

A near-optimal direct-sum theorem for communication complexity

Rahul Jain*

Abstract

We show a near optimal *direct-sum* theorem for the two-party randomized communication complexity. Let $f \subseteq \mathcal{X} \times \mathcal{Y} \times \mathcal{Z}$ be a relation, $\varepsilon > 0$ and $k \geq 1$ be an integer. We show,

$$R_\varepsilon^{\text{pub}}(f^k) \cdot \log(R_\varepsilon^{\text{pub}}(f^k)) \geq \Omega(k \cdot R_\varepsilon^{\text{pub}}(f)) ,$$

where $f^k = f \times \dots \times f$ (k -times) and $R_\varepsilon^{\text{pub}}(\cdot)$ represents the public-coin randomized communication complexity with worst-case error ε .

Given a protocol \mathcal{P} for f^k with communication cost $c \cdot k$ and worst-case error ε , we exhibit a protocol \mathcal{Q} for f with *external-information-cost* $\mathcal{O}(c)$ and worst-error ε . We then use a message compression protocol due to Barak, Braverman, Chen and Rao [2] for *simulating* \mathcal{Q} with communication $\mathcal{O}(c \cdot \log(c \cdot k))$ to arrive at our result.

To show this reduction we show some new *chain-rules* for *capacity*, the maximum information that can be transmitted by a communication *channel*. We use the powerful concept of *Nash-Equilibrium* in game-theory, and its existence in suitably defined games, to arrive at the chain-rules for capacity. These chain-rules are of independent interest.

1 Introduction

A fundamental question in complexity theory is how much resource is needed to solve k independent instances of a problem compared to the resource required to solve one instance. More specifically, suppose for solving one instance of a problem with probability of correctness p , we require c units of some resource in a given model of computation. A natural way to solve k independent instances of the same problem is to solve them independently, which needs $k \cdot c$ units of resource and the overall success probability is p^k . A *direct-product* (a.k.a. *parallel-repetition*) theorem for this problem would state that any algorithm, which solves k independent instances of this problem with $o(k \cdot c)$ units of the resource, can only compute all the k instances correctly with probability at most $p^{-\Omega(k)}$. The weaker direct-sum theorems state that in order to compute k independent instances of a problem, if we provide $o(k \cdot c)$ units of resource, then the success probability for computing all the k instances correctly is at most a constant $q < 1$.

In this work, we are concerned with the model of communication complexity [35]. In this model there are different parties who wish to compute a joint relation of their inputs. They do local computation, use public and-or private coins, and communicate to achieve this task. The resource that is counted is the number of bits communicated. The text by Kushilevitz and Nisan [26] is an excellent reference for this model.

Direct-product and direct-sum questions have been extensively investigated in different sub-models of communication complexity, a partial list includes [30, 29, 10, 1, 31, 20, 14, 21, 24, 27, 34, 18, 12, 23, 17, 3, 22, 32, 9, 13, 4, 2, 5, 8, 6, 19, 25, 7, 33].

*Centre for Quantum Technologies, Department of Computer Science, National University of Singapore. MajuLab, UMI 3654, Singapore. Email: rahul@comp.nus.edu.sg

Our result

In this paper, we show a direct-sum theorem for the two-party randomized communication complexity. In this model, for computing a relation $f \subseteq \mathcal{X} \times \mathcal{Y} \times \mathcal{Z}$ (where \mathcal{X} , \mathcal{Y} , and \mathcal{Z} are finite sets), one party, say Alice, is given an input $x \in \mathcal{X}$ and the other party, say Bob, is given an input $y \in \mathcal{Y}$. They do local computation, use public and-or private coins, exchange messages between them and at the end output an element $z \in \mathcal{Z}$. They succeed if $(x, y, z) \in f$. For $\varepsilon \in (0, 1)$, let $R_\varepsilon^{\text{pub}}(f)$ be the two-party communication complexity of f with worst case error ε (see Definition 2.7). Let $f^k = f \times \dots \times f$ (k -times). In a protocol for f^k , Alice receives input from \mathcal{X}^k , Bob receives input from \mathcal{Y}^k and the output of the protocol is in \mathcal{Z}^k . We show the following.

