# 05—Predicate Logic II

CS 3234: Logic and Formal Systems

Martin Henz

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- Review: Syntax and Semantics
- 2 Proof Theory
- 3 Equivalences and Properties

- Review: Syntax and Semantics
  - Predicates, Functions, Terms, Formulas
  - Models
  - Satisfaction and Entailment
- Proof Theory
- 3 Equivalences and Properties

### **Predicates**

### Example

Every student is younger than some instructor.

#### **Predicates**

### Example

Every student is younger than some instructor.

- S(andy) could denote that Andy is a student.
- I(paul) could denote that Paul is an instructor.
- Y(andy, paul) could denote that Andy is younger than Paul.

# Example

### **English**

Every girl is younger than her mother.

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#### **Predicates**

G(x): x is a girl

M(x, y): x is y's mother

Y(x, y): x is younger than y

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#### **Predicates**

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Y(x, y): x is younger than y

#### The sentence in predicate logic

$$\forall x \forall y (G(x) \land M(y,x) \rightarrow Y(x,y))$$



### A "Mother" Function

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$$\forall x \forall y (G(x) \land M(y,x) \rightarrow Y(x,y))$$

### The sentence using a function

$$\forall x(G(x) \rightarrow Y(x, m(x)))$$

# Predicate Vocabulary

At any point in time, we want to describe the features of a particular "world", using predicates, functions, and constants. Thus, we introduce for this world:

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- ullet a set of predicate symbols  ${\cal P}$
- ullet a set of function symbols  ${\mathcal F}$

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## Special case: Nullary Functions

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#### Special case: Nullary Predicates

Predicate symbols with arity 0 denotes predicates that do not depend on any arguments. They correspond to propositional atoms.



$$t ::= x \mid c \mid f(t, \ldots, t)$$

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#### where

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- x ranges over a given set of variables  $\mathcal{V}$ ,
- c ranges over nullary function symbols in  $\mathcal{F}$ , and

$$t ::= x \mid c \mid f(t,\ldots,t)$$

#### where

- x ranges over a given set of variables V,
- c ranges over nullary function symbols in  $\mathcal{F}$ , and
- f ranges over function symbols in  $\mathcal{F}$  with arity n > 0.

# **Examples of Terms**

If n is nullary, f is unary, and g is binary, then examples of terms are:

- g(f(n), n)
- f(g(n, f(n)))

$$\phi ::= P(t, \dots, t) \mid (\neg \phi) \mid (\phi \land \phi) \mid (\phi \lor \phi) \mid (\phi \to \phi) \mid (\forall x \phi) \mid (\exists x \phi)$$

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- x are variables in  $\mathcal{V}$ .

# Equality as Predicate

Equality is a common predicate, usually used in infix notation.

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#### Example

Instead of the formula

$$=(f(x),g(x))$$

we usually write the formula

$$f(x) = g(x)$$



### Models

#### **Definition**

Let  $\mathcal{F}$  contain function symbols and  $\mathcal{P}$  contain predicate symbols. A model  $\mathcal{M}$  for  $(\mathcal{F}, \mathcal{P})$  consists of:

- A non-empty set A, the universe;
- ② for each nullary function symbol  $f \in \mathcal{F}$  a concrete element  $f^{\mathcal{M}} \in A$ :
- of for each  $f \in F$  with arity n > 0, a concrete function  $f^{\mathcal{M}}: A^n \to A$ ;
- for each  $P \in \mathcal{P}$  with arity n > 0, a function  $P^{\mathcal{M}}: U^n \to \{F, T\}$ .
- **5** for each  $P \in \mathcal{P}$  with arity n = 0, a value from  $\{F, T\}$ .



# **Equality Revisited**

## Interpretation of equality

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#### Extensionality restriction

This means that allowable models are restricted to those in which  $a = {}^{\mathcal{M}} b$  holds if and only if a and b are the same elements of the model's universe.

