

Inference in PL and FOL

Chapters 7, 8 and 9 + Prolog Redux



Long lecture ahead



Outline: PL Inference

- Enumerative methods
- Resolution in CNF
 - Sound and Complete
- Forward and Backward Chaining using Modus Ponens in Horn Form
 - Sound and Complete

Proof methods

- Proof methods divide into (roughly) two kinds:
 - Application of inference rules
 - Legitimate (sound) generation of new sentences from old
 - Proof = a sequence of inference rule applications
 Can use inference rules as operators in a standard search algorithm
 - Typically require transformation of sentences into a normal form

Model checking

- truth table enumeration (always exponential in *n*)
- improved backtracking, e.g., Davis-Putnam-Logemann-Loveland (DPLL)
- heuristic search in model space (sound but incomplete)
 e.g., min-conflicts like hill-climbing algorithms



Efficient propositional inference

Two families of efficient algorithms for propositional inference:

Complete backtracking search algorithms

- DPLL algorithm (Davis, Putnam, Logemann, Loveland)
- Incomplete local search algorithms
 - WalkSAT algorithm

The DPLL algorithm

Determine if an input propositional logic sentence (in CNF) is satisfiable.

Improvements over truth table enumeration:

- Early termination
 - A clause is true if any literal is true.
 - A sentence is false if any clause is false.
- Pure symbol heuristic
 - Pure symbol: always appears with the same "sign" in all clauses.
 - e.g., In the three clauses (A $\vee \neg$ B), (\neg B $\vee \neg$ C), (C \vee A), A and B are pure, C is impure.
 - Make a pure symbol literal true.

Least constraining value

- Unit clause heuristic
 - Unit clause: only one literal in the clause The only literal in a unit clause must be true.

Most constrained value

What are correspondences between DPLL and in general CSPs?

The DPLL algorithm

```
function DPLL-Satisfiable?(s) returns true or false
   inputs: s, a sentence in propositional logic
   clauses \leftarrow the set of clauses in the CNF representation of s
   symbols \leftarrow a list of the proposition symbols in s
   return DPLL(clauses, symbols, [])
function DPLL(clauses, symbols, model) returns true or false
   if every clause in clauses is true in model then return true
   if some clause in clauses is false in model then return false
   P, value \leftarrow Find-Pure-Symbol (symbols, clauses, model)
   if P is non-null then return DPLL(clauses, symbols-P, [P = value | model])
   P, value \leftarrow \text{FIND-UNIT-CLAUSE}(clauses, model)
   if P is non-null then return DPLL(clauses, symbols-P, [P = value|model])
   P \leftarrow \text{First}(symbols); rest \leftarrow \text{Rest}(symbols)
   return DPLL(clauses, rest, [P = true | model]) or
           DPLL(clauses, rest, [P = false|model])
```

The Walksat algorithm

- Incomplete, local search algorithm
- Evaluation function: The min-conflict heuristic of minimizing the number of unsatisfied clauses
- Balance between greediness and randomness

The Walksat algorithm

function WalkSAT(clauses, p, max-flips) returns a satisfying model or failure inputs: clauses, a set of clauses in propositional logic p, the probability of choosing to do a "random walk" move max-flips, number of flips allowed before giving up $model \leftarrow$ a random assignment of true/false to the symbols in clauses for i=1 to max-flips do if model satisfies clauses then return model $clause \leftarrow$ a randomly selected clause from clauses that is false in model with probability p flip the value in model of a randomly selected symbol from clause else flip whichever symbol in clause maximizes the number of satisfied clauses return failure

Let's ask ourselves: Why is it incomplete?

Hard satisfiability problems

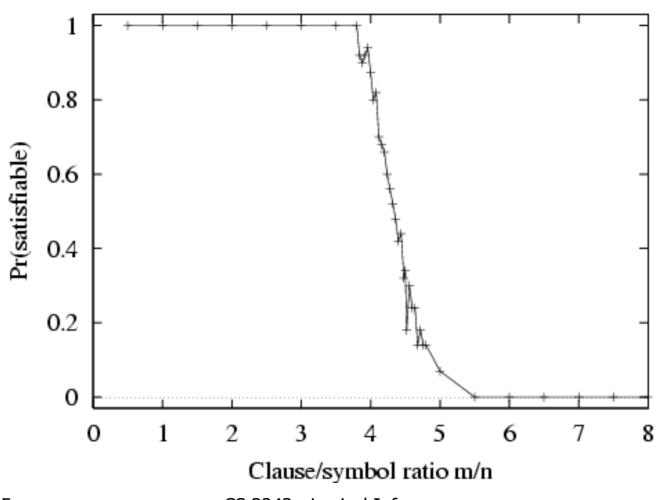
Consider random 3-CNF sentences. e.g.,

m = number of clauses n = number of symbols

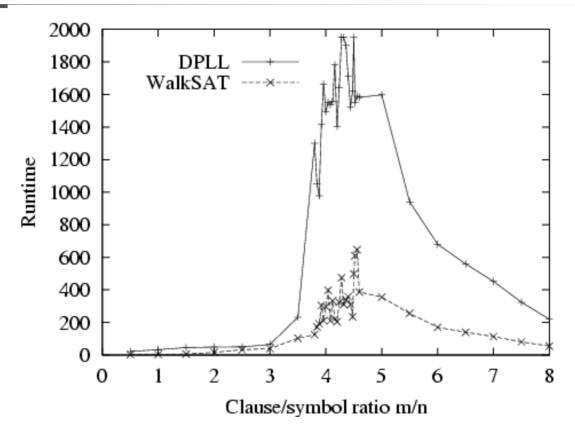
• Hard problems seem to cluster near m/n = 4.3 (critical point)



