

# CS6202: Advanced Topics in Programming Languages and Systems



## Lecture 10/11 : Java Generics and Collections

- Overview
- Subtyping and Wildcard
- Comparison and Bounds
- Declaration and Erasure
- Reification and Reflection
- Collections
  - Iterator, Iterable, Collection
  - Set, Queues, List, Maps
- Design Patterns
- Other Issues

# **Motivation**

Generics is important for:

software reuse

type safety

optimization (fewer castings)

Important Principle :

“Everything should be as simple as possible but no simpler”

# **Java 5**

Some features in new language

boxing/unboxing

new form of loop

functions with variable number of arguments

generics

more concurrency features

## Java 5 : Example

The diagram illustrates annotations for Java 5 code. A box contains the following code:

```
List<Integer> ints = Arrays.asList(1,2,3);
int s = 0;
for (int n : ints) { s += n; }
assert s == 6;
```

Annotations are as follows:

- generic collection**: Points to the line `List<Integer> ints = Arrays.asList(1,2,3);`.
- unboxing/boxing**: Points to the line `for (int n : ints) { s += n; }`.
- new loop**: Points to the line `for (int n : ints) { s += n; }`.
- function with variable number of arguments**: Points to the line `assert s == 6;`.
- assert from Java 1.4**: Points to the line `assert s == 6;`.

## ***Example in Java 1.4***

```
List ints = Arrays.asList( new Integer[] {  
    new Integer(1), new Integer(2), new Integer(3)  
} );  
int s = 0;  
for (Iterator it = ints.iterator(); it.hasNext(); ) {  
    int n = ((Integer)it.next()).intValue();  
    s += n;  
}  
assert s == 6;
```

*similar code with Array in Java 1.4*

```
int[] ints = new int[] { 1,2,3 };  
int s = 0;  
for (int i = 0; i < ints.size; i++) { s += ints[i]; }  
assert s == 6;
```

## **Generics by Erasure**

Java Generics is implemented by erasure:

- simplicity
- small
- eases evolution (compatibility)

List<Integer>, List<String>, List<List<String>>  
erases to just List

Anomaly : array type very different from parametric type.

```
new String[size]  
new ArrayList<String>()
```

with the latter losing info on element type.

## ***Boxing and Unboxing***

Unboxed types can give better performance

Boxed type may be cached for frequent values.

```
public static int sum (List<Integer> ints) {  
    int s = 0;  
    for (int n : ints) { s += n; }  
    return s;  
}
```

*60% slower*

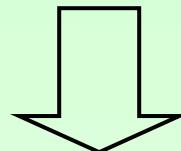
```
public static Integer sum_Integer (List<Integer> ints) {  
    Integer s = 0;  
    for (Integer n : ints) { s += n; }  
    return s;  
}
```

## **Foreach Loop**

Works with iterator and is more concise.

Kept simple – cannot use remove + multiple lists.

```
List<Integer> ints = Arrays.asList(1, 2, 3);
int s = 0;
for (int n : ints) { s += n; }
assert s == 6;
```



*compiles to*

```
for (Iterator<Integer> it = ints.iterator(); it.hasNext(); ) {
    int n = it.next();
    s += n;
}
```

## **Iterator/Iterable Interfaces**

Iterator supports iteration through a collection.

Iterable allows an Iterator object to be build.

```
interface Iterable<E> {  
    public Iterator<E> iterator ();  
}  
interface Iterator<E> {  
    public boolean hasNext ();  
    public E next ();  
    public void remove ();  
}
```

## ***Methods with Varargs***

Arrays can be used to accept a list of elements.

```
public static <E> List<E> asList (E[] arr) {  
    List<E> list = new ArrayList<E>();  
    for (E elt : arr) list.add(elt);  
    return list;  
}
```

```
List<Integer> ints = asList(new Integer[] { 1, 2, 3 });  
List<String> words = asList(new String[] { "hello", "world" });
```

Packing argument for array is cumbersome.

## ***Methods with Varargs***

Syntactic sugar to support Varargs.