The model  $\mathcal{M}$  satisfies  $\phi$  with respect to environment I, written  $\mathcal{M} \models_I \phi$ :

• in case  $\phi$  is of the form  $P(t_1, t_2, \dots, t_n)$ , if  $a_1, a_2, \dots, a_n$  are the results of evaluating  $t_1, t_2, \dots, t_n$  with respect to I, and if  $P^{\mathcal{M}}(a_1, a_2, \dots, a_n) = T$ ;

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- in case  $\phi$  has the form  $\exists x \psi$ , if the  $\mathcal{M} \models_{I[x \mapsto a]} \psi$  holds for some  $a \in A$ ;

# Satisfaction Relation (continued)

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- in case  $\phi$  has the form  $\psi_1 \to \psi_2$ , if  $\mathcal{M} \models_I \psi_1$  holds whenever  $\mathcal{M} \models_I \psi_2$  holds.

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#### Entailment

 $\Gamma \models \psi$  iff for all models  $\mathcal{M}$  and environments I, whenever  $\mathcal{M} \models_I \phi$  holds for all  $\phi \in \Gamma$ , then  $\mathcal{M} \models_I \psi$ .

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### Satisfiability of Formulas

 $\psi$  is satisfiable iff there is some model  $\mathcal{M}$  and some environment I such that  $\mathcal{M} \models_I \psi$  holds.

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### Satisfiability of Formula Sets

 $\Gamma$  is satisfiable iff there is some model  $\mathcal{M}$  and some environment I such that  $\mathcal{M} \models_I \phi$ , for all  $\phi \in \Gamma$ .



Let  $\Gamma$  be a possibly infinite set of formulas in predicate logic and  $\psi$  a formula.

### **Validity**

 $\psi$  is valid iff for all models  $\mathcal{M}$  and environments I, we have  $\mathcal{M} \models_I \psi$ .

# The Problem with Predicate Logic

### Entailment ranges over models

Semantic entailment between sentences:  $\phi_1, \phi_2, \dots, \phi_n \models \psi$  requires that in *all* models that satisfy  $\phi_1, \phi_2, \dots, \phi_n$ , the sentence  $\psi$  is satisfied.

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### How to effectively argue about all possible models?

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### Idea from propositional logic

Can we use natural deduction for showing entailment?



- Review: Syntax and Semantics
- 2 Proof Theory
  - Equality
  - Universal Quantification
  - Existential Quantification
- 3 Equivalences and Properties

## Natural Deduction for Predicate Logic

### Relationship between propositional and predicate logic

If we consider propositions as nullary predicates, propositional logic is a sub-language of predicate logic.



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### Example



# **Built-in Rules for Equality**

$$t_i = t_2 [x \Rightarrow t_1] \phi$$

$$t = t [x \Rightarrow t_2] \phi$$

$$[x \Rightarrow t_2] \phi$$

# Properties of Equality

We show:

$$f(x) = g(x) \vdash h(g(x)) = h(f(x))$$

using

$$t_1 = t_2 [x \Rightarrow t_1] \phi$$

$$t = t [x \Rightarrow t_2] \phi$$

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$$\frac{t_1 = t_2 \qquad [x \Rightarrow t_1]\phi}{t = t} = e$$

$$[x \Rightarrow t_2]\phi$$

1 
$$f(x) = g(x)$$
 premise  
2  $h(f(x)) = h(f(x))$  =  $i$   
3  $h(g(x)) = h(f(x))$  =  $e$  1,2

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$$\frac{\forall x \phi}{[x \Rightarrow t] \phi} [\forall x \ e]$$

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Once you have proven  $\forall x \phi$ , you can replace x by any term t in  $\phi$ , provided that t is free for x in  $\phi$ .

