



Algorithms in Bioinformatics: A Practical Introduction

Phylogenetic Tree comparison and
Consensus Trees



Phylogenetic Tree comparison



Why tree comparison?

- Different phylogenies are resulted using different
 - Kind of data (different segments of the genomes)
 - Kind of model (CF model, Jukes-Cantor Model)
 - Kind of reconstruction algorithm
- **Tree comparison** helps us to gain information from multiple trees.

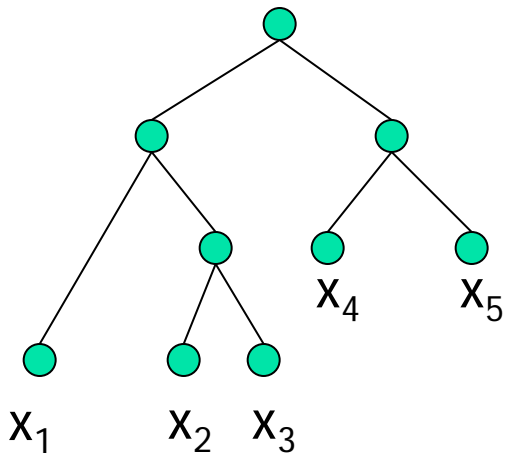


Two types of comparisons

- Similarity measurement
 - Find the common structure among the given trees
 - Maximum Agreement Subtree
- Dissimilarity measurement
 - Determine the differences among the given trees
 - Robinson-Foulds distance
 - Nearest neighbor interchange
 - Subtree Transfer Distance
 - Quartet Distance

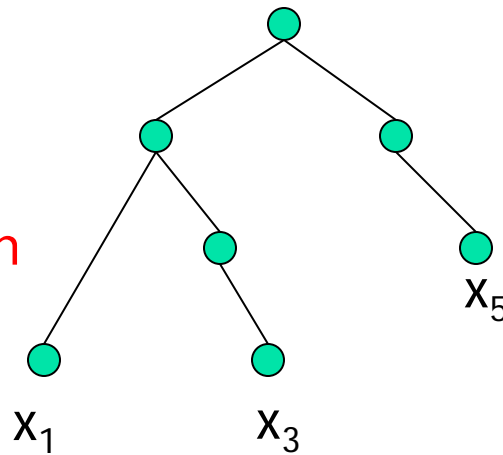
Restricted subtree

- Consider a trees T



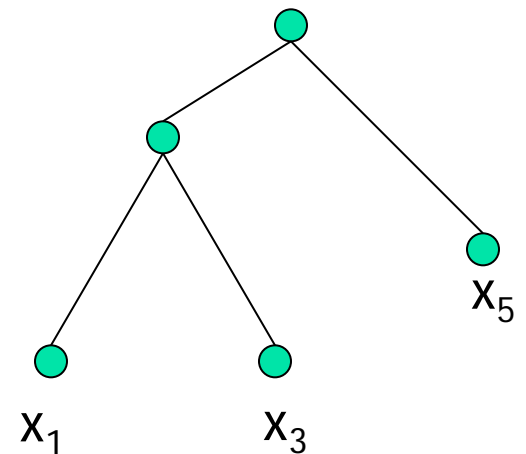
Evolution information of X_1, X_2, X_3, X_4, X_5

→
Restricted on
 X_1, X_3, X_5

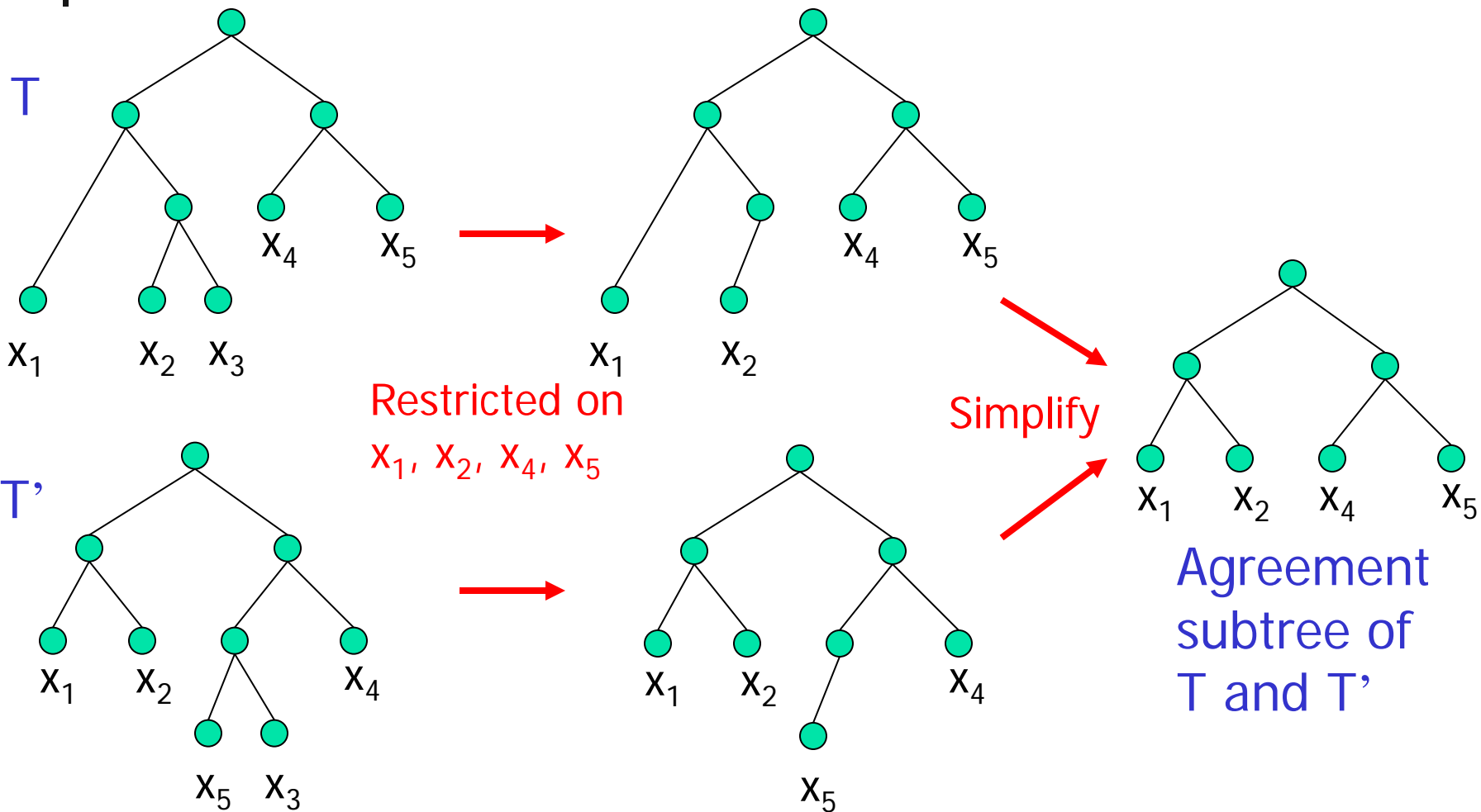


Evolution information of X_1, X_3, X_5

→
Simplify



Agreement subtree





Maximum agreement subtree (MAST)

- Given two trees T_1 and T_2
- Agreement subtree of T_1 and T_2 is the common information agreed by both trees.
 - Since it is agreed by both trees, the evolution of the agreement subtree is more reliable!
- **Maximum agreement subtree problem**
 - Find the agreement subtree with the largest possible number of leaves.
 - Such agreement subtree is called the **maximum agreement subtree**



MAST for rooted trees

- MAST of two degree- d rooted trees T_1 and T_2 with n leaves can be computed in
 - $O(\sqrt{d}n \log(\frac{n}{d}))$ time (Journal of Algorithm 2001)
- This lecture considers an $O(n^2)$ -time algorithm which compute the maximum agreement subtree of two binary trees with n leaves.



Computing MAST by dynamic programming

- For any two binary rooted trees T_1 and T_2 , denote $MAST(T_1, T_2)$ be the number of leaves in the maximum agreement subtree
- Some definition:
 - For a tree T and a node u , T^u is the subtree of T rooted at u



Not complete!

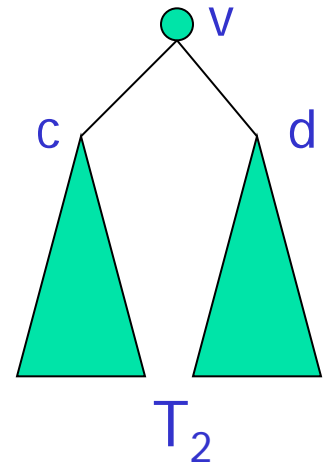
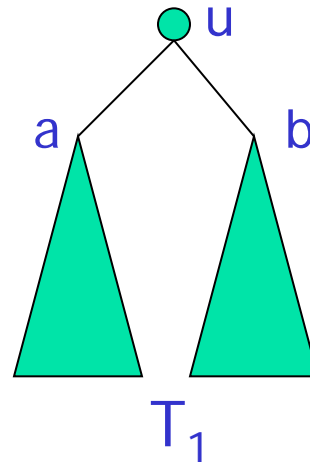
- For any node pair $(u, v) \in T_1 \times T_2$,
 - let a and b be two children of u
 - let c and d be two children of v
- Let R be the maximum agreement subtree of T_1 and T_2 .
- We have the following cases:
 - R is an agreement subtree of T_1^a
 - R is an agreement subtree of T_1^b



Recurrence

$$MAST(T_1^u, T_2^v) =$$

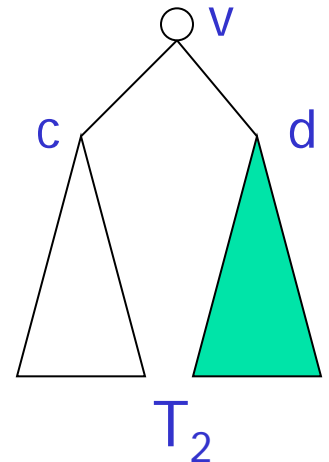
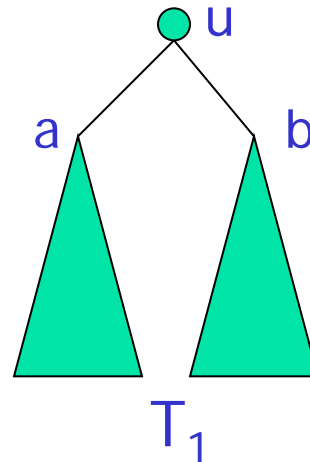
$$\max \left\{ \begin{array}{l} MAST(T_1^a, T_2^c) + MAST(T_1^b, T_2^d) \\ MAST(T_1^a, T_2^d) + MAST(T_1^b, T_2^c) \\ MAST(T_1^a, T_2^v) \\ MAST(T_1^b, T_2^v) \\ MAST(T_1^u, T_2^c) \\ MAST(T_1^u, T_2^d) \end{array} \right.$$