*varargs*

```
public static <E> List<E> asList (E... arr) {  
    List<E> list = new ArrayList<E>();  
    for (E elt : arr) list.add(elt);  
    return list;  
}
```

```
List<Integer> ints = asList(1, 2, 3);  
List<String> words = asList("hello", "world");
```

The above is compiled to use array.

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# ***Subtyping and Substitutions Principle***

**Subtyping Principle :**

A variable of a given type may be assigned a value of any subtype of that type. The same applies to arguments.

Integer	is a subtype of	Number
Double	is a subtype of	Number
ArrayList<E>	is a subtype of	List<E>
List<E>	is a subtype of	Collection<E>
Collection<E>	is a subtype of	Iterable<E>

However, it is not sound to have:

`List<Integer> <: List<Number>`

But arrays may be covariant:

`Integer[] <: Number[]`

## **Covariant and Contravariant Subtyping**

Covariant Subtyping :

`List<Integer> <: List<? extends Number>`  
list of elements of any type that is a subtype of Number

Contravariant Subtyping :

`List<Number> <: List<? super Integer>`  
list of elements of any type that is a supertype of Number

*Get and Put Principle* : use an extends wildcard when you only *get* values out of a structure, use a super wildcard when you *put* values into a structure. Don't use wildcard when you both get and put.

## **Example**

Copy from one list to another :

```
public static <T> void copy(List<? super T> dst,
                           List<? extends T> src) {
    for (int i = 0; i < src.length(); i++) {
        dst.set(i, src.get(i));
    }
}
```

Getting elements :

```
public static double sum(Collection<? extends Number> nums) {
    double s = 0.0;
    for (Number num : nums) s += num.doubleValue();
    return s;
}
```

## **Example**

Putting elements :

```
List<Object> objs = Arrays.<Object>asList(1, "two");
List<? super Integer> ints = objs;
ints.add(3); // ok
double dbl = sum(ints); // compile-time error
```

Two Bounds? Not legal though plausible.

```
double sumcount(Collection<? super Integer, extends Number> coll, int n)
// not legal Java!
```

# Arrays

Array subtyping is covariant.

This was designed *before* generics.

Seems irrelevant now :

- unsound as it relies on runtime checks
- incompatible with Collection
- should perhaps deprecate over time.

One Solution : Use more of Collection rather than Array

- more flexibility
- more features/operations
- better generics

## **Wildcard vs Type Parameter**

Wildcards may be used if only Objects are being read.

`Collection<?>` also stands for `Collection<? extends Object>`

```
interface Collection<E> {  
    ...  
    public boolean contains (Object o);  
    public boolean containsAll (Collection<?> c);  
    ...  
}
```

Alternative (more restrictive but safer).

```
interface MyCollection<E> { // alternative design  
    ...  
    public boolean contains (E o);  
    public boolean containsAll (Collection<? extends E> c);  
    ...  
}
```

## **Wildcard Capture**

We can reverse a list using parametric type or wildcard type?

```
public static void <T> reverse(List<T> list) {  
    List<T> tmp = new ArrayList<T>(list);  
    for (int i = 0; i < list.size(); i++) {  
        list.set(i, tmp.get(list.size()-i-1));  
    }  
}
```

```
public static void reverse(List<?> list) {  
    List<Object> tmp = new ArrayList<Object>(list);  
    for (int i = 0; i < list.size(); i++) {  
        list.set(i, tmp.get(list.size()-i-1)); // compile-time error  
    }  
}
```

## **Wildcard Capture**

Solution is to use a wrapper function with wildcard capture :

```
public static void reverse(List<?> list) { rev(list); }
private static <T> void rev(List<T> list) {
    List<T> tmp = new ArrayList<T>(list);
    for (int i = 0; i < list.size(); i++) {
        list.set(i, tmp.get(list.size()-i-1));
    }
}
```

This solution is similar to a open/close capture of an existential type.

