



Algorithms in Bioinformatics: A Practical Introduction

Population genetics




Human population

- Our genomes are not exactly the same.
- Human DNA sequences are 99.9% identical between individuals
- Those genetic variation (polymorphism) give different skin color, different outlook, and also different genetic diseases.
- This lecture would like to have a look of strategy to study human population.

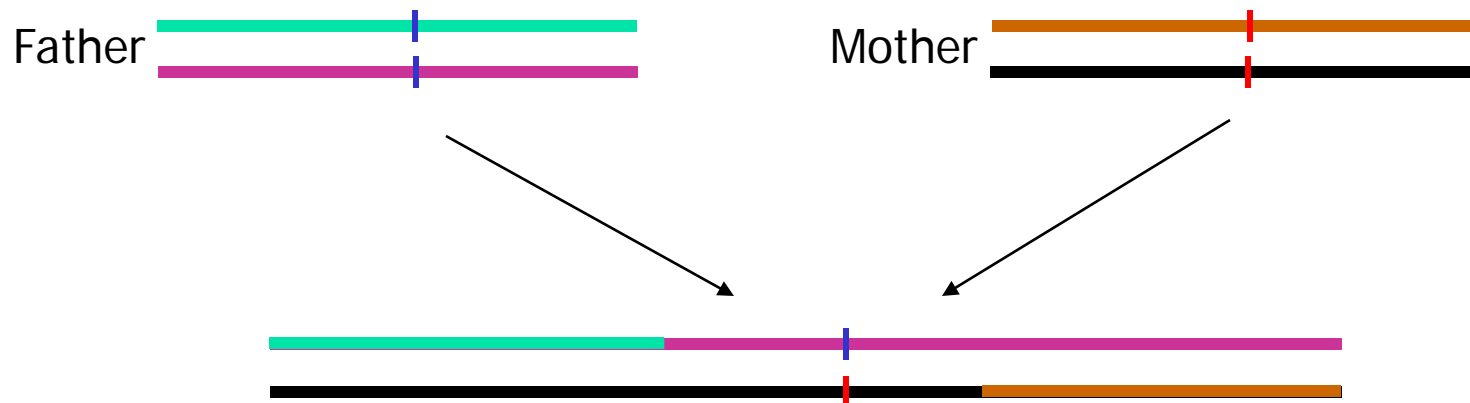


Locus and Alleles

- Locus
 - A particular location in a chromosome
- 
- An allele is a possible nucleotide that occupies a given locus.
 - In the human population, a locus may have 4 possible alleles.
 - Since mutation is rare, most of the loci are diallelic.

Human are diploid

- We have two copies of each chromosome
- One inherit from father while another one inherit from mother.





Locus and Alleles

- Example: Consider the following chromosome pair.

i j

...ACG**T**CATG...

...ACG**C**CATG...

- For locus i, the allele is C.
- For locus j, the alleles are T and C.

Genotype:

Homozygote vs Heterozygote

- Let A and a represent a pair of alleles of a given locus
- Then AA , aa , and Aa are the **genotypes** of the locus.
- AA and aa are called **homozygotes**.
- Aa is called **heterozygote**.

Homozygote vs Heterozygote: Example



Individual 1: ...ACG**T**CATG...

...ACG**C**CATG...

Individual 2: ...ACG**C**CATG...

...ACG**C**CATG...

Individual 3: ...ACG**T**CATG...

...ACG**T**CATG...

Individual 4: ...ACG**C**CATG...

...ACG**T**CATG...

- For the loci in red color,
 - Homozygote: Individuals 2, 3
 - Heterozygote: Individuals 1, 4



Dominance vs Recessiveness

- Let A and a represent a pair of alleles of a given locus
- A is called a **dominant** allele if
 - the appearance or phenotype of the Aa individuals resembles that of the AA type
- a is called a **recessive** allele.



Single-Nucleotide Polymorphisms (SNPs)

- SNP is the loci where there is a single nucleotide variation among different individuals. It is the most common type of polymorphism.
- Below example contains 4 pair of chromosomes.

Individual 1: ...ACGTCATG...

 ...ACGCCATG...

Individual 2: ...ACGCCATG...

 ...ACGCCATG...

Individual 3: ...ACGTCATG...

 ...ACGTCATG...

Individual 4: ...ACGTCATG...

 ...ACGTCATG...

- For the loci in red color, there is a SNP with two alleles T and C.
- The allele frequency of T is 5/8 while the allele frequency of C is 3/8.
- In this case, the minor allele frequency is 3/8.



More on SNPs

- SNPs make up 90% of all human genetic variations.
 - SNPs with a minor allele frequency of $\geq 1\%$ occur every 100 to 300 bases along the human genome, on average.
 - Two third of the SNPs substitute cytosine (C) with thymine (T).



HapMap project

- Through the collaborative effort of many countries,
 - We already have identified the set of common SNPs in human population
 - See <http://www.hapmap.org/>














SNP and phenotype

- Phenotype
 - The observable structure, function or behavior of a living organism.
 - E.g. The color of the hair
- The variation of SNPs may or may not affect the phenotype.
- The SNPs which do not affect the phenotype are called natural SNPs; Otherwise, they are called causal SNPs.

Example: Hair color

- Hair color varies from black to white.
- The color of hair is control by 4 genes in on chromosome 3, 6, 10 and 18.
- The greater the number of dominant alleles, the darker the hair.

8 dominant alleles	7 dominant alleles	6 dominant alleles	5 dominant alleles	4 dominant alleles	3 dominant alleles	2 dominant alleles	1 dominant alleles	0 dominant alleles
								

Example: Eyebrow

- Eyebrow thickness is determined by a gene in chromosome 9.
- Thick eyebrow = ZZ or Zz while thin eyebrow = zz.

Bushy (ZZ, Zz)



Fine (zz)



- Eyebrow placement is determined by another gene in chromosome 10.
- Connected = aa while Disconnected = AA or Aa.

Not connected (AA, Aa)



Connected (aa)





Genotype frequency

- Genotype frequency is the relative frequency of a genotype on a genetic locus in a population.
- Example:
 - Let A and a represent a pair of alleles of a given locus
 - Let the population be $AA, Aa, aa, AA, AA, Aa, aa, Aa, AA, Aa$
 - $f(AA) = 4/10$
 - $f(aa) = 2/10$
 - $f(Aa) = 4/10$



Allele frequency

- Allele frequency is the relative frequency of an allele on a genetic locus in a population.
- Example:
 - Let A and a represent a pair of alleles of a given locus
 - Let the population be $AA, Aa, aa, AA, AA, Aa, aa, Aa, AA, Aa$
 - $p_A = (2+1+0+2+2+1+0+1+2+1)/20 = 0.6$
 - $p_a = (0+1+2+0+0+1+2+1+0+1)/20 = 0.4$

Genotype frequency → Allele frequency



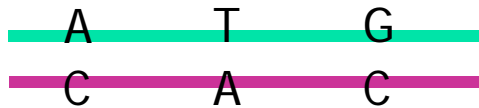
- $p_A = f(AA) + 0.5 f(Aa)$
- $p_a = f(aa) + 0.5 f(Aa)$

- Example:
 - Let A and a represent a pair of alleles of a given locus
 - Let the population be $AA, Aa, aa, AA, AA, Aa, aa, Aa, AA, Aa$
 - $p_A = 0.6, p_a = 0.4$
 - $f(AA) = 4/10, f(aa) = 2/10, f(Aa) = 4/10$



Haplotype

- **Haplotype** is a combination of alleles at different loci on the same chromosome.
- For example:
 - The following three loci have genotypes AC, AT, CG.
 - There are two haplotypes: ATG and CAC.





Genotype vs haplotype

- Example: consider the following two copies of the chromosome.

		i		j	
Copy1 of the chr	-----	A	-----	B	-----
Copy2 of the chr	-----	a	-----	b	-----

- The genotype for loci i and j are Aa and Bb.
- Consider copy1 of the chromosome, the haplotype for loci i and j are A and B.
- Consider copy2 of the chromosome, the haplotype for loci i and j are a and b.

Technologies for studying human population

- There are 100 different genotyping technologies.
- Nowadays, we can perform whole genome genotyping for all the common SNPs found in HapMap!
 - (US\$0.1-US\$0.01 per genotype)
- Note that genotyping does not tell us the haplotypes appear in the chromosomes.
- E.g. The genotype of two loci are AC and CT. Then, there are two possible cases:





Bioinformatics problems

- Data quality checking
 - Check if the genotyping found by biological experiments are good or not.
- Genotype phasing
 - Identify the haplotypes from the genotypes.
- Tag SNP selection
 - Genotyping all SNPs are expensive and sometimes impossible. Hence, we want to select a subset of SNPs, called tag SNPs, for genotyping.
- Association study
 - Find the relationship between disease and genetic variation



Data quality checking



Hardy Weinberg equilibrium (HWE)

- Let p_A and p_a be the major and minor allele frequencies.
- Under the assumption:
 - Random mating
 - No natural selection
- Then, the expected frequencies are:
 - $e(AA) = p_A * p_A$
 - $e(aa) = p_a * p_a$
 - $e(Aa) = 2 p_A * p_a$
- We expect the genotype frequencies should be similar to the expected frequencies.