Recurrence (II)

$$MAST(T_1^u, T_2^v) =$$

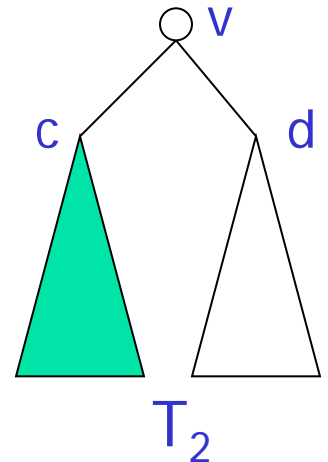
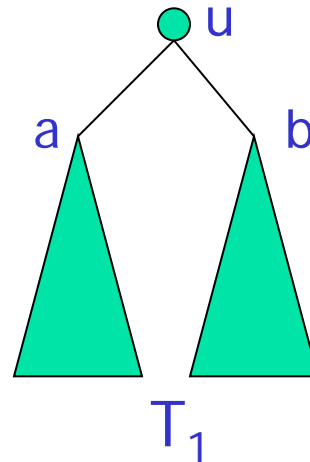
$$\max \left\{ \begin{array}{l} MAST(T_1^a, T_2^c) + MAST(T_1^b, T_2^d) \\ MAST(T_1^a, T_2^d) + MAST(T_1^b, T_2^c) \\ MAST(T_1^a, T_2^v) \\ MAST(T_1^b, T_2^v) \\ MAST(T_1^u, T_2^c) \\ MAST(T_1^u, T_2^d) \end{array} \right.$$



Recurrence (III)

$$MAST(T_1^u, T_2^v) =$$

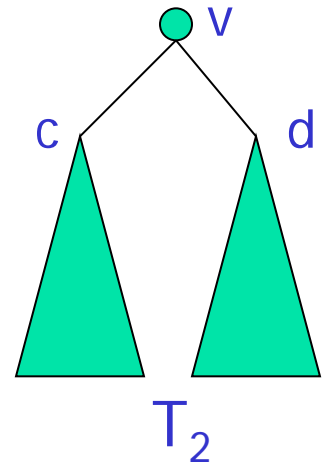
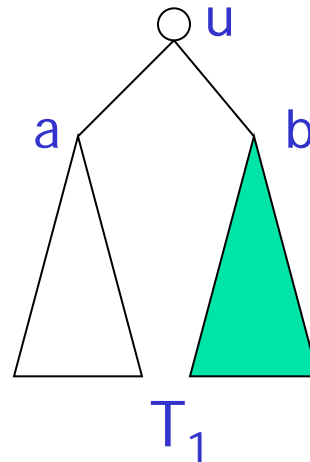
$$\max \left\{ \begin{array}{l} MAST(T_1^a, T_2^c) + MAST(T_1^b, T_2^d) \\ MAST(T_1^a, T_2^d) + MAST(T_1^b, T_2^c) \\ MAST(T_1^a, T_2^v) \\ MAST(T_1^b, T_2^v) \\ MAST(T_1^u, T_2^c) \\ MAST(T_1^u, T_2^d) \end{array} \right.$$



Recurrence (IV)

$$MAST(T_1^u, T_2^v) =$$

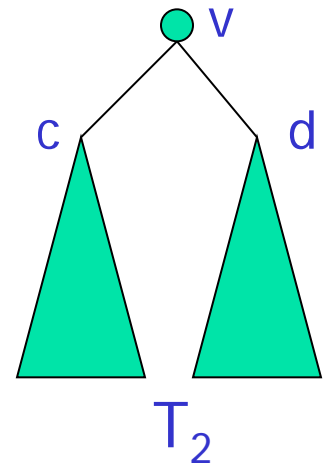
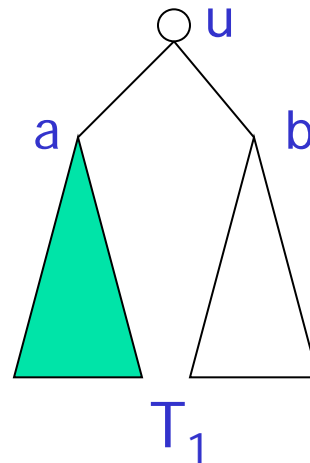
$$\max \left\{ \begin{array}{l} MAST(T_1^a, T_2^c) + MAST(T_1^b, T_2^d) \\ MAST(T_1^a, T_2^d) + MAST(T_1^b, T_2^c) \\ MAST(T_1^a, T_2^v) \\ MAST(T_1^b, T_2^v) \leftarrow \\ MAST(T_1^u, T_2^c) \\ MAST(T_1^u, T_2^d) \end{array} \right.$$



Recurrence (V)

$$MAST(T_1^u, T_2^v) =$$

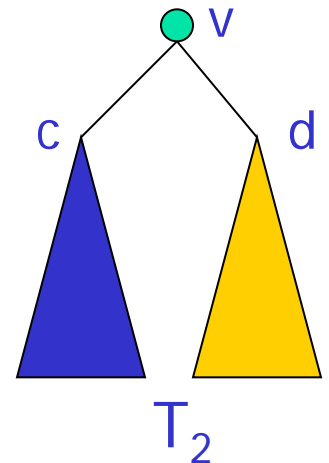
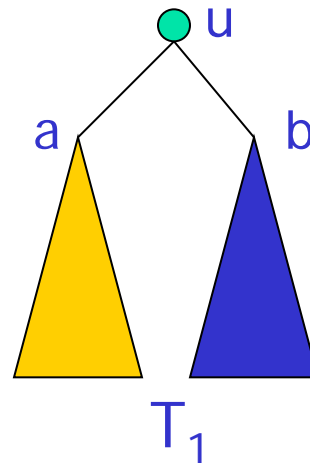
$$\max \left\{ \begin{array}{l} MAST(T_1^a, T_2^c) + MAST(T_1^b, T_2^d) \\ MAST(T_1^a, T_2^d) + MAST(T_1^b, T_2^c) \\ MAST(T_1^a, T_2^v) \leftarrow \\ MAST(T_1^b, T_2^v) \\ MAST(T_1^u, T_2^c) \\ MAST(T_1^u, T_2^d) \end{array} \right.$$



Recurrence (VI)

$$MAST(T_1^u, T_2^v) =$$

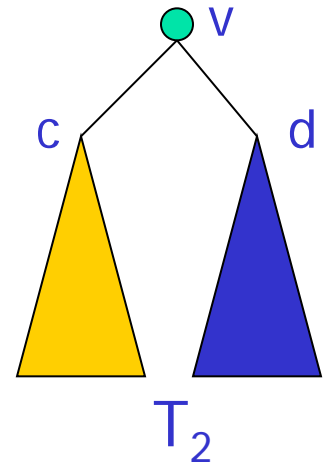
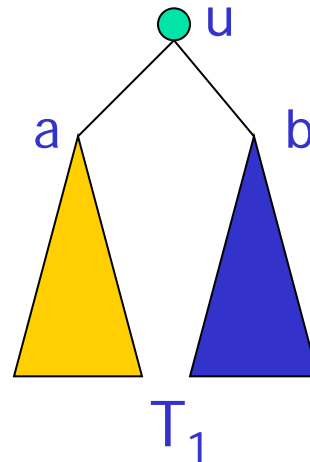
$$\max \left\{ \begin{array}{l} MAST(T_1^a, T_2^c) + MAST(T_1^b, T_2^d) \\ MAST(T_1^a, T_2^d) + MAST(T_1^b, T_2^c) \leftarrow \\ MAST(T_1^a, T_2^v) \\ MAST(T_1^b, T_2^v) \\ MAST(T_1^u, T_2^c) \\ MAST(T_1^u, T_2^d) \end{array} \right.$$



Recurrence (VII)

$$MAST(T_1^u, T_2^v) =$$

$$\max \left\{ \begin{array}{l} MAST(T_1^a, T_2^c) + MAST(T_1^b, T_2^d) \leftarrow \\ MAST(T_1^a, T_2^d) + MAST(T_1^b, T_2^c) \\ MAST(T_1^a, T_2^v) \\ MAST(T_1^b, T_2^v) \\ MAST(T_1^u, T_2^c) \\ MAST(T_1^u, T_2^d) \end{array} \right.$$





Time complexity

- Suppose T_1 and T_2 are rooted phylogenies for n species.
- We have to compute $\text{MAST}(T_1^u, T_2^v)$ for every u in T_1 and v in T_2 .
- Thus, we need to fill in n^2 entries. Each entry can be computed in $O(1)$ time.
- In total, the time complexity is $O(n^2)$.



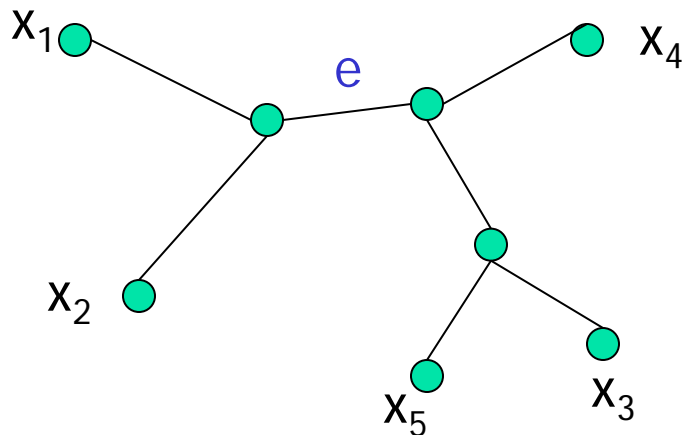
MAST for unrooted trees

- In real life, we normally want to compute MAST for unrooted trees.
- For unrooted degree-3 trees U_1 and U_2 , $\text{MAST}(U_1, U_2)$ can be computed in $O(n \log n)$ time. (STOC 97)
- For general unrooted trees U_1 and U_2 , $\text{MAST}(U_1, U_2)$ can be computed in $O(n^{1.5} \log n)$ time. (SIAM J. of Comp 2000)
- This lecture shows the relationship between unrooted MAST and rooted MAST!

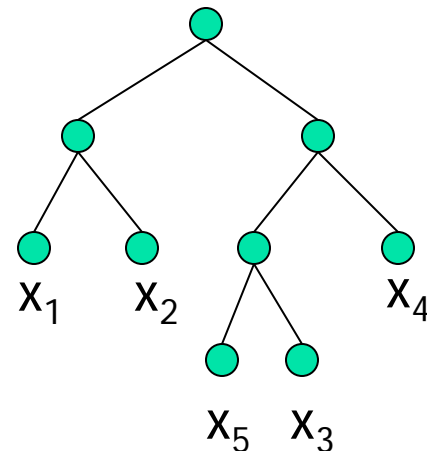
Relating rooted and unrooted trees (I)

- Definition:

- For an unrooted tree U , for any edge e in U , U^e is the rooted tree rooted at the edge e .



→
rooted at
edge e





Relating rooted and unrooted trees (II)

- Consider two unrooted trees U_1 and U_2
- **Lemma:** For any edge e of U_1 ,
$$MAST(U_1, U_2) = \max\{MAST(U_1^e, U_2^f) \mid f \text{ is an edge of } U_2\}$$
- **Proof:** Exercise!
- Based on the above lemma, we can relate rooted MAST and unrooted MAST!

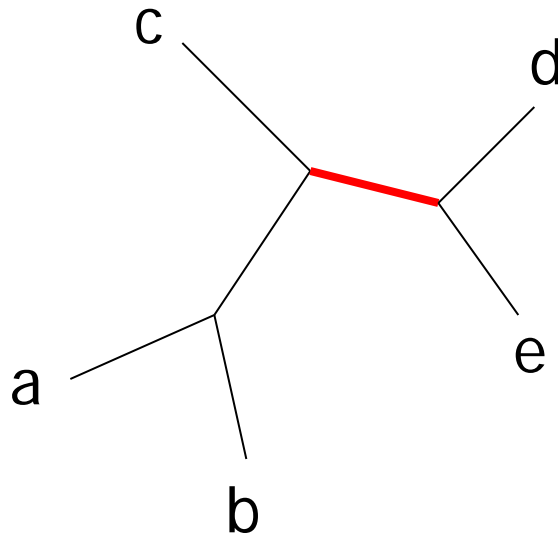


Robinson-Foulds distance

- Given two phylogenies T_1 and T_2 ,
- Intuitively, this method tries to count the number of edges which are not agreed by T_1 and T_2 .
- First, we need to have some definitions!