## ***Restriction on Wildcards***

Wildcards should not appear at

- (i) top-level of class instance creation
- (ii) explicit type parameters of generic method
- (iii) in supertypes of extends/implements

```
List<?> list = new ArrayList<?>(); // compile-time error  
Map<String, ? extends Number> map  
    = new HashMap<String, ? extends Number>(); // compile-time error
```

```
List<?> list = new ArrayList<Object>(); // ok  
List<?> list = new List<Object>() // compile-time error  
List<?> list = new ArrayList<?>() // compile-time error
```

## ***Restriction on Wildcards***

Restriction on supertype of extends/implements

```
class AnyList extends ArrayList<?> {...} // compile-time error
```

And so is this.

```
class AnotherList implements List<?> {...} // compile-time error
```

But, as before, nested wildcards are permitted.

```
class NestedList implements ArrayList<List<? super Number>> {...} // ok
```

Restriction on explicit parameter of methods

```
List<?> list = Lists.<?>factory(); // illegal
```

```
List<List<? super Number>> = Lists.<List<? super Number>>factory();
```

*permitted*

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## **Comparison and Bounds**

`x.compareTo(y)` method is based on natural ordering

- x less\_than y returns a negative value

- x equal\_to y returns zero

- x more\_than y returns a positive value

```
interface Comparable<T> {  
    int compareTo(T o);  
}
```

Consistency with equal

- x.equals(y) if and only if `x.compareTo(y) == 0`

Main difference with null

- x.equals(null) returns false

- x.compareTo(null) throws an exception

## ***Contract for Comparable***

Anti-symmetric :

$$\text{sgn}(\text{x.compareTo(y)}) == -\text{sgn}(\text{y.compareTo(x)})$$

Transitivity :

**if**  $\text{x.compareTo(y)} < 0$  **and**  $\text{y.compareTo(z)} < 0$   
**then**  $\text{x.compareTo(z)} < 0$

Congruence :

**if**  $\text{x.compareTo(y)} == 0$  **then**  
**forall**  $z$ .  $\text{sgn}(\text{x.compareTo(z)}) == \text{sgn}(\text{x.compareTo(z)})$

## ***Implementing Integer as Comparable***

Correct way :

```
class Integer implements Comparable<Integer> {  
    ...  
    public int compare (Integer that) {  
        return this.value < that.value ? -1 :  
              this.value == that.value ? 0 : 1 ;  
    }  
    ...  
}
```

Incorrect way - overflow problem :

```
class Integer implements Comparable<Integer> {  
    ...  
    public int compareTo (Integer that) {  
        // bad implementation -- don't do it this way!  
        return this.value - that.value;  
    }  
    ...  
}
```

## **Maximum of a Collection**

Generic code to find maximum :

*need to compare  
with its own type*

```
public static <T extends Comparable<T>> T max (Collection<T> coll) {  
    T candidate = coll.iterator().next();  
    for (T elt : coll) {  
        if (candidate.compareTo(elt) < 0) candidate = elt;  
    }  
    return candidate;  
}
```

A more general signature is based on get/put principle:

```
<T extends Comparable<? super T>> T max (Collection<? extends T> coll)
```

## **Fruity Example**

There is some control over what can be compared.

```
class Fruit {...}  
class Apple extends Fruit implements Comparable<Apple> {...}  
class Orange extends Fruit implements Comparable<Orange> {...}
```

*cannot compare apple with orange*

```
class Fruit implements Comparable<Fruit> {...}  
class Apple extends Fruit {...}  
class Orange extends Fruit {...}
```

*can now compare between orange/apple*

## **Fruity Example**

Recall :

```
<T extends Comparable<? super T>> T max (Collection<? extends T> coll)
```

This works for `List<Orange>` and `List<Fruit>`, but old version works for only `List<Fruit>`.

```
Orange extends Comparable<? super Orange>
```

And this is true because both of the following hold.

```
Orange extends Comparable<Fruit> and Fruit super Orange
```

## **Comparator**

Allows additional ad-hoc ordering to be specified :

```
interface Comparator<T> {  
    public int compare(T o1, T o2);  
}
```