Hardy Weinberg equilibrium (HWE)

- Example:
 - Let A and a represent a pair of alleles of a given locus
 - Let the population be $AA, Aa, aa, AA, AA, Aa, aa, Aa, AA, Aa$
 - $p_A = 0.6, p_a = 0.4$
 - $f(AA) = 4/10, f(aa) = 2/10, f(Aa) = 4/10$
- By HWE,
 - $e(AA) = 0.6 * 0.6 = 0.36; e_{AA} = 3.6$
 - $e(aa) = 0.4 * 0.4 = 0.16; e_{aa} = 1.6$
 - $e(Aa) = 2 * 0.6 * 0.4 = 0.48; e_{Aa} = 4.8$



χ^2 test for HWE

- We can use χ^2 test to determine if the genotype frequencies satisfy HWE.
- χ^2 test with degree of freedom = 1

$$\chi^2 = \frac{(n_{AA} - e_{AA})^2}{e_{AA}} + \frac{(n_{Aa} - e_{Aa})^2}{e_{Aa}} + \frac{(n_{aa} - e_{aa})^2}{e_{aa}}$$



χ^2 test for HWE: Example

- χ^2 test with degree of freedom = 1

$$\chi^2 = \frac{(4-3.6)^2}{3.6} + \frac{(4-4.8)^2}{4.8} + \frac{(2-1.6)^2}{1.6} = 0.278$$

- $\Pr(\chi^2 > 0.278) = 0.5980$
 - Which is much bigger than 0.05.
 - So we accept that the SNP satisfies HWE.

Genotype	AA	Aa	aa
Actual	4	4	2
Expected	3.6	4.8	1.6



Fisher's exact test for HWE

- n is the size of the population.
- n_{Aa} = number of Aa
- n_A = number of A.
- Number of combinations where there are n_A 's A is $\binom{2n}{n_A}$
- Number of combinations where there are n_{Aa} heterozygotes is $\binom{n}{n_{AA}, n_{Aa}, n_{aa}} 2^{n_{Aa}}$
- $\Pr(n_{Aa} \mid n_A) = \frac{\binom{n}{n_{AA}, n_{Aa}, n_{aa}} 2^{n_{Aa}}}{\binom{2n}{n_A}}$

Fisher's exact test for HWE:

Example

- $n = 10, n_A = 12, n_{Aa} = 4.$

Genotype	AA	Aa	aa
Actual	4	4	2

- $$\Pr(n_{Aa} \mid n_A) = \frac{\binom{10}{4,4,2} 2^4}{\binom{20}{12}}$$
$$= 3150 * 2^4 / 125970 = 0.40095 > 0.05$$

- So, we accept that the SNP satisfies HWE.



Clean-up the dataset by HWE

- If a SNP deviates from HWE, it may be due to miscall during the genotyping process.
- Usually, we discard SNPs which deviate from HWE at significance level 10^{-3} or 10^{-4} .
- However, this approach may miss some causal SNPs.
 - In real life, there exist different forces to change the frequencies
 - The forces include selection, drift, mutation, and migration.
 - Those forces make the causal SNP deviate from HWE.



Other factors regarding clean-up

- Resolving missing genotypes



Genotype phasing



Genotype phasing

- Genotyping technology allows us to generate genotype of individual easily.
- However, it is difficult to recover the haplotype.
- The process of recovering haplotype from genotype is called genotype phasing.



Example

- Given the genotype of an individual:
 - Aa, BB, cc, DD
- We need to recover the two haplotypes of the individual, which are
 - $ABcD$; and
 - $aBcD$



Notation

- For haplotype, we use
 - 0 to represent major allele and
 - 1 to represent minor allele
- For genotype, we use
 - 0 to represent both alleles are major,
 - 1 to represent both alleles are minor, and
 - 2 to represent one is major and one is minor.
- For the previous example,
 - AaBBccDD is represented as 2010
 - ABcD is represented as 0010
 - aBcD is represented as 1010



Experimental method for genotype phasing

- Asymmetric PCR amplification (Newton et al. 1989; Wu et al. 1989)
- Isolation of single chromosome by limit dilution followed by PCR amplification (Ruano et al. 1990)
- Inferring haplotype information by using genealogical information in families (Perlin et al. 1994)
- The above methods are low-throughput, costly, and complicated.



Computational methods

- We study computational methods for genotype phasing.
- We discuss the following:
 - Clark's algorithm
 - Perfect Phylogeny Haplotyping
 - Maximum likelihood
 - Phase (just mention)



Difficulty of genotype phasing

- Consider the following example.

Genotype: 01211201

- Which one is correct? (I) or (II)?

(I) Haplotype: 01011101
 01111001

OR

(II) Haplotype: 01111101
 01011001



Genotype phasing Problem

- Input:
 - A set of genotypes $G=(G_1, G_2, \dots, G_n)$.
- Output:
 - A set of haplotypes which can best explain G according to certain criteria.
- Example Criteria:
 - Minimize the number of haplotypes
 - Maximize the likelihood
 - ...



Clark's algorithm (1990)

- Parsimony approach: Find the simplest solution
 - Minimize the total number of haplotypes.

- He gave a heuristics algorithm.

- 1. From all homozygotes and single-site heterozygotes genotypes,
 - Unambiguously, we generate a set of haplotypes.
- 2. For each know haplotype H , we look for unresolved genotype G' ,
 - Check if we can resolve G' by H and some new haplotype H' .
 - If yes, include H' and resolve G' .
- 3. Repeat the procedure until all genotypes are resolved.

- Note that Clark's algorithm may fail to return answer.

Example for Clark's algorithm

Step 1

- Example genotype input:
 - $G_1 = 10121101$
 - $G_2 = 10201121$
 - $G_3 = 20001211$

- From G_1 , we have
 - $H_1 = 10101101$
 - $H_2 = 10111101$

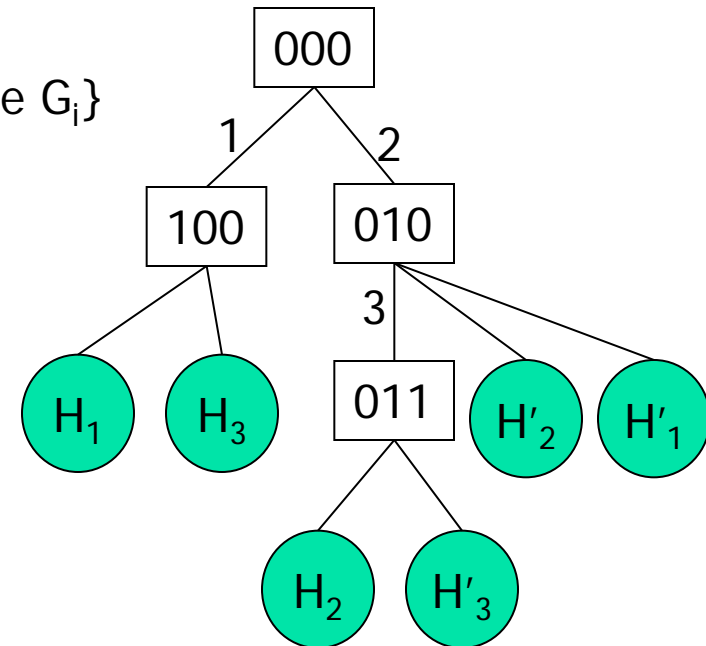
Example for Clark's algorithm

Step 2

- Example genotype input:
 - $G_1 = 10121101$
 - $G_2 = 10201121$
 - $G_3 = 20001211$
- We have the following haplotypes:
 - $H_1 = 10101101$
 - $H_2 = 10111101$
- From H_1 and G_2 , we have
 - $H_3 = 10001111$
- From H_3 and G_3 , we have
 - $H_4 = 00001011$
- Hence, the set of predicted haplotypes is
 - $H_1 = 10101101$
 - $H_2 = 10111101$
 - $H_3 = 10001111$
 - $H_4 = 00001011$

Perfect Phylogeny Haplotyping

- This problem is first introduced by Gusfield 2002.
- Input:
 - A set of genotypes $G = \{G_1, \dots, G_n\}$, each G_i is a length- m genotype.
- Output:
 - A set of haplotypes $H = \{H_i, H'_i \mid H_i, H'_i \text{ resolve } G_i\}$ such that $H_1, H'_1, \dots, H_n, H'_n$ form a perfect phylogeny
- For example,
 - $G = \{G_1 = 220, G_2 = 012, G_3 = 222\}$
 - The solution is $H = \{100, 010, 011\}$





Previous work

- Gusfield (2002) introduced the problem and gives an $O(nm \alpha(nm))$ time algorithm by reduction to the graph realization problem
- Eskin et al (2002) gives a simple $O(nm^2)$ time algorithm.
- Bafna et al (2002) gives a simple $O(nm^2)$ time algorithm.
- Gusfield et al (RECOMB 2005) gives an $O(nm)$ time algorithm.



Represent G as a matrix

- To simplify the discussion, we represent $\{G_1, \dots, G_n\}$ as a $n \times m$ matrix G where the entry $G(i, j)$ is the j genotype of G_i .