Theorem 1.1. *Let $f \subseteq \mathcal{X} \times \mathcal{Y} \times \mathcal{Z}$ be a relation, $\varepsilon, \delta > 0$ and $k \geq 1$ be an integer. Then,*

$$R_\varepsilon^{\text{pub}}(f^k) \cdot \log(R_\varepsilon^{\text{pub}}(f^k)/\delta) \geq \Omega\left(\delta^2 \cdot k \cdot R_{\varepsilon+\delta}^{\text{pub}}(f)\right) ,$$

implying (using Fact 2.9),

$$R_\varepsilon^{\text{pub}}(f^k) \cdot \log(R_\varepsilon^{\text{pub}}(f^k)) \geq \Omega\left(k \cdot R_\varepsilon^{\text{pub}}(f)\right) .$$

Our techniques

Most previous direct-sum results involved information theoretic arguments and proceeded as follows. Let $\varepsilon, \delta > 0$ and μ be a distribution on $\mathcal{X} \times \mathcal{Y}$ (possibly non-product across \mathcal{X} and \mathcal{Y}) such that $R_{\varepsilon+\delta}^{\text{pub}}(f) = D_{\varepsilon+\delta}^\mu(f) \stackrel{\text{def}}{=} c$ (as guaranteed by Yao's principle, see Fact 2.8). Consider a protocol \mathcal{P} for f^k with $\text{CC}(\mathcal{P}) = o(kc)$ and $\text{err}(\mathcal{P}) = \varepsilon$ (see Definition 2.7). Using chain-rule for mutual-information and use of *correlation-breaking* random variables one is able to obtain a protocol \mathcal{Q} for f such that the *internal-information-cost* [1, 6] $\text{IC}_{\text{INT}}^\mu(\mathcal{Q}) = o(c)$ and $\text{err}_{\mathcal{Q}}(f) = \varepsilon$. So the key question that remains is: can one *simulate* \mathcal{Q} with another protocol \mathcal{Q}' such that $\text{CC}(\mathcal{Q}') = \mathcal{O}(\text{IC}_{\text{INT}}^\mu(\mathcal{Q}) \cdot \text{polylog}(\text{CC}(\mathcal{Q})))$ and $\text{err}(\mathcal{Q}') = \text{err}(\mathcal{Q}) + \delta$? Compression results are known that introduce dependence on the number of rounds of communication in \mathcal{Q} or heavier (than polylog) dependence on $\text{CC}(\mathcal{Q})$ implying various direct-sum results [2, 4].

On the other hand it is known [2] that \mathcal{Q} can be simulated with another protocol \mathcal{Q}' such that $\text{CC}(\mathcal{Q}') = \mathcal{O}(\text{IC}_{\text{EXT}}^\mu(\mathcal{Q}) \cdot \log(\text{CC}(\mathcal{Q})))$ and $\text{err}_{\mathcal{Q}'}^\mu(f) = \text{err}_{\mathcal{Q}}^\mu(f) + \delta$, where $\text{IC}_{\text{EXT}}^\mu$ represents external-information-cost [10]. So the question then is: can one obtain a protocol \mathcal{Q} such that $\text{IC}_{\text{EXT}}^\mu(\mathcal{Q}) = o(c)$ and $\text{err}_{\mathcal{Q}}(f) = \varepsilon$? We answer this in the affirmative. To obtain this reduction (from \mathcal{P} to \mathcal{Q}), we show some new chain-rules for capacity, the maximum information that can be transferred by a communication channel. Chain-rules for capacity (instead of chain-rules for information) facilitate bounds on external-information-cost instead of bounds on internal-information-cost. We use the powerful concept of Nash-Equilibrium in game-theory, and its existence in suitably defined games, to arrive at the chain-rules for capacity. These chain-rules are of independent interest.