Example : shorter string is smaller

```
Comparator<String> sizeOrder  
= new Comparator<String> () {  
    public int compare (String s1, String s2) {  
        return  
            s1.length() < s2.length() ? -1 :  
            s1.length() > s2.length() ? 1 :  
            s1.compareTo(s2) ;  
    }  
};
```

# **Comparator**

Implement max using Comparator :

```
public static <T> T max (Collection<T> coll, Comparator<T> cmp) {  
    T candidate = coll.iterator().next();  
    for (T elt : coll) {  
        if (cmp.compare(candidate, elt) < 0) { candidate = elt; }  
    }  
    return candidate;  
}
```

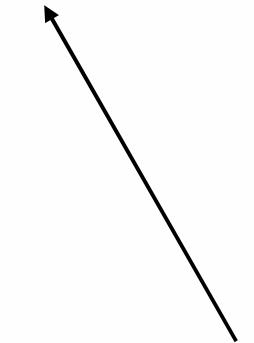
Comparator from natural order :

```
public static <T extends Comparable<? super T>>  
    Comparator<T> naturalOrder () {  
    return new Comparator<T> () {  
        public int compare (T o1, T o2) { return o1.compareTo(o2); }  
    };  
}
```

## **Enumerated Types**

Enumerated type corresponds to a class with a set of final static values. First, an abstract class :

```
public abstract class Enum<E extends Enum<E>> implements Comparable<E> {  
    private final String name;  
    private final int ordinal;  
    protected Enum(String name, int ordinal) {  
        this.name = name; this.ordinal = ordinal;  
    }  
    public final String name() { return name; }  
    public final int ordinal() { return ordinal; }  
    public String toString() { return name; }  
    public final int compareTo(E o) {  
        return ordinal - o.ordinal;  
    }  
}
```



*compare within same  
enumerated type only*

## **Enumerated Type**

An instance of enumerated type.

```
// corresponds to
// enum Season { WINTER, SPRING, SUMMER, FALL }
final class Season extends Enum<Season> {
    private Season(String name, int ordinal) { super(name,ordinal); }
    public static final Season WINTER = new Season("WINTER",0);
    public static final Season SPRING = new Season("SPRING",1);
    public static final Season SUMMER = new Season("SUMMER",2);
    public static final Season FALL   = new Season("FALL",3);
    private static final Season[] VALUES = { WINTER, SPRING, SUMMER, FALL };
    public static Season[] values() { return VALUES; }
    public static Season valueOf(String name) {
        for (T e : VALUES) if (e.name().equals(name)) return e;
        throw new IllegalArgumentException();
    }
}
```

## **Covariant Overriding**

Java 5 can override another if arguments match exactly but the result of overriding method is a subtype of other method.

Useful for clone method :

```
class Object {  
    :  
    public Object clone() { ... }  
}  
  
class Point {  
    :  
    public Point clone() { return new Point(x, y); }  
}
```

*covariant overriding*

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## **Constructors**

Actual type parameters should be provided :

```
Pair<String, Integer> p = new  
    Pair<String, Integer>("one", 2)
```

If you forget, it is a raw type with unchecked warning :

```
Pair<String, Integer> p = new Pair("one", 2)
```

## **Static Members**

Static methods are independent of any type parameters :

```
Cell.getCount()           // ok
```

```
Cell<Integer>.getCount() // compile-time error
```

```
Cell<?>.getCount()      // compile-time error
```

# How Erasure Works

- The erasure of `List<Integer>`, `List<String>`, and `List<List<String>>` is `List`.
- The erasure of `List<Integer>[]` is `List[]`.
- The erasure of `List` is itself, similarly for any raw type.
- The erasure of `int` is itself, similarly for any primitive type.
- The erasure of `Integer` is itself, similarly for any type without type parameters.
- The erasure of `T` in the definition of `asList` (see Section 1.4) is `Object`, because `T` has no bound.
- The erasure of `T` in the definition of `max` (see Section 3.2) is `Comparable`, because `T` has bound `Comparable<? super T>`.
- The erasure of `T` in the later definition of `max` (see Section 3.6) is `Object`, because `T` has bound `Object & Comparable<T>` so we take the erasure of the leftmost bound.
- The erasure of `LinkedCollection<E>.Node` or `LinkedCollection.Node<E>` (see Section 3.9) is `LinkedCollection.Node`.