	1	2	3	4	5	6
G_1	1	1	2	0	2	0
G_2	1	2	2	0	0	2
G_3	1	1	2	2	0	0
G_4	2	2	2	0	0	2
G_5	1	1	2	2	2	0



Our aim

- Given $n \times m$ matrix G
 - Each entry is either 0, 1, or 2

- Construct $2n \times m$ matrix H
 - Each entry is either 0 or 1
 - If $G(r,c) \neq 2$, $H(2r,c) = H(2r-1,c) = G(r,c)$
 - Otherwise, $\{H(2r,c), H(2r-1,c)\} = \{0, 1\}$
 - H satisfies a perfect phylogeny

	1	2	3
G_1	2	2	0
G_2	0	1	2
G_3	2	2	2

	1	2	3
H_1	1	0	0
H'_1	0	1	0
H_2	0	1	1
H'_2	0	1	0
H_3	1	0	0
H'_3	0	1	1



4-gamete test

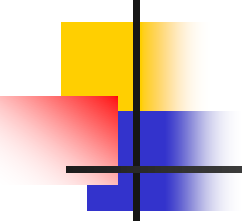
- A set of haplotypes admits a perfect phylogeny (whose root is an all-0 haplotypes) if and only if there are no two columns i and j containing all four pairs 00, 01, 10, and 11.
- Proof:
 - Recall that M admits a perfect phylogeny if and only if for every characters i and j , they are pairwise compatible.



In-phase and out-of-phase

- If some columns c and c' in G contain (1) either 11 or 12 or 21 and (2) either 00 or 02 or 20,
 - columns c and c' in H must contain both 11 and 00.
 - In such case, c and c' are called **in-phase**.
- If some columns c and c' in G contain (1) either 10 or 20 and (2) either 01 or 02,
 - Columns c and c' in H must contain both 10 and 01.
 - In such case, c and c' are called **out-of-phase**.
- E.g.
 - Columns 2 and 5 are in-phase
 - Columns 4 and 5 are out-of-phase
 - Columns 3 and 4 are neither in-phase or out-of-phase

	1	2	3	4	5	6
G_1	1	1	2	0	2	0
G_2	1	2	2	0	0	2
G_3	1	1	2	2	0	0
G_4	2	2	2	0	0	2
G_5	1	1	2	2	2	0

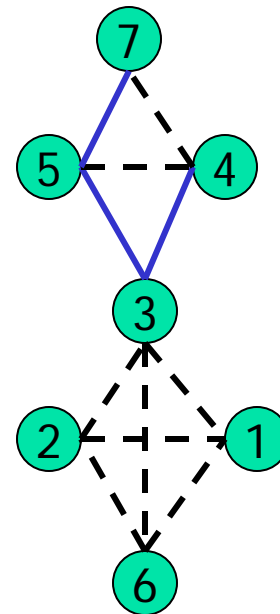
- 
-
- If columns c and c' in G are both in-phase and out-of-phase, G has no solution to the PPH problem.
 - Proof: By 4-gamete test



G_M

- In G_M , a pair of columns forms an edge if it contains 22.
- Red: in-phase (color 0)
- Blue: out-of-phase (color 1)

	1	2	3	4	5	6	7
G_1	1	1	0	2	2	0	2
G_2	1	2	2	0	0	2	0
G_3	1	1	2	2	0	0	0
G_4	2	2	2	0	0	2	0
G_5	1	1	2	2	2	0	0
G_6	1	1	0	2	0	0	2



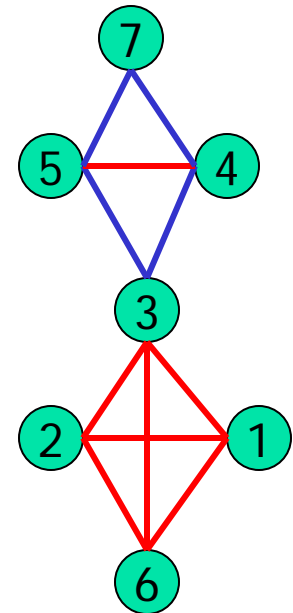
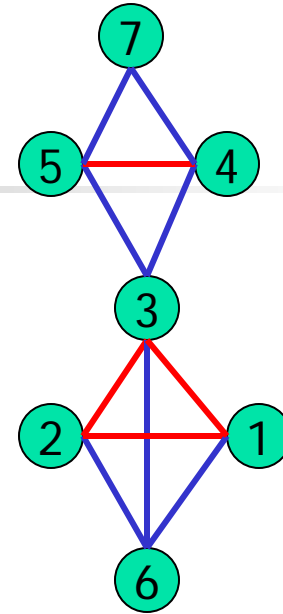
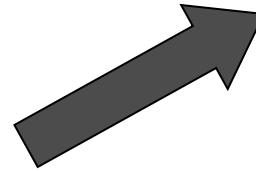
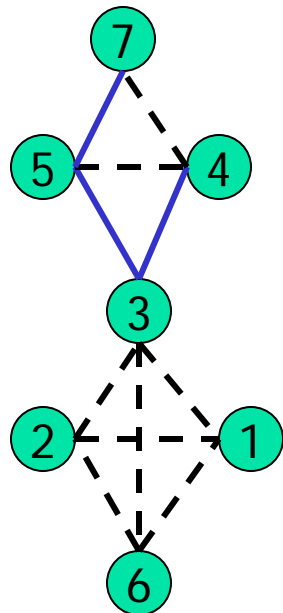


Theorem

- Consider a matrix M such that every pair of columns is not both in-phase and out-of-phase.
- There exists a PPH solution for M if and only if we can infer the colors of all edges in G_M such that
 - All edges which are in-phase and out-of-phase are colored red and blue, respectively. (Denote E_f be the set of these edges);
 - For any triangle (i,j,k) where there exists r s.t. $M[r,i]=M[r,j]=M[r,k]=2$, either 0 or 2 edges are colored blue.
- If such coloring exists, such coloring is called a valid coloring of G_M .

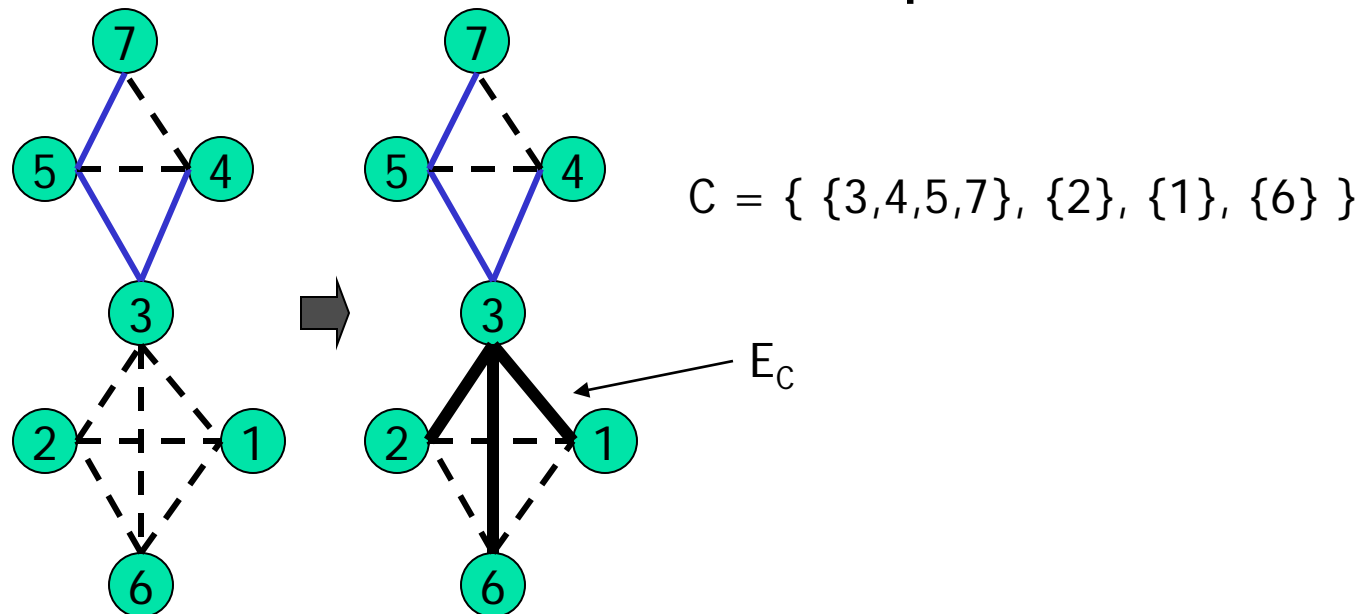
Infer colors for the uncolored edges

- A valid coloring will color all edges not in E_f so that
 - For any triangle (i,j,k) , either 0 or 2 edges are colored blue.



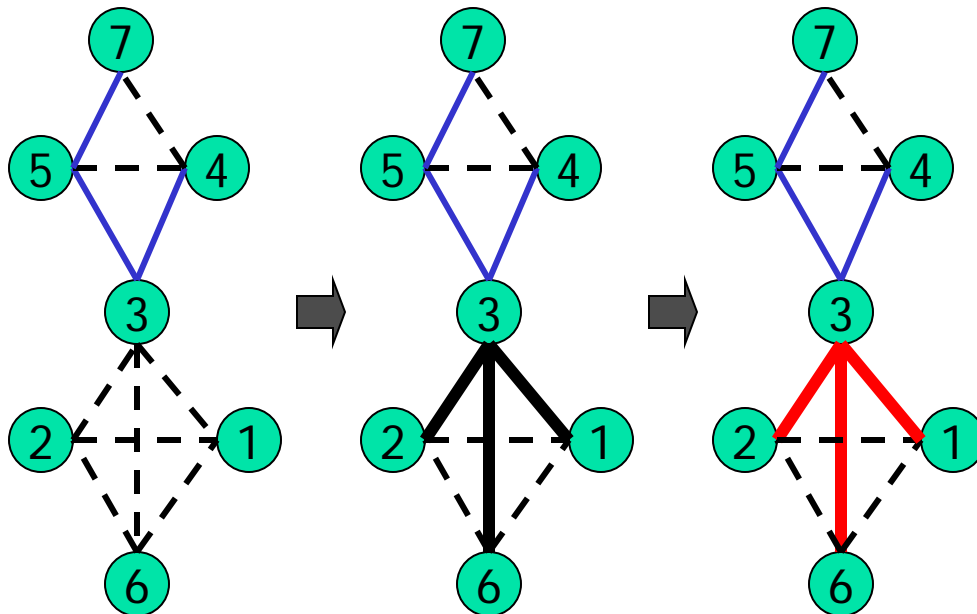
How to infer the colors? (I)

- The colored edges in G_M form a set C of connected components.
- Let E_C be a minimum set of edges, which connect all these connected components.