Use of chain-rules for capacity to obtain a direct-sum result has been done previously by Jain and Klauck [13] to obtain an optimal direct-sum result for the private-coin classical and entanglement-unassisted quantum *Simultaneous-Message-Passing* (SMP) models. They used a chain-rule for capacity due to Jain [15] (see Fact 3.5).

Organization

In Section 2 we present some background on information theory and communication complexity. In Section 3, we prove chain-rules for capacity. In Section 4 we present the proof of the direct-sum result.

2 Preliminaries

Information theory

For natural number k , let $[k]$ represent the set $\{1, 2, \dots, k\}$. For $i \in [k]$ let $-i \stackrel{\text{def}}{=} [k] - \{i\}$; $\leq i \stackrel{\text{def}}{=} [i]$. Similarly define $\geq i$; $< i$; $> i$. For string $x = (x_1, \dots, x_k)$ and $T \subseteq [k]$, let x_T be sub-string of x with indices in T . For all i , define $(x_i, x_{-i}) \stackrel{\text{def}}{=} x$. For a random variable $X = (X_1, \dots, X_k)$, similarly define $X_T, X_{-i}, X_{<i}$ and so on.

Let $\mathcal{X}, \mathcal{Y}, \mathcal{M}$ be finite sets (we only consider finite sets in this work unless otherwise specified). Let $\mathcal{D}(\mathcal{X})$ be the set of probability distributions supported on \mathcal{X} . For $\mu \in \mathcal{D}(\mathcal{X})$, let $\mu(x)$ represent the probability of $x \in \mathcal{X}$ according to μ . For a random variable X taking values in $\{0, 1\}^*$ we define $|X| \stackrel{\text{def}}{=} \max\{n \mid \Pr[X \in \{0, 1\}^n] > 0\}$. We use the same symbol to represent a random variable and its distribution whenever it is clear from the context. For jointly distributed random variables XY distributed according to μ , denoted $XY \sim \mu$, let $(Y|X = x) = Y_x \sim \mu_x$.

Definition 2.1. 1. The expectation value of function f is denoted as

$$\mathbb{E}_{x \leftarrow X}[f(x)] \stackrel{\text{def}}{=} \sum_{x \in \mathcal{X}} \Pr[X = x] \cdot f(x) .$$

2. For $\mu, \lambda \in \mathcal{D}(\mathcal{X})$, the distribution $\mu \otimes \lambda$ is defined as $(\mu \otimes \lambda)(x_1, x_2) \stackrel{\text{def}}{=} \mu(x_1) \cdot \lambda(x_2)$. We sometimes use (μ, λ) to represent $\mu \otimes \lambda$ when it is clear from the context. Let $\mu^k \stackrel{\text{def}}{=} \mu \otimes \dots \otimes \mu$, k times.

3. The ℓ_1 distance between μ and λ is defined to be half of the ℓ_1 norm of $\mu - \lambda$; that is,

$$\|\lambda - \mu\|_1 \stackrel{\text{def}}{=} \frac{1}{2} \sum_x |\lambda(x) - \mu(x)| = \max_{S \subseteq \mathcal{X}} |\lambda_S - \mu_S| ,$$

where $\lambda_S \stackrel{\text{def}}{=} \sum_{x \in S} \lambda(x)$.