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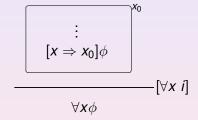
We prove:  $S(g(john)), \forall x(S(x) \rightarrow \neg L(x)) \vdash \neg L(g(john))$ 

$$\frac{\forall x \phi}{[x \Rightarrow t] \phi} [\forall x \ e]$$

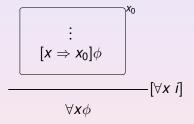
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```
 \begin{array}{lll} 1 & S(g(john)) & \text{premise} \\ 2 & \forall x(S(x) \rightarrow \neg L(x)) & \text{premise} \\ 3 & S(g(john)) \rightarrow \neg L(g(john)) & \forall x \ e \ 2 \\ 4 & \neg L(g(john)) & \rightarrow e \ 3,1 \end{array}
```

### Introduction of Universal Quantification

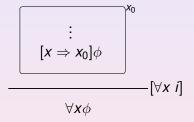


### Introduction of Universal Quantification



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If we manage to establish a formula  $\phi$  about a fresh variable  $x_0$ , we can assume  $\forall x \phi$ .

The variable  $x_0$  must be *fresh*; we cannot introduce the same variable twice in nested boxes.



$$\forall x (P(x) \to Q(x)), \forall x P(x) \vdash \forall x Q(x) \text{ via }$$

### Introduction of Existential Quantification

$$[x \Rightarrow t] \phi$$

$$\exists x \phi$$

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$$= \exists x \phi$$

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$$\forall x \phi \vdash \exists x \phi$$

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#### Recall: Definition of Models

A model  $\mathcal{M}$  for  $(\mathcal{F}, \mathcal{P})$  consists of:

- A non-empty set U, the universe;
- **2** ...

$$\forall x \phi \vdash \exists x \phi$$

#### Recall: Definition of Models

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#### Remark

Compare this with Traditional Logic (Coq Quiz 1).

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#### Recall: Definition of Models

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Because *U* must not be empty, we should be able to prove the sequent above.



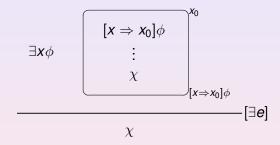
# Example (continued)

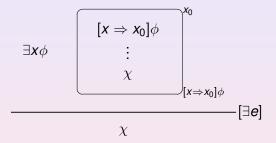
$$\forall x \phi \vdash \exists x \phi$$

# Example (continued)

$$\forall x \phi \vdash \exists x \phi$$

1	$\forall x \phi$	premise
2	$[\mathbf{x}\Rightarrow\mathbf{x}]\phi$	∀ <i>x e</i> 1
3	$\exists x \phi$	∃ <i>x i</i> 2

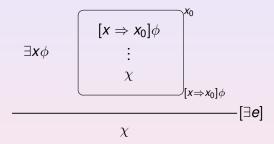




### Making use of $\exists$

If we know  $\exists x \phi$ , we know that there exist at least one object x for which  $\phi$  holds.

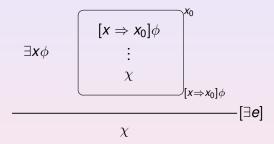




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### Making use of $\exists$

If we know  $\exists x \phi$ , we know that there exist at least one object x for which  $\phi$  holds. We call that element  $x_0$ , and assume  $[x \Rightarrow x_0]\phi$ . Without assumptions on  $x_0$ , we prove  $\chi$  ( $x_0$  not in  $x_0$ ).

# Example

$$\forall x (P(x) \rightarrow Q(x)), \exists x P(x) \vdash \exists x Q(x)$$

1	$\forall x (P(x) \rightarrow Q(x))$	premise	
2	$\exists x P(x)$	premise	
3	$P(x_0)$	assumption	<i>x</i> <sub>0</sub>
4	$P(x_0) \rightarrow Q(x_0)$	∀ <i>x e</i> 1	
5	$Q(x_0)$	ightarrow e 4,3	
6	$\exists x Q(x)$	∃ <i>x i</i> 5	