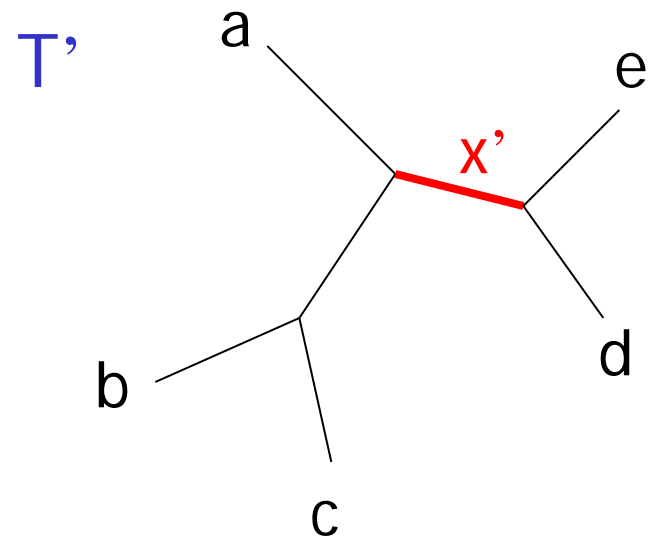
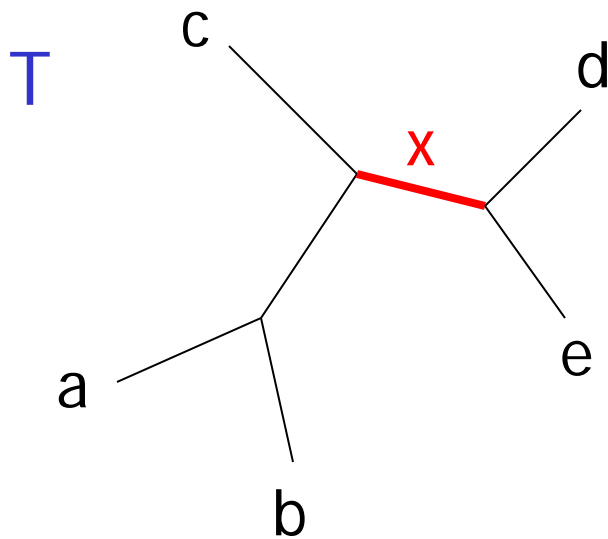
Partitioning of a tree

- Each edge can partition the set of species
- In the following tree, the red edge partition the species into $\{a, b, c\}$ and $\{d, e\}$



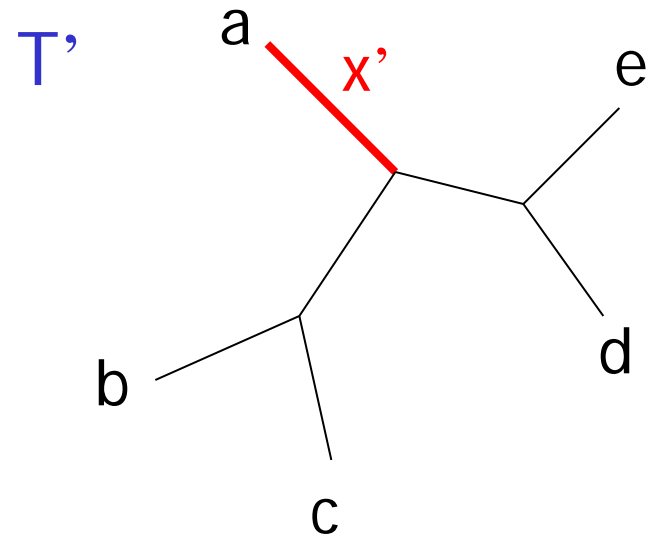
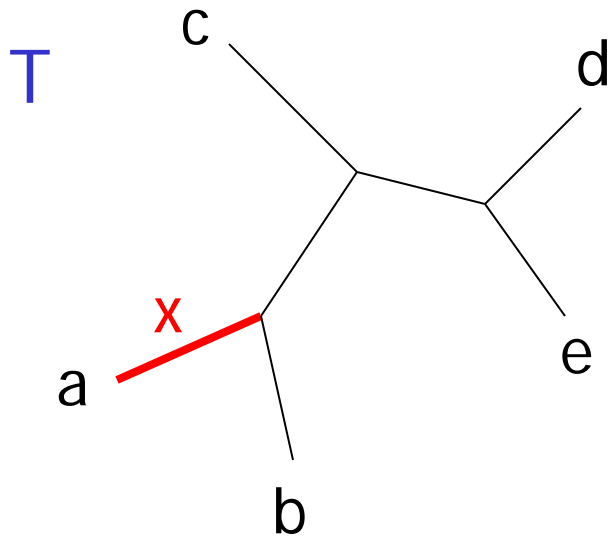
Good and bad edges

- Consider two unrooted trees T and T' , an edge x in T is called a **good edge** if there exists an edge x' in T' such that both of them form the same partitions! Similarly, x' is also called a good edge.
- Otherwise, the edge is called a **bad edge**!



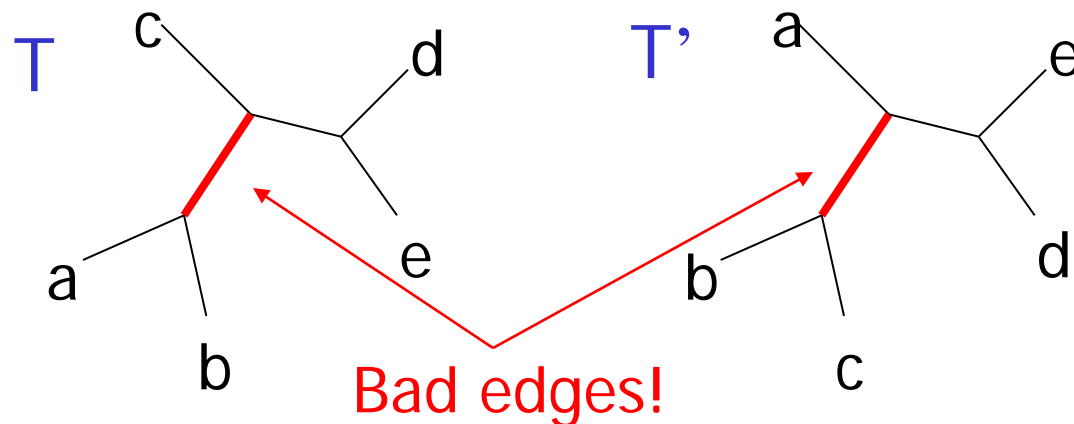


Leaf edges are always good



Robinson-Foulds (RF) distance

- **Robinson-Foulds distance** =
(number of bad edges in T w.r.t T' + number of bad edges in T' w.r.t. T)/2
- T and T' looks similar if RF-dist(T , T') is small.
- For example, the robinson-foulds distance of T and T' = $(1+1)/2 = 1$.





Degree-3 trees T and T'

- When both T and T' are of degree-3, number of bad edges in T w.r.t. T' = number of bad edges in T' w.r.t. T
- Proof:
 - Since both T and T' are of degree-3, T and T' have the same number of edges
 - Number of good edges in T w.r.t. T' = number of good edges in T' w.r.t. T
 - Lemma follows.



How to find the set of good edges in T w.r.t. T' ?

- **Brute-force algorithm:**
 - For every edge e in T ,
 - If the partition formed by e is the same as the partition formed by some edge e' in T' , e is a good edge!
- **Time analysis:**
 - For every edge e in T , the checking takes $O(n)$ time.
 - In total, the time complexity is $O(n^2)$!
 - Can we do better?



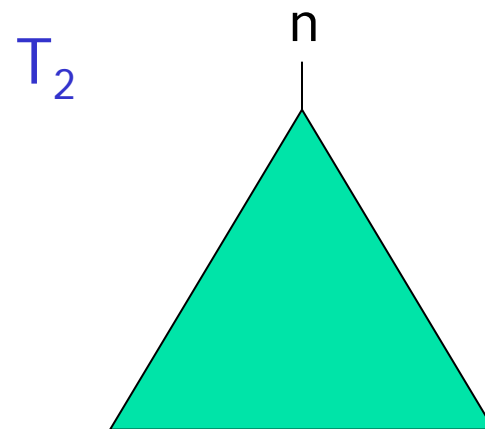
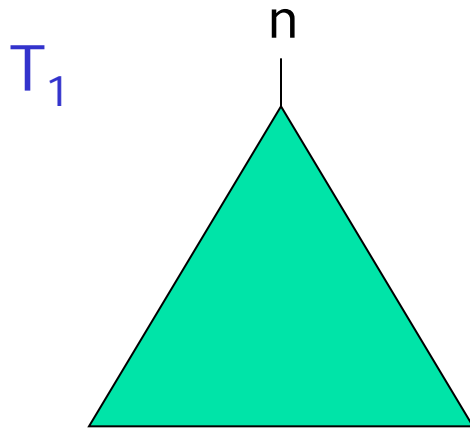
Day's algorithm

- Yes! The problem can be solved in $O(n)$ time based on Day's algorithm.
- **Input:** two unrooted phylogenies T_1 and T_2 for the same set of species
- **Output:** the set of good edges in T_1 w.r.t. T_2
- **Idea:**
 - Build data-structure which enables constant time checking whether a particular partition of leaves exists in T_1 .

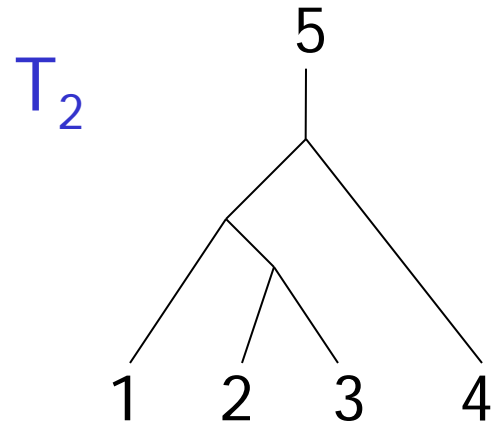
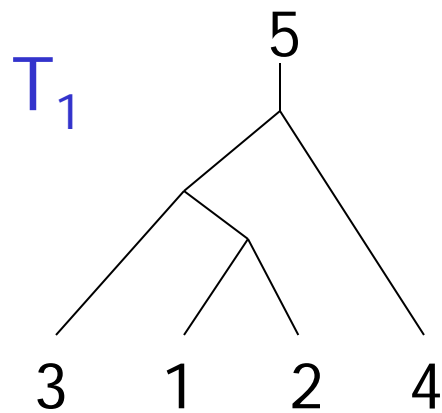
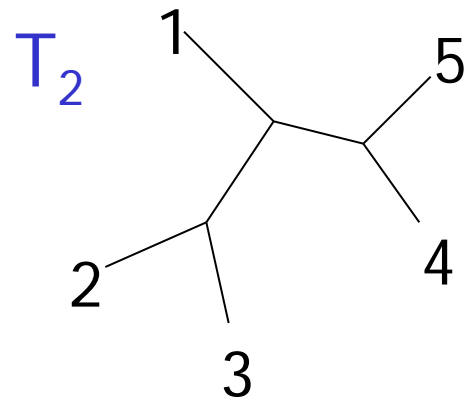
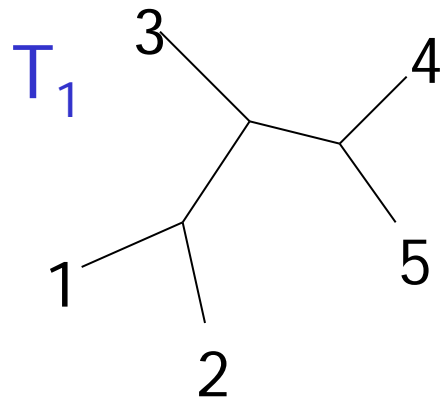


Step 1

- Root T_1 and T_2 at the leaves with label n .
- This step takes $O(n)$ time.

