Marshall Ball Alon Rosen Manuel Sabin Prashant Nalini Vasudevan

3SUM



・ロト・日本・日本・日本・日本・日本

► 3SUM

► APSP

・ロト・日本・モト・モト・モー りゃぐ

- ► 3SUM
- ► APSP
- Orthogonal Vectors

・ロト・日本・モト・モト・モー りゃぐ

Natural object of study

- Natural object of study
- Necessary for cryptography

- Natural object of study
- Necessary for cryptography
- Potential use in algorithm design

Plan

- Introduce problems
- Present average-case reduction

<ロト < 団 > < 巨 > < 巨 > 三 の < で</p>

- Summarise
- Present Proof of Work
- ▶ ???
- ► Profit.



▲□▶▲圖▶▲臣▶▲臣▶ 臣 のへで







・ロト・日本・日本・日本・日本・日本・日本



 $\exists u \in U, v \in V : disjoint?$

<□> <@> < E> < E> E のQ@



Best known worst-case algorithm [AWY15]: $O(n^{2-1/O(\log(d/\log n))})$

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへ⊙



Best known worst-case algorithm [AWY15]: $O(n^{2-1/O(\log(d/\log n))})$

OV Conjecture (implied by SETH [Wil05])

If
$$d = \omega(\log n)$$
, OV takes $n^{2-o(1)}$ time



Best known worst-case algorithm [AWY15]: $O(n^{2-1/O(\log(d/\log n))})$

OV Conjecture (implied by SETH [Wil05])

If
$$d = \omega(\log n)$$
, OV takes $n^{2-o(1)}$ time.





$$(1-u_{i1}v_{j1})(1-u_{i2}v_{j2})\cdots(1-u_{id}v_{jd})$$



 $1 \Leftrightarrow u_i, v_i$ disjoint

<□▶ < □▶ < □▶ < □▶ < □▶ < □ > ○ < ○

$$(1 - u_{i1}v_{j1})(1 - u_{i2}v_{j2})\cdots(1 - u_{id}v_{jd})$$



◆ロト ◆課 ▶ ◆注 ▶ ◆注 ▶ ○注 ○ のへで



$$= \sum_{i \in [n]} \sum_{j \in [n]} \underbrace{(1 - u_{i1}v_{j1})(1 - u_{i2}v_{j2}) \cdots (1 - u_{id}v_{jd})}_{(1 - u_{i1}v_{j1})(1 - u_{i2}v_{j2}) \cdots (1 - u_{id}v_{jd})}$$

$$p > n^2$$
$$f: \mathbb{F}_p^{2nd} \to \mathbb{F}_p$$

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ ─ 臣 - のへで



◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 = のへで

Worst-Case to Average-Case



◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 - 釣��

Worst-Case to Average-Case



・ロト・日本・山田・山田・山口・

$$f: \mathbb{F}_p^{2nd} \to \mathbb{F}_p, deg(f) = 2d$$

$$f: \mathbb{F}_p^{2nd} o \mathbb{F}_p, deg(f) = 2d$$

 $\Pr_{\mathbf{x} \leftarrow \mathbb{F}_p^{2nd}} \left[A(\mathbf{x}) = f(\mathbf{x}) \right] \ge 0.9$ Time: $t = n^{1+lpha}$

$$f: \mathbb{F}_p^{2nd} \to \mathbb{F}_p, \deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_p^{2nd}} [A(\mathbf{x}) = f(\mathbf{x})] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_B [B(\mathbf{x}) = f(\mathbf{x})] \ge \frac{2}{3}$$

$$\mathsf{Time:} \quad \mathsf{Time:}$$

$$f: \mathbb{F}_p^{2nd} \to \mathbb{F}_p, \, deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_p^{2nd}} \left[A(\mathbf{x}) = f(\mathbf{x}) \right] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_B \left[B(\mathbf{x}) = f(\mathbf{x}) \right] \ge \frac{2}{3}$$

$$\mathsf{Time:} \quad \mathsf{Time:}$$



$$f: \mathbb{F}_p^{2nd} \to \mathbb{F}_p, \deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_p^{2nd}} [A(\mathbf{x}) = f(\mathbf{x})] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_B [B(\mathbf{x}) = f(\mathbf{x})] \ge \frac{2}{3}$$

