

## Introduction

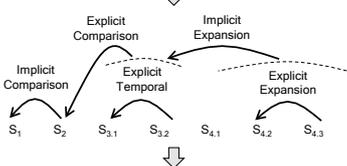
- A good machine-generated summary should have high content coverage and linguistic quality
- State-of-the-art summarization systems: Extraction-based, focusing on content
- Current AESOP task focuses on: **Content, readability, and overall responsiveness**
- Lin et al. (2011) used a discourse model to discern original text from its permutation  
→ Adapt the model to evaluate **readability**
- Parallel between evaluations of MT and summarization  
→ Adapt a state-of-the-art MT evaluation metric to evaluate summary **content**
- Combine 2 models to evaluate **responsiveness** with a trained regression model

## DICOMER: Evaluating Summary Readability

- A readable text should be coherent
- An incoherent text will result in low readability  
→ A coherence model can also measure readability

### Lin et al. (2011)'s Coherence Model

S<sub>1</sub> Japan normally depends heavily on the Highland Valley and Cananea mines as well as the Bougainville mine in Papua New Guinea.  
 S<sub>2</sub> Recently, Japan has been buying copper elsewhere.  
 S<sub>2,1</sub> But as Highland Valley and Cananea begin operating, they are expected to resume their roles as Japan's suppliers.  
 S<sub>2,2</sub> According to Fred Demler, metals economist for Drexel Burnham Lambert, New York,  
 S<sub>2,3</sub> "Highland Valley has already started operating and Cananea is expected to do so soon."



|                | Terms     |                                    |                                    |           |
|----------------|-----------|------------------------------------|------------------------------------|-----------|
|                | copper    | cananea                            | operat                             | depend    |
| S <sub>1</sub> | nil       | Comp.Arg1                          | nil                                | Comp.Arg1 |
| S <sub>2</sub> | Comp.Arg2 | nil                                | nil                                | nil       |
| S <sub>3</sub> | nil       | Comp.Arg2<br>Temp.Arg1<br>Exp.Arg1 | Comp.Arg2<br>Temp.Arg1<br>Exp.Arg1 | nil       |
| S <sub>4</sub> | nil       | Exp.Arg2                           | Exp.Arg1<br>Exp.Arg2               | nil       |

Discourse role transition prob of length 2 and 3:  
 e.g., Comp.Arg2 → Exp.Arg2 = 2/25 = 0.08

## Predicting Readability Scores

- Human judges score each model/candidate summary with a readability score from 1 to 5  
→ List of training instances
- SVM<sup>light</sup> preference ranking
- Trained on AESOP 2009 - 2010, tested on 2011

## Experiments

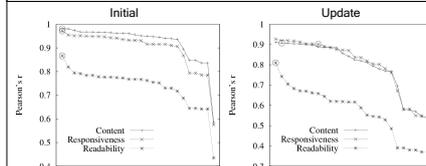
- LIN: outperforms all metrics on both tasks  
Better results on ranking-based Spearman and Kendall due to the ranking model
- Either new feature source improves all scores
- DICOMER: adding both gave the best performance for all scores

### Koehn's significance test

|         | vs. | Initial |    |    | Update |    |    |
|---------|-----|---------|----|----|--------|----|----|
|         |     | P       | S  | K  | P      | S  | K  |
| LIN     |     | *       | ** | ** | **     | ** | ** |
| LIN+C   | 4   | **      | ** | ** | **     | ** | ** |
| LIN+E   |     | **      | ** | ** | **     | ** | ** |
| DICOMER |     | **      | ** | ** | **     | ** | ** |
| DICOMER | LIN | -       | *  | *  | *      | -  | -  |

|         | Initial       |               |               | Update        |               |               |
|---------|---------------|---------------|---------------|---------------|---------------|---------------|
|         | P             | S             | K             | P             | S             | K             |
| R-2     | 0.7524        | 0.3975        | 0.2925        | 0.6580        | 0.3732        | 0.2635        |
| R-SU4   | 0.7840        | 0.3953        | 0.2925        | 0.6716        | 0.3627        | 0.2540        |
| BE      | 0.7171        | 0.4091        | 0.2911        | 0.5455        | 0.2445        | 0.1622        |
| 4       | 0.8194        | 0.4937        | 0.3658        | 0.7423        | 0.4819        | 0.3612        |
| 6       | 0.7840        | 0.4070        | 0.3036        | 0.6830        | 0.4263        | 0.3141        |
| 12      | 0.7944        | 0.4973        | 0.3589        | 0.6443        | 0.3991        | 0.3062        |
| 18      | 0.7914        | 0.4746        | 0.3510        | 0.6698        | 0.3941        | 0.2856        |
| 23      | 0.7677        | 0.4341        | 0.3162        | 0.7054        | 0.4223        | 0.3014        |
| LIN     | <b>0.8556</b> | <b>0.6593</b> | <b>0.4953</b> | <b>0.7850</b> | <b>0.6671</b> | <b>0.5008</b> |
| LIN+C   | <b>0.8612</b> | <b>0.6703</b> | <b>0.4984</b> | <b>0.7979</b> | <b>0.6828</b> | <b>0.5135</b> |
| LIN+E   | <b>0.8619</b> | <b>0.6855</b> | <b>0.5079</b> | <b>0.7928</b> | <b>0.6990</b> | <b>0.5309</b> |
| DICOMER | <b>0.8666</b> | <b>0.7122</b> | <b>0.5348</b> | <b>0.8100</b> | <b>0.7145</b> | <b>0.5435</b> |