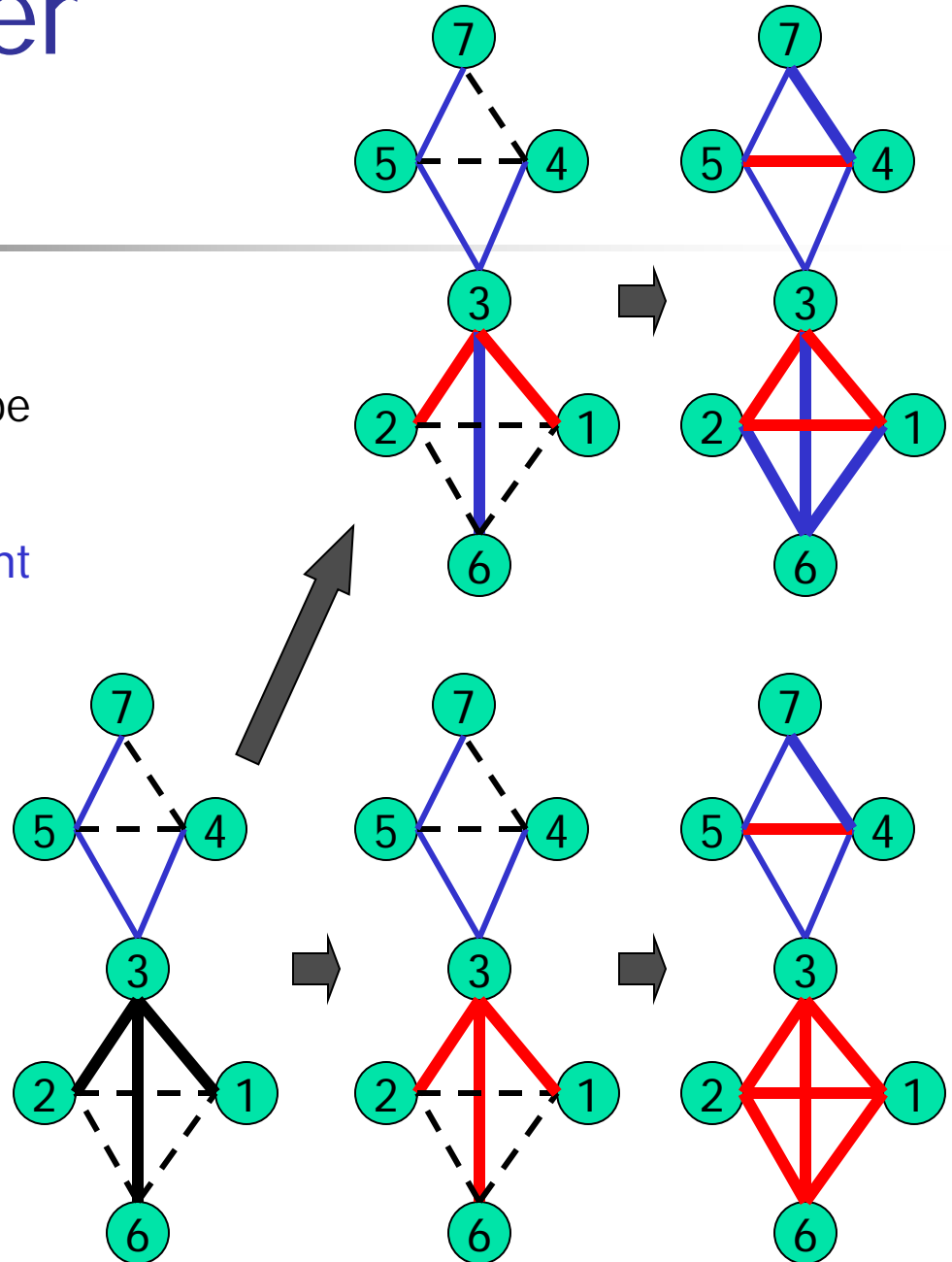
How to infer color? (II)

- Bafna et al. showed the following theorem:
 - Either (1) G_M has no valid solution or (2) any arbitrary coloring of the edges in E_C define a unique valid coloring for G_M . (Thus, there are exactly 2^r valid coloring, where $r = |E_C|$.)



How to infer color? (III)

- Given the coloring of E_C , the colors of the dotted edges can be inferred as follows.
- While a dotted edge e is adjacent to two colored edges,
 - Color e so that the triangle has either 0 or 2 blue edges.
- Bafna et al. showed the above algorithm can infer the color of all dotted edges correctly.



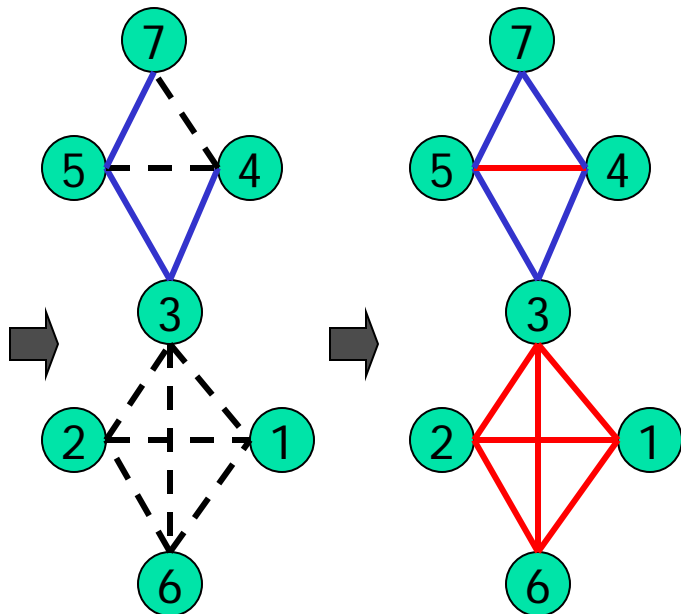


How to infer the haplotypes?

- Given the coloring of all edges of G_M , we can infer the haplotypes as follows.
- For $j = 1$ to m ,
 - For $i = 1$ to n ,
 - if $M[i,j] \in \{0,1\}$, set $H[2i,j] = H[2i-1,j] = M[i,j]$
 - Otherwise, let $k < j$ be a column such that $M[i,k] = 2$.
 - If k exists,
 - if (j,k) is colored red, set $H[2i,j] = H[2i,k]$, $H[2i-1,j] = 1 - H[2i,j]$
 - If (j,k) is colored blue, set $H[2i,j] = 1 - H[2i,k]$, $H[2i-1,j] = 1 - H[2i,j]$
 - Else
 - set $H[2i,j] = 0$, $H[2i-1,j] = 1$

Example

	1	2	3	4	5	6	7
G_1	1	1	0	2	2	0	2
G_2	1	2	2	0	0	2	0
G_3	1	1	2	2	0	0	0
G_4	2	2	2	0	0	2	0
G_5	1	1	2	2	2	0	0
G_6	1	1	0	2	0	0	2



	1	2	3	4	5	6	7
H_1	1	1	0	1	1	0	0
H'_1	1	1	0	0	0	0	1
H_2	1	1	1	0	0	1	0
H'_2	1	0	0	0	0	0	0
H_3	1	1	1	0	0	0	0
H'_3	1	1	0	1	0	0	0
H_4	1	1	1	0	0	1	0
H'_4	0	0	0	0	0	0	0
H_5	1	1	0	1	1	0	0
H'_5	1	1	1	0	0	0	0
H_6	1	1	0	1	0	0	0
H'_6	1	1	0	0	0	0	1



Time analysis

- Checking in-phase and out-of-phase for all pairs of columns takes $O(nm^2)$ time.
- Inferring colors for the uncolored edges takes $O(m^2)$ time.
- Compute the matrix H takes $O(nm)$ time.
- In total, the algorithm runs in $O(nm^2)$ time.



More on PPH problem

- Theorem: If every column in M contains at least one 0 and one 1 entry,
 - Then there is either no PPH solution for M or has a unique PPH solution for M .
 - Also, such solution can be found in $O(nm)$ time.



Maximum likelihood approach

- This approach is used by Excoffier and Slatkin (1995).
- Try to infer the haplotype with the most realistic haplotype frequencies
 - under the assumption of Hardy-Weinberg equilibrium



Motivation (I)

- Example: Consider two genotypes
 - $G_1 = 0111$
 - $G_2 = 0221$
- Two possible solutions:

$G_1:$ 0111
 0111
 $G_2:$ 0111
 0001

$G_1:$ 0111
 0111
 $G_2:$ 0101
 0011

- Which solution is better?