Hard satisfiability problems



Hard satisfiability problems



Median runtime for 100 satisfiable random 3-CNF sentences, n = 50

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Model checking

- truth table enumeration (always exponential in n)
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 e.g., min-conflicts like hill-climbing algorithms

Resolution

Conjunctive Normal Form (CNF) conjunction of disjunctions of literals clauses E.g., $(A \lor \neg B) \land (B \lor \neg C \lor \neg D)$

Resolution inference rule (for CNF):

where l_i and m_j are complementary literals.

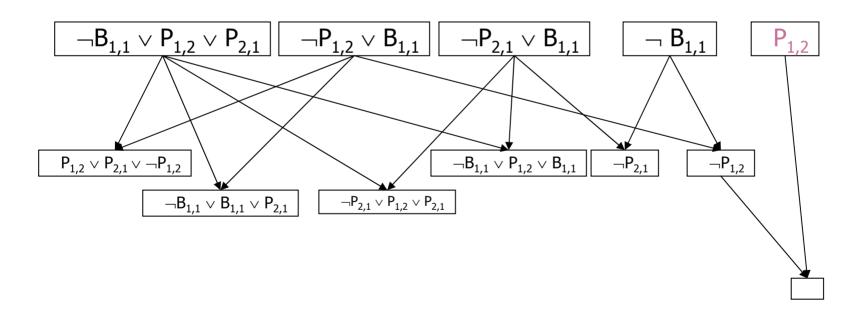
E.g.,
$$P_{1,3} \vee P_{2,2}$$
, $\neg P_{2,2}$

 Resolution is sound and complete for propositional logic



Resolution example

- $\bullet KB = (B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})) \land \neg B_{1,1}$
- \blacksquare \Box = $\neg P_{1,2}$ (negate the premise for proof by refutation)



The power of false

- Given: (P) ∧ (¬P)
- Prove: Z

```
    ¬ P Given
    P Given
    ¬ Z Given
    □ Unsatisfiable
```

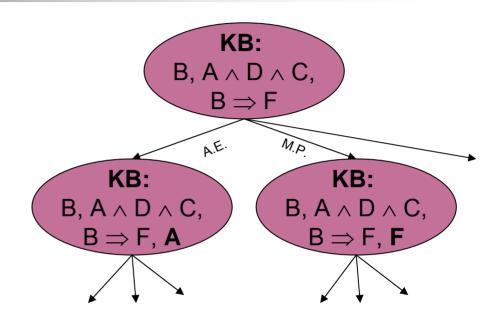
■ Can we prove ¬Z using the givens above?



Applying inference rules

Equivalent to a search problem

- KB state = node
- Inference rule application = edge



Inference

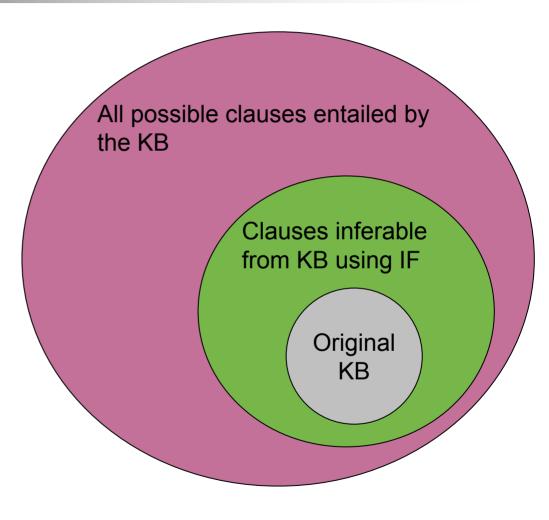
Do the operators make conclusions that aren't always true?

- Define: $KB \mid_i a = sentence a can be derived from <math>KB$ by procedure i
- Soundness: *i* is sound if whenever $KB \mid_{i} a$, it is also true that $KB \models a \square$
- Completeness: *i* is complete if whenever $KB \models a$, it is also true that $KB \models a$
- Preview: we will define a logic (first-order logic) which is expressive enough to say almost anything of interest, and for which there exists a sound and complete inference procedure.
- That is, the procedure will answer any question whose ans • Is a set of inference operators complete and sound?

