

Reasoning over Permissions Regions in Concurrent Separation Logic

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Abstract. We propose an extension of separation logic with *fractional permissions*, aimed at reasoning about concurrent programs that share arbitrary regions or data structures in memory. In existing formalisms, such reasoning typically either fails or is subject to stringent side conditions on formulas (notably *precision*) that significantly impair automation. We suggest two formal syntactic additions that collectively remove the need for such side conditions: first, the use of both “weak” and “strong” forms of separating conjunction, and second, the use of nominal labels from hybrid logic. We contend that our suggested alterations bring formal reasoning with fractional permissions in separation logic considerably closer to common pen-and-paper intuition, while imposing only a modest bureaucratic overhead.

Keywords: Separation logic, permissions, concurrency, verification.

1 Introduction

Concurrent separation logic (CSL) is a version of separation logic designed to enable compositional reasoning about concurrent programs that manipulate memory possibly shared between threads [5, 25]. Like standard separation logic [27], CSL is based on *Hoare triples* $\{A\} C \{B\}$, where C is a program and A and B are formulas (called the *precondition* and *postcondition* of the code respectively). The heart of the formalism is the following *concurrency rule*:

$$\frac{\{A_1\} C_1 \{B_1\} \quad \{A_2\} C_2 \{B_2\}}{\{A_1 \otimes A_2\} C_1 \parallel C_2 \{B_1 \otimes B_2\}}$$

where \otimes is a so-called *separating conjunction*. This rule says that if two threads C_1 and C_2 are run on spatially separated resources $A_1 \otimes A_2$ then the result will be the spatially separated result, $B_1 \otimes B_2$, of running the two threads individually.

However, since many or perhaps even most interesting concurrent programs do share some resources, \otimes typically does not denote strict disjoint separation of memories, as it does in standard separation logic (where it is usually written as $*$). Instead, it usually denotes a weaker sort of “separation” designed to ensure that the two threads at least cannot interfere with each others’ data. This gives rise to the idea of *fractional permissions*, which allow us to divide writeable

memory into multiple read-only copies by adding a permission value to each location in heap memory. In the usual model, due to Boyland [4], permissions are rational numbers in the half-open interval $(0, 1]$, with 1 denoting the write permission, and values in $(0, 1)$ denoting read-only permissions. We write the formula A^π , where π is a permission, to denote a “ π share” of the formula A . For example, $(x \mapsto a)^{0.5}$ denotes a “half share” of a single heap cell, with address x and value a .¹ The separating conjunction $A \otimes B$ then denotes heaps realising A and B that are “mainly disjoint”, but some overlapping is allowed: where the heaps overlap, they must agree on the data value, and one adds the permissions at the overlapping locations [3], *e.g.* at the logical level:

$$x \stackrel{0.25}{\mapsto} a \otimes x \stackrel{0.5}{\mapsto} b \models a = b \wedge x \stackrel{0.75}{\mapsto} a . \quad (1)$$

Happily, the concurrency rule is still sound in this setting (see *e.g.* [28]).

However, the use of this weaker notion of separation \otimes causes complications for formal reasoning in separation logic, especially if one wishes to reason over arbitrary regions of memory rather than individual pointers. There are two particular difficulties, as identified by Le and Hobor [23]. The first is that, since \otimes denotes possibly-overlapping memories, one loses the main useful feature of separation logic: its nonambiguity about separation, which means that desirable entailments such as $A^{0.5} \otimes B^{0.5} \not\models (A \otimes B)^{0.5}$ turn out to be false as shown:

$$x \stackrel{0.5}{\mapsto} a \otimes y \stackrel{0.5}{\mapsto} b \not\models (x \mapsto a \otimes y \mapsto b)^{0.5} .$$

The two “half-pointers” on the LHS might be aliased ($x = y$ and $a = b$), meaning they are two halves of the same pointer, whereas on the RHS they must be non-aliased (because we cannot combine two “whole” pointers). This ambiguity becomes quite annoying when one adds arbitrary predicate symbols to the logic, *e.g.* to support inductively defined data structures.

The second difficulty is that although recombining single pointers is straightforward, as indicated by equation (1), recombining the shares of arbitrary formulae is challenging: *e.g.*, $A^{0.25} \otimes A^{0.5} \not\models A^{0.75}$, as shown by the counterexample

$$(x \mapsto 1 \vee y \mapsto 2)^{0.5} \otimes (x \mapsto 1 \vee y \mapsto 2)^{0.5} \not\models (x \mapsto 1 \vee y \mapsto 2)^{0.75} .$$

The LHS can be satisfied by a heap with a 0.25-share of x and a 0.5-share of y , whereas the RHS requires a 0.75-share of either x or y .

Le et al. [23] address these problems by a combination of the use of *tree shares* (essentially Boolean binary trees) rather than rational numbers as permissions, and semantic restrictions on when the above sorts of permissions reasoning can be applied. For example, recombining permissions ($A^{0.25} \otimes A^{0.5} \models A^{0.75}$) is permitted only when the formula is *precise* in the usual separation logic sense (*cf.* [27]). The chief drawback with this approach is the need to repeatedly check these side conditions on formulas when reasoning, as well as that said reasoning cannot be performed on imprecise formulas.

¹ For convenience and by convention we typically write this as $x \stackrel{0.5}{\mapsto} a$.

Instead, we propose to resolve these difficulties by a different, two-pronged extension to the syntax of the logic. First, we propose that the usual “strong” separating conjunction $*$, which enforces the strict disjointness of memory, *should be retained* in the formalism even when adding the weaker \otimes . The stronger $*$ supports entailments such as $A^{0.5} * B^{0.5} \models (A * B)^{0.5}$, which does not hold when \otimes is used instead. Second, we introduce *nominal labels* from hybrid logic (cf. [2, 9]) to remember that two copies of a formula have the same origin. We write a nominal α to denote a unique heap, in which case entailments such as $(\alpha \wedge A)^{0.25} \otimes (\alpha \wedge A)^{0.5} \models (\alpha \wedge A)^{0.75}$ become valid.

The remainder of this paper aims to demonstrate that our proposed extensions are (i) weakly *necessary*, in that expected reasoning patterns fail under the usual formalism, (ii) *correct*, in that they recover the desired logical principles, and (iii) *sufficient* to verify typical concurrent programming patterns that use sharing. Section 2 gives some simple examples that motivate our extensions. Section 3 then formally introduces the syntax and semantics of our extended formalism. In Section 4 we show that our logic obeys the logical principles that enable us to reason smoothly with fractional permissions over arbitrary formulas, and in Section 5 we give some longer worked examples. Finally, in Section 6 we conclude and discuss directions for future work.

2 Motivating examples

In this section, we aim to motivate our extensions to separation logic with permissions by showing, firstly, how the failures of the logical principles described in the introduction actually arise in program verification examples and, secondly, how these failures are remedied by our proposed changes.

The overall context of our work is reasoning about concurrent programs that share some data structure or region in memory, which can be described as a formula in the assertion language. If A is such a formula then we write A^π to denote a “ π share” of the formula A , meaning informally that all of the pointers in the heap memory satisfying A are owned with share π . The main question then becomes how this notion interacts with the separating conjunction \otimes . There are two key desirable logical equivalences:

$$(A \otimes B)^\pi \equiv A^\pi \otimes B^\pi \tag{I}$$

$$A^{\pi \oplus \sigma} \equiv A^\pi \otimes A^\sigma \tag{II}$$

Equivalence (I) describes distributing a fractional share over a separating conjunction, whereas equivalence (II) describes combining two pieces of a previously split resource. Both equivalences are true in the \models direction but, as we have seen in the Introduction, false in the \models one. Generally speaking, \otimes is like Humpty Dumpty: easy to break apart, but not so easy to put back together again.

The key to understanding the difficulty is the following equivalence:

$$x \xrightarrow{\pi} a \otimes y \xrightarrow{\sigma} b \equiv (x \xrightarrow{\pi} a * y \xrightarrow{\sigma} b) \vee (x = y \wedge a = b \wedge x \xrightarrow{\pi \oplus \sigma} a)$$

In other words, either x and y are not aliased ($x \neq y$ is a consequence of the strong separating conjunction $*$), or they *are* aliased and the fractions combine (the additive operation \oplus on rational shares is simply normal addition when the sum is ≤ 1 and undefined otherwise). This disjunction undermines the key economies of notation that have led to separation logic's great successes in scalable verification [10]. At a high level, \otimes is a bit too easy to introduce and therefore a bit too hard to eliminate. In particular, (I) fails because the left disjunct might be true, and (II) fails because the right disjunction might be.