Motivation (II)

- For solution 1:
 - $G_1:$ 0111
 - 0111
 - $G_2:$ 0111
 - 0001
 - There are two haplotypes 0111 and 0001.
 - Their frequencies are $\frac{3}{4}$ and $\frac{1}{4}$.
 - The chance of getting $G_2=0221$ is $\frac{3}{4} * \frac{1}{4}$.
- For solution 2:
 - $G_1:$ 0111
 - 0111
 - $G_2:$ 0101
 - 0011
 - There are three haplotypes 0111, 0101, and 0011.
 - Their frequencies are $\frac{1}{2}$, $\frac{1}{4}$ and $\frac{1}{4}$.
 - The chance of getting $G_2=0221$ is $\frac{1}{4} * \frac{1}{4}$.
- **Solution 1 seems better!**



Preliminary

- Given a genotype G_i , we can generate the set S_i , which is the set of all haplotype pairs that are phased genotypes of G_i .
- Example: Consider the genotype 0221.
 - Since there are two heterozygous loci,
 - we have $2^2 = 4$ possible haplotypes.
 - $h_1=0001, h_2=0011, h_3=0101, h_4=0111$
 - The set of all phased genotypes of 0221 is
 - $\{h_1h_4, h_2h_3\}$.



Maximum Likelihood (I)

- Let $G = \{G_1, G_2, \dots, G_n\}$ be the set of n genotypes.
- Let h_1, h_2, \dots, h_m be the set of all possible haplotypes that can resolve G .
- Let $F = \{F_1, F_2, \dots, F_m\}$ be the population frequency of $\{h_1, h_2, \dots, h_m\}$.
 - Note: $F_1 + F_2 + \dots + F_m = 1$
- For $x = 1, 2, \dots, n$,

$$\Pr(G_x | F) = \sum_{\substack{h_i h_j \text{ is a} \\ \text{phased genotype} \\ \text{of } G_x}} (F_i \cdot F_j)$$



Maximum Likelihood (II)

- We would like to maximize the overall probability product of all $P(G_i)$, that is, the following function L .

$$L(F) = \Pr(G | F) = \alpha \prod_{i=1..n} \Pr(G_i | F)$$

- In principle, we can solve this equation. But there is no close form.
- Instead, we use EM algorithm.

Formal definition of Maximum likelihood

- Given
 - a set of observations $X = \{x_1, x_2, \dots, x_n\}$
 - A set of parameters Θ .
- The likelihood function:
 - $L(\Theta) = \prod_{i=1..n} \Pr(x_i | \Theta) = \Pr(X | \Theta)$
- Aim:
 - Find $\Theta' = \operatorname{argmax}_{\Theta} \Pr(X | \Theta)$
 $= \operatorname{argmax}_{\Theta} \prod_{i=1..n} \Pr(x_i | \Theta)$



Hidden data

- x_i is called observed data
 - Each x_i is associated with some hidden data y_i .
- Finding $\Theta' = \operatorname{argmax}_{\Theta} \Pr(X|\Theta)$ may be difficult.
- Moreover, finding $\operatorname{argmax}_{\Theta} \Pr(X, Y|\Theta)$ may be easier.



What is EM algorithm?

- EM algorithm is a popular method for solving the maximum likelihood problem.
- The idea is to alternate between
 - Filling in Y based on the best guess Θ ; and
 - Maximizing Θ with Y fixed.



EM Algorithm

- Initialization: A guess at Θ
- Repeat until satisfy
 - **E-step:** Given a current fixed Θ' , compute $\Pr(y|x, \Theta')$
 - **M-step:** Given $\Pr(y|x, \Theta')$, find Θ which maximizes $\sum_x \sum_y \Pr(y|x, \Theta') \log \Pr(x, y | \Theta)$

Explanation of EM-algorithm

(I)

- Let Θ' be the old guess.
- Maximizing $L(\Theta)$ is the same as maximizing $R(\Theta, \Theta')$
 $= L(\Theta)/L(\Theta')$
 - since Θ' is fixed.

$$\begin{aligned} R(\Theta, \Theta') &= \frac{\prod_x \sum_y \Pr(x, y | \Theta)}{\prod_x \Pr(x | \Theta')} \\ &= \prod_x \frac{\sum_y \Pr(x, y | \Theta)}{\Pr(x | \Theta')} \\ &= \prod_x \sum_y \frac{\Pr(x, y | \Theta)}{\Pr(x | \Theta')} \\ &= \prod_x \sum_y \frac{\Pr(x, y | \Theta')}{\Pr(x | \Theta')} \frac{\Pr(x, y | \Theta)}{\Pr(x, y | \Theta')} \\ &= \prod_x \sum_y \Pr(y | x, \Theta') \frac{\Pr(x, y | \Theta)}{\Pr(x, y | \Theta')} \end{aligned}$$

Explanation of EM-algorithm (II)

- By AM \geq GM, we have

$$\begin{aligned} R(\Theta, \Theta') &= \prod_x \sum_y \Pr(y | x, \Theta') \frac{\Pr(x, y | \Theta)}{\Pr(x, y | \Theta')} \\ &\geq \prod_x \prod_y \left[\frac{\Pr(x, y | \Theta)}{\Pr(x, y | \Theta')} \right]^{\Pr(y|x, \Theta')} \end{aligned}$$

- By taking log and Θ' is a constant, maximizing $R(\Theta, \Theta')$ is the same as maximizing $Q(\Theta, \Theta')$ where

$$Q(\Theta, \Theta') = \sum_x \sum_y \Pr(y | x, \Theta') \log \Pr(x, y | \Theta)$$



Example: Genotype phasing

- $G = \{G_1, G_2, \dots, G_n\}$ which are the set of observed genotypes.
- Let $\{h_1, h_2, \dots, h_m\}$ be the set of all possible haplotypes that can resolve G .
- Θ is set of haplotype frequencies $\{F_1, F_2, \dots, F_m\}$ where F_x is the frequency of h_x .
- Aim:
 - Find $\Theta' = \operatorname{argmax}_{\Theta} \Pr(G|\Theta)$



Example: Genotype phasing

- For each genotype G_i ,
 - The hidden data is its phase $h_x h_y$.

- $\Pr(h_x h_y, G_i | \Theta) = F_x F_y$.



Example: Genotype phasing EM algorithm

- Initialization: $F^{(0)} = \{F_1^{(0)}, F_2^{(0)}, \dots, F_m^{(0)}\}$.
- Repeat the following two steps:
- E-step:
 - For every G_x , estimate the phased genotype frequencies $P(h_i h_j | G_x, F^{(g)})$ for all $h_i h_j$ that is consistent with G_x .
- M-step:
 - Based on the phased genotype frequencies, we estimate a new set $F^{(g+1)}$ of haplotype frequencies.

Example: Genotype phasing

E-step

- Suppose $h_x h_y$ is a phased genotype of G_i .

$$P(h_x h_y \mid G_i, F^{(g)}) = \frac{F_x^{(g)} F_y^{(g)}}{\sum \{F_{x'}^{(g)} F_{y'}^{(g)} \mid h_{x'} h_{y'} \text{ is a phased genotype of } G_i\}}$$

Example: Genotype phasing

M-step

- M-step: Maximizes $Q(\Theta, \Theta')$

$$Q(\Theta, \Theta') = \sum_{i=1..n} \sum_{h_x h_y \text{ is a phased genotype of } G_i} \Pr(h_x h_y | G_i, \Theta') \log \Pr(h_x h_y, G_i | \Theta)$$

$$= \sum_{i=1..n} \sum_{h_x h_y \text{ is a phased genotype of } G_i} \Pr(h_x h_y | G_i, \Theta') \log(F_x F_y)$$

$$= \sum_x \left(\sum_{i=1..n} \sum_{h_x h_y \text{ is a phased genotype of } G_i} \Pr(h_x h_y | G_i, \Theta') \right) \log F_x$$

Example: Genotype phasing

M-step

- To maximize $\sum_x (a_x \log F_x)$ such that $\sum_x F_x = 1$
 - The solution is $F_x = a_x / (\sum_x a_x)$ for all x .
- Hence, M-step is:

$$F_x^{(g+1)} = \frac{1}{2n} \sum_{i=1}^n \sum_{\substack{h_x h_y \text{ is a} \\ \text{phased genotype} \\ \text{of } G_i}} \delta(h_x, h_x h_y) P(h_x h_y | G_i, F^{(g)})$$

where $\delta(h, H)$ is the number of occurrences of h in the phased genotype H



Example

- $G = \{G_1 = 11, G_2 = 12, G_3 = 22\}$.
- Possible haplotypes of G : $h_1 = 11, h_2 = 00, h_3 = 10, h_4 = 01$
- Let $F_1, F_2, F_3,$ and F_4 be the corresponding haplotype frequencies. (Suppose $F_i = 0.25$ for all i .)