Completeness

Completeness: i is complete if whenever $KB \models a$, it is also true that $KB \models_i a$

- An incomplete inference algorithm cannot reach all possible conclusions
 - Equivalent to completeness in search (chapter 3)



Resolution

Conjunctive Normal Form (CNF) conjunction of disjunctions of literals clauses E.g., $(A \lor \neg B) \land (B \lor \neg C \lor \neg D)$

Resolution inference rule (for CNF):

$$\frac{\ell_{i} \vee ... \vee \ell_{k}}{\ell_{i} \vee ... \vee \ell_{i-1} \vee \ell_{i+1} \vee ... \vee \ell_{k} \vee m_{1} \vee ... \vee m_{j-1} \vee m_{j+1} \vee ... \vee m_{n}}$$

where l_i and m_i are complementary literals.

E.g.,
$$P_{1,3} \vee P_{2,2}$$
, $\neg P_{2,2}$
 $P_{1,3}$

 Resolution is sound and complete for propositional logic

Resolution

Soundness of resolution inference rule:

Same truth value
$$\neg(\ell_{i} \vee ... \vee \ell_{i-1} \vee \ell_{i+1} \vee ... \vee \ell_{k}) \Rightarrow \ell_{i} \\ \neg m_{j} \Rightarrow (m_{1} \vee ... \vee m_{j-1} \vee m_{j+1} \vee ... \vee m_{n}) \\ \neg(\ell_{i} \vee ... \vee \ell_{i-1} \vee \ell_{i+1} \vee ... \vee \ell_{k}) \Rightarrow (m_{1} \vee ... \vee m_{j-1} \vee m_{j+1} \vee ... \vee m_{n})$$

where l_i and m_i are complementary literals.

- What if l_i and $\neg m_i$ are false?
- What if l_i and $\neg m_i$ are true?

Completeness of Resolution

- That is, that resolution can decide the truth value of S
- S = set of clauses
- RC(S) = Resolution closure of S = Set of all clauses that can be derived from S by the resolution inference rule.
- RC(S) has finite cardinality (finite number of symbols P₁, P₂, ... P_k), thus resolution refutation must terminate.

Completeness of Resolution (cont)

- Ground resolution theorem = if S unsatisfiable,
 RC(S) contains empty clause.
- Prove by proving contrapositive:
 - i.e., if RC(S) doesn't contain empty clause, S is satisfiable
 - Do this by constructing a model:
 - For each P_i , if there is a clause in RC(S) containing $\neg P_i$ and all other literals in the clause are false, assign P_i = false
 - Otherwise P_i = true
 - This assignment of P_i is a model for S.

-

Other Reasoning Patterns

- Resolution works by refutation
- What about proving propositions directly?

$$A \Rightarrow B, A$$
B

$$\frac{\mathsf{B} \wedge \mathsf{A}}{\mathsf{A}}$$

Rules that allow us to introduce new propositions while preserving truth values: logically equivalent

Two Examples:

- Modus Ponens
- And Elimination

Forward and backward chaining

- Horn Form (restricted)
 - KB = conjunction of Horn clauses
 - Horn clause =
 - proposition symbol; or
 - (conjunction of symbols) ⇒ symbol
 - E.g., $C \wedge (B \Rightarrow A) \wedge (C \wedge D \Rightarrow B)$
- Modus Ponens (for Horn Form): complete for Horn KBs

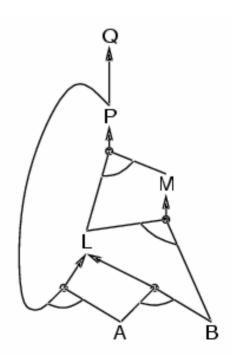
$$\frac{a_1, \dots, a_n, \qquad a_1 \wedge \dots \wedge a_n \Rightarrow \beta}{\beta}$$

- Can be used with forward chaining or backward chaining.
- These algorithms are very natural and run in linear time

Forward chaining

- Idea: fire any rule whose premises are satisfied in the KB,
 - add its conclusion to the KB, until query is found

$$P \Rightarrow Q$$
 $L \land M \Rightarrow P$
 $B \land L \Rightarrow M$
 $A \land P \Rightarrow L$
 $A \land B \Rightarrow L$
 A

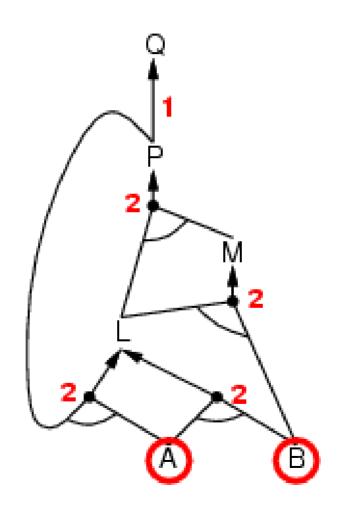


Forward chaining algorithm

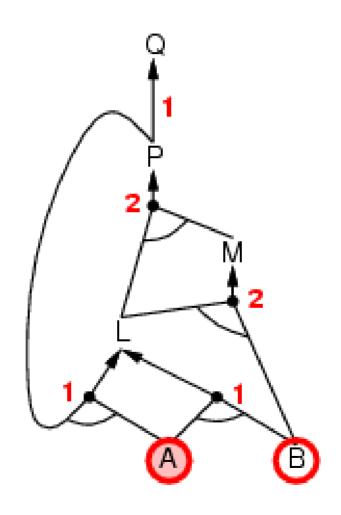
```
function PL-FC-ENTAILS?(KB, q) returns true or false
  local variables: count, a table, indexed by clause, initially the number of premises
                      inferred, a table, indexed by symbol, each entry initially false
                     agenda, a list of symbols, initially the symbols known to be true
   while agenda is not empty do
        p \leftarrow \text{Pop}(agenda)
       unless inferred[p] do
            inferred[p] \leftarrow true
            for each Horn clause c in whose premise p appears do
                 decrement count[c]
                 if count[c] = 0 then do
                     if HEAD[c] = q then return true
                     Push(Head[c], agenda)
   return false
```