2.1 Weak vs. strong separation and the distribution principle

One of the challenges of the weak separating conjunction \otimes is that it interacts poorly with inductively defined predicates. Consider porting the usual separation logic definition of a possibly-cyclic linked list segment from x to y from a sequential setting to a concurrent one by a simple substitution of \otimes for $*$:

$$\text{ls } x \ y \ =_{\text{def}} \ (x = y \wedge \text{emp}) \vee (\exists z. x \mapsto z \otimes \text{ls } z \ y) .$$

Now consider a simple recursive procedure `foo(x,y)` that traverses a linked list segment from x to y :

```
foo(x,y) { if x=y then return; else foo([x],y); }
```

It is easy to see that `foo` leaves the list segment unchanged, and therefore satisfies the following Hoare triple:

$$\{(\text{ls } x \ y)^{0.5}\} \text{foo}(x,y); \{(\text{ls } x \ y)^{0.5}\} .$$

The intuitive proof of this fact would run approximately as follows:

$$\begin{array}{l} \{(\text{ls } x \ y)^{0.5}\} \text{foo}(x,y) \{ \\ \quad \text{if } x=y \text{ then return; } \{(\text{ls } x \ y)^{0.5}\} \\ \quad \text{else } \{x \neq y \wedge (x \mapsto z \otimes \text{ls } z \ y)^{0.5}\} \\ \quad \quad \{x \neq y \wedge (x \xrightarrow{0.5} z \otimes (\text{ls } z \ y)^{0.5})\} \\ \quad \text{foo}([x],y); \{x \neq y \wedge (x \xrightarrow{0.5} z \otimes (\text{ls } z \ y)^{0.5})\} \\ \quad \quad \{x \mapsto z \otimes \text{ls } z \ y\}^{0.5} \\ \quad \quad \{(\text{ls } x \ y)^{0.5}\} \\ \quad \} \quad \{(\text{ls } x \ y)^{0.5}\} \end{array}$$

However, because of the use of \otimes , the highlighted inference step is not sound:

$$x \neq y \wedge (x \xrightarrow{0.5} z \otimes (\text{ls } z \ y)^{0.5}) \not\models (x \mapsto z \otimes \text{ls } z \ y)^{0.5} . \quad (2)$$

To see this, consider a heap with the following structure, viewed in two ways:

$$x \xrightarrow{0.5} z \otimes z \xrightarrow{0.5} x \otimes x \xrightarrow{0.5} z = x \mapsto z \otimes z \xrightarrow{0.5} x$$

This heap satisfies the LHS of the entailment in (2), as it is the \otimes -composition of a 0.5-share of $x \mapsto z$ and a 0.5-share of $\text{ls } z z$, a cyclic list segment from z back to itself (note that here $z = y$). However, it does not satisfy the RHS, since it is not a 0.5-share of the \otimes -composition of $x \mapsto z$ with $\text{ls } z z$, which would require the pointer to be disjoint from the list segment.

The underlying reason for the failure of this example is that, in going from $(x \mapsto z \otimes \text{ls } z z)^{0.5}$ to $x \xrightarrow{0.5} z \otimes (\text{ls } z z)^{0.5}$, we have lost the information that the pointer and the list segment are actually disjoint. This is reflected in the general failure of the distribution principle $A^\pi \otimes B^\pi \models (A \otimes B)^\pi$, of which the above is just one instance. Accordingly, our proposal is that the “strong” separating conjunction $*$ from standard separation logic, which forces disjointness of the heaps satisfying its conjuncts, should *also* be retained in the logic alongside \otimes , on the grounds that (II) *is* true for the stronger connective:

$$(A * B)^\pi \equiv A^\pi * B^\pi . \quad (3)$$

If we then define our list segments using $*$ in the traditional way, namely

$$\text{ls } x y \text{ =}_{\text{def}} (x = y \wedge \text{emp}) \vee (\exists z. x \mapsto z * \text{ls } z y) ,$$

then we can observe that, because \otimes and $*$ coincide on permission-free formulas, this second definition of ls is actually identical to the first one! However, when we replay the verification proof above with the new definition of ls , every \otimes in the proof above becomes a $*$, and the proof then becomes sound. Nevertheless, we can still use \otimes to describe permission-decomposition of list segments at a higher level; e.g., $\text{ls } x y$ can still be decomposed as $(\text{ls } x y)^{0.5} \otimes (\text{ls } x y)^{0.5}$.

2.2 Nominal labelling and the combination principle

Unfortunately, even when we use the strong separating conjunction $*$ to define list segments ls , a further difficulty still remains. Consider a simple concurrent program that runs two copies of `foo` in parallel on the same list segment:

$$\text{foo}(x, y); \parallel \text{foo}(x, y);$$

Since `foo` only reads from its input list segment, and satisfies the specification $\{(\text{ls } x y)^{0.5}\} \text{foo}(x, y); \{(\text{ls } x y)^{0.5}\}$, this program satisfies the specification

$$\{\text{ls } x y\} \text{foo}(x, y); \parallel \text{foo}(x, y); \{\text{ls } x y\} .$$

Now consider constructing a proof of this specification in CSL. First we view the list segment $\text{ls } x y$ as the \otimes -composition of two read-only copies, with permission 0.5 each; then we use CSL’s concurrency rule (see Section 1) to compose the specifications of the two threads; last we recombine the two read-only copies to

obtain the original list segment. The proof diagram is as follows:

$$\begin{array}{c}
\{\text{ls } xy\} \\
\{(\text{ls } xy)^{0.5} \otimes (\text{ls } xy)^{0.5}\} \\
\{\text{ls } xy\}^{0.5} \quad \parallel \quad \{\text{ls } xy\}^{0.5} \\
\text{foo}(x, y); \quad \parallel \quad \text{foo}(x, y); \\
\{\text{ls } xy\}^{0.5} \quad \parallel \quad \{\text{ls } xy\}^{0.5} \\
\{(\text{ls } xy)^{0.5} \otimes (\text{ls } xy)^{0.5}\} \\
\quad \searrow \quad \{\text{ls } xy\}
\end{array}$$

However, again, the highlighted inference step in this proof is not correct:

$$(\text{ls } xy)^{0.5} \otimes (\text{ls } xy)^{0.5} \not\models \text{ls } xy . \quad (4)$$

A countermodel is a heap with the following structure, again viewed in two ways:

$$(x \overset{0.5}{\mapsto} y \otimes y \overset{0.5}{\mapsto} y) \otimes x \overset{0.5}{\mapsto} y = x \mapsto y \otimes y \overset{0.5}{\mapsto} y$$

According to the first view of such a heap, it satisfies the LHS of (4), as it is the \otimes -composition of two 0.5-shares of $\text{ls } xy$ (one of two cells, and one of a single cell). However, it does not satisfy $\text{ls } xy$, since that would require every cell in the heap to be owned with permission 1.

Like in our previous example, the reason for the failure of this example is that we have lost information. In going from $\text{ls } xy$ to $(\text{ls } xy)^{0.5} \otimes (\text{ls } xy)^{0.5}$, we have forgotten that the two formulas $(\text{ls } xy)^{0.5}$ are in fact *copies of the same region*. For formulas A that are *precise* in that they uniquely describe part of any given heap [11], e.g. formulas $x \mapsto a$, this loss of information does not happen and we do have $A^{0.5} \otimes A^{0.5} \models A$; but for non-precise formulas such as $\text{ls } xy$, this principle fails.

However, we regard this primarily as a technical shortcoming of the formalism, rather than a failure of our intuition. It *ought* to be true that we can take any region of memory, split it into two read-only copies, and then later merge the two copies to re-obtain the original region. Were we conducting the above proof on pen and paper, we would very likely explain the difficulty away by adopting some kind of labelling convention, allowing us to remember that two formulas have been obtained from the same memory region by dividing permissions.

In fact, that is almost exactly our proposed remedy to the situation. We introduce *nominals*, or *labels*, from hybrid logic, where a nominal α is interpreted as denoting a unique heap. Any formula of the form $\alpha \wedge A$ is then precise (in the above sense), and so obeys the combination principle

$$(\alpha \wedge A)^\pi \otimes (\alpha \wedge A)^\sigma \models (\alpha \wedge A)^{\sigma \oplus \pi} , \quad (5)$$

where \oplus is addition on permissions. Thus we can repair the faulty CSL proof above by replacing every instance of the formula $\text{ls } x y$ by the formula $\alpha \wedge \text{ls } x y$ (and adding an initial step in which we introduce the fresh label α).

We shall also employ hybrid logic’s “jump” modality $@_{\bar{\alpha}}$, where the formula $@_{\bar{\alpha}} A$ means that A is true of the heap denoted by the label $\bar{\alpha}$. While this is not needed for simple examples, it becomes useful in treating more challenging ones.

3 Separation logic with labels and permissions (SL_{LP})

Following the motivation given in the previous section, here we give the syntax and semantics of a separation logic, SL_{LP}, with permissions over arbitrary formulas, making use of both strong *and* weak separating conjunctions, and nominal labels (from hybrid logic [2, 9]). First, we define a suitable notion of permissions and associated operations.