- h_1h_1 is the only possible phased genotype of G_1 .
 - $P(h_1h_1 | G_1, F) = 1$
- h_1h_3 is the only possible phased genotype of G_2 .
 - $P(h_1h_3 | G_2, F) = 1$
- h_1h_2 and h_3h_4 are the possible phased genotype of G_3 .
 - $P(h_1h_2 | G_3, F) = (F_1 F_2) / (F_1 F_2 + F_3 F_4) = 1/2$
 - $P(h_3h_4 | G_3, F) = (F_3 F_4) / (F_1 F_2 + F_3 F_4) = 1/2$



Example

- $G = \{G_1 = 11, G_2 = 12, G_3 = 22\}$. ($n = 3$)
- Possible haplotypes of G : $h_1 = 11, h_2 = 00, h_3 = 10, h_4 = 01$

- $P(h_1 h_1 | G_1, F) = 1$
- $P(h_1 h_3 | G_2, F) = 1$
- $P(h_1 h_2 | G_3, F) = 1/2$
- $P(h_3 h_4 | G_3, F) = 1/2$

- $F'_1 = [2P(h_1 h_1 | G_1, F) + P(h_1 h_3 | G_2, F) + P(h_1 h_2 | G_3, F)] / 2/n = 7/12$
- $F'_2 = P(h_1 h_2 | G_3, F) / 2/n = 1/12$
- $F'_3 = [P(h_1 h_3 | G_2, F) + P(h_3 h_4 | G_3, F)] / 2/n = 3/12$
- $F'_4 = P(h_3 h_4 | G_3, F) / 2/n = 1/12$



Phase

- When there are many heterozygous loci, EM algorithm becomes slow since there are exponential number of haplotypes.
- Phase resolves this problem. More importantly, it improves the accuracy.
- Phase is a Bayesian-based method which uses Gibbs sampling.



Motivation (I)

- Given a set of known haplotypes
 - 4's 10001
 - 5's 11110
 - 3's 00101
- For the ambiguous genotype 20112, two possible solutions:

(A) $\begin{matrix} 10110 \\ 00111 \end{matrix}$

(B) $\begin{matrix} 10111 \\ 00110 \end{matrix}$

- Which solution is better?



Motivation (II)

- Given a set of known haplotypes
 - 4's 10001
 - 5's 11110
 - 3's 00101

(A) $\begin{matrix} 10110 \\ 00111 \end{matrix}$

(B) $\begin{matrix} 10111 \\ 00110 \end{matrix}$

- Solution (A) is better since the two haplotypes look similar to some known high frequency haplotypes.

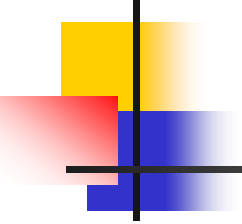


Mutation model

- Given a set H of haplotypes, for any haplotype h , it is shown that $\Pr(h|H)$ is

$$\sum_{\alpha \in H} \sum_{s=0}^{\infty} \frac{n_{\alpha}}{n} \left(\frac{\theta}{n + \theta} \right)^s \frac{n}{n + \theta} (P^s)_{\alpha h}$$

- where
 - $n = |H|$, θ is the scaled mutation rate,
 - n_{α} is the number of occurrences of haplotype α in H , and
 - P is mutation matrix

- 
-
- Phase try to use Gibbs sampling to predict the haplotype phase of G.
 - For any haplotype $H_i = (h_{i1}, h_{i2})$
 - $\Pr(H_i | G, H_{-i}) \propto \Pr(H_i | H_{-i}) \propto \Pr(h_{i1} | H_{-i}) \Pr(h_{i2} | H_{-i})$



Phase algorithm

- Initialization: Let $H^{(0)} = \{H_1^{(0)}, \dots, H_n^{(0)}\}$ be the initial guess of the phase haplotypes of G .

- 1. Uniformly randomly choose an ambiguous individual G_i (i.e., individuals with more than one possible haplotype reconstruction).
- 2. Sample $H_i^{(t+1)}$ from $\Pr(H_i \mid G, H_{-i}^{(t)})$, where H_{-i} is the set of haplotypes excluding individual i .
- 3. Set $H_j^{(t+1)} = H_j^{(t)}$ for $j = 1, \dots, n, j \neq i$.



References

- Clark AG (1990) Inference of haplotypes from PCR-amplified samples of diploid populations. *Mol Biol Evol* 7:111–122
- Excoffier L, Slatkin M (1995) Maximum-likelihood estimation of molecular haplotype frequencies in a diploid population. *Mol Biol Evol* 12:921–927. [[EM algorithm](#)]
- Stephens M, Smith NJ and Donnelly P (2001) A new statistical method for haplotype reconstruction from population data. *Am J Hum Genet* 68:978-989. [[Phase](#)]
- Paul Scheet and Matthew Stephens (2006) A fast and flexible statistical model for large-scale population genotype data: applications to inferring missing genotypes and haplotypic phase. *Am J Hum Genet* 78:629-644. [[FastPhase](#)]



Linkage disequilibrium

Is recombination randomly distributed on the genome?

- Recombination occurs in the evolution process.
- Is the recombination cut the genome at random position?





Recombination hotspot evident (I)

- Daly et al (2001) study 500kb region on chromosome 5q31
 - Broken into a series of discrete haplotype blocks that range in size from 3-92kb.
 - Each haplotype block corresponded to a region in which there were just a few common haplotypes (2-4 per block)
- Jeffreys et al (2001) study the class II major histocompatibility complex (MHC) region from single-sperm typing.
 - Most of the recombinations are restricted to narrow recombination hotspots.

Recombination hotspot evident (II)



- Many other studies also found that recombination tends to cluster in hotspots that are roughly 102kb in length.
- For haplotype block, it can be very long (says 804kb for a haplotype block on chromosome 22). Most of the haplotype blocks are of length about 5-20kb.
- Hence, it is conjecture that
 - The genome might be divided into regions of high LD that are separated by recombination hotspots.



Correlation between recombination hotspots and genomic features

- By Li et al (AJGH2006), a recombination hotspot is correlated with
 - High G+C content
 - Less repeat. In detail:
 - Less L1
 - More MIR, L2, and low_complexity
 - Less gene region
 - High DNaseI hypersensitivity



Linkage disequilibrium (LD)

- LD refers to the non-random association between alleles at two different loci.
 - that is, two particular alleles can co-occur more often than expected by chance.
- There are two important LD measurements:
 - D ;
 - D' ; and
 - r^2



D

- Loci 1: either A or a ($p_a + p_A = 1$)
- Loci 2: either B or b ($p_b + p_B = 1$)
- If loci 1 and 2 are independent,
 - $p_{AB} = p_A p_B$
 - $p_{Ab} = p_A p_b$
 - $p_{aB} = p_a p_B$
 - $p_{ab} = p_a p_b$
- If LD presents (says, A associate with B), then
 - $p_{AB} = p_A p_B + D_1$
 - $p_{Ab} = p_A p_b - D_2$
 - $p_{aB} = p_a p_B - D_3$
 - $p_{ab} = p_a p_b + D_4$
 - We can show that $D_1 = D_2 = D_3 = D_4 = D$.
 - D is known as the linkage disequilibrium coefficient
 - D is in the range -0.25 to 0.25. $D = 0$ under linkage equilibrium



D'

- D is highly dependent on the allele frequency and is not good for measuring the strength of LD.
- Define $D' = D / D_{\max}$
 - where D_{\max} is the maximum possible value for D given p_A and p_B .
 - Note: $D_{\max} = \min\{p_A, p_B\} - p_A p_B$.
- When $|D'| = 1$, we say it is a complete LD.



Example

- $AB, Ab, aB, Ab, ab, ab, ab.$
- $p_{AB}=1/7, p_A=3/7, \text{ and } p_B=2/7.$
- Hence, $D = 1/7 - 3/7 * 2/7 = 1/49.$

- Given $p_A=3/7, p_B=2/7,$ the max value for p_{AB}
 $= \min\{p_A, p_B\} = 2/7.$ Hence, $D_{\max}=2/7 -$
 $3/7 * 2/7 = 8/49.$

- Hence, $D' = D / D_{\max} = 1/8.$



r^2

- r^2 measures the correlation of two loci.
- Define $r^2 = D^2 / (p_A p_a p_B p_b)$.
- When $r^2 = 1$,
 - If we know the allele on loci 1, we can deduce the allele on loci 2, and vice versa.
 - Called perfect LD.



Example

- AB, Ab, aB, Ab, ab, ab, ab.
- $p_{AB} = 1/7$, $p_A = 3/7$, and $p_B = 2/7$.
- Hence, $D = 1/7 - 3/7 * 2/7 = 1/49$.

- $r^2 = (1/49)^2 / (3/7 * 4/7 * 2/7 * 5/7) = 1/120$.



Tag SNP selection

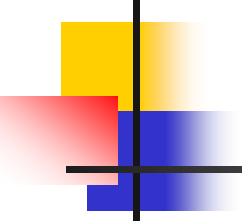
- There are about 10 million common SNPs (SNPs with allele frequency $> 1\%$).
- It accounts for $\sim 90\%$ of the human genetic variation.
- Hence, we can study the genetic variation of an individual by getting its profile for the common SNPs.
- Even though the cost of genotyping is rapidly decreasing, it is still impractical to genotype every SNP or even a large proportion of them.
- Fortunately, nearby SNPs usually show strong correlation to each other (i.e. strong LD).
- It is possible to define a subset of SNPs (called tag SNPs) to represent the rest of the SNPs.

Idea of Zhang et al PNAS

2002



- Assume the genome can be blocked so that the SNPs in each block has high LD.
- Partition the genome into blocks.
- Within each block, we select a minimum set of tag SNPs which can distinguish the haplotypes in the block.
- Aim: minimizing the total number of tag SNPs.

- 
-
- **Input:** a set of K haplotypes, each is described by n SNPs.
 - Denote $r_i(k)$ be the allele of the i -th SNP in the k -th haplotype.
 - where $r_i(k) = 0, 1, 2$ where 0 means missing data.
 - **Output:** A set of blocks, each block is $r_i \dots r_j$.
 - For each block, a set of tag SNPs which can distinguish at least $\alpha\%$ of the unambiguous haplotypes (defined in the next slide).
 - The total number of tag SNPs is minimized.



