



# Chapter 11



## Lecture 11 - Security



## Last session



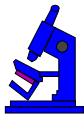
- Buffer overflow attacks
- PkZip attack
- DVDs and the CSS
- SSH and SSL
- PGPfone



## This session



- Design principles
- Biometrics
- IPsec
- Formal methods
- Formal evaluation
- Exam



## Design principles

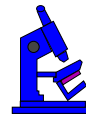


Ppaper by Saltzer and Schroeder, summarized below:

- **Economy of mechanism:** Keep the design as simple and small as possible. (identd assumption)
- **Fail-safe defaults:** Base access decisions on permission rather than exclusion. This is conservative design. (mail server - mail only access)
- **Complete mediation:** Every access to every object must be checked for authority. (DNS cache poisoning)



## Design principles



- **Open design:** The design should not be secret. (DVDs, Microsoft SAM hashes...)
- **Separation of privilege:** Two keys are better than one. No single event can compromise the system. (su - password and *wheel* group)
- **Least privilege:** Every program and every user of the system should operate using the least set of privileges necessary to complete the job. (Military need-to-know)



## Design principles



- **Least common mechanism:** Minimize the amount of mechanism common to more than one user and depended on by all users. (supervisor or library).
- **Psychological acceptability:** Human interface easy to use.

In the textbook there are **examples** of the use of each of these design principles.



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## Biometrics



Biometrics is the use of **human** physical **characteristics** to support **authentication**.

### FPC1010 Area Sensor

#### FEATURES

- Internal A/D
- SPI interface
- 3.3 Volt operation
- Robust surface coating
- >1 000 000 wear cycles
- >15kV ESD protection

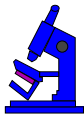
#### APPLICATION EXAMPLES

- Mobile phones, PDAs
- PC peripherals
- Security systems
- Smart cards





## Biometrics - eyes



## Minimal hardware biometrics

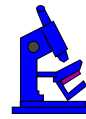


- ✓ **Voices** - Record and process voice leading to either speaker verification or recognition.
- ✓ **Faces** - Capture either a static or moving image of a face.
- ✓ **Keystrokes** - capture a sequence of keystrokes, recording timing.

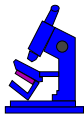
Combinations of characteristics may be used, but in general biometric techniques are **not reliable** on their own. Good second key for **separation of privilege**.



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## IPSec



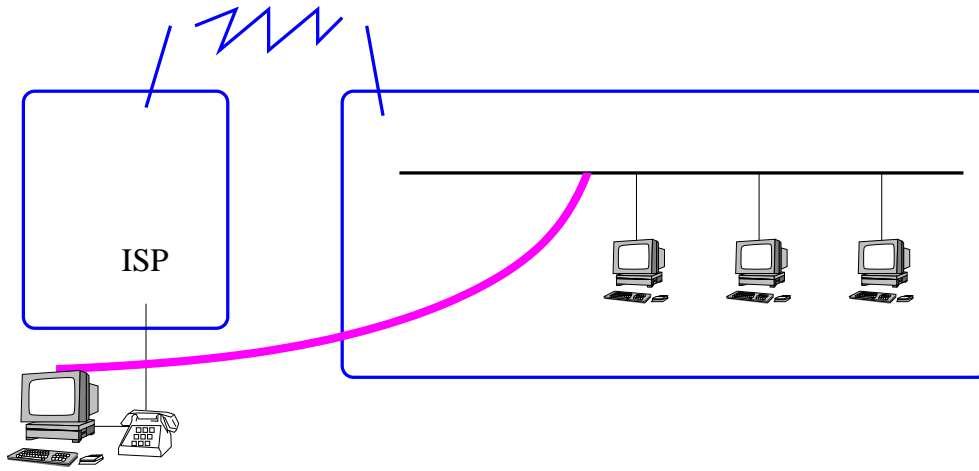
- ✓ IPSec is a **set of standards** intended to support communication security between networked computers, particularly in the newer IPv6 (IP Next-Generation) network.
- ✓ IPSec software is available in Windows2000, Linux, and on routers on the Internet.