Definition 3.1. A permissions algebra is a tuple $\langle \text{Perm}, \oplus, \otimes, 1 \rangle$, where Perm is a set (of “permissions”), $1 \in \text{Perm}$ is called the write permission, and \oplus and \otimes are respectively partial and total binary functions on Perm , satisfying associativity, commutativity, cancellativity and the following additional axioms:

$$\begin{array}{ll} \pi_1 \oplus \pi_2 \neq \pi_2 & (\text{non-zero}) \\ \forall \pi. \pi \oplus 1 \text{ is undefined} & (\text{top}) \\ \forall \pi. \exists \pi_1, \pi_2. \pi = \pi_1 \oplus \pi_2 & (\text{divisibility}) \\ (\pi_1 \oplus \pi_2) \otimes \pi = (\pi_1 \otimes \pi) \oplus (\pi_2 \otimes \pi) & (\text{left-dist}) \end{array}$$

The most common example of a permissions algebra is the Boyland fractional permission model $\langle (0, 1] \cap \mathbb{Q}, \oplus, \times, 1 \rangle$, where permissions are rational numbers in $(0, 1]$, \times is standard multiplication, and \oplus is standard addition but undefined if $p + p' > 1$. From now on, we assume a fixed but arbitrary permissions algebra.

With the permissions structure in place, we can now define the syntax of our logic. We assume disjoint, countably infinite sets Var of variables, Pred of predicate symbols (with associated arities) and Label of labels.

Definition 3.2. We define formulas of SL_{LP} by the grammar:

$$\begin{array}{ll} A ::= x = y \mid \neg A \mid A \wedge A \mid A \vee A \mid A \rightarrow A & (\text{pure}) \\ \mid \text{emp} \mid x \mapsto y \mid P(\mathbf{x}) \mid A * A \mid A \otimes A \mid A \multimap A \mid A \multisubscript{-}{\otimes} A & (\text{spatial}) \\ \mid A^\pi \mid \alpha \mid @_{\bar{\alpha}} A & (\text{perms / labels}) \end{array}$$

where x, y range over Var , π ranges over Perm , P ranges over Pred , α ranges over Label and \mathbf{x} ranges over tuples of variables of length matching the arity of the predicate symbol P . We write $x \xrightarrow{\pi} y$ for $(x \mapsto y)^\pi$, and $x \neq y$ for $\neg(x = y)$.

The “magic wands” \multimap and $\multisubscript{-}{\otimes}$ are the implications adjoint to $*$ and \otimes , as usual in separation logic. We include them for completeness, but we use \multimap only for fairly complex examples (see Section 5.3) and in fact do not use $\multisubscript{-}{\otimes}$ at all.

Semantics. We interpret formulas in a standard model of stacks and heaps-with-permissions (cf. [3]), except that our models also incorporate a valuation of nominal labels. We assume an infinite set \mathbf{Val} of *values* of which an infinite subset $\mathbf{Loc} \subset \mathbf{Val}$ are considered addressable *locations*. A *stack* is as usual a map $s : \mathbf{Var} \rightarrow \mathbf{Val}$. A *heap-with-permissions*, which we call a *p-heap* for short, is a finite partial function $h : \mathbf{Loc} \rightarrow_{\text{fin}} \mathbf{Val} \times \mathbf{Perm}$ from locations to value-permission pairs. We write $\text{dom}(h)$ for the *domain* of h , i.e. the set of locations on which h is defined. Two p-heaps h_1 and h_2 are called *disjoint* if $\text{dom}(h_1) \cap \text{dom}(h_2) = \emptyset$, and *compatible* if, for all $\ell \in \text{dom}(h_1) \cap \text{dom}(h_2)$, we have $h_1(\ell) = (v, \pi_1)$ and $h_2(\ell) = (v, \pi_2)$ and $\pi_1 \oplus \pi_2$ is defined. (Thus, trivially, disjoint heaps are also compatible.) We define the multiplication $\pi \cdot h$ of a p-heap h by permission π by extending \otimes pointwise:

$$(\pi \cdot h)(\ell) = (v, \pi \otimes \pi') \Leftrightarrow h(\ell) = (v, \pi') .$$

We also assume that each predicate symbol P of arity k is given a fixed interpretation $\llbracket P \rrbracket \in (\mathbf{Val}^k \times \mathbf{PHeaps})$, where \mathbf{PHeaps} is the set of all p-heaps. Here we allow an essentially free interpretation of predicate symbols, but they could also be given by a suitable inductive definition schema, as is done in many papers on separation logic (e.g. [6, 7]). Finally, a *valuation* is a function ρ assigning a single p-heap $\rho(\alpha)$ to each label $\alpha \in \mathbf{Label}$.

Definition 3.3 (Strong and weak heap composition). *The strong composition $h_1 \circ h_2$ of two disjoint p-heaps h_1 and h_2 is defined as their union:*

$$(h_1 \circ h_2)(\ell) = \begin{cases} h_1(\ell) & \text{if } \ell \notin \text{dom}(h_2) \\ h_2(\ell) & \text{if } \ell \notin \text{dom}(h_1) \end{cases}$$

If h_1 and h_2 are not disjoint then $h_1 \circ h_2$ is undefined.

The weak composition $h_1 \bar{\circ} h_2$ of two compatible p-heaps h_1 and h_2 is defined as their union, adding permissions at overlapping locations:

$$(h_1 \bar{\circ} h_2)(\ell) = \begin{cases} (v, \pi_1 \oplus \pi_2) & \text{if } h_1(\ell) = (v, \pi_1) \text{ and } h_2(\ell) = (v, \pi_2) \\ h_1(\ell) & \text{if } \ell \notin \text{dom}(h_2) \\ h_2(\ell) & \text{if } \ell \notin \text{dom}(h_1) \end{cases}$$

If h_1 and h_2 are not compatible then $h_1 \bar{\circ} h_2$ is undefined.

Definition 3.4. *The satisfaction relation $s, h, \rho \models A$, where s is a stack, h a p-heap, ρ a valuation and A a formula, is defined by structural induction on A in Figure 1. We write the entailment $A \models B$, where A and B are formulas, to mean that if $s, h, \rho \models A$ then $s, h, \rho \models B$. We write the equivalence $A \equiv B$ to mean that $A \models B$ and $B \models A$.*

4 Logical principles of \mathbf{SL}_{LP}

In this section, we establish the main logical entailments and equivalences of \mathbf{SL}_{LP} that capture the various interactions between the separating conjunctions

$s, h, \rho \models x = y$	$\Leftrightarrow s(x) = s(y)$
$s, h, \rho \models \neg A$	$\Leftrightarrow s, h, \rho \not\models A$
$s, h, \rho \models A \wedge B$	$\Leftrightarrow s, h, \rho \models A$ and $s, h, \rho \models B$
$s, h, \rho \models A \vee B$	$\Leftrightarrow s, h, \rho \models A$ or $s, h, \rho \models B$
$s, h, \rho \models A \rightarrow B$	$\Leftrightarrow s, h, \rho \models A$ implies $s, h, \rho \models B$
$s, h, \rho \models \mathbf{emp}$	$\Leftrightarrow \text{dom}(h) = \emptyset$
$s, h, \rho \models x \mapsto y$	$\Leftrightarrow \text{dom}(h) = \{s(x)\}$ and $h(s(x)) = (s(y), 1)$
$s, h, \rho \models P(\mathbf{x})$	$\Leftrightarrow (s(\mathbf{x}), h) \in \llbracket P \rrbracket$
$s, h, \rho \models A * B$	$\Leftrightarrow \exists h_1, h_2. h = h_1 \circ h_2$ and $s, h_1, \rho \models A$ and $s, h_2, \rho \models B$
$s, h, \rho \models A \otimes B$	$\Leftrightarrow \exists h_1, h_2. h = h_1 \bar{\circ} h_2$ and $s, h_1, \rho \models A$ and $s, h_2, \rho \models B$
$s, h, \rho \models A \multimap B$	$\Leftrightarrow \forall h'. \text{if } h \circ h' \text{ defined and } s, h', \rho \models A \text{ then } s, h \circ h', \rho \models B$
$s, h, \rho \models A \multimap B$	$\Leftrightarrow \forall h'. \text{if } h \bar{\circ} h' \text{ defined and } s, h', \rho \models A \text{ then } s, h \bar{\circ} h', \rho \models B$
$s, h, \rho \models A^\pi$	$\Leftrightarrow \exists h'. h = \pi \cdot h'$ and $s, h', \rho \models A$
$s, h, \rho \models \alpha$	$\Leftrightarrow h = \rho(\alpha)$
$s, h, \rho \models @_\alpha A$	$\Leftrightarrow s, \rho(\alpha), \rho \models A$

Fig. 1. Definition of the satisfaction relation $s, h, \rho \models A$ for SL_{LP} .

