mappings added:

 always take store entity from new mappings
 might overwrite (or shadow) old mappings

## **Environment Projection**

Given: Environment E
 E | {\langle x \rangle\_1, ..., \langle x \rangle\_n}
 is a new environment E where only mappings for {\langle x \rangle\_1, ..., \langle x \rangle\_n} are retained from E

Adjunction Example

• 
$$E_0 = \{ \langle Y \rangle \rightarrow 1 \}$$

• 
$$E_1 = E_0 + \{\langle X \rangle \rightarrow 2 \}$$
  
• corresponds to  $\{\langle X \rangle \rightarrow 2, \langle Y \rangle \rightarrow 1 \}$   
•  $E_1(\langle X \rangle) = 2$ 

• 
$$E_2 = E_1 + \{\langle X \rangle \rightarrow 3 \}$$
  
• corresponds to  $\{\langle X \rangle \rightarrow 3, \langle Y \rangle \rightarrow 1 \}$   
•  $E_2(\langle X \rangle) = 3$ 

## Why Adjunction?



## Semantic Statements

Semantic statement

## ( ⟨s⟩, *E* )

- pair of (statement, environment)
- To actually execute statement:
  - environment to map identifiers
    - modified with execution of each statement
    - each statement has its own environment
  - store to find values
    - all statements modify same store
    - single store

## Stacks of Statements

- Execution maintains stack of semantic statements  $ST = [(\langle s \rangle_1, E_1), ..., (\langle s \rangle_n, E_n)]$ 
  - always topmost statement ( $\langle s \rangle_1, E_1$ ) executes first
    - <s> is statement
    - E denotes the environment mapping
  - rest of stack: remaining work to be done
- Also called: semantic stack

## Execution State

Execution state (ST, σ)
 pair of (semantic stack, store)
 Computation
 (ST<sub>1</sub>, σ<sub>1</sub>) ⇔ (ST<sub>2</sub>, σ<sub>2</sub>) ⇔ (ST<sub>3</sub>, σ<sub>3</sub>) ⇔ ...
 sequence of execution states

## Program Execution

Initial execution state

( [(( $\langle s \rangle, \emptyset$ )] ,  $\emptyset$ )

- empty store
- stack with semantic statement
  - single statement  $\langle s \rangle$ , empty environment  $\varnothing$

 $\bigtriangledown$ 

 $[(\langle S \rangle, \emptyset)]$ 

- At each execution step
  - pop topmost element of semantic stack
  - execute according to statement
- If semantic stack is empty, then execution stops

### Semantic Stack States

#### Semantic stack can be in following states

- terminated
- runnable
- suspended

stack is empty can do execution step stack not empty, no execution step possible

- Statements
  - non-suspending
  - suspending

can always execute need values from store dataflow behavior

## Summary up to now

- Single assignment store
- Environments
  - adjunction, projection
- Semantic statements
- Semantic stacks
- Execution state
- Computation = sequence of execution states
- Program execution
  - runnable, terminated, suspended
- Statements
  - suspending, non-suspending

σ *E E* + {...} *E* | <sub>{...}</sub> (⟨s⟩, *E*) [(⟨s⟩, *E*) ... ] (*ST*, σ)

## Statement Execution

#### Simple statements

- skip and sequential composition
- variable declaration
- store manipulation
- Conditional (if statement)
- Computing with procedures (later lecture)
  - Iexical scoping
  - closures
  - procedures as values
  - procedure call

## Simple Statements

 $\langle s \rangle$  denotes a statement

 $\begin{array}{ll} \langle \mathbf{S} \rangle & ::= \ \mathbf{skip} \\ & | & \langle \mathbf{X} \rangle = \langle \mathbf{Y} \rangle \\ & | & \langle \mathbf{X} \rangle = \langle \mathbf{V} \rangle \\ & | & \langle \mathbf{S}_1 \rangle \langle \mathbf{S}_2 \rangle \\ & | & | \ \mathbf{local} \langle \mathbf{X} \rangle \ \mathbf{in} \langle \mathbf{S}_1 \rangle \ \mathbf{end} \\ & | & \mathbf{if} \langle \mathbf{X} \rangle \ \mathbf{then} \langle \mathbf{S}_1 \rangle \ \mathbf{else} \langle \mathbf{S}_2 \rangle \ \mathbf{end} \end{array}$ 