$$\mathsf{Time:} \quad \mathsf{Time:}$$



$$g(t) = f(\mathbf{x} + \mathbf{y}t)$$
$$g(0) = f(\mathbf{x}), deg(g) \le 2d$$

$$f: \mathbb{F}_p^{2nd} \to \mathbb{F}_p, \ deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_p^{2nd}} [A(\mathbf{x}) = f(\mathbf{x})] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_B [B(\mathbf{x}) = f(\mathbf{x})] \ge \frac{2}{3}$$

$$\mathsf{Time:} \quad \mathsf{Time:}$$



$$g(t) = f(\mathbf{x} + \mathbf{y}t)$$
$$g(0) = f(\mathbf{x}), deg(g) \le 2d$$

(ロ) (型) (E) (E) (E) (O)(C)

$$f: \mathbb{F}_{p}^{2nd} \to \mathbb{F}_{p}, deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_{p}^{2nd}} [A(\mathbf{x}) = f(\mathbf{x})] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_{B} [B(\mathbf{x}) = f(\mathbf{x})] \ge \frac{2}{3}$$

$$\mathsf{Time:} \quad \mathsf{Time:}$$



$$g(t) = f(\mathbf{x} + \mathbf{y}t)$$
$$g(0) = f(\mathbf{x}), deg(g) \le 2d$$

Error-correct from (noisy) $g(1), g(2), \ldots, g(cd)$

$$f: \mathbb{F}_p^{2nd} \to \mathbb{F}_p, \ deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_p^{2nd}} [A(\mathbf{x}) = f(\mathbf{x})] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_B [B(\mathbf{x}) = f(\mathbf{x})] \ge \frac{2}{3}$$

$$\mathsf{Time:} \quad \mathsf{Time:}$$



$$g(t) = f(\mathbf{x} + \mathbf{y}t)$$

 $g(0) = f(\mathbf{x}), deg(g) \le 2d$

Error-correct from (noisy) $g(1), g(2), \ldots, g(cd)$

$$\Pr_{\mathbf{y}} \left[ext{too many } t \text{'s} : A(\mathbf{x} + \mathbf{y}t)
eq g(t)
ight] < rac{1}{3}$$

(Markov Bound)

$$f: \mathbb{F}_{p}^{2nd} \to \mathbb{F}_{p}, \, deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_{p}^{2nd}} \left[A(\mathbf{x}) = f(\mathbf{x}) \right] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_{B} \left[B(\mathbf{x}) = f(\mathbf{x}) \right] \ge \frac{2}{3}$$

$$\mathsf{Time:} \ t = n^{1+\alpha} \qquad \qquad \mathsf{Time:} \ \widetilde{O}(d \cdot nd + d \cdot t + d^{3})$$



$$egin{aligned} g(t) &= f(\mathbf{x} + \mathbf{y}t) \ g(0) &= f(\mathbf{x}), \, deg(g) \leq 2d \end{aligned}$$

Error-correct from (noisy) $g(1), g(2), \ldots, g(cd)$

$$\Pr_{\mathbf{y}} \left[ext{too many } t \text{'s} : A(\mathbf{x} + \mathbf{y}t)
eq g(t)
ight] < rac{1}{3}$$

(Markov Bound)

$$f: \mathbb{F}_{p}^{2nd} \to \mathbb{F}_{p}, \, deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_{p}^{2nd}} \left[A(\mathbf{x}) = f(\mathbf{x}) \right] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_{B} \left[B(\mathbf{x}) = f(\mathbf{x}) \right] \ge \frac{2}{3}$$

$$\mathsf{Time:} \ t = n^{1+\alpha} \qquad \qquad \mathsf{Time:} \ \widetilde{O}(d \cdot nd + d \cdot t + d^{3})$$

$$f(U,V) = \sum_{i \in [n]} \sum_{j \in [n]} \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell})$$

$$f: \mathbb{F}_{p}^{2nd} \to \mathbb{F}_{p}, \, deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_{p}^{2nd}} \left[A(\mathbf{x}) = f(\mathbf{x}) \right] \ge 0.9 \qquad \qquad \forall \mathbf{x} : \Pr_{B} \left[B(\mathbf{x}) = f(\mathbf{x}) \right] \ge \frac{2}{3}$$

$$\mathsf{Time:} \ t = n^{1+\alpha} \qquad \qquad \mathsf{Time:} \ \widetilde{O}(d \cdot nd + d \cdot t + d^{3})$$