\otimes and $\bar{\circ}$, permissions and labels. As well as being of interest in their own right, many of these principles will be essential in treating the practical verification examples in Section 5. In particular, the permission distribution principle for $*$ (cf. (3), Section 2) is given in Lemma 4.3, and the permission combination principle for labelled formulas (cf. (5) is given in Lemma 4.4. We include most proofs for reference purposes, but they may be safely skipped by readers not concerned by these technical details.

The following proposition, stated without proof, establishes the very basics.

Proposition 4.1. *The following equivalences laws all hold in SL_{LP} :*

$$\begin{array}{ll}
A \otimes B \equiv B \otimes A & A * B \equiv B * A \\
A \otimes (B \otimes C) \equiv (A \otimes B) \otimes C & A * (B * C) \equiv (A * B) * C \\
A \otimes \mathbf{emp} \equiv A & A * \mathbf{emp} \equiv A
\end{array}$$

Additionally, the following residuation laws hold:

$$A \models B \multimap C \Leftrightarrow A \otimes B \models C \quad \text{and} \quad A \models B \multimap C \Leftrightarrow A * B \models C .$$

In addition, we can always weaken $*$ to \otimes : $A * B \models A \otimes B$.

Next, we establish an additional connection between the two separating conjunctions \otimes and $*$.

Lemma 4.2 (\otimes / $*$ distribution). *For all formulas A, B, C and D ,*

$$(A \otimes B) * (C \otimes D) \models (A * C) \otimes (B * D) . \quad (\otimes/*)$$

Proof. First we show a corresponding model-theoretic property: for any p-heaps h_1, h_2, h_3 and h_4 such that $(h_1 \bar{\circ} h_2) \circ (h_3 \bar{\circ} h_4)$ is defined,

$$(h_1 \bar{\circ} h_2) \circ (h_3 \bar{\circ} h_4) = (h_1 \circ h_3) \bar{\circ} (h_2 \circ h_4) \quad (6)$$

Since $(h_1 \bar{\circ} h_2) \circ (h_3 \bar{\circ} h_4)$ is defined by assumption, we have that $h_1 \bar{\circ} h_2$ and $h_3 \bar{\circ} h_4$ are disjoint and that h_1 and h_2 , as well as h_3 and h_4 are compatible. In particular, h_1 and h_3 are disjoint, so $h_1 \circ h_3$ is defined; the same reasoning applies to h_2 and h_4 . Moreover, since h_1 and h_2 are compatible, $h_1 \circ h_3$ and $h_2 \circ h_4$ must be compatible and so $(h_1 \circ h_3) \bar{\circ} (h_2 \circ h_4)$ is defined.

Now, writing h for $(h_1 \bar{\circ} h_2) \circ (h_3 \bar{\circ} h_4)$, and letting $\ell \in \text{dom}(h)$, we have

$$h(\ell) = \begin{cases} h_1(\ell) & \text{if } \ell \notin \text{dom}(h_3), \ell \notin \text{dom}(h_4) \text{ and } \ell \notin \text{dom}(h_2) \\ h_2(\ell) & \text{if } \ell \notin \text{dom}(h_3), \ell \notin \text{dom}(h_4) \text{ and } \ell \notin \text{dom}(h_1) \\ (v, \pi_1 \oplus \pi_2) & \text{if } \ell \notin \text{dom}(h_3), \ell \notin \text{dom}(h_4) \text{ and } h_1(\ell) = (v, \pi_1) \\ & \text{and } h_2(\ell) = (v, \pi_2) \\ h_3(\ell) & \text{if } \ell \notin \text{dom}(h_1), \ell \notin \text{dom}(h_2) \text{ and } \ell \notin \text{dom}(h_4) \\ h_4(\ell) & \text{if } \ell \notin \text{dom}(h_1), \ell \notin \text{dom}(h_2) \text{ and } \ell \notin \text{dom}(h_3) \\ (u, \pi_3 \oplus \pi_4) & \text{if } \ell \notin \text{dom}(h_1), \ell \notin \text{dom}(h_2) \text{ and } h_3(\ell) = (u, \pi_3) \\ & \text{and } h_4(\ell) = (u, \pi_4) \end{cases}$$

We can merge the first and fourth cases by noting that $h(\ell) = (h_1 \circ h_3)(\ell)$ if $\ell \notin \text{dom}(h_2 \circ h_4)$, and similarly for the second and fifth cases. We can also rewrite the last two cases by observing that $\ell \notin \text{dom}(h_3)$ implies $h_1(\ell) = (h_1 \circ h_3)(\ell)$, and so on, resulting in

$$\begin{aligned} h(\ell) &= \begin{cases} (h_1 \circ h_3)(\ell) & \text{if } \ell \notin \text{dom}(h_2 \circ h_4) \\ (h_2 \circ h_4)(\ell) & \text{if } \ell \notin \text{dom}(h_1 \circ h_3) \\ (v, \pi_1 \oplus \pi_2) & \text{if } \ell \notin \text{dom}(h_3), \ell \notin \text{dom}(h_4) \text{ and } (h_1 \circ h_3)(\ell) = (v, \pi_1) \text{ and} \\ & (h_2 \circ h_4)(\ell) = (v, \pi_2) \\ (u, \pi_3 \oplus \pi_4) & \text{if } \ell \notin \text{dom}(h_1), \ell \notin \text{dom}(h_2) \text{ and } (h_1 \circ h_3)(\ell) = (u, \pi_3) \text{ and} \\ & (h_2 \circ h_4)(\ell) = (u, \pi_4) \end{cases} \\ &= \begin{cases} (h_1 \circ h_3)(\ell) & \text{if } \ell \notin \text{dom}(h_2 \circ h_4) \\ (h_2 \circ h_4)(\ell) & \text{if } \ell \notin \text{dom}(h_1 \circ h_3) \\ (w, \sigma_1 \oplus \sigma_2) & \text{if } (h_1 \circ h_3)(\ell) = (w, \sigma_1) \text{ and } (h_2 \circ h_4)(\ell) = (w, \sigma_2) \end{cases} \\ &= ((h_1 \circ h_3) \bar{\circ} (h_2 \circ h_4))(\ell) . \end{aligned}$$

Now we show the main result. Suppose $s, h, \rho \models (A \otimes B) * (C \otimes D)$. This gives us $h = (h_1 \bar{\circ} h_2) \circ (h_3 \bar{\circ} h_4)$, where $s, h_1, \rho \models A$ and $s, h_2, \rho \models B$ and $s, h_3, \rho \models C$ and $s, h_4, \rho \models D$. By equation (6), we have $h = (h_1 \circ h_3) \bar{\circ} (h_2 \circ h_4)$, which gives us exactly that $s, h, \rho \models (A * C) \otimes (B * D)$, as required. \square

By taking $B = \text{emp}$ in Lemma 4.2, we obtain the *weak distribution* principle $A * (C \otimes D) \models (A * C) \otimes D$ as a special case.

Next, we establish principles for distributing permissions over various connectives, in particular over the strong $*$, stated earlier as (3) in Section 2.

Lemma 4.3 (Permission distribution). *The following equivalences hold for all formulas A and B , and permissions π and σ :*

$$\begin{aligned}
(A^\sigma)^\pi &\equiv A^{\sigma \otimes \pi} & (\otimes) \\
(A \vee B)^\pi &\equiv A^\pi \vee B^\pi & (\vee^\pi) \\
(A \wedge B)^\pi &\equiv A^\pi \wedge B^\pi & (\wedge^\pi) \\
(A * B)^\pi &\equiv A^\pi * B^\pi & (*^\pi)
\end{aligned}$$

Proof. **Case (\otimes) :** Follows from the definition of satisfaction \models and the identity $\sigma \cdot (\pi \cdot h) = (\sigma \otimes \pi) \cdot h$, which in turn follows straightforwardly from the definition of multiplication ‘ \cdot ’ of permissions with p-heaps (see Section 3, ‘‘Semantics’’).

Cases (\vee^π) and (\wedge^π) : Straightforward from the definition of \models .