 Forward chaining is sound and complete for Horn KB

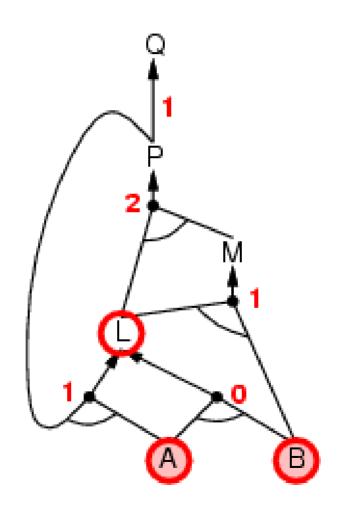




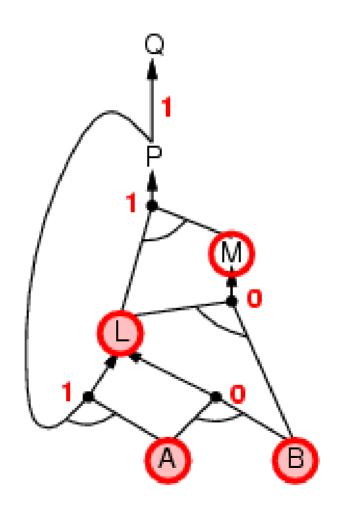




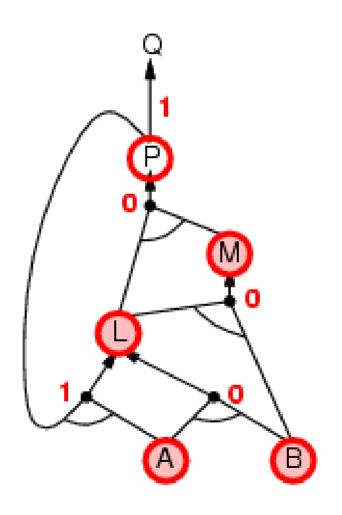




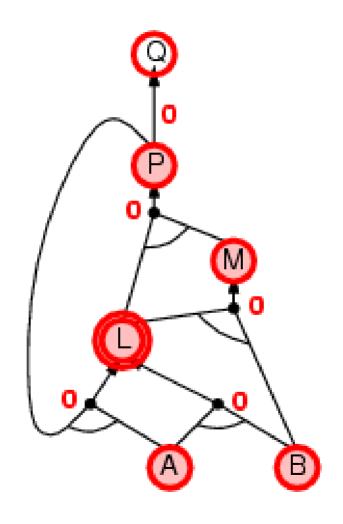




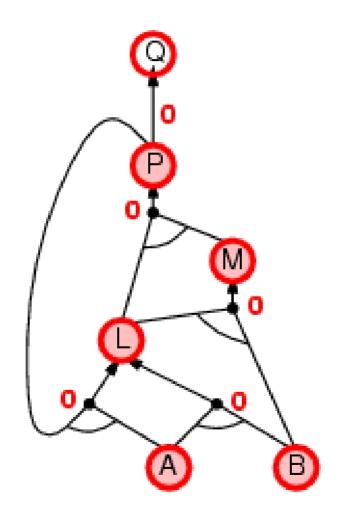




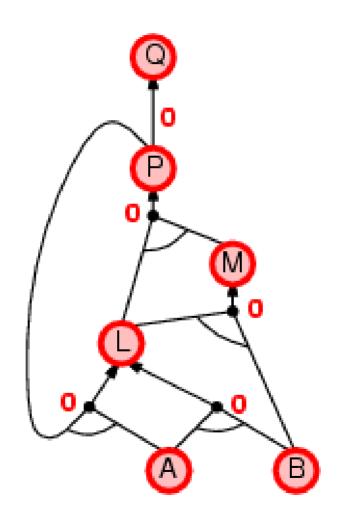










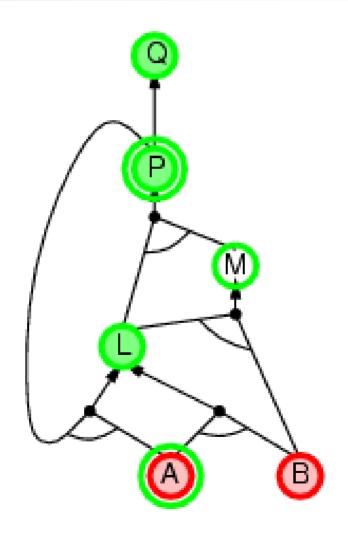


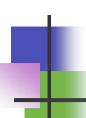
Proof of completeness

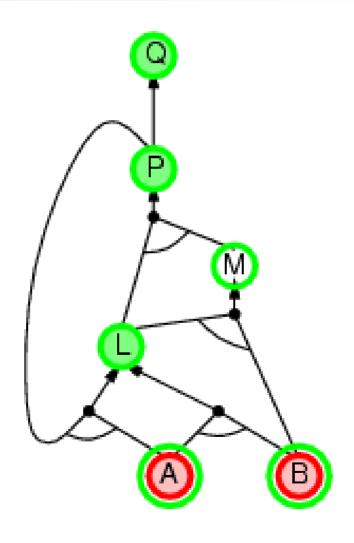
- FC derives every atomic sentence that is entailed by KB (only for clauses in Horn form)
 - FC reaches a fixed point (the deductive closure) where no new atomic sentences are derived
 - Consider the final state as a model m, assigning true/false to symbols
 - Every clause in the original KB is true in m $a_1 \wedge ... \wedge a_{k \Rightarrow} b$
 - 4. Hence *m* is a model of *KB*
 - If $KB \models q, q$ is true in every model of KB, including m



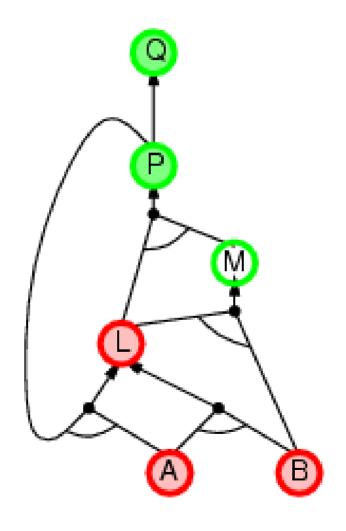
Backward chaining example











Inference in first-order logic Chapter 9

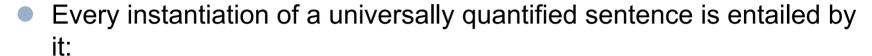






- Reducing first-order inference to propositional inference
- Unification
- Generalized Modus Ponens
- Forward chaining
- Backward chaining
- Resolution

Universal instantiation (UI)



$$\frac{\forall v \alpha}{\text{Subst}(\{v/g\}, \alpha)}$$

for any variable v and ground term g

E.g., ∀x King(x) ∧ Greedy(x) ⇒ Evil(x) yields:
 King(John) ∧ Greedy(John) ⇒ Evil(John)
 King(Richard) ∧ Greedy(Richard) ⇒ Evil(Richard)
 King(Father(John)) ∧ Greedy(Father(John)) ⇒ Evil(Father(John))
 .

Existential instantiation (EI)

For any sentence α, variable v, and constant symbol k that does not appear elsewhere in the knowledge base:

E.g., ∃x Crown(x) ∧ OnHead(x,John) yields:

$$Crown(C_1) \wedge OnHead(C_1, John)$$

provided C_1 is a new constant symbol, called a **Skolem constant**

Reduction to propositional inference

Suppose the KB contains just the following:

```
\forall x \text{ King}(x) \land \text{Greedy}(x) \Rightarrow \text{Evil}(x)

\text{King}(\text{John})

\text{Greedy}(\text{John})

\text{Brother}(\text{Richard},\text{John})
```

Instantiating the universal sentence in all possible ways, we have:

```
King(John) ∧ Greedy(John) ⇒ Evil(John)
King(Richard) ∧ Greedy(Richard) ⇒ Evil(Richard)
King(John)
Greedy(John)
Brother(Richard,John)
```

The new KB is propositionalized: proposition symbols are

King(John), Greedy(John), Evil(John), King(Richard), etc.

Reduction contd.

- Every FOL KB can be propositionalized so as to preserve entailment
- (A ground sentence is entailed by new KB iff entailed by original KB)
- Idea: propositionalize KB and query, apply resolution, return result
- Problem: with function symbols, there are infinitely many ground terms,
 - o e.g., Father(Father(John)))

Reduction con'td.

Theorem: Herbrand (1930). If a sentence α is entailed by an FOL KB, it is entailed by a finite subset of the propositionalized KB

Idea: For n = 0 to ∞ do create a propositional KB by instantiating with depth-n terms see if α is entailed by this KB

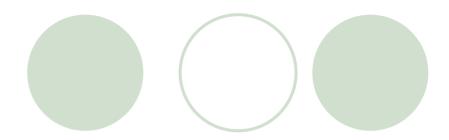
Problem: works if α is entailed, loops if α is not entailed

Theorem: Turing (1936), Church (1936) Entailment for FOL is **semi-decidable** (algorithms exist that say yes to every entailed sentence, but no algorithm exists that also says no to every non-entailed sentence.)