$$\begin{split} f(U,V) &= \sum_{i \in [n]} \sum_{j \in [n]} \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) \\ &= \left(\sum_{\substack{i \in [n/2] \\ j \in [n/2]}} + \sum_{\substack{i \in [n/2] \\ j \in (n/2, n]}} + \sum_{\substack{i \in (n/2, n] \\ j \in [n/2]}} + \sum_{\substack{i \in (n/2, n] \\ j \in [n/2]}} + \sum_{\substack{i \in (n/2, n] \\ j \in (n/2, n]}} \right) \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) \end{split}$$

$$f: \mathbb{F}_{p}^{2nd} \to \mathbb{F}_{p}, deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_{p}^{2nd}} [A(\mathbf{x}) = f(\mathbf{x})] \ge \frac{1}{n^{\sigma(1)}} \qquad \qquad \forall \mathbf{x} : \Pr_{B} [B(\mathbf{x}) = f(\mathbf{x})] \ge \frac{2}{3}$$

$$\mathsf{Time:} \ t = n^{1+\alpha} \qquad \qquad \mathsf{Time:} \ \widetilde{O}(d \cdot nd + d \cdot t + d^{3})$$

$$\begin{split} f(U,V) &= \sum_{i \in [n]} \sum_{j \in [n]} \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) \\ &= \left(\sum_{\substack{i \in [n/2] \\ j \in [n/2]}} + \sum_{\substack{i \in [n/2] \\ j \in (n/2, n]}} + \sum_{\substack{i \in (n/2, n] \\ j \in [n/2]}} + \sum_{\substack{i \in (n/2, n] \\ j \in [n/2]}} + \sum_{\substack{i \in (n/2, n] \\ j \in (n/2, n]}} \right) \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) \end{split}$$

$$f: \mathbb{F}_{p}^{2nd} \to \mathbb{F}_{p}, deg(f) = 2d$$

$$\Pr_{\mathbf{x} \leftarrow \mathbb{F}_{p}^{2nd}} [A(\mathbf{x}) = f(\mathbf{x})] \ge \frac{1}{n^{o(1)}} \qquad \qquad \forall \mathbf{x} : \Pr_{B} [B(\mathbf{x}) = f(\mathbf{x})] \ge \frac{2}{3}$$

$$\mathsf{Time:} \ t = n^{1+\alpha} \qquad \qquad \mathsf{Time:} \ t^{1+o(1)}$$

$$\begin{split} f(U,V) &= \sum_{i \in [n]} \sum_{j \in [n]} \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) \\ &= \left(\sum_{\substack{i \in [n/2] \\ j \in [n/2]}} + \sum_{\substack{i \in [n/2] \\ j \in (n/2, n]}} + \sum_{\substack{i \in (n/2, n] \\ j \in [n/2]}} + \sum_{\substack{i \in (n/2, n] \\ j \in [n/2]}} + \sum_{\substack{i \in (n/2, n] \\ j \in (n/2, n]}} \right) \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) \end{split}$$

Intermediate Summary

We have a worst-to-average case reduction from OV (resp. 3SUM, APSP) to evaluating a polynomial f (other respective polynomials).

Intermediate Summary

We have a worst-to-average case reduction from OV (resp. 3SUM, APSP) to evaluating a polynomial f (other respective polynomials). In addition,

- ► *f* has low degree polylog(*n*).
- *f* is somewhat efficiently computable $\widetilde{O}(n^2)$.
- ► *f* is downward self-reducible.

Intermediate Summary

We have a worst-to-average case reduction from OV (resp. 3SUM, APSP) to evaluating a polynomial f (other respective polynomials). In addition,

- ► *f* has low degree polylog(*n*).
- *f* is somewhat efficiently computable $\widetilde{O}(n^2)$.
- ► *f* is downward self-reducible.

```
Theorem [Wil16]
```

There is an MA proof system for proving $(f(\mathbf{x}) = y)$ that has:

- perfect completeness and negligible soundness.
- prover complexity $\widetilde{O}(n^2)$.
- verifier complexity $\widetilde{O}(n)$.



▲□▶▲圖▶▲圖▶▲圖▶ 圖 のへで



<ロト < 団 > < 巨 > < 巨 > 三 の < で</p>



▲□▶▲圖▶▲臣▶▲臣▶ 臣 のへで



<□> <@> < E> < E> E のQ@





Pr [Prover can run in $n^{2-\epsilon}$ and convince Verifier] $\leq \frac{1}{n^{\epsilon/2}}$

◆□▶ ◆□▶ ◆三▶ ◆三▶ ・三 ・ 少々ぐ



Pr [Prover can run in $n^{2-\epsilon}$ and convince Verifier] $\leq \frac{1}{n^{\epsilon/2}}$

(See [DN92] for generic constructions and applications.)