Case $(*^\pi)$: First of all, we show the corresponding model-theoretic property: for any permission π and disjoint p-heaps h_1 and h_2 , meaning $h_1 \circ h_2$ is defined,

$$\pi \cdot (h_1 \circ h_2) = (\pi \cdot h_1) \circ (\pi \cdot h_2) . \quad (7)$$

To see this, we first observe that for any $\ell \in \text{dom}(h_1 \circ h_2)$, we have that either $\ell \in \text{dom}(h_1)$ or $\ell \in \text{dom}(h_2)$. We just show the case $\ell \in \text{dom}(h_1)$, since the other is symmetric. Writing $h_1(\ell) = (v_1, \pi_1)$, and using the fact that $\ell \notin \text{dom}(h_2)$,

$$\pi \cdot (h_1 \circ h_2)(\ell) = (v_1, \pi \otimes \pi_1) = (\pi \cdot h_1)(\ell) = ((\pi \cdot h_1) \circ (\pi \cdot h_2))(\ell) .$$

Now for the main result, let s, h and ρ be given. We have

$$\begin{aligned}
&s, h, \rho \models (A * B)^\pi \\
\Leftrightarrow &h = \pi \cdot h' \text{ and } s, h', \rho \models A * B \\
\Leftrightarrow &h = \pi \cdot h' \text{ and } h' = h_1 \circ h_2 \text{ and } s, h_1, \rho \models A \text{ and } s, h_2, \rho \models B \\
\Leftrightarrow &h = \pi \cdot (h_1 \circ h_2) \text{ and } s, h_1, \rho \models A \text{ and } s, h_2, \rho \models B \\
\Leftrightarrow &h = (\pi \cdot h_1) \circ (\pi \cdot h_2) \text{ and } s, h_1, \rho \models A \text{ and } s, h_2, \rho \models B && \text{by (7)} \\
\Leftrightarrow &h = h'_1 \circ h'_2 \text{ and } h'_1 = \pi \cdot h_1 \text{ and } s, h_1, \rho \models A \text{ and } h'_2 = \pi \cdot h_2 \text{ and } s, h_2, \rho \models B \\
\Leftrightarrow &h = h'_1 \circ h'_2 \text{ and } s, h'_1, \rho \models A^\pi \text{ and } s, h'_2, \rho \models B^\pi \\
\Leftrightarrow &s, h, \rho \models A^\pi * B^\pi . && \square
\end{aligned}$$

We now establish the main principles for dividing and combining permissions formulas using \otimes . As foreshadowed in Section 2, the combination principle holds only for formulas that are conjoined with a nominal label (cf. equation (5)).

Lemma 4.4 (Permission division and combination). *For all formulas A , nominals α , and permissions π_1, π_2 such that $\pi_1 \oplus \pi_2$ is defined:*

$$\begin{aligned}
A^{\pi_1 \oplus \pi_2} &\models A^{\pi_1} \otimes A^{\pi_2} && (\text{Split } \otimes) \\
(\alpha \wedge A)^{\pi_1} \otimes (\alpha \wedge A)^{\pi_2} &\models (\alpha \wedge A)^{\pi_1 \oplus \pi_2} && (\text{Join } \otimes)
\end{aligned}$$

Proof. Case (Split \otimes): Suppose that $s, h, \rho \models A^{\pi_1 \oplus \pi_2}$. We have $h = (\pi_1 \oplus \pi_2) \cdot h'$, where $s, h', \rho \models A$. That is, for any $\ell \in \text{dom}(h)$, we have $h'(\ell) = (v, \pi)$ say and, using the permissions algebra axiom (left-dist) from Definition 3.1,

$$h(\ell) = (v, (\pi_1 \oplus \pi_2) \otimes \pi) = (v, (\pi_1 \otimes \pi') \oplus (\pi_2 \otimes \pi)) .$$

Now we define p-heaps h_1 and h_2 , both with domain exactly $\text{dom}(h)$, by

$$h_i(\ell) = (v, \pi_i \otimes \pi) \Leftrightarrow h'(\ell) = (v, \pi) \quad \text{for } i \in \{1, 2\} .$$

By construction, $h_1 = \pi_1 \cdot h'$ and $h_2 = \pi_2 \cdot h'$. Since $s, h', \rho \models A$, this gives us $s, h_1, \rho \models A^{\pi_1}$ and $s, h_2, \rho \models A^{\pi_2}$. Furthermore, also by construction, h_1 and h_2 are compatible, with $h = h_1 \bar{\circ} h_2$. Thus $s, h, \rho \models A^{\pi_1} \otimes A^{\pi_2}$, as required.

Case (Join \otimes): First of all, we show that for any p-heap h ,

$$(\pi_1 \cdot h) \bar{\circ} (\pi_2 \cdot h) = (\pi_1 \oplus \pi_2) \cdot h . \quad (8)$$

To see this, we observe that for any $\ell \in \text{dom}(h)$, writing $h(\ell) = (v, \pi)$ say,

$$\begin{aligned} & ((\pi_1 \oplus \pi_2) \cdot h)(\ell) \\ &= (v, (\pi_1 \oplus \pi_2) \otimes \pi) \\ &= (v, (\pi_1 \otimes \pi) \oplus (\pi_2 \otimes \pi)) \quad \text{by (left-dist)} \\ &= (h_1 \oplus h_2)(\ell) \text{ where } h_1(\ell) = (v, \pi_1 \otimes \pi) \text{ and } h_2 = (v, \pi_2 \otimes \pi) \\ &= ((\pi_1 \cdot h) \bar{\circ} (\pi_2 \cdot h))(\ell) . \end{aligned}$$

Now, for the main result, suppose $s, h, \rho \models (\alpha \wedge A)^{\pi_1} \otimes (\alpha \wedge A)^{\pi_2}$. We have $h = h_1 \bar{\circ} h_2$ where $s, h_1, \rho \models (\alpha \wedge A)^{\pi_1}$ and $s, h_2, \rho \models (\alpha \wedge A)^{\pi_2}$. That is, $h = (\pi_1 \cdot h'_1) \bar{\circ} (\pi_2 \cdot h'_2)$, where $s, h'_1, \rho \models \alpha \wedge A$ and $s, h'_2, \rho \models \alpha \wedge A$. Thus $h'_1 = h'_2 = \rho(\alpha)$ and so, by (8), we have $h = (\pi_1 \oplus \pi_2) \cdot h'_1$, where $s, h'_1, \rho \models \alpha \wedge A$. This gives us $s, h, \rho \models (\alpha \wedge A)^{\pi_1 \oplus \pi_2}$, as required. \square

Lastly, we state some useful principles for labels and the ‘‘jump’’ modality.

Lemma 4.5 (Labelling and jump). *For all formulas A and labels α ,*

$$\begin{aligned} @_{\alpha} A \wedge \alpha^{\pi} &\models A^{\pi} && (@\text{Elim}) \\ (\alpha \wedge A)^{\pi} &\models @_{\alpha} A && (@\text{Intro}) \\ @_{\alpha} (\beta_1^{\pi} * \beta_2^{\sigma}) \wedge (\beta_1^{\pi} \otimes \beta_2^{\sigma}) &\models \alpha \wedge (\beta_1^{\pi} * \beta_2^{\sigma}) && (@/*/*\otimes) \end{aligned}$$

Proof. Case (@Elim): Suppose $s, h, \rho \models @_{\alpha} A \wedge \alpha^{\pi}$. By the first conjunct, $s, \rho(\alpha), \rho \models A$ and by the second we have $h = \pi \cdot \rho(\alpha)$. Thus immediately we have $s, h, \rho \models A^{\pi}$, as required.

Case (@Intro): Suppose $s, h, \rho \models (\alpha \wedge A)^{\pi}$, meaning that $h = \pi \cdot h'$ where $s, h', \rho \models \alpha$ and $s, h', \rho \models A$. Thus we obtain $h' = \rho(\alpha)$ and so $s, \rho(\alpha), \rho \models A$, meaning exactly that $s, h, \rho \models @_{\alpha} A$.

Case (@/*/* \otimes): Suppose $s, h, \rho \models @_{\alpha} (\beta_1^{\pi} * \beta_2^{\sigma}) \wedge (\beta_1^{\pi} \otimes \beta_2^{\sigma})$, meaning that $s, \rho(\alpha), \rho \models \beta_1^{\pi} * \beta_2^{\sigma}$ and $s, h, \rho \models \beta_1^{\pi} \otimes \beta_2^{\sigma}$. Then we have $\rho(\alpha) = (\pi \cdot \rho(\beta_1)) \circ (\sigma \cdot \rho(\beta_2))$, while $h = (\pi \cdot \rho(\beta_1)) \bar{\circ} (\sigma \cdot \rho(\beta_2))$. Since \circ is defined only when its arguments are disjoint p-heaps, we obtain that $h = \rho(\alpha) = (\pi \cdot \rho(\beta_1)) \circ (\sigma \cdot \rho(\beta_2))$. Thus $s, h, \rho \models \alpha \wedge (\beta_1^{\pi} * \beta_2^{\sigma})$ as required. \square

5 Concurrent program verification examples

In this section, we demonstrate how SL_{LP} can be used in conjunction with the usual principles of CSL to construct verification proofs of concurrent programs, taking three examples of increasing complexity.

Our examples all operate on *binary trees* in memory, defined as usual in separation logic (again note the use of $*$ rather than \otimes):

$$\text{tree}(x) =_{\text{def}} (x = \text{null} \wedge \text{emp}) \vee (\exists d, l, r. x \mapsto (d, l, r) * \text{tree}(l) * \text{tree}(r)) .$$

5.1 Parallel tree processing (Le and Hobor [23]):

Consider the following program, which was also employed as an example in [23]:

```

proc(x) {
  if (x == null) { return; }
  print(x->d);   ||   print(x->d);
  proc(x->l);    ||   proc(x->l);
  proc(x->r);    ||   proc(x->r);
}

```

This is meant to be a fairly simple example: we take a tree rooted at x and, if x is non-null, split into parallel threads that call `proc` recursively on the left and right branches.