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## ***Reification***

Refers to an ability to get run-time type information.  
This is a kind of concretization.

Array is reified *with* its component type, but  
parameterized types is reified *without* its component type.

`Number[]` has reified type `Number[]`

`ArrayList<Number>` has reified type `ArrayList`

# **Reified Types**

Type that is reifiable.

- a primitive type (such as `int`),
- a non-parameterized class or interface type (such as `Number`, `String`, or `Runnable`)
- a parameterized type instantiated with unbounded wildcards (such as `List<?>`, `ArrayList<?>`, or `Map<?, ?>`).
- a raw type (such as `List`, `ArrayList`, or `Map`).
- or an array whose component type is reifiable (such as `int[]`, `Number[]`, `List<?>[]`, `List[]`, or `int[][]`).

Type that is *not* reifiable.

- a type variable (such as `T`),
- a parameterized type with actual parameters (such as `List<Number>`, `ArrayList<String>`, or `Map<String, Integer>`),
- or a parameterized type with a bound (such as `List<? extends Number>` or `Comparable<? super String>`).

# Reification

An incorrect code to convert a collection to an array.

```
import java.util.*;
class Annoying {
    public static <T> T[] toArray(Collection<T> c) {
        T[] a = new T[c.size()]; // compile-time error
        int i=0; for (T x : c) a[i++] = x;
        return a;
    }
}
```

*not reifiable*

```
import java.util.*;
class AlsoAnnoying {
    public static List<Integer>[] twoLists() {
        List<Integer> a = Arrays.asList(1,2,3);
        List<Integer> b = Arrays.asList(4,5,6);
        return new List<Integer>[] {a, b}; // compile-time error
    }
}
```

## **Reification - Arrays**

More problem :

```
import java.util.*;
class Wrong {
    public static <T> T[] toArray(Collection<T> c) {
        T[] a = (T[])new Object[c.size()]; // unchecked cast
        int i=0; for (T x : c) a[i++] = x;
        return a;
    }
    public static void main(String[] args) {
        List<String> l = Arrays.asList("one", "two");
        System.out.println(l);
        String[] a = toArray(l); // class cast error
    }
}
```

## **Reification - Arrays**

More problem :

```
import java.util.*;
class Wrong {
    public static Object[] toArray(Collection c) {
        Object[] a = (Object[])new Object[c.size()]; // unchecked cast
        int i=0; for (Object x : c) a[i++] = x;
        return a;
    }
    public static void main(String[] args) {
        List l = Arrays.asList(args);
        String[] a = (String[])toArray(l); // class cast error
    }
}
```

## *Reification - Arrays*

Alternative using another array + reflection!

```
import java.util.*;
class Right {
    public static <T> T[] toArray(Collection<T> c, T[] a) {
        if (a.length < c.size())
            a = (T[])java.lang.reflect.Array. // unchecked cast
                newInstance(a.getComponentType(), c.size());
        int i=0; for (T x : c) a[i++] = x;
        if (i < a.length) a[i] = null;
        return a;
    }
    public static void main(String[] args) {
        List<String> l = Arrays.asList("one", "two");
        String[] a = toArray(l, new String[0]);
        assert Arrays.toString(a).equals("[one, two]");
        String[] b = new String[] { "x", "x", "x", "x" };
        toArray(l, b);
        assert Arrays.toString(b).equals("[one, two, null, x]");
    }
}
```

## **Reification - Arrays**

Solution using a Class – runtime type!

```
import java.util.*;
class RightWithClass {
    public static <T> T[] toArray(Collection<T> c, Class<T> k) {
        T[] a = (T[]) java.lang.reflect.Array. // unchecked cast
                newInstance(k, c.size());
        int i=0; for (T x : c) a[i++] = x;
        return a;
    }
    public static void main(String[] args) {
        List<String> l = Arrays.asList("one", "two");
        String[] a = toArray(l, String.class);
        assert Arrays.toString(a).equals("[one, two]");
    }
}
```

## ***Reflection***

Reflection is a term to allow a program to examine its own definition.