▲□▶ ▲@▶ ▲ Ξ▶ ▲ Ξ▶ ▲ Ξ · の Q @

• Average-case complexity of OV, 3SUM, etc.

- Average-case complexity of OV, 3SUM, etc.
- Fine-grained cryptography
 - ▶ Some prior work under other assumptions [Mer78, Hås87, BGI08, DVV16, ...].

- Fine-grained OWFs from SETH?
- Beat Merkle's key agreement under these assumptions?

- Average-case complexity of OV, 3SUM, etc.
- Fine-grained cryptography
 - ▶ Some prior work under other assumptions [Mer78, Hås87, BGI08, DVV16, ...].

- Fine-grained OWFs from SETH?
- Beat Merkle's key agreement under these assumptions?
- Average-case algorithms
 - Design algorithms to evaluate polynomials that work on average.

- Average-case complexity of OV, 3SUM, etc.
- Fine-grained cryptography
 - ▶ Some prior work under other assumptions [Mer78, Hås87, BGI08, DVV16, ...].

- Fine-grained OWFs from SETH?
- Beat Merkle's key agreement under these assumptions?
- Average-case algorithms
 - Design algorithms to evaluate polynomials that work on average.
- Better reductions
 - Is it actually possible to do better than guessing at random?

To be passed in case of an abundance of time.

k-SAT and SETH

$$\begin{pmatrix} k \\ (x_1 \lor \overline{x_2} \lor \dots) \land (\ldots \lor x_n \lor \dots) \land \cdots \land (\ldots \lor \ldots \lor \dots)$$

k-SAT and SETH

$$\begin{bmatrix} k \\ (x_1 \lor \overline{x_2} \lor \dots) \land (\dots \lor x_n \lor \dots) \land \dots \land (\dots \lor \dots \lor \dots) \end{bmatrix}$$

Best known worst-case algorithm [PPSZ05]: $\widetilde{O}(2^{(1-c/k)n})$

k-SAT and SETH

$$\begin{matrix} k \\ (x_1 \lor \overline{x_2} \lor \dots) \land (\ldots \lor x_n \lor \dots) \land \cdots \land (\ldots \lor \ldots \lor \dots) \end{matrix}$$

Best known worst-case algorithm [PPSZ05]: $\widetilde{O}(2^{(1-c/k)n})$

Strong Exponential Time Hypothesis (SETH) [IPZ98]

$$\forall \epsilon \exists k: k$$
-SAT takes $\widetilde{\Omega}(2^{(1-\epsilon)n})$ time.

$$(U, V) \in \mathbb{F}_p^{2nd}, z \in \mathbb{F}_p$$

・ロト・日本・モー・モー・ (日本・10×10)

$$(U, V) \in \mathbb{F}_p^{2nd}, z \in \mathbb{F}_p$$

 $\phi_1, \dots, \phi_d : \mathbb{F}_p \to \mathbb{F}_p$
 $\forall i \in [n] : \phi_\ell(i) = u_{i\ell}$
 $deg(\phi_\ell) \le n-1$

$$(U, V) \in \mathbb{F}_p^{2nd}, z \in \mathbb{F}_p$$

 $\phi_1, \dots, \phi_d : \mathbb{F}_p \to \mathbb{F}_p$
 $\forall i \in [n] : \phi_\ell(i) = u_{i\ell}$
 $deg(\phi_\ell) \le n-1$

$$f(U,V) = \sum_{i \in [n]} \sum_{j \in [n]} \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) = \sum_{i \in [n]} \left[\sum_{j \in [n]} \prod_{\ell \in [d]} (1 - \phi_{\ell}(i) v_{j\ell}) \right] = \sum_{i \in [n]} r(i)$$

▲□▶▲圖▶▲圖▶▲圖▶ 圖 のへで

$$(U, V) \in \mathbb{F}_p^{2nd}, z \in \mathbb{F}_p$$

 $\phi_1, \dots, \phi_d : \mathbb{F}_p \to \mathbb{F}_p$
 $\forall i \in [n] : \phi_\ell(i) = u_{i\ell}$
 $deg(\phi_\ell) \le n-1$

$$f(U, V) = \sum_{i \in [n]} \sum_{j \in [n]} \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) = \sum_{i \in [n]} \left[\sum_{j \in [n]} \prod_{\ell \in [d]} (1 - \phi_{\ell}(i) v_{j\ell}) \right] = \sum_{i \in [n]} r(i)$$