Example

- (1,2,1, 2,1,0,1, 1,1,2,1)
 - (1,0,1, 1,0,1,2, 1,1,0,1)
 - (0,2,1, 0,1,2,1, 1,0,2,2)
 - (2,1,2, 2,1,2,1, 2,2,1,2)
 - (2,0,2, 1,2,1,0, 2,0,1,2)
 - (2,1,0, 1,2,0,2, 1,2,2,2)
-
- For the above example, we may want to partition them into 3 blocks: $r_1..r_3$, $r_4..r_7$, $r_8..r_{11}$.
 - For block $r_1..r_3$, we select r_1 as the tag SNP.
 - For block $r_4..r_7$, we select r_4 as the tag SNP.
 - For block $r_8..r_{11}$, we select r_8 and r_{11} as the tag SNPs.



Ambiguous

- Two haplotypes in a block are **compatible** if the alleles are the same for all loci with no missing values.
- Example:
 - $h_1=(1, 2, 0, 0)$, $h_2=(0, 2, 1, 2)$, $h_3=(1, 2, 1, 1)$.
 - h_1 is compatible with h_2 and h_3 . However, h_2 is not compatible with h_3 .
- A haplotype h in a block is **ambiguous** if h is compatible with h' and h'' but h' is not compatible with h'' .
- For the above example, h_1 is ambiguous in the block.



block(r_i, \dots, r_j)

- Within a block, we can cluster the haplotypes into different groups,
 - Each group contains unambiguous haplotypes which are compatible.
 - A haplotype in a group is called common if its group is of size at least two.
- We want most of the haplotypes in a block are unambiguous.
- Formally, we define $\text{block}(r_i, \dots, r_j) = 1$ if there are $>\beta\%$ common unambiguous haplotypes.


$$f(r_i \dots r_j)$$

- We denote $f(r_i \dots r_j)$ = the minimum number of tag SNPs that can uniquely distinguish at least $\alpha\%$ of the common unambiguous haplotypes in the block $r_i \dots r_j$.
- Example: In the block $r_3 \dots r_5$, we have the following haplotypes.
 - $(1,1,2), (1,0,2), (1,1,0), (2,1,1), (2,1,0), (2,0,1)$
 - All haplotypes are unambiguous and form two groups:
 - $\{(1,1,2), (1,0,2), (1,1,0)\}$ and $\{(2,1,1), (2,1,0), (2,0,1)\}$
 - To distinguish 100% of these haplotypes, we need 1 tag SNP, that is, r_3 .



Dynamic programming (I)

- Let $S(i)$ = minimum number of tag SNPs to uniquely distinguish at least $\alpha\%$ of the unambiguous haplotypes in $r_1 \dots r_i$.
- Base case:
 - $S(0) = 0$
- Recursive case:
 - $S(i) = \min\{S(j-1) + f(r_j \dots r_i) \mid 1 \leq j \leq i, \text{block}(r_j \dots r_i) = 1\}$



Dynamic programming (II)

- In practice, there may exist several block partitions that give the minimum number of tag SNPs.
- We want to minimize the number of blocks.
- Let $C(i)$ = minimum number of blocks so that the number of tag SNPs is $S(i)$.
- We have
 - $C(0) = 0$;
 - $C(i) = \min\{ C(j-1) + 1 \mid 1 \leq j \leq i, \text{block}(r_j \dots r_i) = 1, S(i) = S(j-1) + f(r_j \dots r_i) \}$



IdSelect (Carlson et al. Am. J. Hum. Genet. 2004)

- Aim: Among all SNPs exceeding a specified minor allele frequency (MAF) threshold, select a set of tag SNPs S such that
 - For every SNP i , there exists a SNP j in S so that their $r^2 >$ a certain threshold th .



Algorithm IdSelect

- IdSelect is a greedy algorithm.

Algorithm **IdSelect**

1. Let S be the set of SNPs that are above the MAF threshold.
2. Let $T = \phi$
3. While S is not empty,
 - Select $s \in S$ which maximizes the size of the set $\{s' \in S \mid r^2(s, s') > th\}$.
 - $T = T \cup \{s\}$;
 - $S = S - \{s\} - \{s' \in S \mid r^2(s, s') > th\}$.



Disadvantage of IdSelect

- Since rare SNPs are harder to link with other SNPs, IdSelect tends to include many rare SNPs as the tag SNPs,
 - which is not nature.



Reference

- Carlson, C.S., Eberle, M.A., Rieder, M.J., Yi, Q., Kruglyak, L., and Nickerson, D.A. 2004. Selecting a maximally informative set of single-nucleotide polymorphisms for association analyses using linkage disequilibrium. *Am. J. Hum. Genet.* 74: 106–120.
- Zhang, K., Deng, M., Chen, T., Waterman, M.S., and Sun, F. 2002. A dynamic programming algorithm for haplotype block partitioning. *Proc. Natl. Acad. Sci.* **99**: 7335–7339.



Association study



What is association study?

Case
(Disease sample)

ACGTACCGGTCACTC**G**CCCACTTCAGGCATA
ACGT**G**CCGGTCACTCACTCACTTCAGGC**C**TA
ACGTAC**A**GGTCACTC**G**CTCACTTCAGGCATA
ACGTACCGGTCAC**A****G**CTCACTT**T**AGGAATA
AGGTACCGGTCACTC**G**CTCACTTCAGGCATA
AC**C**TAC**A**GGT**G**ACTC**G**CTCACTT**C**TGGCAT**G**
ACGTACCGGTCACTC**A**CT**C**TCTTCAGGCAT**G**
ACGTACCGGTC**A**AT**C****G**CTCACTTCAGGCATA
AC**C**TACCGGTCACTCACTCACTTCAGGC**C**TA

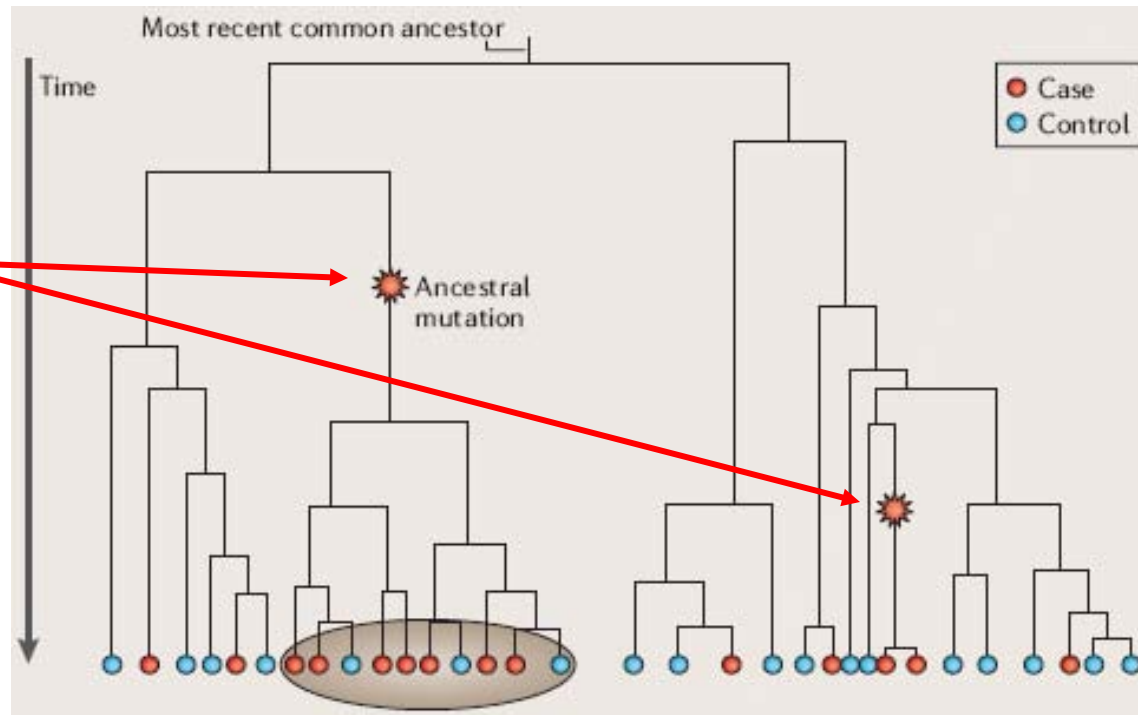
Control
(Normal sample)

ACGTACCGG**A**CACTCACTCACTT**T**AGGCATA
GCGTACCGGTCAC**A**CACTCACTTCAG**T**CATA
ACGTACCGGTCACTCACTCACTTCAGGC**C**TA
AC**C**T**G**CCGGT**G**ACTCACTCACTT**T**AGGCAT**G**
ACGTACCGGTCACTC**G**CT**C**TCTTCAGGCATA
ACGTAC**A**GGTCACTCACTCACTTCAGGCATA
ACGTACCGGTCACTCACTCACTTCAGGCATA

Rationale for association studies

- Case: individuals with disease
- Control: normal individuals

Risk enhancing mutation





Why association studies?

- Identify genetic variation which are correlated to disease
 - Such information help to identify
 - Drug target
 - Disease marker
- Understand how genetic variation affects the respond to pathogens or drugs.
- Understand the different among different races.
 - E.g. Why Asian has higher chance of getting Hapatitis B infection?