4. The entropy of X is defined as: $H(X) \stackrel{\text{def}}{=} - \sum_x \Pr[X = x] \cdot \log \Pr[X = x]$.

5. The conditional-entropy of Y conditioned on X is defined as

$$H(Y|X) \stackrel{\text{def}}{=} \mathbb{E}_{x \leftarrow X}[H(Y_x)] = H(XY) - H(X) .$$

6. The relative-entropy between X and Y is defined as

$$S(X||Y) \stackrel{\text{def}}{=} \mathbb{E}_{x \leftarrow X} \left[\log \frac{\Pr[X = x]}{\Pr[Y = x]} \right] .$$

7. The mutual-information between X and Y is defined as

$$I(X : Y) \stackrel{\text{def}}{=} H(X) + H(Y) - H(XY) .$$

We say that X and Y are independent iff $I(X : Y) = 0$.

8. The conditional-mutual-information between X and Y , conditioned on Z , is defined as:

$$I(X : Y|Z) \stackrel{\text{def}}{=} \mathbb{E}_{z \leftarrow Z} [I(X : Y|Z = z)] = H(X|Z) + H(Y|Z) - H(XY|Z) .$$

9. Let $g : \mathcal{X} \times \mathcal{Y} \rightarrow \mathcal{D}(\mathcal{M})$ be a map (a.k.a channel). For distribution $\mu \in \mathcal{D}(\mathcal{X} \times \mathcal{Y})$, define

$$g_\mu(x) = \mathbb{E}_{y \leftarrow \mu_x} [g(x, y)] ; g_\mu(y) = \mathbb{E}_{x \leftarrow \mu_y} [g(x, y)] ; g_\mu = \mathbb{E}_{(x, y) \leftarrow \mu} [g(x, y)] .$$

We will need the following basic facts. A very good text for reference on information theory is [11].

Fact 2.2 (Chain-rule for mutual-information).

$$I(X_1 \dots X_k : M) = \sum_{i=1}^k I(X_i : M | X_{<i}) .$$

If (X_1, \dots, X_k) are independent then: $I(X_1 \dots X_k : M) \geq \sum_{i=1}^k I(X_i : M)$.

Fact 2.3 (Joint-convexity for relative-entropy). For all $\mu, \mu', \lambda, \lambda'$ and $p \in [0, 1]$,

$$S(p\mu + (1-p)\mu' \| p\lambda + (1-p)\lambda') \leq p \cdot S(\mu \| \lambda) + (1-p) \cdot S(\mu' \| \lambda') .$$

Fact 2.4 (Chain-rule for relative-entropy). For random variables XY and $X'Y'$,

$$S(X'Y' \| XY) = S(X' \| X) + \mathbb{E}_{x \leftarrow X'} [S(Y'_x \| Y_x)] .$$

In particular, using Fact 2.3:

$$S(X'Y' \| X \otimes Y) = S(X' \| X) + \mathbb{E}_{x \leftarrow X'} [S(Y'_x \| Y)] \geq S(X' \| X) + S(Y' \| Y) .$$

Fact 2.5 (see e.g Fact 2.5 [19]).

$$\begin{aligned} |X| \geq H(X) &\geq I(X : Y) = \mathbb{E}_{y \leftarrow Y} [S(X_y \| X)] = \mathbb{E}_{x \leftarrow X} [S(Y_x \| Y)] = S(XY \| X \otimes Y) \\ &= \min_{X', Y'} S(XY \| X' \otimes Y') = \min_{Y'} \mathbb{E}_{x \leftarrow X} [S(Y_x \| Y')] = \min_{X'} \mathbb{E}_{y \leftarrow Y} [S(X_y \| X')] . \end{aligned}$$

Game theory

This work relies on the following powerful theorem from game theory, which is a consequence of the *Kakutani fixed-point theorem* in real analysis.