Problems with propositionalization

- Propositionalization seems to generate lots of irrelevant sentences.
- E.g., from:
 ∀x King(x) ∧ Greedy(x) ⇒ Evil(x)
 King(John)
 ∀y Greedy(y)
 Brother(Richard,John)
- it seems obvious that Evil(John), but propositionalization produces lots of facts such as Greedy(Richard) that are irrelevant
- With p k-ary predicates and n constants, there are p·nk instantiations.

Unification



 We can get the inference immediately if we can find a substitution θ such that King(x) and Greedy(x) match King(John) and Greedy(y)

 $\theta = \{x/John, y/John\}$ works

• Unify(α , β) = θ if $\alpha\theta$ = $\beta\theta$

p	q	θ
Knows(John,x)	Knows(John,Jane)	
Knows(John,x)	Knows(y,OJ)	
Knows(John,x)	Knows(y,Mother(y))	
Knows(John,x)	Knows(x,OJ)	

 Standardizing apart eliminates overlap of variables, e.g., Knows(z₁₇,OJ)

Unification

- To unify Knows(John,x) and Knows(y,z), $\theta = \{y/John, x/z\}$ or $\theta = \{y/John, x/John, z/John\}$
- The first unifier is more general than the second.
- There is a single most general unifier (MGU) that is unique up to renaming of variables.
 MGU = { y/John, x/z }

The unification algorithm

```
function UNIFY(x, y, \theta) returns a substitution to make x and y identical
   inputs: x, a variable, constant, list, or compound
            y, a variable, constant, list, or compound
            \theta, the substitution built up so far
  if \theta = failure then return failure
   else if x = y then return \theta
   else if Variable?(x) then return Unify-Var(x, y, \theta)
   else if Variable?(y) then return Unify-Var(y, x, \theta)
   else if COMPOUND?(x) and COMPOUND?(y) then
       return Unify(Args[x], Args[y], Unify(Op[x], Op[y], \theta))
   else if List?(x) and List?(y) then
       return Unify(Rest[x], Rest[y], Unify(First[x], First[y], \theta))
   else return failure
```

The unification algorithm



```
function UNIFY-VAR(var, x, \theta) returns a substitution inputs: var, a variable x, any expression \theta, the substitution built up so far if \{var/val\} \in \theta then return UNIFY(val, x, \theta) else if \{x/val\} \in \theta then return UNIFY(var, val, \theta) else if OCCUR-CHECK?(var, x) then return failure else return add \{var/x\} to \theta
```

Generalized Modus Ponens (GMP)

$$p_1', p_2', \dots, p_n', (p_1 \land p_2 \land \dots \land p_n \Rightarrow q)$$
 where $p_i'\theta = p_i \theta$ for all $i \neq q\theta$
 p_1' is $King(John)$ p_1 is $King(x)$
 p_2' is $Greedy(y)$ p_2 is $Greedy(x)$
 θ is $\{x/John, y/John\}$ q is $Evil(x)$
 $q \theta$ is $Evil(John)$

- GMP used with KB of definite clauses (exactly one positive literal)
- All variables assumed universally quantified

Soundness of GMP



$$p_1', ..., p_n', (p_1 \land ... \land p_n \Rightarrow q) \models q\theta$$

provided that $p_i'\theta = p_i\theta$ for all I

• Lemma: For any sentence p, we have $p \models p\theta$ by UI

- 1. $(p_1 \wedge ... \wedge p_n \Rightarrow q) \models (p_1 \wedge ... \wedge p_n \Rightarrow q)\theta = (p_1\theta \wedge ... \wedge p_n\theta \Rightarrow q\theta)$
- 2. $p_1', \ldots, p_n' \models p_1' \wedge \ldots \wedge p_n' \models p_1' \theta \wedge \ldots \wedge p_n' \theta$
- 3. From 1 and 2, $q\theta$ follows by ordinary Modus Ponens

Example knowledge base

- The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.
- Prove that Col. West is a criminal

Example knowledge base contd.

```
... it is a crime for an American to sell weapons to hostile nations:
    American(x) \land Weapon(y) \land Sells(x,y,z) \land Hostile(z) \Rightarrow Criminal(x)
Nono ... has some missiles, i.e., \exists x \ Owns(Nono,x) \land Missile(x):
     Owns(Nono,M_1) and Missile(M_1)
... all of its missiles were sold to it by Colonel West
    Missile(x) \land Owns(Nono,x) \Rightarrow Sells(West,x,Nono)
Missiles are weapons:
    Missile(x) \Rightarrow Weapon(x)
An enemy of America counts as "hostile":
    Enemy(x,America) \Rightarrow Hostile(x)
West, who is American ...
    American(West)
The country Nono, an enemy of America ...
    Enemy(Nono, America)
```

Forward chaining algorithm

```
function FOL-FC-ASK(KB, \alpha) returns a substitution or false
   repeat until new is empty
         new \leftarrow \{ \}
         for each sentence r in KB do
               (p_1 \land \ldots \land p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-APART}(r)
               for each \theta such that (p_1 \wedge \ldots \wedge p_n)\theta = (p'_1 \wedge \ldots \wedge p'_n)\theta
                                for some p'_1, \ldots, p'_n in KB
                     q' \leftarrow \text{SUBST}(\theta, q)
                   if q' is not a renaming of a sentence already in KB or new then do
                           add q' to new
                           \phi \leftarrow \text{UNIFY}(q', \alpha)
                           if \phi is not fail then return \phi
         add new to KB
   return false
```