• Proof: Coefficients of *r*. (Interpolation – $\widetilde{O}(n^2)$)

$$(U, V) \in \mathbb{F}_p^{2nd}, z \in \mathbb{F}_p$$

 $\phi_1, \dots, \phi_d : \mathbb{F}_p \to \mathbb{F}_p$
 $\forall i \in [n] : \phi_\ell(i) = u_{i\ell}$
 $deg(\phi_\ell) \le n - 1$

$$f(U,V) = \sum_{i \in [n]} \sum_{j \in [n]} \prod_{\ell \in [d]} (1 - u_{i\ell} v_{j\ell}) = \sum_{i \in [n]} \left[\sum_{j \in [n]} \prod_{\ell \in [d]} (1 - \phi_{\ell}(i) v_{j\ell}) \right] = \sum_{i \in [n]} r(i)$$

- ▶ Proof: Coefficients of *r*. (Interpolation $\widetilde{O}(n^2)$)
- ► Verification:
 - Check *r* at random point. (Computation of ϕ and correct value $\widetilde{O}(n)$)
 - Compute r(i) for $i \in [n]$ and sum to get f(U, V). (Batch evaluation $\widetilde{O}(n)$)

Amir Abboud, Richard Ryan Williams, and Huacheng Yu. More applications of the polynomial method to algorithm design. In Piotr Indyk, editor, *Proceedings of the Twenty-Sixth Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2015, San Diego, CA, USA, January 4-6, 2015*, pages 218–230. SIAM, 2015.

🔋 Eli Biham, Yaron J. Goren, and Yuval Ishai.

Basing weak public-key cryptography on strong one-way functions. In Ran Canetti, editor, *Theory of Cryptography, Fifth Theory of Cryptography Conference, TCC 2008, New York, USA, March 19-21, 2008.*, volume 4948 of *Lecture Notes in Computer Science*, pages 55–72. Springer, 2008.

Jin-yi Cai, Aduri Pavan, and D. Sivakumar.

On the hardness of permanent.

In Christoph Meinel and Sophie Tison, editors, *STACS 99, 16th Annual Symposium on Theoretical Aspects of Computer Science, Trier, Germany, March 4-6, 1999, Proceedings,* volume 1563 of *Lecture Notes in Computer Science*, pages 90–99. Springer, 1999.

Cynthia Dwork and Moni Naor.

Pricing via processing or combatting junk mail.

In Advances in Cryptology - CRYPTO '92, 12th Annual International Cryptology Conference, Santa Barbara, California, USA, August 16-20, 1992, Proceedings, pages 139–147, 1992.

Akshay Degwekar, Vinod Vaikuntanathan, and Prashant Nalini Vasudevan. Fine-grained cryptography.

In Advances in Cryptology - CRYPTO 2016 - 36th Annual International Cryptology Conference, Santa Barbara, CA, USA, August 14-18, 2016, Proceedings, Part III, pages 533–562, 2016.

📄 Peter Gemmell and Madhu Sudan.

Highly resilient correctors for polynomials. *Information processing letters*, 43(4):169–174, 1992.

🚺 Johan Håstad.

One-way permutations in NC^0 .

Information Processing Letters, 26(3):153–155, 1987.

Russell Impagliazzo, Ramamohan Paturi, and Francis Zane.

Which problems have strongly exponential complexity?

In 39th Annual Symposium on Foundations of Computer Science, FOCS '98, November 8-11, 1998, Palo Alto, California, USA, pages 653–663. IEEE Computer Society, 1998.

🔋 Richard Lipton.

New directions in testing.

Distributed Computing and Cryptography, 2:191–202, 1991.

🔋 Ralph C. Merkle.

Secure communications over insecure channels.

Commun. ACM, 21(4):294-299, 1978.

Ramamohan Paturi, Pavel Pudlák, Michael E. Saks, and Francis Zane. An improved exponential-time algorithm for k-sat. J. ACM, 52(3):337-364, May 2005.

Ryan Williams.

A new algorithm for optimal 2-constraint satisfaction and its implications. *Theor. Comput. Sci.*, 348(2-3):357–365, 2005.

🔋 Ryan Williams.

Strong ETH breaks with merlin and arthur: Short non-interactive proofs of batch evaluation.

In 31st Conference on Computational Complexity, CCC 2016, May 29 to June 1, 2016, Tokyo, Japan, pages 2:1–2:17, 2016.