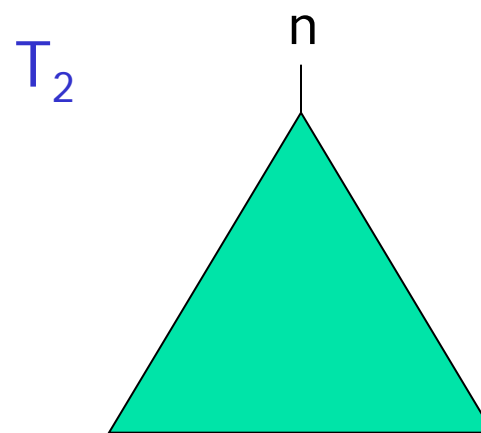
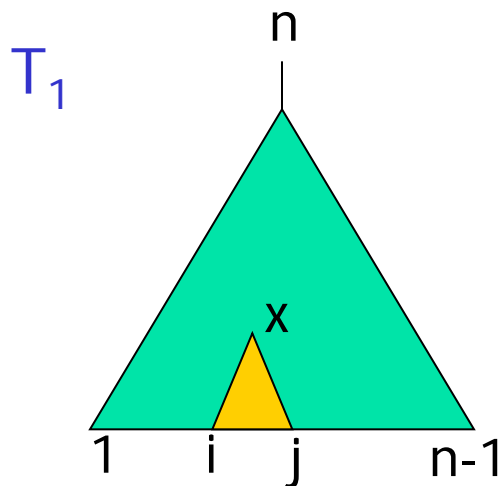


Example for step 1

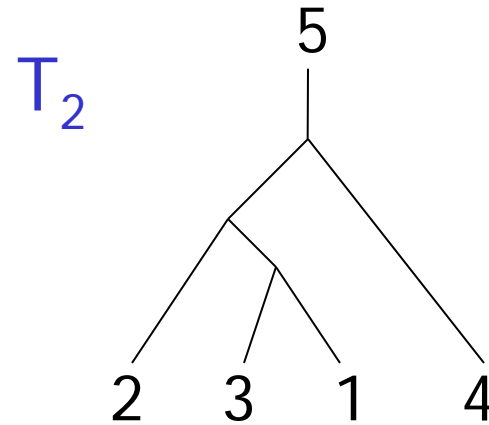
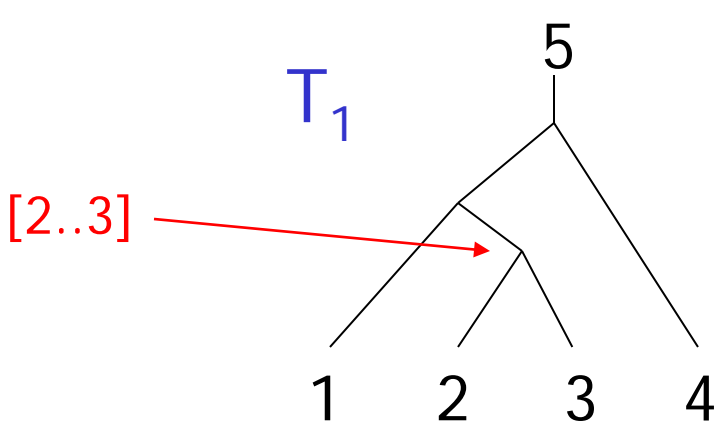
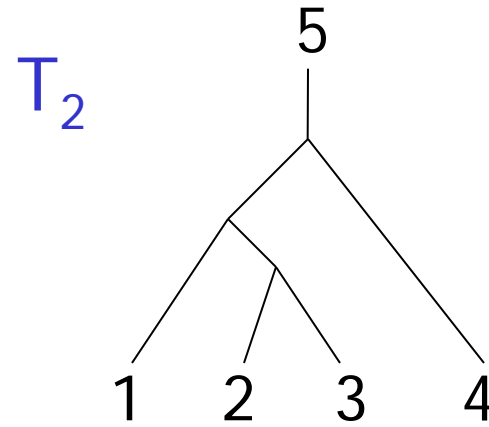
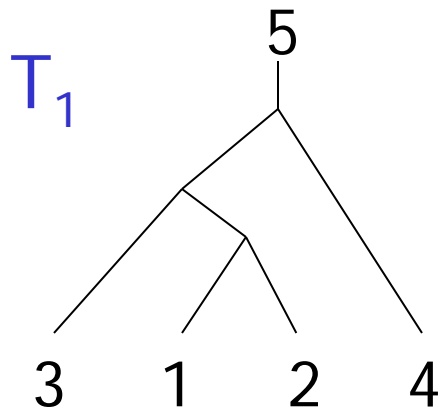


Step 2

- Relabel the leaves of T_1 in increasing order.
- Note: for every internal node x of T_1 , the set of leaf labels in the subtree of x form an interval $[i..j]$.
- This step takes $O(n)$ time.



Example for step 2



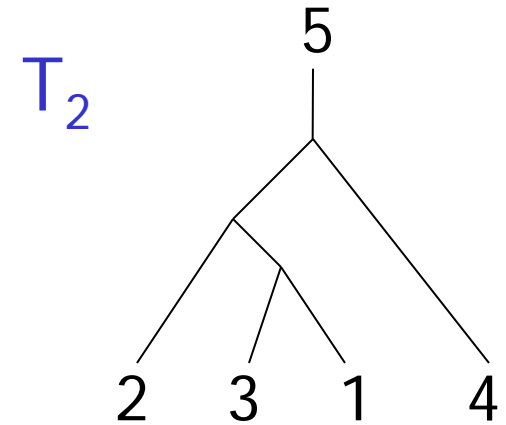
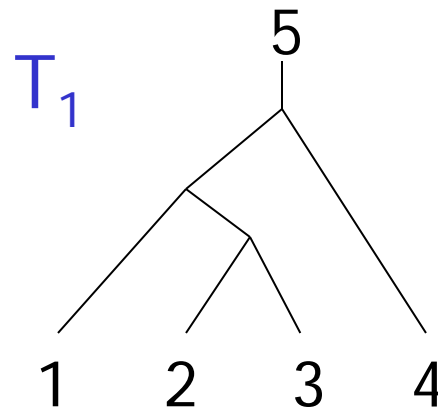


Step 3

- Create a hash table $H[1..n]$
- For every node x in T_1 , we store the corresponding interval $[i_x..j_x]$ in either $H[i_x]$ or $H[j_x]$
 - Store $[i_x..j_x]$ in $H[j_x]$ if x is the leftmost child of its parent in T_1 ;
 - Otherwise, store the interval $[i_x..j_x]$ in the entry $H[i_x]$.
- This step takes $O(n)$ time.
- **Question:** Will we store two intervals in the same entry in H ?

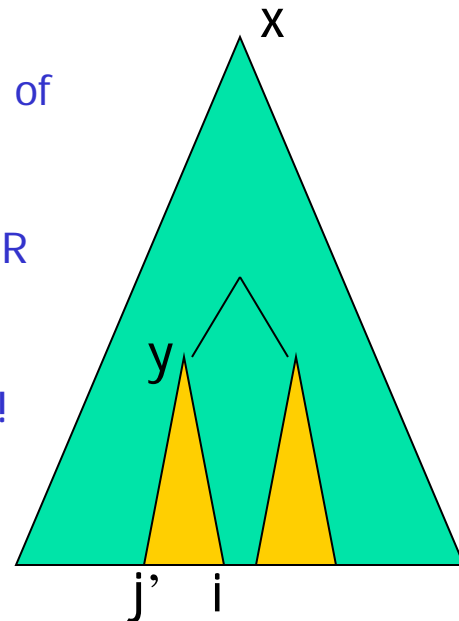
Example for step 3

k	H(k)
1	
2	[2..3]
3	[1..3]
4	[1..4]



Observation

- Lemma: we store at most one interval in each entry in H.
- Proof:
 - By contrary, suppose $H[i]$ contain two intervals which are represented by internal nodes x and y .
 - By definition, i should be the endpoints of the intervals represented by x and y . Thus, x and y should satisfy the ancestor-descendent relationship. WLOG, assume x is the ancestor of y . Then, y 's interval should be the subinterval of x 's interval
 - So, we can have either
 1. x 's interval = $[j..i]$ and y 's interval = $[j'..i]$ for $j < j'$; OR
 - This means that both x and y are the leftmost children of their parents.
 - The right endpoint of x 's interval should not be i !
 - Contradiction!
 2. x 's interval = $[i..j]$ and y 's interval = $[i..j']$ for $j > j'$
 - Similar to the above case, we can arrive at contradiction!





More on step 3

- Given the hash table H , we can check whether an interval $[i..j]$ exists in T_1 by checking if $H[i]$ or $H[j]$ equals $[i..j]$!



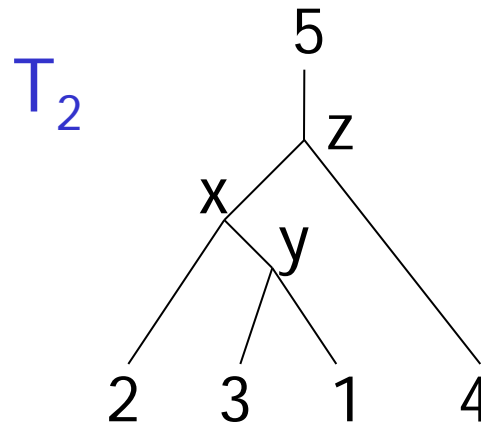
Step 4

- For T_2 , by traversing the tree, for each internal node u , we compute
 - the minimum (\min_u) and the maximum (\max_u) leaf labels
 - the number of leaves (size_u)in the subtree rooted at u
- If $(\max_u - \min_u + 1 = \text{size}_u)$, then
 - the leaves labels in the subtree of node u form an interval $[\min_u.. \max_u]$.
 - Check whether $H[\min_u]$ or $H[\max_u]$ equals $[\min_u.. \max_u]$. If yes, (u, v) is a good edge where v is the parent of u in T_2 .
- This step takes $O(n)$ time.

Example for step 4

	\min_u	\max_u	size_u	$\max_u - \min_u + 1$
x	1	3	3	3
y	1	3	2	3

Note: $\text{size}_x = \max_x - \min_x + 1$
Also, $H[3] = [1..3]$
Thus, (x, z) is a **good edge**!