Fact 2.6 (Nash-Equilibrium, Proposition 20.3 [28]). Let k, n be a positive integers. Let $\mathcal{A} = \mathcal{A}_1 \times \dots \times \mathcal{A}_k$, where each \mathcal{A}_i is a non-empty, convex and compact subset of \mathbb{R}^n . For each $i \in [k]$, let $u_i : \mathcal{A} \rightarrow \mathbb{R}$ be a continuous function such that

$$\forall a = (a_1, \dots, a_k) \in \mathcal{A} : \text{the set } \{a'_i \in \mathcal{A}_i : u_i(a'_i, a_{-i}) \geq u_i(a)\} \text{ is convex.}$$

There is an equilibrium point $a^* \in \mathcal{A}$ such that

$$\forall i : \max_{a_i \in \mathcal{A}_i} u_i(a_i, a_{-i}^*) = u_i(a^*) .$$

Communication complexity

Let $f \subseteq \mathcal{X} \times \mathcal{Y} \times \mathcal{Z}$ be a relation and $\varepsilon \in (0, 1)$. In this work we only consider *complete* relations, that is for every $(x, y) \in \mathcal{X} \times \mathcal{Y}$, there is some $z \in \mathcal{Z}$ such that $(x, y, z) \in f$. In a two-party communication protocol (or just a protocol) \mathcal{P} for f , Alice with input $x \in \mathcal{X}$ and Bob with input $y \in \mathcal{Y}$, do local computation, use public and-or private coins and exchange messages. The last message consists of output $z \in \mathcal{Z}$. Let XY represent the inputs, M the messages exchanged and R the public-coin used in \mathcal{P} . We call messages and public-coin together as *transcript* of \mathcal{P} . We use \mathcal{P} to present the transcript random variable of \mathcal{P} and also the map $\mathcal{P} : \mathcal{X} \times \mathcal{Y} \rightarrow \mathcal{D}(\mathcal{M})$, where \mathcal{M} is the set of transcripts of \mathcal{P} .

Definition 2.7. Let \mathcal{P} be a protocol, $\mu \in \mathcal{D}(\mathcal{X} \times \mathcal{Y})$ and $XY \sim \mu$. Define,

$$\begin{aligned} \text{CC}(\mathcal{P}) &= \max_{x,y} |M(x, y)| \quad ; \quad \text{out}_{\mathcal{P}}(x, y) = \text{output random variable on input } (x, y), \\ \text{err}_{\mathcal{P}}(f, (x, y)) &= \Pr((x, y, \text{out}_{\mathcal{P}}(x, y)) \notin f), \\ \text{err}_{\mathcal{P}}(f) &= \max_{x,y} \text{err}_{\mathcal{P}}(f, (x, y)) \quad ; \quad \text{err}_{\mathcal{P}}^{\mu}(f) = \mathbb{E}_{(x,y) \leftarrow \mu} [\text{err}_{\mathcal{P}}(f, (x, y))], \\ R_{\varepsilon}^{\text{pub}}(f) &= \min_{\mathcal{P}: \text{err}_{\mathcal{P}}(f) \leq \varepsilon} \text{CC}(\mathcal{P}) \quad ; \quad D_{\varepsilon}^{\mu}(f) = \min_{\mathcal{P}: \text{err}_{\mathcal{P}}^{\mu}(f) \leq \varepsilon} \text{CC}(\mathcal{P}), \\ \text{IC}_{\text{INT}}^{\mu}(\mathcal{P}) &= \text{I}(X : \mathcal{P}|Y) + \text{I}(Y : \mathcal{P}|X) \quad ; \quad \text{IC}_{\text{EXT}}^{\mu}(\mathcal{P}) = \text{I}(XY : \mathcal{P}), \\ \text{IC}_{\text{INT}}(\mathcal{P}) &= \max_{\mu} \text{IC}_{\text{INT}}^{\mu}(\mathcal{P}) \quad ; \quad \text{IC}_{\text{EXT}}(\mathcal{P}) = \max_{\mu} \text{IC}_{\text{EXT}}^{\mu}(\mathcal{P}). \end{aligned}$$

The following is a consequence of the *min-max* theorem in game theory which in turn is a consequence of Fact 2.6.

Fact 2.8 (Yao's principle [35]). $R_{\varepsilon}^{\text{pub}}(f) = \max_{\mu} D_{\varepsilon}^{\mu}(f)$.