We prove that `proc` satisfies the specification $\{\text{tree}(x)^\pi\} \text{proc}(x) \{\text{tree}(x)^\pi\}$. The verification proof, in Figure 2, includes two (symmetric) parts and is easy to follow. First we unroll the definition of $\text{tree}(x)$ and distribute the permission over Boolean connectives and $*$. If our tree is a leaf, then the process stops. Otherwise, we split it into two half-permissions, using \otimes . When we start the parallel computation we pass half of our π -share of the labelled tree to the left thread and the other half to the right thread. After the parallel call, since both threads have the same label, we can merge the threads with permission $\frac{\pi}{2}$ back into π , and consequently arrive at the desired postcondition $\text{tree}(x)^\pi$.

5.2 Concurrent tree traversal:

The following program is similar to the previous one, except that it operates on disjoint trees rooted at x and y :

```

traverse(x, y){
  if (x==null) { return; }
  x->d := f(x->d, y);
  traverse(x->l, y); || traverse(x->r, y);
}

```

If x is non-null we call a function f on the data element of x (with assumed specification $\{\text{tree}(y)^\pi\} f(d, y) \{\text{tree}(y)^\pi\}$) and then split into parallel threads which recurse on the left and right subtrees of x respectively.

```

{tree(x)π}
{(α ∧ tree(x))π}
{(α ∧ ((x = null ∧ emp) ∨ (x ↦ (d, l, r) * tree(l) * tree(r))))π}
{ (x = null ∧ emp) ∨ (απ ∧ (x ↦π (d, l, r) * tree(l)π * tree(r)π)) } by (∧π), (∨π), (*π)
if (x == null) { {x = null ∧ emp}
return;
}
{απ ∧ (x ↦π (d, l, r) * tree(l)π * tree(r)π)}
{ (απ ∧ (x ↦π (d, l, r) * tree(l)π * tree(r)π))1/2 } ⊗
{ (απ ∧ (x ↦π (d, l, r) * tree(l)π * tree(r)π))1/2 } by (Split ⊗)
{ (απ⊗1/2 ∧ (x ↦π⊗1/2 (d, l, r) * tree(l)π⊗1/2 * tree(r)π⊗1/2)) } ⊗
{ (απ⊗1/2 ∧ (x ↦π⊗1/2 (d, l, r) * tree(l)π⊗1/2 * tree(r)π⊗1/2)) } by (∧π), (*π), (⊗)
{ απ⊗1/2 ∧ (x ↦π⊗1/2 (d, l, r) * tree(l)π⊗1/2 * tree(r)π⊗1/2 ) } ||
print(x->d);
proc(x->l);
proc(x->r);
{ (α ∧ (x ↦ (d, l, r) * tree(l) * tree(r)))π⊗1/2 } || ...
{ (α ∧ (x ↦ (d, l, r) * tree(l) * tree(r)))(π⊗1/2)⊕(π⊗1/2) } by (Join ⊗)
{ (α ∧ (x ↦ (d, l, r) * tree(l) * tree(r)))π }
}
{(α ∧ tree(x))π}
{tree(x)π}

```

Fig. 2. Verification proof of Le and Hobor's program from [23] in Example 5.1.

We verify that `traverse` satisfies the specification

$$\{\mathbf{tree}(x)^\pi * \mathbf{tree}(y)^\sigma\} \mathbf{traverse}(x, y) \{\mathbf{tree}(x)^\pi * \mathbf{tree}(y)^\sigma\}$$

Contrary to the previous example, the verification proof, in Figure 3 relies on some subtle properties of the logic, most of which are one-directional. Again we start by unfolding `tree(x)` and distributing the permission, and then introduce fresh labels for the various “sub-regions” of the memory. In order to recall relationships between states, we introduce the jump operator \textcircled{a} , which allows us to track the divisions of states into disjoint sub-states.

We continue by splitting $(\beta \wedge \mathbf{tree}(y))^\sigma$ into two halves using $\textcircled{\otimes}$. At the same time, since $*$ implies $\textcircled{\otimes}$ (but not the other way around), we weaken our `tree(x)` in order to apply the distribution principle $(\textcircled{\otimes}/*)$ from Lemma 4.2. When we start the parallel computation we pass the left and right subtrees of `x` to the left

and right threads respectively, each thread inheriting only half of the permission on $\beta \wedge \text{tree}(y)$ that the main thread previously owned. After the parallel call, we merge the threads together, and once again weaken $*$ into \otimes in order to recover $(\beta \wedge \text{tree}(y))^\sigma$. At this point all the parts of $\text{tree}(x)$, which we know to be disjoint, are connected only by \otimes . Fortunately, the knowledge is actually embedded as an assertion in our proof via the jump operator, allowing us to retrieve $*$ -separation and thus the desired postcondition $\text{tree}(x)^\pi * \text{tree}(y)^\sigma$.

5.3 Cross-thread data transfer

Our previous examples involve only “isolated tank” concurrency: a program has some resources and splits them into parallel threads that do not communicate with each other before ultimately re-merging. The main technical challenge—remembering Humpty Dumpty!—is then to show that this re-merging is successful. For our last example, we will show that our technique is expressive enough to handle more sophisticated kinds of sharing, in particular inter-thread coarse-grained communication. We will show that we can not only share read-only data, but in fact prove that one thread has acquired the full ownership of a structure, even when the associated root pointers are not easily exposed.

Consider the code in Figure 4. The basic idea is simple: we create some data at the top (line 101) and then split its ownership 50-50 to two threads. The left thread finds a subtree, and passes its half of that subtree to the right via a channel. The right thread receives the root of that subtree, and thus has full ownership of that subtree along with half-ownership of the rest of the tree. Accordingly, the right thread can modify that subtree before notifying the left subtree and passing half of the modified subtree back. After merging, full ownership of the entire tree is restored and so on line 401 the program can delete it. Figure 4 only contains the proof and line numbers for the top and bottom shared portions. The left and the right thread’s proofs appear in Figure 5.

By this point the top and bottom portions of the verification are straightforward. After creating the tree, yielding line 102, we use entailments as follows:

$$\text{tree}(rt) \models \alpha : \text{tree}(rt) \models (\alpha : \text{tree}(rt))^{0.5} \otimes (\alpha : \text{tree}(rt))^{0.5}$$

and then pass $(\alpha : \text{tree}(rt))^{0.5}$ to both threads. After the parallel execution, due to the call to `modify(sub)` in the right thread, the tree has changed in memory. Accordingly, the label for the tree must also change as indicated by the $(\epsilon : \text{tree}(rt))^{0.5}$ in both threads after parallel processing. These are then recombined on line 400 using the entailments

$$(\epsilon : \text{tree}(rt))^{0.5} \otimes (\epsilon : \text{tree}(rt))^{0.5} \models \epsilon : \text{tree}(rt) \models \text{tree}(rt)$$

Afterwards the tree is deallocated via standard sequential techniques.

Let us now examine the more interesting proofs of the individual threads in Figure 5. Line 201 calls the `find` function, which searches a binary tree for a subtree rooted with key `key`. Following Cao *et al.* [12] we specify `find` as follows:

$$\{ \text{tree}(x)^\pi \} \text{find}(x) \{ \lambda ret. (\text{tree}(ret) * (\text{tree}(ret) \multimap \text{tree}(x)))^\pi \}$$