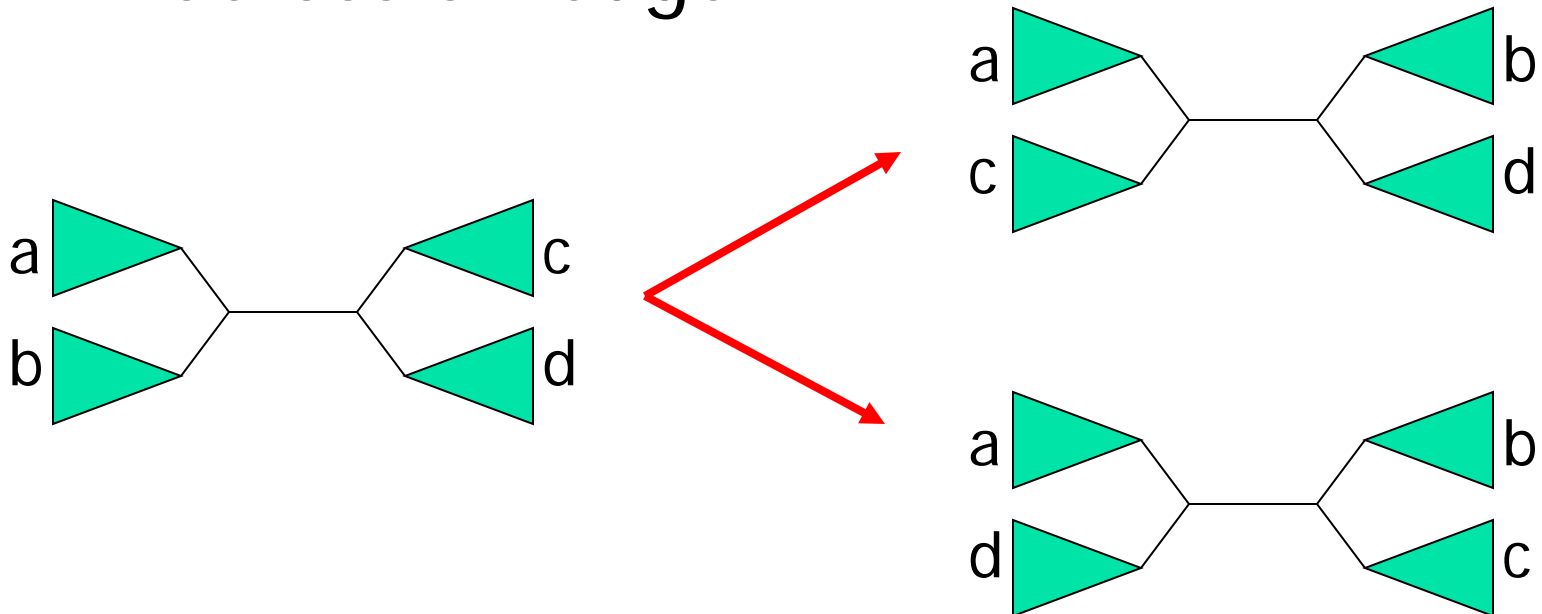


Time complexity

- All 4 steps can correctly recover the good edges.
- They can be computed in $O(n)$ time.
- Thus, the total time complexity is $O(n)$.

Nearest Neighbor Interchange (NNI)

- Given an unrooted, degree-3 tree T ,
- **NNI operation** exchanges two subtrees across an edge.



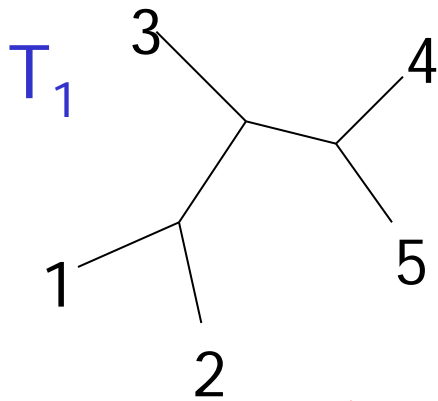


NNI-dist

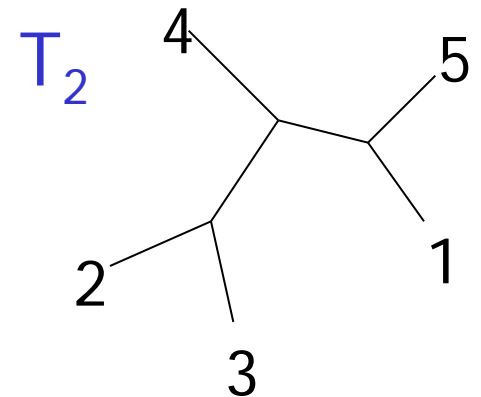
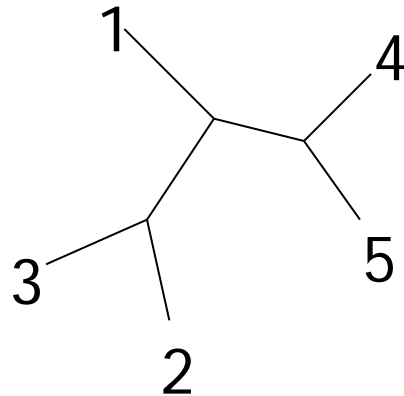
- Given two unrooted, degree-3 trees T_1 and T_2 ,
- **NNI-dist(T_1, T_2)** is the minimum number of NNI-operations required to convert T_1 to T_2 .
- T_1 and T_2 looks similar if NNI-dist(T_1, T_2) is small.

- Computing NNI-dist is NP-hard.

Example



$$\text{NNI-dist}(T_1, T_2) = 2$$





Properties of NNI-dist

- **Property 1:**
 $\text{NNI-dist}(T_1, T_2) = \text{NNI-dist}(T_2, T_1)$
- **Property 2:** $\text{NNI-dist}(T_1, T_2) \geq$ number of bad edges in T_1 w.r.t. T_2 .
- **Proof:**
 - To remove one bad edge, we require at least one NNI-operation

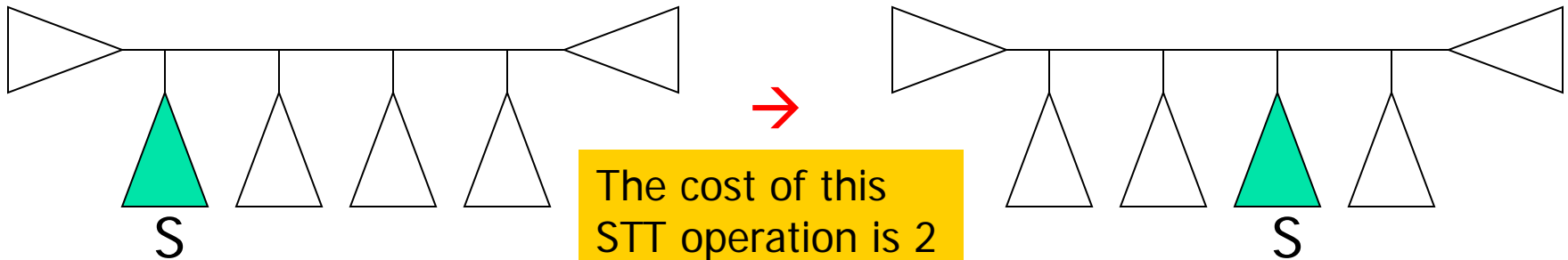


Approximation algorithm for NNI-dist

- There exists a polynomial time $(\log n)$ -approximated algorithm.

Subtree Transfer (STT)

- Consider a degree-3 unrooted tree T
- A **subtree transfer operation** is the operation of detaching a subtree and reattaching it to the middle of another edge
- An STT operation is charged by the number of nodes the subtree is transferred.





STT-dist

- Given two degree-3 unrooted trees T_1 and T_2 ,
- $\text{STT-dist}(T_1, T_2)$ is the minimum cost series of STT operations which transform T_1 to T_2 .
- T_1 and T_2 looks similar if $\text{STT-dist}(T_1, T_2)$ is small.



Property of STT-dist

- $\text{STT-dist}(T_1, T_2) = \text{NNI-dist}(T_1, T_2)$
- Proof:
 - $\text{STT-dist}(T_1, T_2) \leq \text{NNI-dist}(T_1, T_2)$
because each NNI-operation is an STT-operation.
 - $\text{STT-dist}(T_1, T_2) \geq \text{NNI-dist}(T_1, T_2)$
because each STT-operation of cost k can be simulated by k NNI-operations.



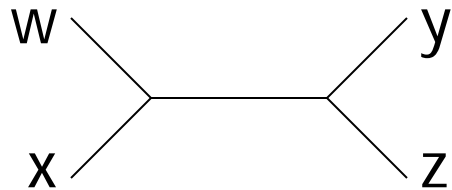
More on STT-dist

- Based on the result for NNI-operation, we have
 - $\text{STT-dist}(T_1, T_2)$ is NP-hard to compute.
 - There exists a polynomial time $(\log n)$ -approximated algorithm to compute $\text{STT-dist}(T_1, T_2)$

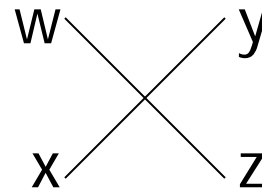


Quartet

- A quartet is a phylogenetic tree with 4 species.



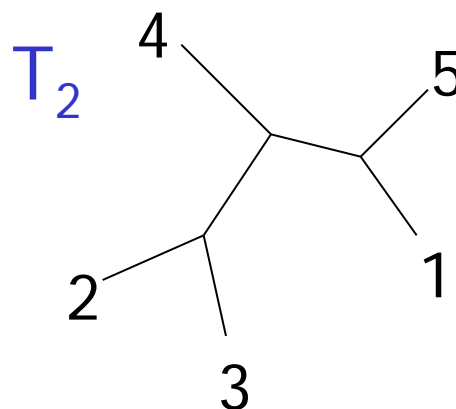
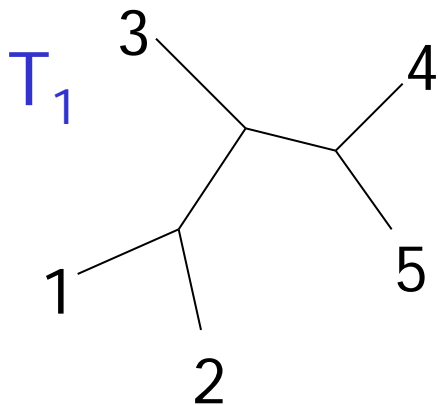
Butterfly quartet



Star quartet

Quartet distance

- Given two unrooted trees T_1 and T_2 ,
 - The quartet distance is the number of set of 4 species $\{w,x,y,z\}$ such that
 - $T_1|_{\{w,x,y,z\}} \neq T_2|_{\{w,x,y,z\}}$.



$\{1,2,3,4\}$: different
 $\{1,2,3,5\}$: different
 $\{1,2,4,5\}$: different
 $\{1,3,4,5\}$: different
 $\{2,3,4,5\}$: same

Quartet distance = 4



Previous works

- When T_1 and T_2 are of degree-3,
 - Steel and Penny (1993): $O(n^3)$ time.
 - Bryant et al. (2000): $O(n^2)$ time.
 - Brodal et al. (2003): $O(n \log n)$ time
- When T_1 and T_2 are of degree- d ,
 - Christiansen et al. (2005): $O(n^3)$ time or $O(d^2n^2)$ time.



Property

- Number of different quartets + number of shared quartets = $\binom{n}{4}$.