Success in randomized protocols can be *boosted* by the standard repetition and taking majority arguments.

Fact 2.9. Let $\varepsilon, \varepsilon' > 0$ be constants, then, $R_{\varepsilon}^{\text{pub}}(f) = \Theta(R_{\varepsilon'}^{\text{pub}}(f))$.

Following fact is known in previous works, we provide a proof for completeness.

Fact 2.10. Let \mathcal{P} be protocol and $\mu = \mu_A \otimes \mu_B$ have full support in $\mathcal{X} \times \mathcal{Y}$. Then

$$\forall (x, y) \in \mathcal{X} \times \mathcal{Y} : \text{S}(\mathcal{P}(x, y) \| \mathcal{P}_{\mu}) = \text{S}(\mathcal{P}(x, y) \| \mathcal{P}_{\mu}(x)) + \text{S}(\mathcal{P}(x, y) \| \mathcal{P}_{\mu}(y)) \quad .$$

Proof. Let $M = (M_1 \dots M_t)$ be the transcript of \mathcal{P} , correlated with the inputs $XY \sim \mu$ (M_i represents the i th bit in the transcript). Let $A \subseteq [t]$ be the set of bits transmitted by Alice and $B \subseteq [t]$ be the set of bits transmitted by Bob. Note that,

$$\forall i \in [t], m_{<i} : \quad \text{I}(X : Y | M_{<i} = m_{<i}) = 0 \quad .$$

This implies,

$$\begin{aligned} \forall i \in [A], m_{<i} : \quad & \text{I}(XM_i : Y | M_{<i} = m_{<i}) = 0 \quad , \\ \forall i \in [B], m_{<i} : \quad & \text{I}(YM_i : X | M_{<i} = m_{<i}) = 0 \quad . \end{aligned} \tag{1}$$

Consider,

$$\begin{aligned}
& S(\mathcal{P}(x, y) \| \mathcal{P}_\mu) \\
&= \sum_{i \in A}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i | m_{<i})] + \sum_{i \in B}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i | m_{<i})] \quad (\text{Fact 2.4}) \\
&= \sum_{i \in A}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i(y) | m_{<i})] + \sum_{i \in B}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i(x) | m_{<i})] \quad (\text{Eq. (1)}) \\
& \quad \quad \quad (2)
\end{aligned}$$

Also,

$$\begin{aligned}
& S(\mathcal{P}(x, y) \| \mathcal{P}_\mu(x)) \\
&= \sum_{i \in A}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i(x) | m_{<i})] + \sum_{i \in B}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i(x) | m_{<i})] \quad (\text{Fact 2.4}) \\
&= \sum_{i \in B}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i(x) | m_{<i})] \quad (\text{Eq. (1)}) \\
& \quad \quad \quad (3)
\end{aligned}$$

Similarly,

$$S(\mathcal{P}(x, y) \| \mathcal{P}_\mu(y)) = \sum_{i \in A}^t \mathbb{E}_{m_{<i} \leftarrow M_{<i}} [S(M_i(x, y) | m_{<i} \| M_i(y) | m_{<i})] \quad (4)$$

Combining Eq. (2), (3), (4) we get the desired. \square

Definition 2.11 (Simulation of a protocol). *Let $\delta > 0$. We say a protocol \mathcal{Q} , δ -simulates a protocol \mathcal{P} with inputs XY , if there exists a function g such that:*

$$\mathbb{E}_{(x,y) \leftarrow XY} [\|g(\mathcal{Q}(x, y)) - \mathcal{P}(x, y)\|_1] \leq \delta \quad .$$

Barak et al. [2] showed that any protocol \mathcal{P} with low external-information-cost can be simulated by a protocol \mathcal{Q} with low communication. A very nice property is that communication in \mathcal{Q} does not depend on the number of rounds of \mathcal{P} . We use the version as stated in Theorem 10 in [5] where it is credited to [2].