<http://www.faqs.org/rfcs/rfc2401.html>

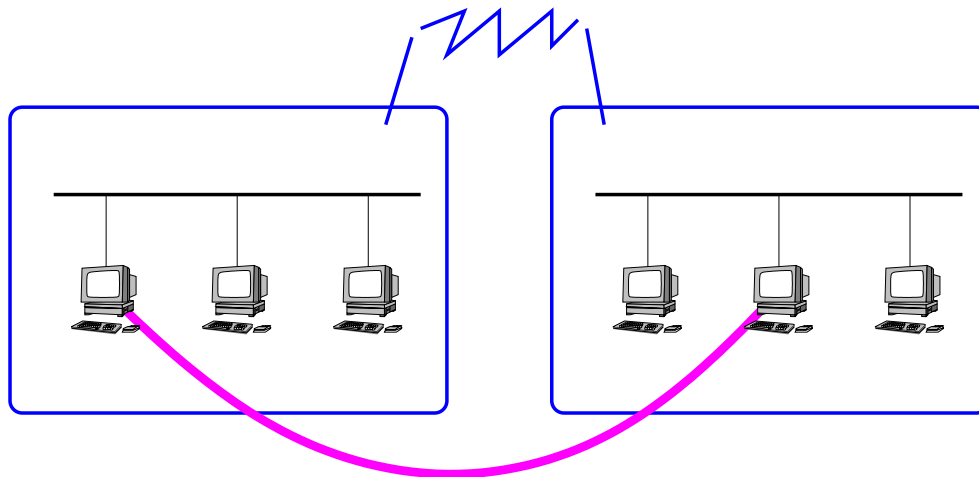
- ✓ IPSec may be used in a range of ways.



# IPSec VPN

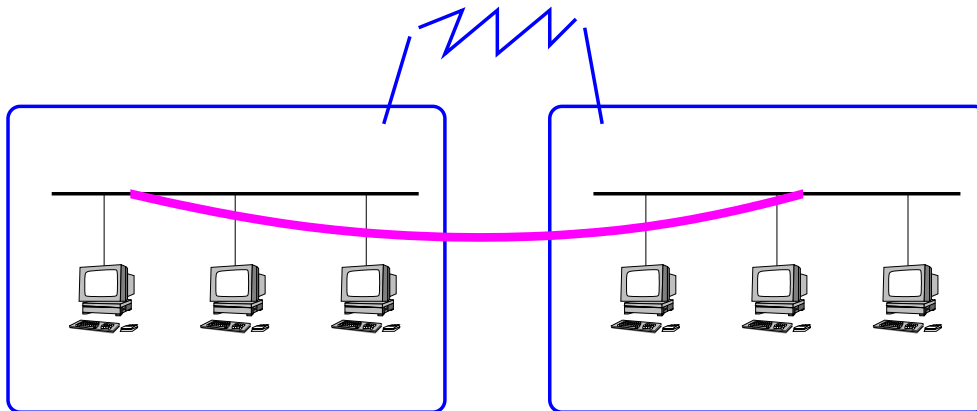


# IPSec point-to-point





## IPSec network-to-network



## IPSec headers



There are two types of header, one used for **authentication**, and the other used for **encryption**:

1. **AH** - the **Authentication Header** for data integrity, anti-replay and authentication
2. **ESP** - the **Encapsulating Security Payload** header, for confidentiality. ESP can also provide AH services.

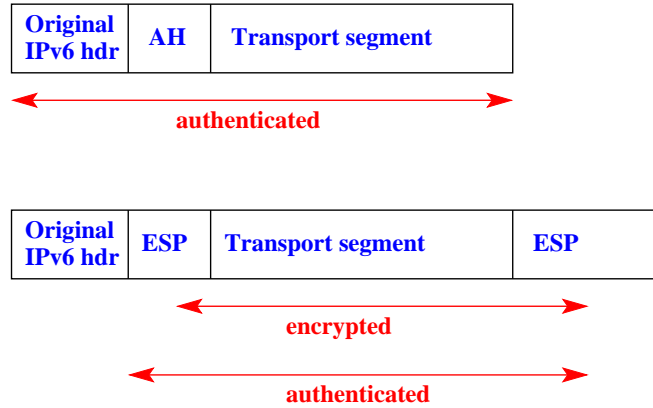
Communicating parties agree on a **Security Association** (SA), one SA for each direction, and one SA for each type of communication.