Forward chaining proof



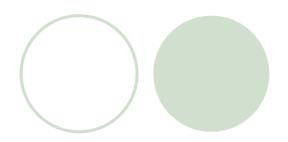
American(West)

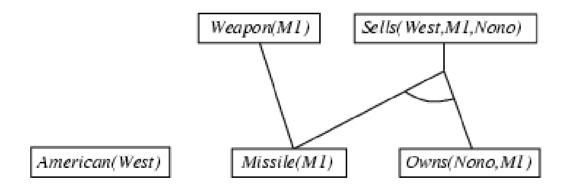
Missile(M1)

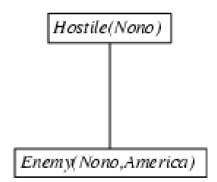
Owns(Nono,MI)

Enemy(Nono, America)

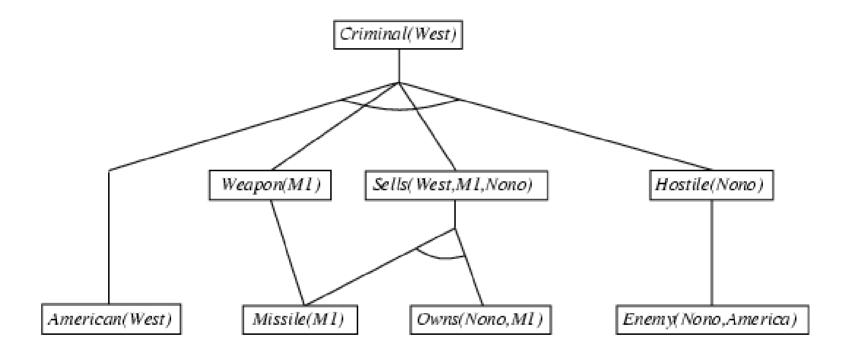
Forward chaining proof







Forward chaining proof



Properties of forward chaining

- Sound and complete for first-order definite clauses
- Datalog = first-order definite clauses + no functions
- FC terminates for Datalog in finite number of iterations
- May not terminate in general if α is not entailed
- This is unavoidable: entailment with definite clauses is semidecidable

Efficiency of forward chaining

Incremental forward chaining: no need to match a rule on iteration *k* if a premise wasn't added on iteration *k-1*

⇒ match each rule whose premise contains a newly added positive literal

Matching itself can be expensive:

Database indexing allows O(1) retrieval of known facts

 \bigcirc e.g., query *Missile(x)* retrieves *Missile(M₁)*

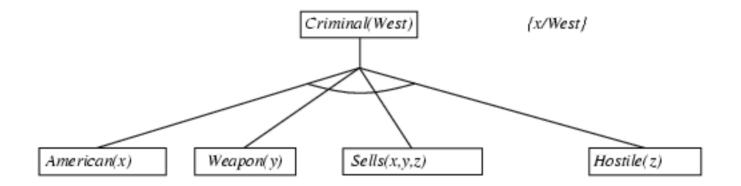
Forward chaining is widely used in deductive databases

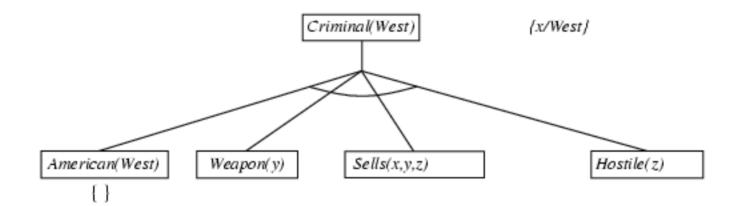
Backward chaining algorithm

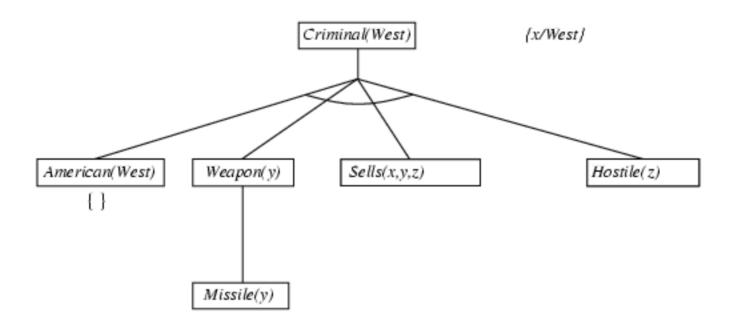
```
function FOL-BC-Ask(KB, goals, \theta) returns a set of substitutions inputs: KB, a knowledge base goals, a list of conjuncts forming a query \theta, the current substitution, initially the empty substitution \{\} local variables: ans, a set of substitutions, initially empty if goals is empty then return \{\theta\} q' \leftarrow \text{Subst}(\theta, \text{First}(goals)) for each r in KB where Standardize-Apart(r) = (p_1 \land \ldots \land p_n \Rightarrow q) and \theta' \leftarrow \text{Unify}(q, q') succeeds ans \leftarrow \text{FOL-BC-Ask}(KB, [p_1, \ldots, p_n | \text{Rest}(goals)], \text{Compose}(\theta, \theta')) \cup ans return ans
```