 $\langle V \rangle$  ::= ...

empty statement variable-variable binding variable-value binding sequential composition declaration conditional

*value expression* (no procedures here)

## Executing skip

# Execution of semantic statement (skip, E) Do nothing

#### means: continue with next statement

#### non-suspending statement

Executing skip



## No effect on store σ Non-suspending statement

Executing skip



#### Remember: topmost statement is always popped!

## **Executing Sequential Composition**

- Semantic statement is
  - $(\langle s \rangle_1 \langle s \rangle_2, E)$
- Push in following order
  - $\Box \langle s \rangle_2$  executes after
  - $\Box \langle s \rangle_1$  executes next
- Statement is non-suspending

Sequential Composition



## Decompose statement sequences environment is given to both statements

## Executing local

Semantic statement is
 (local (X) in (S) end, E)

Execute as follows:

□ create new variable *y* in store

□ create new environment  $E' = E + \{\langle x \rangle \rightarrow y\}$ 

• push ( $\langle s \rangle$ , E)

Statement is non-suspending

## Executing local



#### • With $E' = E + \{\langle x \rangle \rightarrow y\}$

## Variable-Variable Equality

Semantic statement is

 $(\langle x \rangle = \langle y \rangle, E)$ 

Execute as follows

bind  $E(\langle x \rangle)$  and  $E(\langle y \rangle)$  in store

Statement is non-suspending

### Executing Variable-Variable Equality



## σ' is obtained from σ by binding E((x)) and E((y)) in store

## Variable-Value Equality

Semantic statement is

 $(\langle x \rangle = \langle v \rangle, E)$ 

where  $\langle v \rangle$  is a number or a record (procedures will be discussed later)

Execute as follows

 $\hfill\square$  create a variable y in store and let y refers to value  $\langle v \rangle$ 

- any identifier  $\langle z \rangle$  from  $\langle v \rangle$  is replaced by  $E(\langle z \rangle)$
- bind  $E(\langle x \rangle)$  and y in store
- Statement is non-suspending

## Executing Variable-Value Equality



- y refers to value (v)
- Store  $\sigma$  is modified into  $\sigma$ ' such that:
  - □ any identifier  $\langle z \rangle$  from  $\langle v \rangle$  is replaced by  $E(\langle z \rangle)$
  - □ bind  $E(\langle x \rangle)$  and y in store  $\sigma$

## Suspending Statements

- All statements so far can always execute
   non-suspending (or immediate)
- Conditional?
  - $\hfill\square$  requires condition  $\langle x\rangle$  to be bound variable
  - *activation condition*:  $\langle x \rangle$  is bound (determined)

## Executing if

Semantic statement is (if ⟨X⟩ then ⟨S⟩<sub>1</sub> else ⟨S⟩<sub>2</sub> end, E)
If the activation condition "bound(⟨X⟩)" is true
if E(⟨X⟩) bound to true push ⟨S⟩<sub>1</sub>
if E(⟨X⟩) bound to false push ⟨S⟩<sub>2</sub>
otherwise, raise error

Otherwise, suspend the if statement...

## Executing if

## If the activation condition "bound((x))" is true if E((x)) bound to true



## Executing if

## If the activation condition "bound((x))" is true if E(x) bound to false



## An Example

local X in local B in B=true if B then X=1 else skip end end end

#### We can reason that x will be bound to 1

## Example: Initial State

Start with empty store and empty environment
```
Example: local
```

Create new store variable x
Continue with new environment

Example: local

([(B=true
 if B then X=1 else skip end
 '
 {B → b, x → x})],
 {b,x})

Continue with new environment

#### Example: Sequential Composition

$$([(B=true, \{B \rightarrow b, x \rightarrow x\}), (if B then X=1) \\ else skip end, \{B \rightarrow b, x \rightarrow x\})], \{b,x\})$$

# Decompose to two statementsStack has now two semantic statements

#### Example: Variable-Value Assignment

([(if B then X=1  
else skip end, 
$$\{B \rightarrow b, x \rightarrow x\}$$
)],  
 $\{b=true, x\}$ )