# Modes of operation



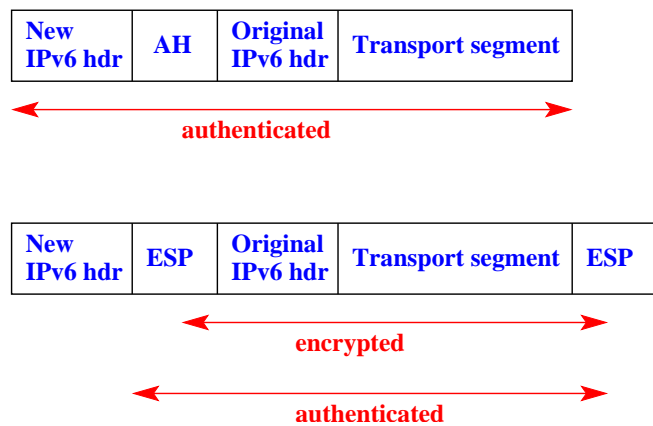
- An end-to-end SA - **Transport mode**



# Modes of operation



- An SA between security gateways - **Tunnel mode**



SAs form a kind of distributed database.



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## Formal methods



- ✓ **FM** encompasses a **wide range** of techniques...
- ✓ Model checking:
  - ✓ constructing formal **models**, with
  - ✓ appropriate formal **specifications**.
- ✓ Example is **Promela** and **Spin**.



## Promela and spin



- ✓ The **language** Promela is 'C' like, with an initialization procedure. It can model asynchronous or synchronous, deterministic or non-deterministic systems
- ✓ Spin is the **checker** for Promela models
- ✓ Assertions to test correctness of model:  

```
assert(some_boolean_condition);
```
- ✓ If condition not TRUE then assertion violated.



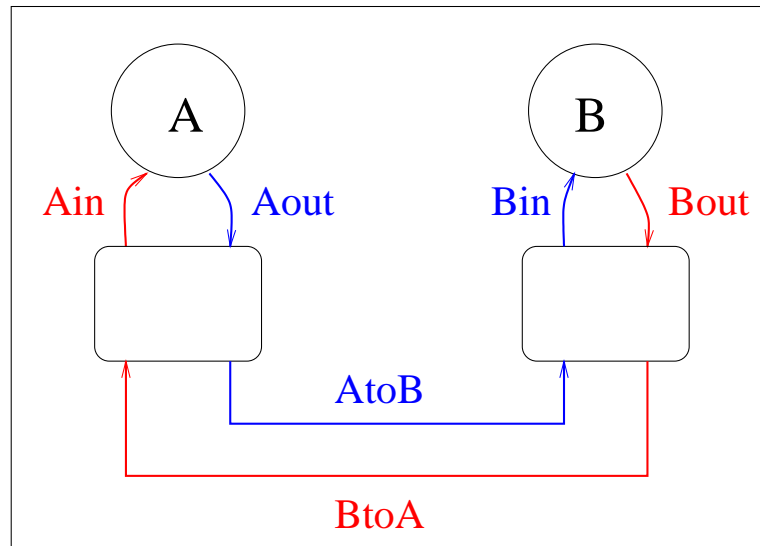
## Temporal claims



- ✓ ***We got here again without making any progress!***
- ✓ The support for temporal claims takes the form of:
  - ✓ **Endstate** labels - for determining valid endstates
  - ✓ **Progress** labels - claim no non-progress cycles
  - ✓ **Never** claims - impossible temporal assertions



## Simple example



## Promela example



```

init
{
  chan AtoB = [1] of { mtype,byte };
  chan BtoA = [1] of { mtype,byte };
  chan Ain  = [2] of { mtype,byte };
  chan Bin  = [2] of { mtype,byte };
  chan Aout = [2] of { mtype,byte };
  chan Bout = [2] of { mtype,byte };
  atomic {
    run application( Ain,Aout );
    run transfer( Aout,Ain,BtoA,AtoB );
    run transfer( Bout,Bin,AtoB,BtoA );
    run application( Bin,Bout )
  };
  AtoB!err(0)
}

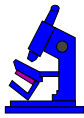
```