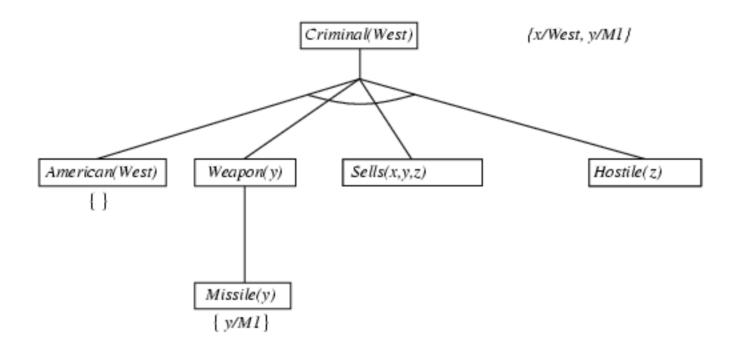
SUBST(COMPOSE(θ_1 , θ_2), p) = SUBST(θ_2 , SUBST(θ_1 , p))

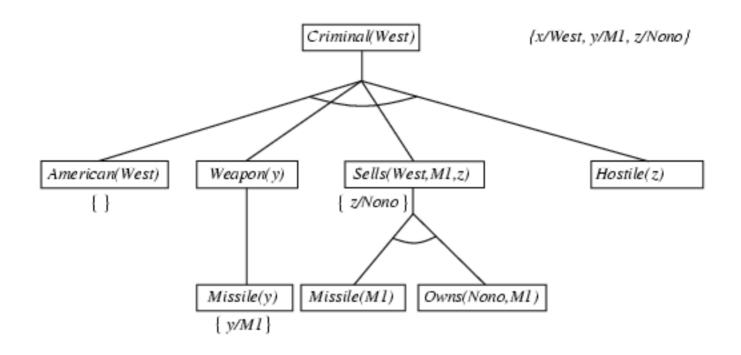


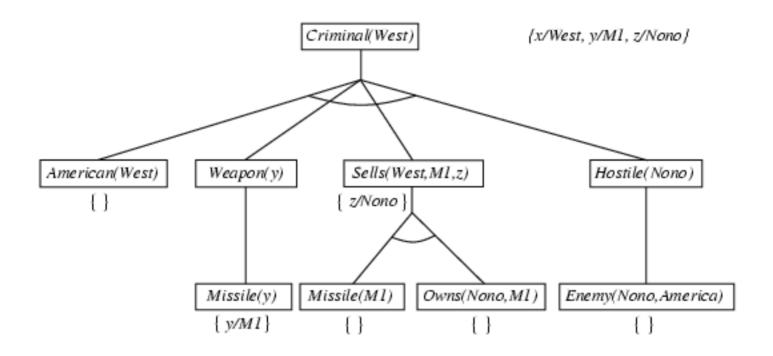












Prolog Inference

Q: which model do you think Prolog uses for inference?

Properties of backward chaining

- Depth-first recursive proof search: space is linear w.r.t. size of proof
- Incomplete due to infinite loops
 - ⇒ fix by checking current goal against every goal on stack
- Inefficient due to repeated subgoals (both success and failure)
 - ⇒ fix using caching of previous results (extra space)

Prolog Execution

Prolog needs to choose which goal to pursue first, although logically it doesn't matter. Why?

Treats goals in order, leftmost first.

- O B is tried first, then C, then D.
- O E and F are pushed onto the stack, before C and D. Why?

Prolog Execution

Prolog also needs to choose which clause to pursue first.

Treats clauses in order, top-most first.

G. 4 clauses in example

B :- E,F.

B :- G.

To satisfy goal B, prolog tries E,F before G.

Procedural Prolog Programming

- Order of Prolog clauses and goals crucial, can affect running times immensely
 - Order of goals tell which get executed first
 - Order of clauses tell which control branches are tried first.

A Singaporean example

```
likes(hari,X):- makan(X), consumes(hari,X).
likes(min,X):- likes(hari,X).
                                        likes(min,X)
makan(meeSiam).
                                                   X = X1
makan(rojak).
                                        likes(hari,X1)
minum(rootBeerFloat).
                                                  X1 = X2
consumes(hari,meeSiam).
                                makan(X2), consumes(hari,X2)
                  X2 = meeSiam
                                                       X2 = rojak
                consumes(hari,meeSiam).
                                                 consumes(hari,rojak).
                          Fail
```

Summary

Whew! That was a loooooooong lecture. What did we learn?

- Enumeration: DPLL rules are similar to CSP heuristics.
- O Resolution is proof by refutation, used in PL.
- Other forms of reasoning: Modus Ponens which requires Horn form.
- OFOL uses unification to find solutions, requires Skolem constants and functions.
- Forward (undirected) and Backward (directed) chaining patterns to apply an inference mechanism.