7	$\exists x Q(x)$	∃ <i>x e</i> 2,3–6	

# Example

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5	$Q(x_0)$	ightarrow $e$ 4,3	
6	$\exists x Q(x)$	∃ <i>x i</i> 5	
7	$\exists x Q(x)$	∃ <i>x e</i> 2,3–6	

Note that  $\exists x Q(x)$  within the box does not contain  $x_0$ , and therefore can be "exported" from the box.



# **Another Example**

1	$\forall x(Q(x) \rightarrow R(x))$	premise	
2	$\exists x (P(x) \land Q(x))$	premise	
3	$P(x_0) \wedge Q(x_0)$	assumption	<i>x</i> <sub>0</sub>
4	$Q(x_0) \rightarrow R(x_0)$	∀ <i>x e</i> 1	
5	$Q(x_0)$	∧ <i>e</i> <sub>2</sub> 3	
6	$R(x_0)$	ightarrow e 4,5	
7	$P(x_0)$	∧ <i>e</i> <sub>1</sub> 3	
8	$P(x_0) \wedge R(x_0)$	<i>∧i</i> 7, 6	
9	$\exists x (P(x) \land R(x))$	∃ <i>x i</i> 8	
10	$\exists x (P(x) \land R(x))$	∃ <i>x e</i> 2,3–9	

# Variables must be fresh! This is not a proof!

1	$\exists x P(x)$	premise	
2	$\forall x (P(x) \rightarrow Q(x))$	premise	
3			<i>x</i> <sub>0</sub>
4	$P(x_0)$	assumption	<i>x</i> <sub>0</sub>
5	$P(x_0) \rightarrow Q(x_0)$	∀ <i>x e</i> 2	
6	$Q(x_0)$	ightarrow $e$ 5,4	
7	$Q(x_0)$	∃ <i>x e</i> 1, 4–6	
8	$\forall y Q(y)$	∀ <i>y i</i> 3–7	

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  - Quantifier Equivalences
  - Soundness and Completeness
  - Undecidability, Compactness

Quantifier Equivalences Soundness and Completeness Undecidability, Compactness

## Equivalences

## Two-way-provable

We write  $\phi \dashv \vdash \psi$  iff  $\phi \vdash \psi$  and also  $\psi \vdash \phi$ .

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$$\neg \forall x \phi \dashv \vdash \exists x \neg \phi$$

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$$\neg \forall x \phi \quad \dashv \vdash \quad \exists x \neg \phi$$
$$\neg \exists x \phi \quad \dashv \vdash \quad \forall x \neg \phi$$
$$\forall x \forall y \phi \quad \dashv \vdash \quad \forall y \forall x \phi$$

#### Two-way-provable

We write  $\phi \dashv \vdash \psi$  iff  $\phi \vdash \psi$  and also  $\psi \vdash \phi$ .

$$\neg \forall x \phi \quad \dashv \vdash \quad \exists x \neg \phi \\
\neg \exists x \phi \quad \dashv \vdash \quad \forall x \neg \phi \\
\forall x \forall y \phi \quad \dashv \vdash \quad \forall y \forall x \phi \\
\exists x \exists y \phi \quad \dashv \vdash \quad \exists y \exists x \phi$$

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\exists x \exists y \phi \quad \dashv \vdash \quad \exists y \exists x \phi 
\forall x \phi \land \forall x \psi \quad \dashv \vdash \quad \forall x (\phi \land \psi)$$

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\exists x \exists y \phi \quad \dashv \vdash \quad \exists y \exists x \phi \\
\forall x \phi \land \forall x \psi \quad \dashv \vdash \quad \forall x (\phi \land \psi) \\
\exists x \phi \lor \exists x \psi \quad \dashv \vdash \quad \exists x (\phi \lor \psi)$$

#### Quantifier Equivalences Soundness and Completeness Undecidability, Compactness

## $\neg \forall x \phi \vdash \exists x \neg \phi$

1	$\neg \forall \mathbf{x} \phi$	premise	
2	$\neg \exists x \neg \phi$	assumption	
3		<i>x</i> <sub>0</sub>	
4	$\neg[x \Rightarrow x_0]\phi$ $\exists x \neg \phi$	assumption	