Fact 2.12 (Compression to external-information [2]). *Let $\delta > 0, \mu \in \mathcal{D}(\mathcal{X} \times \mathcal{Y})$ and \mathcal{P} be a protocol. There exists a protocol \mathcal{Q} that δ -simulates \mathcal{P} and*

$$\text{CC}(\mathcal{Q}) = \mathcal{O} \left(\frac{1}{\delta^2} \cdot \text{IC}_{\text{EXT}}^\mu(\mathcal{P}) \cdot \log(\text{CC}(\mathcal{P})/\delta) \right) \quad .$$

3 Chain rules for capacity

Capacity

Let $g : \mathcal{X} \rightarrow \mathcal{D}(\mathcal{M})$ be a map (a.k.a *channel*)¹.

Definition 3.1 (Capacity). *The capacity of g is defined as*

$$\text{cap}(g) \stackrel{\text{def}}{=} \max_{\mu \in \mathcal{D}(\mathcal{X})} \mathbb{E}_{x \leftarrow \mu} [\text{S}(g(x) \| g_\mu)] .$$

Following notion of a *capacity-dual* was considered by Jain [16].

Definition 3.2 (Capacity-dual). *The capacity-dual of g is defined as*

$$\widetilde{\text{cap}}(g) \stackrel{\text{def}}{=} \min_{\gamma \in \mathcal{D}(\mathcal{X})} \max_{x \in \mathcal{X}} \text{S}(g(x) \| g_\gamma) .$$

Using Fact 2.3 and Fact 2.6, Jain [16] showed that capacity is lower bounded by capacity-dual.

Fact 3.3 (Lemma 2. [16]).

$$\text{cap}(g) \geq \max_{\mu \in \mathcal{D}(\mathcal{X})} \min_{\gamma \in \mathcal{D}(\mathcal{X})} \mathbb{E}_{x \leftarrow \mu} [\text{S}(g(x) \| g_\gamma)] = \min_{\gamma \in \mathcal{D}(\mathcal{X})} \max_{x \in \mathcal{X}} \text{S}(g(x) \| g_\gamma) = \widetilde{\text{cap}}(g) .$$

We show they are in fact the same.

Lemma 3.4. $\min_{M \in \mathcal{D}(\mathcal{M})} \max_{x \in \mathcal{X}} \text{S}(g(x) \| M) = \text{cap}(g) = \widetilde{\text{cap}}(g)$.

Proof. Consider,

$$\begin{aligned} \text{cap}(g) &= \max_{\mu \in \mathcal{D}(\mathcal{X})} \mathbb{E}_{x \leftarrow \mu} [\text{S}(g(x) \| g_\mu)] \\ &\leq \min_{M \in \mathcal{D}(\mathcal{M})} \max_{\mu \in \mathcal{D}(\mathcal{X})} \mathbb{E}_{x \leftarrow \mu} [\text{S}(g(x) \| M)] && \text{(Fact 2.5)} \\ &= \min_{M \in \mathcal{D}(\mathcal{M})} \max_{x \in \mathcal{X}} \text{S}(g(x) \| M) \\ &\leq \widetilde{\text{cap}}(g) . \end{aligned}$$

Combined with Fact 3.3 shows the desired. □

Chain-rules

Let $g : \mathcal{X} \rightarrow \mathcal{D}(\mathcal{M})$ be a channel where $\mathcal{X} = (\mathcal{X}_1 \times \dots \times \mathcal{X}_k)$. For $i \in [k]$ and $\mu \in \mathcal{D}(\mathcal{X})$, define channel $g_\mu^i : \mathcal{X}_i \rightarrow \mathcal{D}(\mathcal{M})$ given by $g_\mu^i(x_i) = g_\mu(x_i)$. Let $\mathcal{A} = \mathcal{D}(\mathcal{X}_1) \times \dots \times \mathcal{D}(\mathcal{X}_k)$.