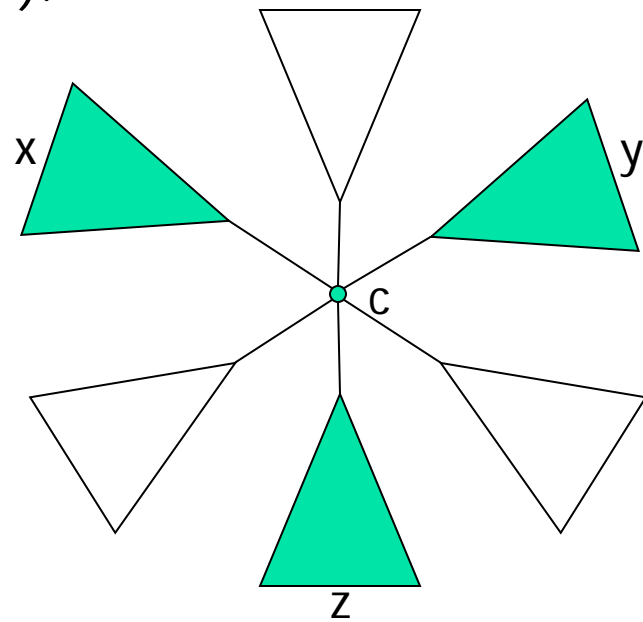
Brute-force method

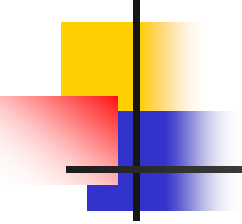
- $\text{count} = 0;$
- for every $\{w, x, y, z\} \subseteq S,$
 - if $T_1|\{w, x, y, z\} = T_2|\{w, x, y, z\}, \text{count}++;$
- Report $\binom{n}{4} - \text{count};$

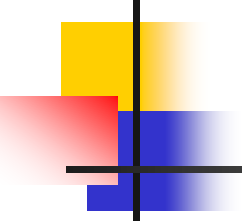
- The running time is at least $O(n^4).$

Observation

- Consider a tree T which is leaf-labeled by S .
- For any $\{x, y, z\} \subseteq S$,
 - There exists a unique internal node c in T such that c appears in any paths from x to y , y to z , and x to z .
- We denote $T^{c,x}$ be a set of species which appear in the child subtree containing x . (Similarly, we define $T^{c,y}$ and $T^{c,z}$.)
- Let $T^{c,\text{rest}} = S - (T^{c,x} \cup T^{c,y} \cup T^{c,z})$.



- 
-
- Note that, for all species $w \in T^{c,x}$, the quartet for $\{w,x,y,z\}$ in T is $wx|yz$.
 - Similarly, for all species $w \in T^{c,y}$, the quartet for $\{w,x,y,z\}$ in T is $wy|xz$.
 - Similarly, for all species $w \in T^{c,z}$, the quartet for $\{w,x,y,z\}$ in T is $wz|xy$.
 - Similarly, for all species $w \in T^{c,rest}$, the quartet for $\{w,x,y,z\}$ in T is a star quartet.

- 
-
- Consider two trees T_1 and T_2 .
 - The number of shared butterfly quartets involving x, y, z is $|T_1^{c,x} \cap T_2^{c',x}| + |T_1^{c,y} \cap T_2^{c',y}| + |T_1^{c,z} \cap T_2^{c',z}| - 3$.
 - The number of shared star quartets involving x, y, z is $|T_1^{c,\text{rest}} \cap T_2^{c',\text{rest}}|$.



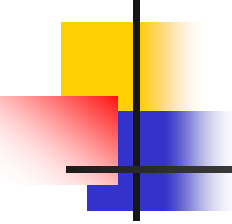
Algorithm

- $\text{count} = 0$;
- Compute $|R_1 \cap R_2|$ for any subtree R_1 of T_1 and any subtree R_2 of T_2 .
- For every $\{x, y, z\} \subseteq S$,
 - Let c be the center of x, y , and z in T_1 .
 - Let $T_1^{c,x}$, $T_1^{c,y}$, and $T_1^{c,z}$ be the subtrees attached to c containing x , y , z , respectively.
 - Set $T_1^{c,\text{rest}} = S - (T_1^{c,x} \cup T_1^{c,y} \cup T_1^{c,z})$.
 - Let c' be the center of x, y , and z in T_2 .
 - Let $T_2^{c',x}$, $T_2^{c',y}$, and $T_2^{c',z}$ be the subtrees attached to c' containing x , y , z , respectively.
 - Set $T_2^{c',\text{rest}} = S - (T_2^{c',x} \cup T_2^{c',y} \cup T_2^{c',z})$.
 - $\text{count} = \text{count} + |T_1^{c,x} \cap T_2^{c',x}| + |T_1^{c,y} \cap T_2^{c',y}| + |T_1^{c,z} \cap T_2^{c',z}| + |T_1^{c,\text{rest}} \cap T_2^{c',\text{rest}}| - 3$
- Report $\binom{n}{4} - \text{count}/4$;



Computing $|R_1 \cap R_2|$

- For any $e=(u,v)$ in T_1
 - e partitions T_1 into two subtrees with leaf sets Q_v and $Q_u = S-Q_v$.
 - For any $e'=(u',v')$ in T_2 ,
 - e' partitions T_2 into two subtrees with leaf sets $Q_{v'}$ and $Q_{u'}=S-Q_{v'}$.
 - $|T_1^{u,v} \cap T_2^{u',v'}| = |Q_v \cap Q_{v'}|$
- The running time is $O(n^3)$.
- The algorithm can be improved to $O(n^2)$ time.



Computing $|T_1^{c,\text{rest}} \cap T_2^{c',\text{rest}}|$ in $O(1)$ time

- $$\frac{|T_1^{c,\text{rest}} \cap T_2^{c',\text{rest}}|}{|T_1^{c,z} \cap T_2^{c',\text{rest}}|} = |T_2^{c',\text{rest}}| - (|T_1^{c,x} \cap T_2^{c',\text{rest}}| + |T_1^{c,y} \cap T_2^{c',\text{rest}}| + |T_1^{c,z} \cap T_2^{c',\text{rest}}|)$$
- $$|T_2^{c',\text{rest}}| = |S| - |T_2^{c',x}| - |T_2^{c',y}| - |T_2^{c',z}|$$
- $$|T_1^{c,x} \cap T_2^{c',\text{rest}}| = |T_1^{c,x}| - (|T_1^{c,x} \cap T_2^{c',x}| + |T_1^{c,x} \cap T_2^{c',y}| + |T_1^{c,x} \cap T_2^{c',z}|).$$
- $$|T_1^{c,y} \cap T_2^{c',\text{rest}}| = |T_1^{c,y}| - (|T_1^{c,y} \cap T_2^{c',x}| + |T_1^{c,y} \cap T_2^{c',y}| + |T_1^{c,y} \cap T_2^{c',z}|).$$
- $$|T_1^{c,z} \cap T_2^{c',\text{rest}}| = |T_1^{c,z}| - (|T_1^{c,z} \cap T_2^{c',x}| + |T_1^{c,z} \cap T_2^{c',y}| + |T_1^{c,z} \cap T_2^{c',z}|).$$



Time complexity

- $|R_1 \cap R_2|$ can be computed in $O(n^2)$ time.
- For every $\{x, y, z\} \subseteq S$,
 - $|T_1^{c,x} \cap T_2^{c',x}|$, $|T_1^{c,y} \cap T_2^{c',y}|$, $|T_1^{c,z} \cap T_2^{c',z}|$,
and $|T_1^{c,\text{rest}} \cap T_2^{c',\text{rest}}|$ can be computed in $O(1)$ time.
- In total, the running time is $O(n^3)$.



Consensus Tree



Consensus tree problem

- Given a set of n species S
- Given a set of trees $\{T_1, T_2, \dots, T_m\}$
 - where the leaves of every T_i are labeled by S
- Question: Find a tree which summarizes all the trees T_1, T_2, \dots, T_m .

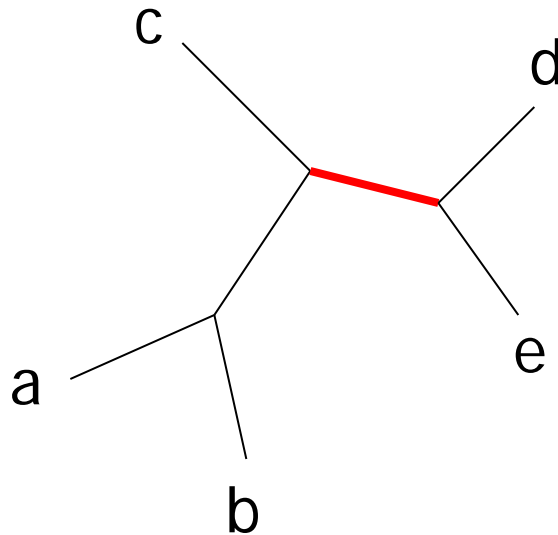


Applications

1. Find the bootstrapping tree.
2. Given a set of gene trees, infer the species tree.

Split of an edge

- Each edge can partition the set of species
- In the following tree, the red edge partition the species into $\{a, b, c\}$ and $\{d, e\}$.
- So, the split of the red edge is $\{a,b,c\}|\{d,e\}$.
- Note that for any $x \in S$, $\{x\}|S-\{x\}$ must be a valid split due to the leaf edge connecting the leaf x .





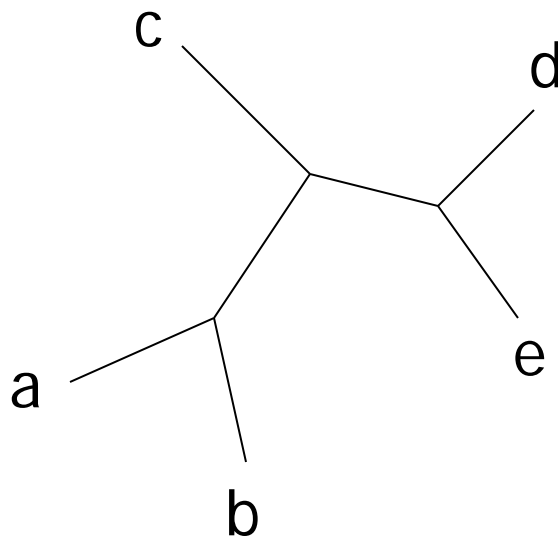
Properties of split

- Two splits $A|S-A$ and $B|S-B$ are compatible if $A \subseteq B$ or $A \subseteq S-B$ or $B \subseteq A$ or $B \subseteq S-A$.
- For any tree T , any two splits of T are compatible.
- Given a set of splits W which are pairwise compatible, there exists a tree T which contains all the splits in W .



Example

- There is a one-to-one correspond between the tree and the set of splits of all its edges.

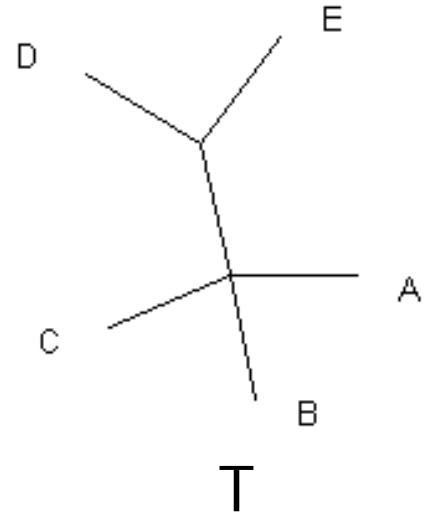
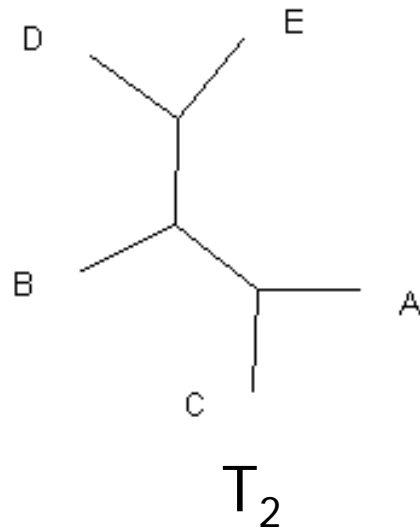
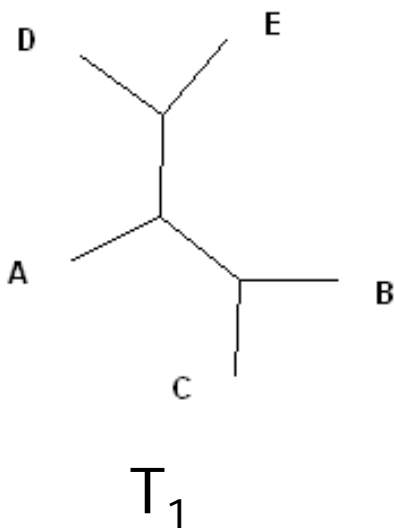


$\{a\} | \{b, c, d, e\}$
 $\{b\} | \{a, c, d, e\}$
 $\{c\} | \{a, b, d, e\}$
 $\{d\} | \{a, b, c, e\}$
 $\{e\} | \{a, b, c, d\}$
 $\{a, b\} | \{c, d, e\}$
 $\{a, b, c\} | \{d, e\}$



Strict consensus tree

- The strict consensus tree T of $\{T_1, T_2, \dots, T_m\}$ contains exactly those splits which appear in all T_i .
- The strict consensus tree always exists.
- Example: T is the strict consensus tree of T_1 and T_2 .