## Promela example



```
#define MAX 10
mtype = { ack, nak, err, next, accept }
proctype transfer( chan in, out, chin, chout )
{
    byte o,i;
    in?next(o);
    do
        :: chin?nak(i) -> out!accept(i); chout!ack(o)
        :: chin?ack(i) -> out!accept(i); in?next(o); chout!ack(o)
        :: chin?err(i) -> chout!nak(o)
    od
}
```



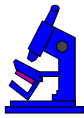
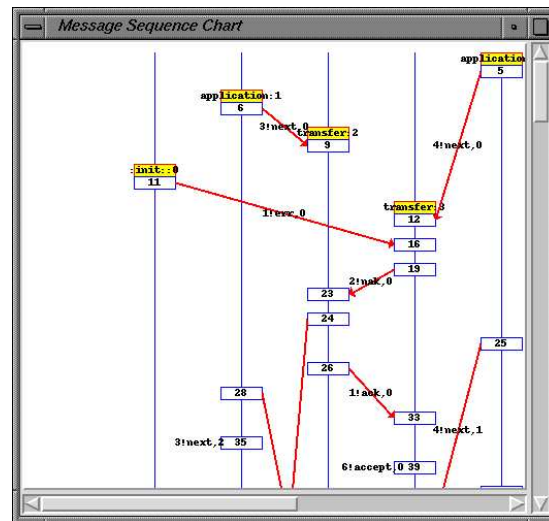
## Promela example



```
proctype application( chan in, out )
{
    int i=0, j=0, last_i=0;
    do
        :: in?accept(i) ->
            assert( i==last_i );
            if
                :: (last_i!=MAX) -> last_i = last_i+1
                :: (last_i==MAX)
            fi
        :: out!next(j) ->
            if
                :: (j!=MAX) -> j=j+1
                :: (j==MAX)
            fi
    od
}
```



# Spin simulation



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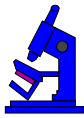


## Formal evaluation - TCSEC



TCSEC (The Orange book) was the first rating system for the security of products. It defined six different evaluation classes. The classes are:

- **C1** - For **same-level** security access. Not currently used.
- **C2 - Controlled access protection** - users are individually accountable for their actions. Most OS manufacturers have C2 versions of the OS.
- **B1 - Mandatory BLP policies** - for more secure systems handling classified data.



## Formal evaluation - TCSEC



- **B2 - structured protection** - mandatory access control for all objects in the system. Formal models.
- **B3 - security domains** - more controls, minimal complexity, provable consistency of model.
- **A1 - Verified design** - consistency proofs between model and specification.



## Formal evaluation - ITSEC



- ✓ From Dutch, English, French and German **national** security evaluation **criteria**.
- ✓ **Adaptable**.
- ✓ Sponsor determines operational requirements, threats and security objectives.
- ✓ ITSEC specifies the **interactions** and documents between the sponsor and the evaluator.



## ITSEC



- ✓ Again there are various levels of evaluation: **E0..E6**, with **E6** giving the highest level of assurance - it requires two independent formal verifications.
- ✓ First certification of a smart-card system under **E6**.
  - ✓ The smart-cards are electronic purses - that is they carry value,
  - ✓ Forgery must be impossible.
  - ✓ The certification encompassed the communication with the card, as well as the software within the card, and at the bank.



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## Exam



You can expect **12 pages** - write on paper. Marks/50.

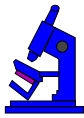
- ✓ **10 short answer questions** worth 1 mark each
- ✓ Longer questions on...
  - ✓ **Encryption**
  - ✓ **Information**
  - ✓ **Models**
  - ✓ **Key systems**



## Exam



- ✓ **Modulo, primes, Fermat, Euler:** general & specific
- ✓ **Symmetric cryptosystems:** IC, DES, general & specific
- ✓ **Physical limits:** general
- ✓ **Information theory:** general & security-specific
- ✓ **Models:** BLP, Biba - general & specific
- ✓ **Key systems:** RSA, Kerberos, specific



## Dr Robert Deng



### Real World Applications of Network/Computer Security

**Abstract:** The lecture is on practical applications of network and computer security technology. Examples include virtual private networks, security solutions for e-banking, fair exchange of digital valuables over the Internet (e.g., electronic contract signing over a network and certified e-mail delivery) and techniques for user privacy protection in cyberspace.



# Finally



This is my last lecture, so...

✓ **Good luck** with the exam, and

✓ **Thanks** for your attention

Good luck!