$$\begin{aligned}
& \{ \text{tree}(x)^\pi * \text{tree}(y)^\sigma \} \\
& \{ (\alpha \wedge \text{tree}(x)^\pi) * (\beta \wedge \text{tree}(y)^\sigma) \} \\
& \left\{ (x = \text{null}) \vee \right. \\
& \quad \left. \left((\alpha \wedge (x \mapsto (d, l, r) * \text{tree}(l)^\pi * \text{tree}(r)^\pi)) * (\beta \wedge \text{tree}(y)^\sigma) \right) \right\} \quad \text{by } (\vee^\pi), (*^\pi) \\
& \{ (x = \text{null}) \vee \\
& \quad \left(\delta \wedge \left((\alpha \wedge ((\gamma_1 \wedge x \mapsto (d, l, r)) * (\gamma_2 \wedge \text{tree}(l)^\pi) * \right. \right. \\
& \quad \left. \left. (\gamma_3 \wedge \text{tree}(r)^\pi)) * (\beta \wedge \text{tree}(y)^\sigma) \right) \right) \} \\
& \text{traverse}(x, y) \{ \\
& \text{if } (x == \text{null}) \{ \{ x = \text{null} \} \\
& \text{return;} \\
& \} \\
& \left\{ \left(\delta \wedge \left((\alpha \wedge ((\gamma_1 \wedge x \mapsto (d, l, r)) * (\gamma_2 \wedge \text{tree}(l)^\pi) * \right. \right. \right. \\
& \quad \left. \left. (\gamma_3 \wedge \text{tree}(r)^\pi)) * (\beta \wedge \text{tree}(y)^\sigma) \right) \right) \wedge @_\delta \alpha * \beta^\sigma \wedge @_\alpha \gamma_1 * \gamma_2 * \gamma_3 \right\} \text{ by } (@ \text{Intro}) \\
& \left\{ \left(\left(\left((\gamma_1 \wedge x \mapsto (d, l, r)) * (\gamma_2 \wedge \text{tree}(l)^\pi) \right) \otimes (\gamma_3 \wedge \text{tree}(r)^\pi) \right) * \right. \right. \\
& \quad \left. \left((\beta \wedge \text{tree}(y)^\sigma) \otimes (\beta \wedge \text{tree}(y)^\sigma) \right) \right) \wedge @_\delta \alpha * \beta^\sigma \wedge @_\alpha \gamma_1 * \gamma_2 * \gamma_3 \right\} \text{ by } (\text{Split } \otimes) \\
& \left\{ \left(\left((\gamma_1 \wedge x \mapsto (d, l, r)) * (\gamma_2 \wedge \text{tree}(l)^\pi) * (\beta \wedge \text{tree}(y)^\sigma) \right) \otimes \right. \right. \\
& \quad \left. \left. (\gamma_3 \wedge \text{tree}(r)^\pi) * (\beta \wedge \text{tree}(y)^\sigma) \right) \right) \wedge @_\delta \alpha * \beta^\sigma \wedge @_\alpha \gamma_1 * \gamma_2 * \gamma_3 \right\} \text{ by } (\otimes / *) \\
& x \rightarrow d := f(x \rightarrow d, y); \\
& \text{traverse}(x \rightarrow l, y); \quad || \quad \text{traverse}(x \rightarrow r, y); \\
& \left\{ \left((\gamma_1 \wedge x \mapsto (d, l, r)) \otimes (\gamma_2 \wedge \text{tree}(l)^\pi) \otimes (\beta \wedge \text{tree}(y)^\sigma) \otimes \right. \right. \\
& \quad \left. \left. (\gamma_3 \wedge \text{tree}(r)^\pi) \otimes (\beta \wedge \text{tree}(y)^\sigma) \right) \wedge @_\delta \alpha * \beta^\sigma \wedge @_\alpha \gamma_1 * \gamma_2 * \gamma_3 \right\} \\
& \left\{ \left(\left((\gamma_1 \wedge x \mapsto (d, l, r)) \otimes (\gamma_2 \wedge \text{tree}(l)^\pi) \otimes (\gamma_3 \wedge \text{tree}(r)^\pi) \right) \otimes \right. \right. \\
& \quad \left. \left. (\beta \wedge \text{tree}(y)^\sigma) \right) \wedge @_\delta \alpha * \beta^\sigma \wedge @_\alpha \gamma_1 * \gamma_2 * \gamma_3 \right\} \quad \text{by } (\text{Join } \otimes) \\
& \left\{ \left(\left((\alpha \wedge ((\gamma_1 \wedge x \mapsto (d, l, r)) * (\gamma_2 \wedge \text{tree}(l)^\pi) * (\gamma_3 \wedge \text{tree}(r)^\pi))) \otimes \right. \right. \right. \\
& \quad \left. \left. (\beta \wedge \text{tree}(y)^\sigma) \right) \wedge @_\delta \alpha * \beta^\sigma \right\} \quad \text{by } (@ / * / \otimes) \\
& \left\{ \left(\delta \wedge \left((\alpha \wedge ((\gamma_1 \wedge x \mapsto (d, l, r)) * (\gamma_2 \wedge \text{tree}(l)^\pi) * (\gamma_3 \wedge \text{tree}(r)^\pi))) * \right. \right. \right. \\
& \quad \left. \left. (\beta \wedge \text{tree}(y)^\sigma) \right) \right) \right\} \quad \text{by } (@ / * / \otimes) \\
& \} \\
& \{ \text{tree}(x)^\pi * \text{tree}(y)^\sigma \}
\end{aligned}$$

Fig. 3. Verification proof of the program `traverse` in Example 5.2.

```

100 void transfer(int key) { { emp }
101     rt* = make_tree();
102     { tree(rt) }
    { ( $\alpha$ : tree(rt))0.5 } || { ( $\alpha$ : tree(rt))0.5 }
tree* sub = find(rt, key) || ... ;
send(ch, sub) || tree* sub = receive(ch) ;
... || modify(sub) ;
receive(ch) || send(ch, ()) ;
{ ( $\epsilon$ : tree(rt))0.5 } || { ( $\epsilon$ : tree(rt))0.5 }
400     { tree(rt) }
401     delete_tree(rt); { emp } }

```

Fig. 4. Verification proof of the top and bottom of `transfer` in Example 5.3.

Here `ret` is bound to the return value of `find`, and the postcondition can be considered to represent the returned subtree `tree(ret)` separately from the tree-with-a-hole `tree(ret) \rightarrow tree(x)`, using a `*/ \rightarrow *` style to represent replacement as per Hobor and Villard [19]. This is the invariant on line 202.

Line 203 then attaches the fresh labels β and γ to the `*`-separated subparts, and line 204 snapshots the formula current at label α using the `@` operator; `@ π α P` should be read as “when one has a π -fraction of α , P holds;” it is definable with an existential quantifier over labels from `@`. On line 205 we forget (in the left thread) the label α for the current heap for housekeeping purposes, and then on line 206 we weaken the strong separating conjunction `*` to the weak one `*` before sending the root of the subtree `sub` on line 207.

Coarse-grained concurrency such as locks, channels, and barriers have been well-investigated in various flavours of concurrent separation logic [25, 30, 18] and so we gloss over the associated details here to focus on the novel issues at hand. Suffice it to say that we assume the Hoare rule for a channel c to send message number i whose message invariant is R_i^c is `{ $R_i^c(x)$ } send(c, x) {emp}`, while the corresponding rule to receive is `{emp} receive(c) { $\lambda ret. R_i^c(ret)$ }`; we ignore details such as identifying which party is allowed to send / receive at a given time [13] or the resource ownership of the channel itself [17]. The key point here is that we need only the weaker separating conjunction to send data, and (troublesome) when we receive the data comes in with the weak separating conjunction to allow for potential fractional overlap; this impacts the frame rule as discussed below. In the `transfer` program, the invariant for the first channel message is

$$(\beta: \text{tree}(\text{sub}))^{0.5} \wedge (@_{\alpha}^{0.5}((\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \rightarrow \text{tree}(\text{rt}))))^{0.5})$$