Generics for reflection supports the process using new generic programming techniques.

Reflection for generics allow generic types (e.g. type vars, wildcard types) to be captured at runtime.

# **Generics for Reflection**

A new generic type for Class

```
class Class<T> {  
    public T newInstance();  
    public T cast(Object o);  
    public Class<? super T> getSuperclass();  
    public <U> Class<? extends U> asSubclass(Class<U> k);  
    public <A extends Annotation> A getAnnotation(Class<A> k);  
    public boolean isAnnotationPresent(Class<? extends Annotation> k);  
    ...  
}
```

## ***Reflection for Primitive Type***

We cannot use `Class<int>` as type parameter must be reference type. Use `Class<Integer>` for `int.class` instead!

`Java.lang.reflect.array.newInstance(int.class, size)`  
returns `int[]` and not `Integer[]` through a hack!

However, `int[].class` is correctly denoted by `Class<int[]>`

# **Generic Reflection Library**

```
class GenericReflection {  
    public static <T> T newInstance(T object) {  
        return (T) object.getClass().newInstance(); // unchecked cast  
    }  
    public static <T> Class<T> getComponentType(T[] a) {  
        return (Class<T>) a.getClass().getComponentType(); // unchecked cast  
    }  
    public static <T> T[] newArray (Class<T> k, int size) {  
        if (k.isPrimitive())  
            throw new IllegalArgumentException  
                ("Argument cannot be primitive: "+k);  
        return (T[]) java.lang.reflect.Array. // unchecked cast  
            newInstance(k, size);  
    }  
    public static <T> T[] newArray (T[] a, int size) {  
        return newInstance(getComponentType(a), size);  
    }  
}
```

## **Reflection for Generic**

Non-generic reflection example :

```
public static void toString(Class<?> k) {  
    System.out.println(k + " (toString)");  
    for (Field f : k.getDeclaredFields())  
        System.out.println(f.toString());  
    for (Constructor c : k.getDeclaredConstructors())  
        System.out.println(c.toString());  
    for (Method m : k.getDeclaredMethods())  
        System.out.println(m.toString());  
    System.out.println();  
}
```

Output :

```
class Cell (toString)  
private java.lang.Object Cell.value  
public Cell(java.lang.Object)  
public java.lang.Object Cell.getValue()  
public static Cell Cell.copy(Cell)  
public void Cell.setValue(java.lang.Object)
```

## **Reflection for Generic**

Generic reflection example :

```
public static void toGenericString(Class<?> k) {  
    System.out.println(k + " (toGenericString)");  
    for (Field f : k.getDeclaredFields())  
        System.out.println(f.toGenericString());  
    for (Constructor c : k.getDeclaredConstructors())  
        System.out.println(c.toGenericString());  
    for (Method m : k.getDeclaredMethods())  
        System.out.println(m.toGenericString());  
    System.out.println();  
}
```

Output :

```
class Cell (toGenericString)  
private T Cell.value  
public Cell(T)  
public T Cell.getValue()  
public static <T> Cell<T> Cell.copy(Cell<T>)  
public void Cell.setValue(T)
```

*Bytecode contains generic type information!*

## **Reflecting Generic Types**

Type interface to describe generic type :

- class `Class`, representing a primitive type or raw type;
- interface `ParameterizedType`, representing a generic class or interface, from which you can extract an array of the actual parameter types;
- interface `TypeVariable`, representing a type variable, from which you can extract the bounds on the type variable;
- interface `GenericArrayType`, representing an array, from which you can extract the array component type;
- interface `WildcardType`, representing a wildcard, from which you can extract a lower or upper bound on the wildcard.