## Environment maps B to b Bind b to true

Example: if

$$([(X=1, \{B \rightarrow b, X \rightarrow x\})], \{b=true, x\})$$

- Environment maps B to b
- Bind b to true
- Because the activation condition "bound((x))" is true, continue with then branch of if statement

#### Example: Variable-Value Assignment

- Environment maps x to x
- Binds x to 1
- Computation terminates as stack is empty

#### Summary up to now

#### Semantic statement execute by

- popping itself always
- creating environment local
- manipulating store local, =
- pushing new statements local, if

sequential composition

- Semantic statement can suspend
   activation condition (if statement)
  - read store

Semantic statement is

 $\begin{aligned} &(\texttt{case} \langle X \rangle \\ &\texttt{of} \langle \texttt{lit} \rangle (\langle \texttt{feat} \rangle_1 : \langle y \rangle_1 \dots \langle \texttt{feat} \rangle_n : \langle y \rangle_n) \texttt{then} \langle S \rangle_1 \\ &\texttt{else} \langle S \rangle_2 \texttt{end}, E \end{aligned}$ 

- It is a suspending statement
- Activation condition is: "bound( $\langle x \rangle$ )"
- If activation condition is false, then suspend!

- Semantic statement is

   (case (x)
   of (lit)((feat)<sub>1</sub>:(y)<sub>1</sub> ... (feat)<sub>n</sub>:(y)<sub>n</sub>) then (s)<sub>1</sub>
   else (s)<sub>2</sub> end, E)
- If  $E(\langle x \rangle)$  matches the pattern, that is,

  label of  $E(\langle x \rangle)$  is  $\langle \text{lit} \rangle$  and
  its arity is  $[\langle \text{feat} \rangle_1 \dots \langle \text{feat} \rangle_n]$ ),
  then push  $\langle \langle S \rangle_1, \\ E + \{\langle y \rangle_1 \rightarrow E(\langle x \rangle), \langle \text{feat} \rangle_1, \\ \langle y \rangle_n \rightarrow E(\langle x \rangle), \langle \text{feat} \rangle_n\}$ )

Semantic statement is

(case (x)
of (lit)((feat): (y)
(feat): (y)
(fea

Semantic statement is

 $\begin{array}{l} (\texttt{case} \langle \mathsf{X} \rangle \\ \texttt{of} \langle \mathsf{lit} \rangle (\langle \texttt{feat} \rangle_1 : \langle \mathsf{y} \rangle_1 \ \dots \ \langle \texttt{feat} \rangle_n : \langle \mathsf{y} \rangle_n) \texttt{then} \ \langle \mathsf{S} \rangle_1 \\ \texttt{else} \ \langle \mathsf{S} \rangle_2 \texttt{end}, \ E \end{array}$ 

It does not introduce new variables in the store
 Identifiers (y)<sub>1</sub> ... (y)<sub>n</sub> are visible only in (s)<sub>1</sub>

Executing case

If the activation condition "bound((x))" is true
 if E((x)) matches the pattern



#### Executing case

## If the activation condition "bound((x))" is true if E((x)) does not match the pattern



#### Example: case Statement

```
([(case X of
      f(X1 X2) then Y = g(X2 X1)
      else Y = c
      end,
      {X →v1, Y →v2})], % Env
      {v1=f(v3 v4), v2, v3=a, v4=b} % Store
)
```

- We declared X, Y, X1, X2 as local identifiers and X=f(v3 v4), X1=a and X2=b
- What is the value of Y after executing case?

#### Example: case Statement

- The activation condition "bound((x))" is true
- Remember that X1=a, X2=b

#### Example: case Statement

Remember Y refers to v2, so
Y = g(b a)

31 Aug 2007

### Summary

- Kernel language
  - linguistic abstraction
  - data types
  - variables and partial values
  - statements and expressions
- Computing with procedures (next lecture)
  - Iexical scoping
  - closures
  - procedures as values
  - procedure call

### Reading Suggestions

#### from [van Roy,Haridi; 2004]

- □ Chapter 2, Sections 2.1.1-2.3.5, 2.8
- Appendices B, C, D
- Exercises 2.9.1-2.9.3, 2.9.13