In other words, half of the ownership of the tree rooted at `sub` plus the (pure) `@`-fact about the shape of the heap labeled by α . Comparing lines 206 and 208 we can see that this information has been shipped over the wire (the pure information guarded by `@` has also been dropped since it is no longer needed in the

```

200 {  $(\alpha: \text{tree}(\text{rt}))^{0.5}$  }
201 tree* sub = find(rt, key);
202 {  $(\alpha^{0.5}: \text{tree}(\text{sub}) * (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5}$  }
203 {  $(\alpha^{0.5}: (\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt}))))^{0.5}$  }
204 {  $\alpha^{0.5}: ((\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt}))))^{0.5} \wedge$ 
  {  $(\text{@}_{\alpha}^{0.5}((\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt}))))^{0.5})$  }
205 {  $(\beta: \text{tree}(\text{sub}))^{0.5} * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5} \wedge$ 
  {  $(\text{@}_{\alpha}^{0.5}((\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt}))))^{0.5})$  }
206 {  $(\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5} \otimes (\beta: \text{tree}(\text{sub}))^{0.5} \wedge$ 
  {  $(\text{@}_{\alpha}^{0.5}((\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt}))))^{0.5})$  }
207 send(ch, sub);
208 {  $(\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5}$  }
209 ...
210 {  $(\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5}$  }
211 receive(ch);
212 {  $(\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5} \otimes ((\text{@}_{\gamma}^{0.5}(\delta: \text{tree}(\text{sub}) \multimap \epsilon: \text{tree}(\text{rt})))^{0.5} \wedge$ 
  {  $\gamma \perp \delta \wedge \delta: \text{tree}(\text{sub})^{0.5}$  }
213 {  $\gamma: (\delta: \text{tree}(\text{sub}) \multimap \epsilon: \text{tree}(\text{rt}))^{0.5} \otimes \delta: \text{tree}(\text{sub})^{0.5} \wedge \gamma \perp \delta$  }
214 {  $(\delta: \text{tree}(\text{sub}) \multimap \epsilon: \text{tree}(\text{rt}))^{0.5} * \delta: \text{tree}(\text{sub})^{0.5}$  }
215 {  $(\epsilon: \text{tree}(\text{rt}))^{0.5}$  }



---


300 {  $(\alpha: \text{tree}(\text{rt}))^{0.5}$  }
301 ...
302 {  $(\alpha: \text{tree}(\text{rt}))^{0.5}$  }
303 tree* sub = receive(ch);
304 {  $(\alpha: \text{tree}(\text{rt}))^{0.5} \otimes (\beta: \text{tree}(\text{sub}))^{0.5} \wedge$ 
  {  $(\text{@}_{\alpha}^{0.5}((\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt}))))^{0.5})$  }
305 {  $((\beta: \text{tree}(\text{sub})) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt}))))^{0.5} \otimes (\beta: \text{tree}(\text{sub}))^{0.5}$  }
306 {  $((\beta: \text{tree}(\text{sub}))^{0.5} \otimes (\beta: \text{tree}(\text{sub}))^{0.5}) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5}$  }
307 {  $\text{tree}(\text{sub}) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5}$  }
308 modify(sub);
309 {  $\text{tree}(\text{sub}) * (\gamma: (\text{tree}(\text{sub}) \multimap \text{tree}(\text{rt})))^{0.5}$  }
310 {  $(\delta: \text{tree}(\text{sub})) * (\gamma: ((\delta: \text{tree}(\text{sub})) \multimap (\epsilon: \text{tree}(\text{rt}))))^{0.5} \wedge \gamma \perp \delta$  }
311 {  $((\delta: \text{tree}(\text{sub}))^{0.5} \otimes (\delta: \text{tree}(\text{sub}))^{0.5}) * (\gamma: ((\delta: \text{tree}(\text{sub})) \multimap (\epsilon: \text{tree}(\text{rt}))))^{0.5} \wedge$ 
  {  $\gamma \perp \delta \wedge (\text{@}_{\gamma}^{0.5}((\delta: \text{tree}(\text{sub})) \multimap (\epsilon: \text{tree}(\text{rt})))^{0.5})$  }
312 {  $((\delta: \text{tree}(\text{sub}))^{0.5} * (\gamma: ((\delta: \text{tree}(\text{sub})) \multimap (\epsilon: \text{tree}(\text{rt}))))^{0.5}) \otimes$ 
  {  $(\delta: \text{tree}(\text{sub}))^{0.5} \wedge \gamma \perp \delta \wedge (\text{@}_{\gamma}^{0.5}((\delta: \text{tree}(\text{sub})) \multimap (\epsilon: \text{tree}(\text{rt})))^{0.5})$  }
313 {  $(\epsilon: \text{tree}(\text{rt}))^{0.5} \otimes$ 
  {  $(\delta: \text{tree}(\text{sub}))^{0.5} \wedge \gamma \perp \delta \wedge (\text{@}_{\gamma}^{0.5}((\delta: \text{tree}(\text{sub})) \multimap (\epsilon: \text{tree}(\text{rt})))^{0.5})$  }
314 send(ch, ());
315 {  $(\epsilon: \text{tree}(\text{rt}))^{0.5}$  }

```

Fig. 5. Verifications of the left (top) and right (bottom) threads of transfer.

left thread). The left thread then continues to process until synchronizing again with the `receive` in line 211.

Before we consider the second synchronization, however, let us instead jump to the first corresponding receive in the right thread at line 303. Before the receive, this thread has been doing read-only processing on the tree. After the receive, the invariant on line 304 has the (weakly separated) resources sent from the left thread on line 206. We then “jump” label α using the @-information to reach line 305. We can redistribute the β inside the $*$ on line 306 since we already know that β and γ are disjoint. On line 307 we reach the payoff by combining both halves of the subtree `sub`, enabling the modification of the subtree in line 308. The postcondition in line 309 looks identical but the key point is that while `sub` is still a tree, it has been updated and so no longer fits into β (or indeed α).

On line 310 we label the two subheaps, and specialize the magic wand so that given the specific heap δ it will yield the specific heap ϵ ; we also record the pure fact that γ and δ are disjoint, written $\gamma \perp \delta$. On line 311 we snapshot γ and split the tree `sub` 50-50; then on line 312 we push half of `sub` out of the strong $*$. On line 313 we combine the subtree and the tree-with-hole to reach the final tree ϵ . We then send on line 314 with the channel’s second resource invariant:

$$(\delta : \text{tree}(\text{sub}))^{0.5} \wedge \gamma \perp \delta \wedge (@_{\gamma}^{0.5}((\delta : \text{tree}(\text{sub})) * (\epsilon : \text{tree}(\text{rt})))^{0.5})$$

After the send, on line 315 we have reached the final fractional tree ϵ .

Back in the left-hand thread, the second send is received in line 211, leading to the weakly-separated postcondition in line 212. In line 213 we “jump” label γ , and then in line 214 we use the known disjointness of γ and δ to change the \otimes to $*$. Finally in line 215 we apply the magic wand to reach the postcondition.

Comment. Hybrid logic and fractional permissions are both ways to prove that something has not changed in value; in some sense each reinforces the weaknesses of the other to provide a usable system. It is pleasing, therefore, to show that we are able to transfer resources “mid-thread” in a way that proves we have recovered full permission and then make modifications without shooting ourselves in the foot when we later need to merge. However, the addition of such “mid-thread” synchronization comes at a cost. One can imagine two different versions of the frame rule: a weak version, whose conclusion is $\{P \otimes F\} c \{Q \otimes F\}$, as well as the stronger variant $\{P * F\} c \{Q * F\}$. The weaker version always holds (modulo the usual restriction on modified program variables), but unfortunately the stronger variant requires an additional side condition that c not bring in resources from the outside “mid-frame”, *e.g.* by receiving on a channel or unlocking a lock. Without this restriction, one could $*$ -frame out *e.g.* $x \stackrel{0.75}{\dashv} y$, receive the other portion $x \stackrel{0.25}{\dashv} y$ from another thread, and then “unframe” to $x \stackrel{0.75}{\dashv} y * x \stackrel{0.25}{\dashv} y$, a contradiction. It is unclear how significant this restriction is in practice; in particular, the `transfer` example above does not use the strong frame rule over a receiving synchronization. We leave an investigation of the associated trade-offs and mitigation strategies to future work.

6 Conclusions and future work

We have suggested some updates to separation logic with fractional permissions [3] in order to reason about permissions over arbitrary regions of memory. We identify two fundamental logical principles that fail when the “weak” separating conjunction \otimes is used in place of the usual “strong” $*$, the first being distribution of permissions — $A^\pi \otimes B^\pi \not\equiv (A \otimes B)^\pi$ — and the second being the re-combination of permission-divided formulas, $A^\pi \otimes A^\sigma \not\equiv A^{\pi+\sigma}$. We avoid the former difficulty by *retaining* the strong $*$ in the formalism alongside \otimes ; essentially we suggest that $*$ should be used in place of \otimes whenever this is possible. As for the latter, we propose that exact aliasing between read-only copies of a formula is most easily recorded by the use of *labels*, as are used for various purposes in many other separation logic proof systems [9, 20, 22, 24].

The main previous work addressing these issues [23] uses a combination of permissions based on *tree shares* [16] and semantic side conditions on formulas to overcome the aforementioned problems. In contrast, our logic is a little more complex, but we can use permissions of any kind (e.g. rationals) and do not require side conditions. In addition, our use of labelling enables us to handle examples involving the transfer of data structures between concurrent threads.

We think it probable that the kind of examples we consider in this paper could also be proven by hand in at least some of the many concurrent verification formalisms derived from CSL (e.g. [29, 15, 26, 21]). However, we feel that the main appeal of the present work lies in its relative simplicity — we build on standard fractional permissions in separation logic and invoke only a modest amount of extra syntax — which is a promising indication of its potential for automation (at least for simpler examples). In this paper, we have set out the basic direction, but there is much more work to be done. In practical terms, an obvious way to proceed would be to develop a prototype verifier for concurrent programs based on our logic SL_{LP} . An important challenge in this area is to develop automatic theorem-proving tactics — e.g., for splitting, labelling and combining formulas — that work acceptably well in practice.

An even greater challenge is to move from *verifying* user-provided specifications to *inferring* them automatically, as is done e.g. by Facebook INFER. Specification inference in separation logic depends on solving the *biabduction* problem, which aims to discover “best fit” solutions for applications of separation logic’s well known frame rule [10, 8]. In the CSL setting, a further problem seems to lie in deciding how applications of the concurrency rule should divide resources between threads.

Finally, the successful push-button automation of verification will most likely necessitate restricting the full logic in this paper to some suitably tractable fragment, e.g. one analogous to the well-known *symbolic heap* fragment in standard separation logic (cf. [1], but see also [14] for the case of symbolic heaps with permissions). The identification of such tractable fragments is another important theoretical problem in this area. It is our hope that this paper will serve to stimulate interest in the automation of concurrent separation logic in particular, and permission-sensitive reasoning in general.

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