5	$\exists x \neg \phi$	∃ <i>x i</i> 4	
6	<b>T</b>	<i>¬e</i> 5, 2	
7	$[x \Rightarrow x_0]\phi$	PBC 4-6	
8	$\forall x \phi$	∀ <i>x i</i> 3–7	
9	$\perp$	<i>¬e</i> 8, 1	
10	$\exists x \neg \phi$	PBC 2–9	



# $\exists x \exists y \phi \vdash \exists y \exists x \phi$

Assume that x and y are different variables.

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Assume that x and y are different variables.

1 ∃ <i>x</i> ∃	$oldsymbol{y}\phi$	premise	
2 [x =	$\Rightarrow x_0](\exists y\phi)$	assumption	<i>x</i> <sub>0</sub>
3 ∃ <i>y</i> (	$([x \Rightarrow x_0]\phi$	def of subst (x, y different)	
4 [ <i>y</i>	$\Rightarrow y_0][x \Rightarrow x_0]\phi$	assumption	<i>y</i> <sub>0</sub>
5 [x	$\Rightarrow x_0][y \Rightarrow y_0]\phi$	def of subst $(x, y, x_0, y_0 \text{ different})$	
6 ∃ <i>x</i>	$y[y \rightarrow y_0]\phi$	∃ <i>x i</i> 5	
7 ∃ <i>y</i>	$\forall\exists x\phi$	∃ <i>y i</i> 6	
8 ∃ <i>y</i> ∃	$\exists x \phi$	∃ <i>y e</i> 3, 4–7	
9 ∃ <i>y</i> ∃	$X\phi$	∃ <i>x e</i> 1, 2–8	

## More Equivalences

#### Assume that x is not free in $\psi$

$$\forall x \phi \wedge \psi \quad \dashv \vdash \quad \forall x (\phi \wedge \psi)$$

$$\forall x \phi \vee \psi \quad \dashv \vdash \quad \forall x (\phi \vee \psi)$$

$$\exists x \phi \wedge \psi \quad \dashv \vdash \quad \exists x (\phi \wedge \psi)$$

$$\exists x \phi \vee \psi \quad \dashv \vdash \quad \exists x (\phi \vee \psi)$$

## Central Result of Natural Deduction

$$\phi_1, \dots, \phi_n \models \psi$$
iff
$$\phi_1, \dots, \phi_n \vdash \psi$$

proven by Kurt Gödel, in 1929 in his doctoral dissertation

## Recall: Decidability

## Decision problems

A *decision problem* is a question in some formal system with a yes-or-no answer.

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### Decidability

Decision problems for which there is an algorithm that returns "yes" whenever the answer to the problem is "yes", and that returns "no" whenever the answer to the problem is "no", are called *decidable*.

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#### Decidability of satisfiability

The question, whether a given propositional formula is satisifiable, is decidable.

#### Theorem

The decision problem of validity in predicate logic is undecidable: no program exists which, given any language in predicate logic and any formula  $\phi$  in that language, decides whether  $\models \phi$ .

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- Translate an arbitrary PCP, say C, to a formula  $\phi$ .
- Establish that  $\models \phi$  holds if and only if *C* has a solution.
- Conclude that validity of predicate logic formulas is undecidable.



## Compactness

#### Theorem

Let  $\Gamma$  be a (possibly infinite) set of sentences of predicate logic. If all finite subsets of  $\Gamma$  are satisfiable, the  $\Gamma$  itself is satisfiable.

# **Application of Compactness**

#### Theorem (Löwenheim-Skolem Theorem)

Let  $\psi$  be a sentence of predicate logic such that for any natural number  $n \ge 1$  there is a model of  $\psi$  with at least n elements. Then  $\psi$  has a model with infinitely many elements.

## Next Week

- Induction (formal)
- Midterm test