The strict consensus tree always exists

- Let W_i be the set of splits of T_i , $i=1,2,\dots,m$.
- The set of splits of the strict consensus tree is $W_1 \cap W_2 \cap \dots \cap W_m$.



How to find strict consensus tree of two trees?

Input: Two trees T_1, T_2

Output: the strict consensus tree

- Run $O(n)$ time Day's algorithm to find all the good edges.
- Generate the strict consensus tree.
 - Precisely, the strict consensus tree is formed by contracting all bad edges.
- Time complexity: $O(n)$.



How to find strict consensus tree of m trees?

Input: m trees T_1, T_2, \dots, T_m .

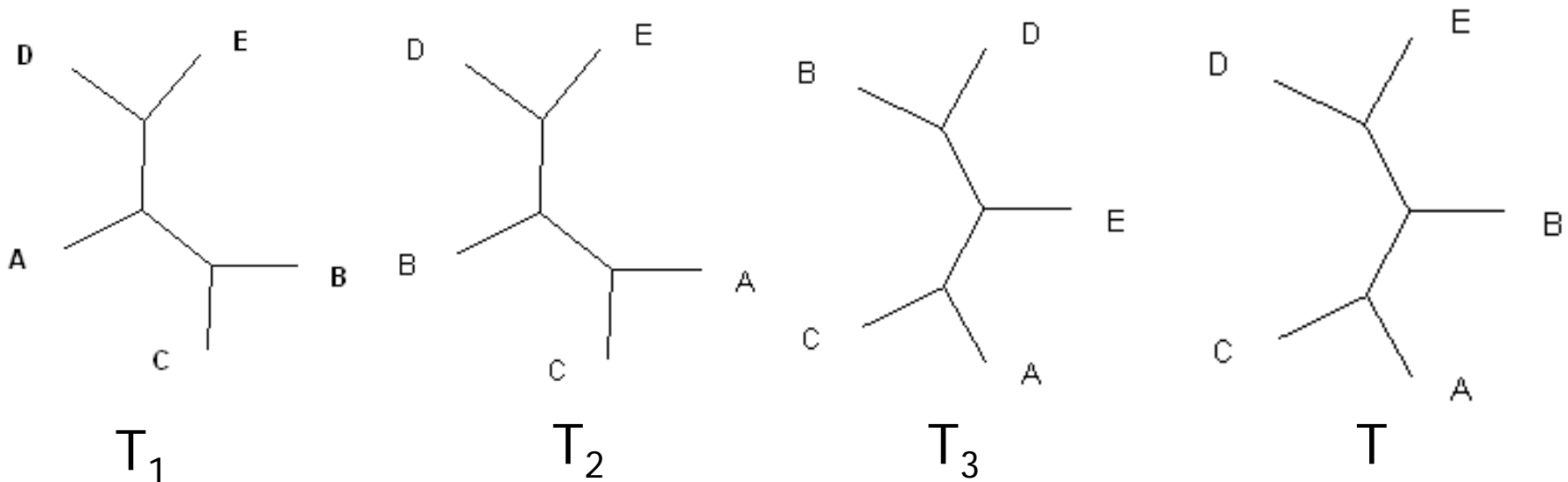
Output: the strict consensus tree

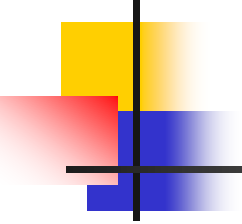
- Let $T = T_1$.
- For $i = 2$ to m
 - Set T be the strict consensus tree of T and T_i .
- Return T ;

- Time complexity: $O(mn)$

Majority rule tree

- The majority rule tree contains exactly those splits that appear in more than half of the input trees.
- The majority rule tree is unique (why?) and always exists.
- Example: T is also the majority rule tree of T_1 , T_2 , and T_3 .



- 
-
- Given two trees, the majority rule tree is the same as the strict consensus tree.



Algorithm

Input: m trees T_1, T_2, \dots, T_m .

Output: the majority tree

1. Count the occurrences of each split, storing the counts in a table.
2. Select those splits with occurrences $> m/2$.
3. Using the selected splits, create the majority tree.



Step 1

- For each T_i ,
 - We run Day's algorithm for (T_i, T_j) for all $j = i+1, \dots, m$.
 - For every edge in T_i which are unmarked, we count the number of good edges in T_j for $j > i$.
 - Also, we mark those good edges in T_j as counted.
- Time complexity: Each T_i takes $O(nm)$ time. Hence, Step 1 takes $O(m^2n)$ time.



A lemma for step 3

- Suppose we rooted the majority consensus tree at the leaf 1.
- Lemma: If p is a parent split of c in the majority tree, there exists a tree T_j which contains both splits p and c .
- Proof: Both p and c appears in more than $m/2$ trees. By pigeon-hole principle, there exists a tree which contains both p and c .



Step 3

- We root all tree T_i at the leaf 1.
- For each T_i , we get T'_i which is the tree formed by contracting all the non-majority splits.
- Let T' be T'_1 .
- For each $i=2, \dots, m$,
 - We traverse T'_i in depth first search order.
 - For any split c in T'_i , let p be its parent split in T'_i .
 - If c does not exists in T' , we introduce c as the child split of p in T' . (Note: p must exists in T' since we traverse the tree in depth first search order.)
- Time complexity: $O(nm)$ time.

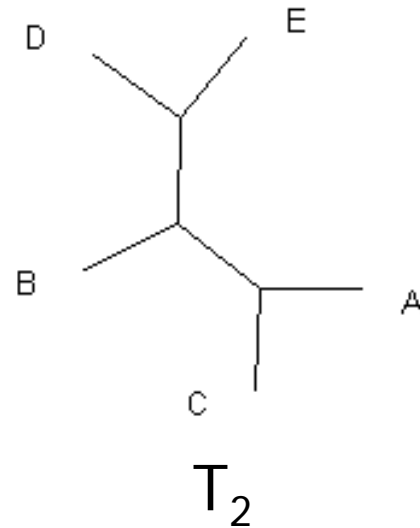
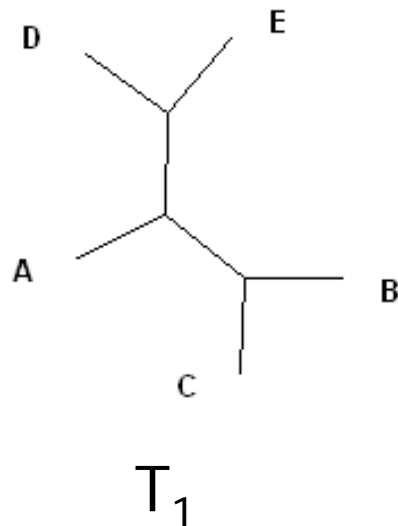


Time complexity for constructing majority consensus tree

- In summary, the majority consensus tree can be constructed in $O(nm^2)$ time.
- Note: Majority consensus tree can be built in $O(nm)$ expected time.
 - Nina Amenta, Frederick Clarke and Katherine St. John. A Linear-time Majority Tree Algorithm, 216-227, WABI, 2003.

Symmetric difference distance

- Denote $d(T_1, T_2)$ be the symmetric difference between T_1 and T_2 .
 - The number of splits appearing in one tree but not the other.
- Example: For T_1 and T_2 , $\{A,D,E\}|\{B,C\}$ only appears in T_1 and $\{A,C\}|\{B,D,E\}$ only appears in T_2 . Hence, $d(T_1, T_2) = 2$.



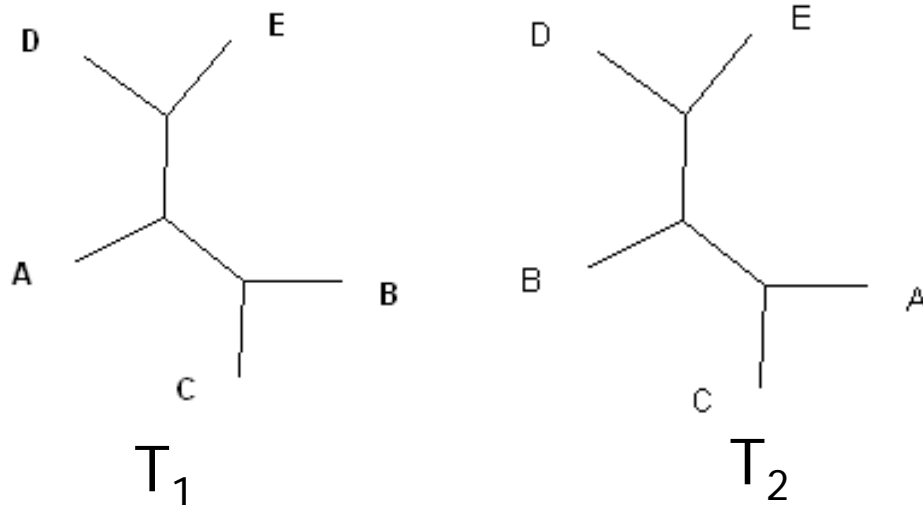


Median tree

- The median tree T for T_1, T_2, \dots, T_m minimizes
 - $\sum_{i=1..m} d(T, T_i)$.
- Barthélemy and McMorris showed that majority rule tree is the same as the median tree.

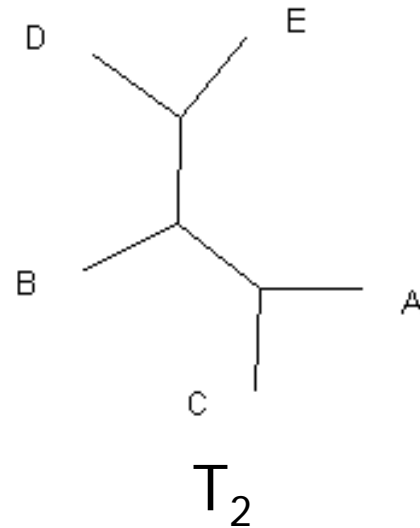
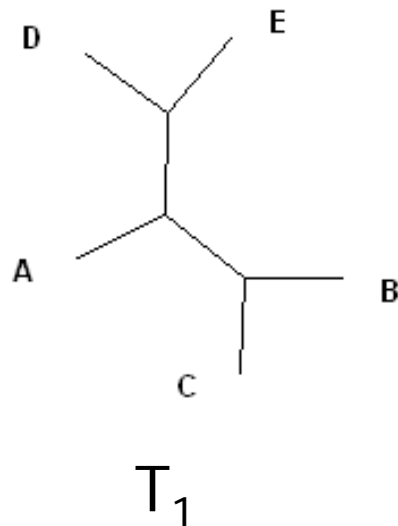
Asymmetric median consensus tree

- For every split, its weight is defined to be the number of input trees containing it.
- The asymmetric median tree a set of splits which maximizes the total weight.
- The asymmetric tree always exists.
- Example: Both T_1 and T_2 are also the asymmetric median trees of T_1 and T_2 .