Single SNP association study

- Relative risk and odds ratio
- Logistic regression



Relative risk and odds ratio

- Let x and y be the two possible alleles in a loci.
- To check if Case is associate with allele x.
- Relative risk (RR) is $[a/(a+b)] / [c/(c+d)]$.
- Odds ratio (OR) is ad/bc .

- The bigger the value of RR and OR, the SNP is more related to the disease.
- We use the Odds ratio to rank the SNPs.

Actual	Allele x	Allele y
Case	a	c
Control	b	d

Relative risk and odds ratio

(II)

Actual	Allele G	Allele A
Case	6	2
Control	1	7

- $RR = (6/7)/(2/9) = 3.86$
- $OR = (6*7)/(2*1) = 21$
- Since the values are big, this SNP is highly related to the disease.

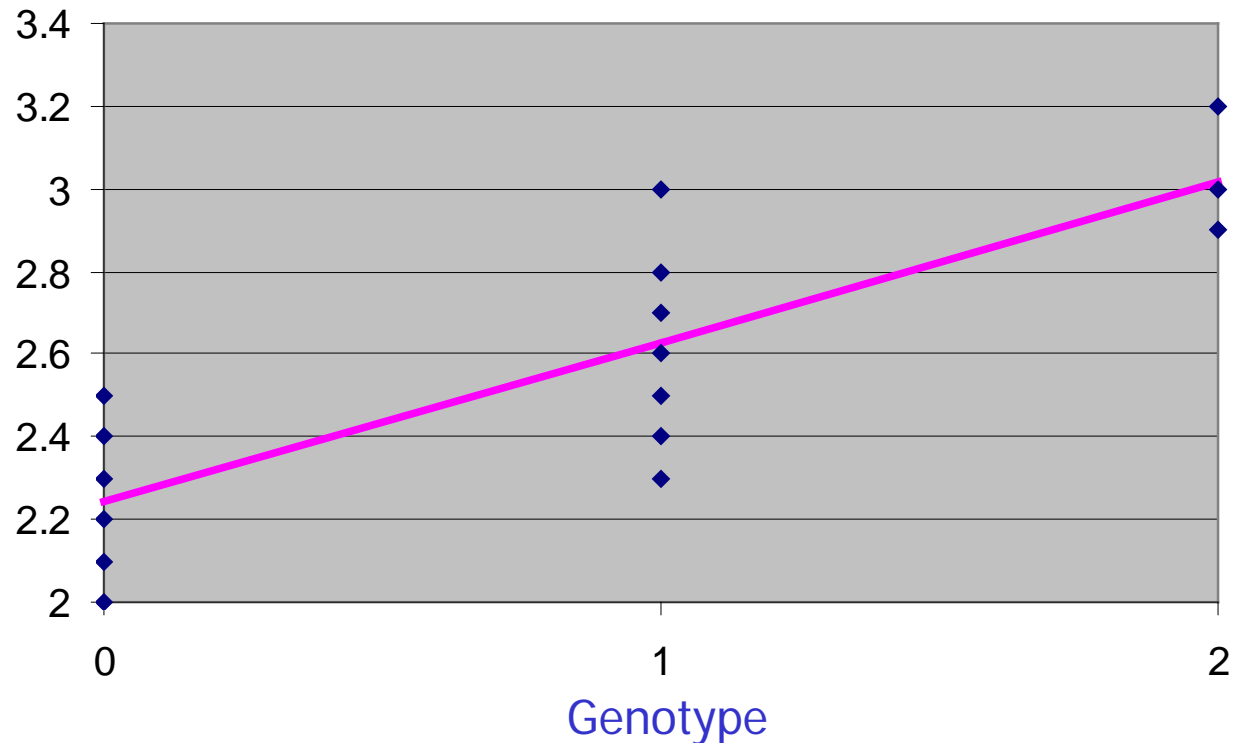
ACGTACCGGTCACTCGCCACTTTCAGGCATA
ACGTGCCGGTCACTCACTCACTTTCAGGCCTA
ACGTACAGGTCACTCGCTCACTTTCAGGCATA
ACGTACCGGTCACACGCTCACTTTAGGAATA
AGGTACCGGTCACTCGCTCACTTTCAGGCATA
ACCTACAGGTGACTCGCTCACTTCTGGCATG
ACGTACCGGTCACTCACTCTCTTTCAGGCATG
ACGTACCGGTCACAATCGCTCACTTTCAGGCATA
ACCTACCGGTCACTCACTCACTTTCAGGCCTA
ACGTACCGGACACTCACTCACTTTAGGCATA
GCGTACCGGTCACACACTCACTTTCAGTCATA
ACGTACCGGTCACTCACTCACTTTCAGGCCTA
ACCTGCCGGTGACTCACTCACTTTAGGCATG
ACGTACCGGTCACTCGCTCTCTTTCAGGCATA
ACGTACAGGTCACTCACTCACTTTCAGGCATA
ACGTACCGGTCACTCACTCACTTTCAGGCATA

Linear regression

Find the straight line which best fit the data!

$$y = 2.2415 + 0.3874x + \varepsilon$$

Genotype	phenotypic score
0	2
0	2.1
0	2.4
0	2.3
0	2.2
0	2.5
1	2.4
1	2.5
1	2.6
1	3
1	2.7
1	2.8
1	2.3
2	2.9
2	3.2
2	3





Formal definition

- Given (x_i, y_i) , $i=1, 2, \dots, n$
 - where x_i is the genotype of the SNP and y_i is the phenotypic score.
- We would like to compute β_0 and β_1 such that
 - $y_i = \beta_0 + \beta_1 x_i + \varepsilon_i$; and
 - $\sum_{i=1..n} \varepsilon_i^2 = \sum_{i=1..n} (y_i - \beta_0 - \beta_1 x_i)^2$ is minimized.
- $\sum \varepsilon_i^2$ is called the **sum of squares error (SSE)**.
- Denote $\hat{y}_i = \beta_0 + \beta_1 x_i$



β_0 and β_1

- By partial differentiation with respect to β_0 and β_1 , we can show that
 - $$\beta_1 = \frac{\sum_{i=1..n} (x_i - \mu_x)(y_i - \mu_y)}{\sum_{i=1..n} (x_i - \mu_x)^2}$$
 - $\beta_0 = \mu_y - \beta_1 \mu_x$.
- μ_x and μ_y are the means of x and y respectively.



Significant test for linear regression

- Mean sum of squares error (MSE) is $\sum_{i=1..n} (y_i - \hat{y}_i)^2 / (n-2)$.
- Regression sum of squares (MSR) is $\sum_{i=1..n} (\hat{y}_i - \mu_y)^2$.
- MSR/MSE follows the F distribution.
- $H_0: \beta_1 = 0, H_1: \beta_1 \neq 0$
- We reject H_0 if $MSR/MSE > F_{1, n-2, 0.95}$



Example

- $n=16$
- $\mu_y = 2.55625$
- $MSE = \sum_{i=1..n} (y_i - \hat{y}_i)^2 / (n-2)$
 $= 0.040931$
- $MSR = \sum_{i=1..n} (\hat{y}_i - \mu_y)^2$
 $= 1.266338$

- $MSR/MSE = 30.03819 >$
 $F_{1,14,0.95} = 4.6$
- We reject $H_0: \beta_1=0$.

Genotype	phenotypic score
0	2
0	2.1
0	2.4
0	2.3
0	2.2
0	2.5
1	2.4
1	2.5
1	2.6
1	3
1	2.7
1	2.8
1	2.3
2	2.9
2	3.2
2	3

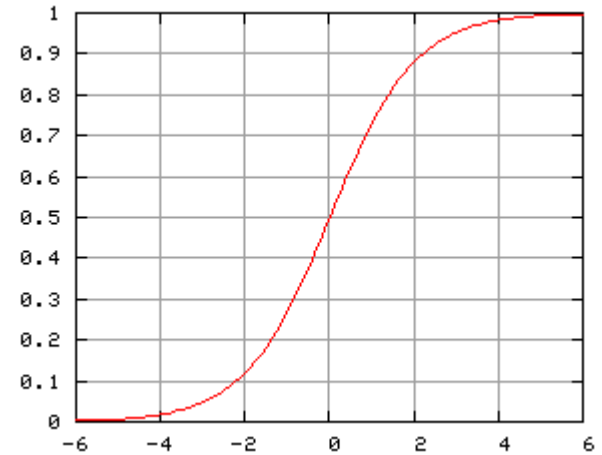


Regression when Y is binary

- For case and control study,
 - Y usually has only 2 values: 0 and 1.
- In this case, we would like to fit
 - $\Pr(D) = \alpha + \beta X + \varepsilon$.
- However, such function is difficult to fit since $\Pr(D)$ is in a narrow range $[0,1]$.

Sigmoid function (standard logistic function)

- $F(t) = 1 / (1 + e^{-t})$
 - $t = 0 \rightarrow F(t) = 0.5$
 - $t = +\infty \rightarrow F(t) = 1$
 - $t = -\infty \rightarrow F(t) = 0$



- We try to fit
 - $\Pr(D) = 1 / (1 + e^{-(\alpha + \beta X)})$
 - Hence, $\Pr(D) / (1 - \Pr(D)) = e^{-(\alpha + \beta X)}$



Logistic regression

$$\log\left(\frac{\Pr(D)}{1 - \Pr(D)}\right) = \alpha + \beta X$$

- D is the disease status
- X has 3 values:
 - 2 if the genotype is xx;
 - 1 if the genotype is xy; and
 - 0 if the genotype is yy.
- Test if $\beta=0$