## Discussion

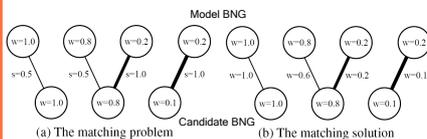


- Initial task: correlations for content are consistently slightly higher than responsiveness
- Update task: correlations for content and responsiveness are overlapping
- Correlations for readability are much lower than those for content and readability: a gap of ~0.2  
→ much room for improvement for readability
- Correlations are always better on initial task  
→ eval metric needs to consider update factor

## TESLA-S: Evaluating Summary Content

### TESLA: MT Evaluation Metric (Liu et al. 2010, Dahlmeier et al. 2011)

- Extends BLEU with linear programming-based matching
- Uses linguistic resources
- Considers both precision and recall
- Align 2 BNGs to maximize overall similarity



## Adapting TESLA for summarization

- Mimic ROUGE-SU4: construct 1 matching problem between unigrams and 1 between skip bigrams with a window size of 4, average to give a final score
- Do not match synonyms and POS, since most systems are extraction-based
- Significance test: Koehn's bootstrap resampling
- Tested on AESOP 2011
- Evaluated against: Pearson's r, Spearman's p, Kendall's tau

## Experiments

- Initial summarization task: outperforms all metrics on all correlations  
Significantly better than R-2 on Pearson
- Update summarization task: ranks 2<sup>nd</sup>, 1<sup>st</sup>, and 2<sup>nd</sup>  
Significantly better than R-SU4 on Pearson

|         | Initial       |               |               | Update |               |        |
|---------|---------------|---------------|---------------|--------|---------------|--------|
|         | P             | S             | K             | P      | S             | K      |
| R-2     | 0.9606        | 0.8943        | 0.7450        | 0.9029 | 0.8024        | 0.6323 |
| R-SU4   | 0.9806        | 0.8935        | 0.7371        | 0.8847 | 0.8382        | 0.6654 |
| BE      | 0.9388        | 0.9030        | 0.7456        | 0.9057 | 0.8385        | 0.6843 |
| 4       | 0.9672        | 0.9017        | 0.7351        | 0.8249 | 0.8035        | 0.6070 |
| 6       | 0.9678        | 0.8816        | 0.7229        | 0.9107 | 0.8370        | 0.6606 |
| 8       | 0.9555        | 0.8686        | 0.7024        | 0.8981 | 0.8251        | 0.6606 |
| 10      | 0.9501        | 0.8973        | 0.7550        | 0.7680 | 0.7149        | 0.5504 |
| 11      | 0.9617        | 0.8937        | 0.7450        | 0.9037 | 0.8018        | 0.6291 |
| 12      | 0.9739        | 0.8972        | 0.7466        | 0.8559 | 0.8249        | 0.6402 |
| 13      | 0.9648        | 0.9033        | 0.7582        | 0.8842 | 0.7961        | 0.6276 |
| 24      | 0.9509        | 0.8997        | 0.7535        | 0.8115 | 0.8199        | 0.6386 |
| TESLA-S | <b>0.9807</b> | <b>0.9173</b> | <b>0.7734</b> | 0.9072 | <b>0.8457</b> | 0.6811 |

## CREMER: Evaluating Overall Responsiveness

We applied SVM<sup>light</sup> to train a regression model with TESLA-S and DICOMER scores as features

- 3 kernels: linear, polynomial, radial basis
- Trained on AESOP 2009 - 2010, tested on 2011

## Experiments

- Initial task: RBF outperforms all AESOP metrics: 1.71%, 3.86%, 4.60% on Pearson, Spearman, and Kendall
- Update task: all 3 models do not perform as well
- Koehn's sig test: CREMER<sub>RBF</sub> significantly outperforms ROUGE-2 and -SU4 on initial task

|                       | Initial       |               |               | Update |        |        |
|-----------------------|---------------|---------------|---------------|--------|--------|--------|
|                       | P             | S             | K             | P      | S      | K      |
| R-2                   | 0.9416        | 0.7897        | 0.6096        | 0.9169 | 0.8401 | 0.6778 |
| R-SU4                 | 0.9545        | 0.7902        | 0.6017        | 0.9123 | 0.8758 | 0.7065 |
| BE                    | 0.9155        | 0.7683        | 0.5673        | 0.8755 | 0.7964 | 0.6254 |
| 4                     | 0.9498        | 0.8372        | 0.6662        | 0.8706 | 0.8674 | 0.7033 |
| 6                     | 0.9512        | 0.7955        | 0.6112        | 0.9271 | 0.8769 | 0.7160 |
| 11                    | 0.9427        | 0.7873        | 0.6064        | 0.9194 | 0.8432 | 0.6794 |
| 12                    | 0.9469        | 0.8450        | 0.6746        | 0.8728 | 0.8611 | 0.6858 |
| 18                    | 0.9480        | 0.8447        | 0.6715        | 0.8912 | 0.8377 | 0.6683 |
| 23                    | 0.9317        | 0.7952        | 0.6080        | 0.9192 | 0.8664 | 0.6953 |
| 25                    | 0.9512        | 0.7899        | 0.6033        | 0.9033 | 0.8139 | 0.6349 |
| CREMER <sub>LF</sub>  | 0.9381        | 0.8346        | 0.6635        | 0.8280 | 0.6860 | 0.5173 |
| CREMER <sub>PF</sub>  | <b>0.9621</b> | <b>0.8567</b> | <b>0.6921</b> | 0.8852 | 0.7863 | 0.6159 |
| CREMER <sub>RBF</sub> | <b>0.9716</b> | <b>0.8836</b> | <b>0.7206</b> | 0.9018 | 0.8285 | 0.6588 |