Asymmetric difference distance

- Denote $d_a(T_1, T_2)$ be the symmetric difference between T_1 and T_2 .
 - The number of splits appearing in T_2 but T_1 .
- Example: For T_1 and T_2 , $(\{A,C\}, \{B,D,E\})$ only appears in T_2 but not T_1 . Hence, $d_a(T_1, T_2) = 1$.





Property of asymmetric median tree

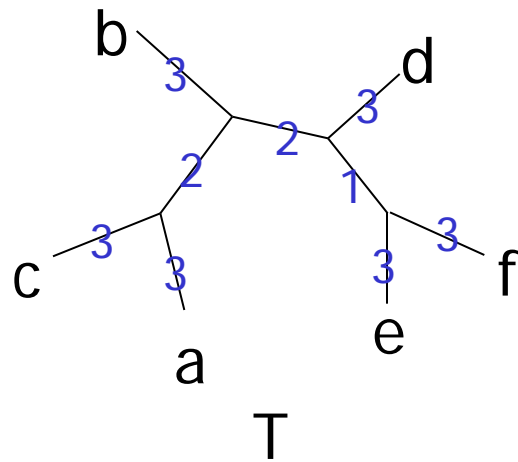
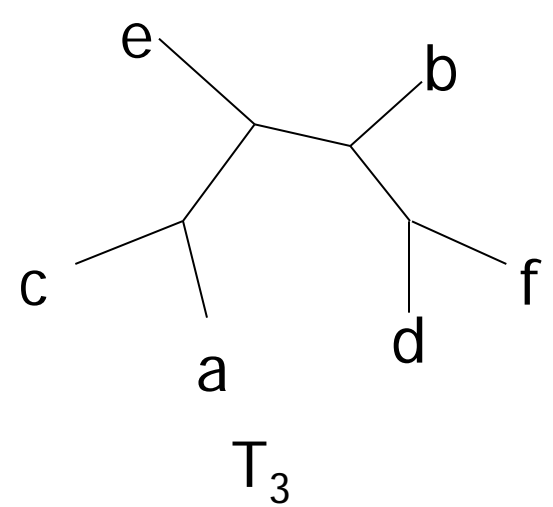
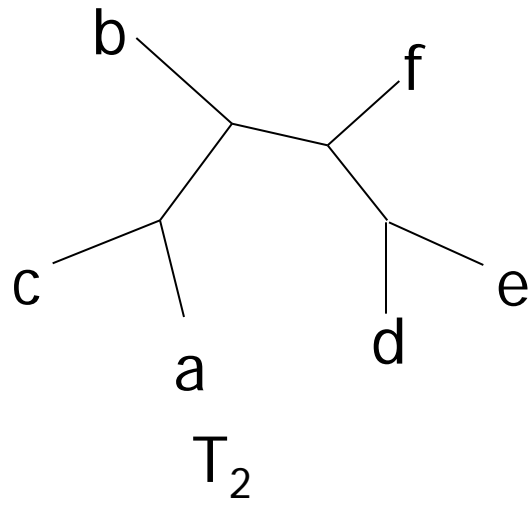
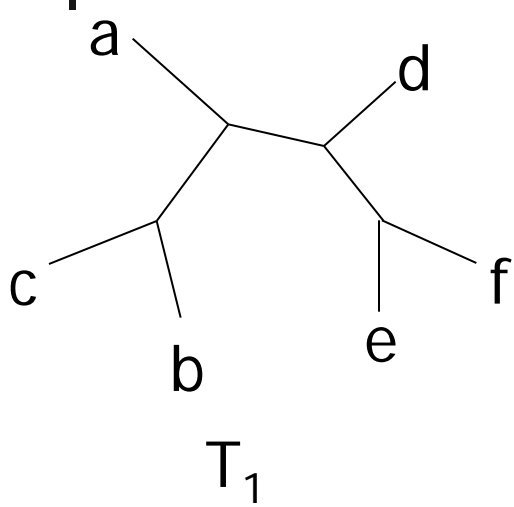
- The asymmetric median tree T for T_1, T_2, \dots, T_m minimizes
 - $\sum_{i=1..m} d_a(T, T_i)$.

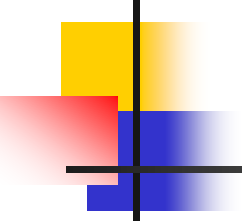


Greedy consensus tree

- Greedy consensus tree is created by
 - Sequentially include split one by one.
 - Every iteration, we include the most frequent split that is compatible with the included splits (breaking the ties randomly).
 - Do this until we cannot include any other split.

Example



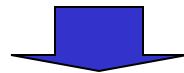
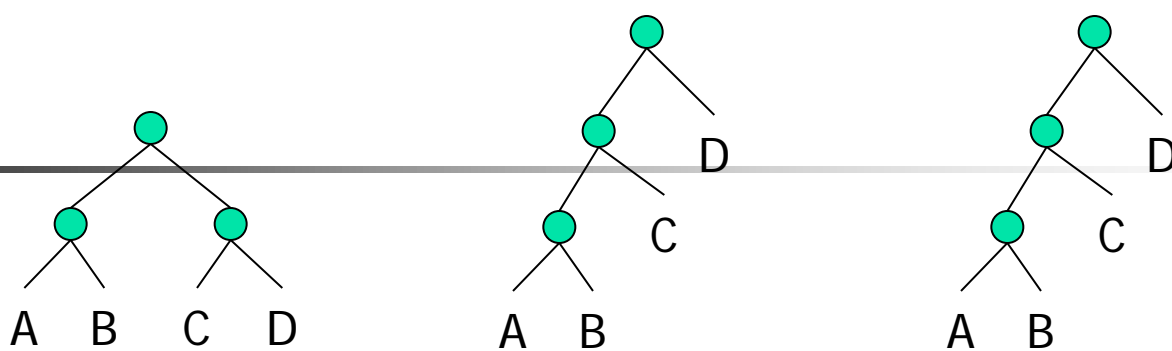
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- Greedy consensus tree is a refinement of the majority-rule consensus tree.



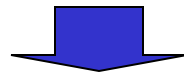
R* tree

- For each set of 3 species, find the most commonly occurring triplet e.g., C|AB, B|AC or A|BC.
- Build the tree from the most commonly occurring triplets.

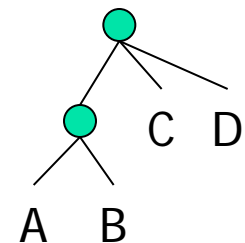
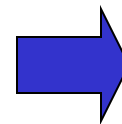
Example of R^* tree



- $C|AB - 3, A|BC - 0, B|AC - 0$
- $A|CD - 1, C|AD - 1, D|AC - 1$
- $B|CD - 1, C|BD - 1, D|BC - 1$
- $D|AB - 3, A|BD - 0, B|AD - 0$



$C|AB, D|AB$





Correctness

- Lemma: Let C be the set of most commonly occurring triplets. There exists a most resolved tree which is consistent with all triplets in C . Also, such tree is unique.
- Proof:
 - Steel, M. The complexity of reconstructing trees from qualitative characters and subtrees. *Journal of Classification*, 9:91–116, 1992.



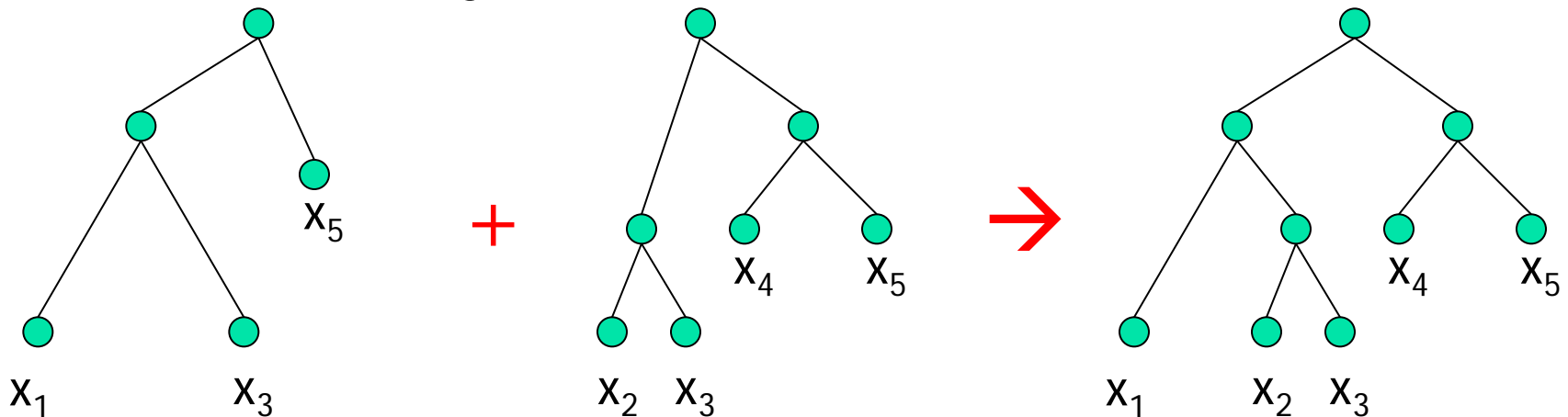
Algorithm for computing R^* tree

1. Computing the number of occurrences of all triplets in the m trees.
 - There are n^3 triplets in each tree and there are m trees. Hence, it takes $O(m n^3)$ time.
 2. For each set of 3 species $\{A, B, C\}$, find the most commonly occurring triplet.
 - This step takes $O(n^3)$ time.
 3. Constructing the tree from the set C of the most commonly occurring triplets.
 - By triplet method, this step takes $O(\min\{O(k \log^2 n), O(k + n^2 \log n)\})$ where $k=|C| < n^3$. Hence, this step takes $O(n^3)$ time.
- The whole algorithm runs in $O(m n^3)$ time.

Other directions of Phylogenetic study

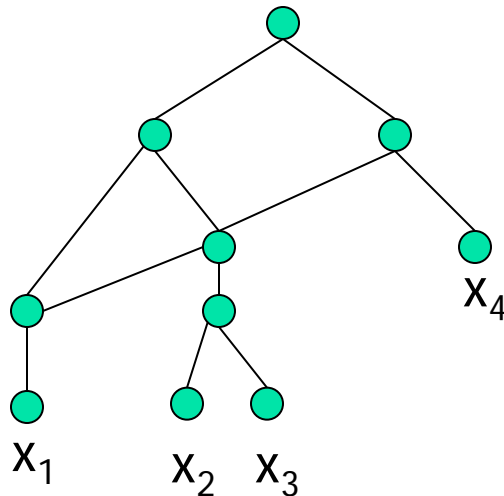
■ Supertree

- No method can find the phylogenetic tree for all species
- To find the phylogenetic tree for all species, one method is to combine a number of phylogenetic trees
- The combined tree is called supertree.
- The difficulties of this problem is to resolve the conflicts among the trees.



Other directions of Phylogenetic study

- Phylogenetic network
 - Evolution is in fact more than a point mutation. We have other types of evolutions. Like:
 - Hybridization.
 - E.g. tiger + lion → tigrion
 - Horizontal gene transfer
 - E.g. Bovine Corona Virus (genbank ID NC_003045) + Murine Hepatitis Virus (genbank ID AF201929) → SARS
 - Phylogenetic tree cannot model those types of evolutions.





Reference (Robinson-Foulds distance and Day's algorithm)

- D. F. Robinson and L. R. Foulds. Comparison of phylogenetic trees. *Mathematical Biosciences*, 53:131-147, 1981.
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Reference (NNI-distance and Subtree-transfer distance)

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