Following chain-rule for capacity was shown by Jain [15].

Fact 3.5 (A chain-rule for capacity. Theorem 2.1 [15]).

$$\text{cap}(g) \geq \sum_{i=1}^k \min_{\mu \in \mathcal{D}(\mathcal{X})} \text{cap}(g_\mu^i) .$$

¹All the results in this section also hold for c-q channels, mapping classical inputs to quantum states.

We show a stronger chain-rule.

Lemma 3.6 (A chain-rule for capacity).

$$\begin{aligned} \text{cap}(g) &\geq \min_{(\theta, \gamma) \in \mathcal{A} \times \mathcal{A}} \sum_{i=1}^k \max_{x_i} \text{S}(g_\theta(x_i) \| g_{\theta_{-i}, \gamma_i}) \\ &= \min_{\theta \in \mathcal{A}} \sum_{i=1}^k \text{cap}(g_\theta^i) . \end{aligned} \quad (\text{Lemma 3.4})$$

Proof. For all $i \in [k]$, $\mu = (\mu_1, \dots, \mu_k) \in \mathcal{A}$, define

$$u_i(\mu) = \min_{\gamma_i \in \mathcal{D}(\mathcal{X}_i)} \mathbb{E}_{x_i \leftarrow \mu_i} [\text{S}(g_\mu(x_i) \| g_{\mu_{-i}, \gamma_i})] .$$

For all $\mu, \mu'_i, \mu''_i, p \in [0, 1]$,

$$\begin{aligned} &u_i(p\mu'_i + (1-p)\mu''_i, \mu_{-i}) \\ &= \min_{\gamma_i} \mathbb{E}_{x_i \leftarrow p\mu'_i + (1-p)\mu''_i} [\text{S}(g_\mu(x_i) \| g_{\mu_{-i}, \gamma_i})] \\ &= \min_{\gamma_i} \left(p \mathbb{E}_{x_i \leftarrow \mu'_i} [\text{S}(g_\mu(x_i) \| g_{\mu_{-i}, \gamma_i})] + (1-p) \mathbb{E}_{x_i \leftarrow \mu''_i} [\text{S}(g_\mu(x_i) \| g_{\mu_{-i}, \gamma_i})] \right) \\ &\geq p \left(\min_{\gamma_i} \mathbb{E}_{x_i \leftarrow \mu'_i} [\text{S}(g_\mu(x_i) \| g_{\mu_{-i}, \gamma_i})] \right) + (1-p) \left(\min_{\gamma_i} \mathbb{E}_{x_i \leftarrow \mu''_i} [\text{S}(g_\mu(x_i) \| g_{\mu_{-i}, \gamma_i})] \right) \\ &= p \cdot u_i(\mu'_i, \mu_{-i}) + (1-p) \cdot u_i(\mu''_i, \mu_{-i}) . \end{aligned} \quad (5)$$

From Eq. (5) and Fact 2.6 (by letting $\forall i : (\mathcal{A}_i, u_i) \leftarrow (\mathcal{D}(\mathcal{X}_i), u_i)$), we get $\theta = (\theta_1, \dots, \theta_k) \in \mathcal{A}$ such that,

$$\begin{aligned} \forall i : u_i(\theta) &= \max_{\mu_i \in \mathcal{D}(\mathcal{X}_i)} u_i(\mu_i, \theta_{-i}) \\ &= \max_{\mu_i} \min_{\gamma_i} \mathbb{E}_{x_i \leftarrow \mu_i} [\text{S}(g_\theta(x_i) \| g_{\theta_{-i}, \gamma_i})] \\ &= \min_{\gamma_i} \max_{x_i} \text{S}(g_\theta(x_i) \| g_{\theta_{-i}, \gamma_i}) . \end{aligned} \quad (\text{Fact 3.3})$$

Let $X = (X_1 \dots X_k) \sim \theta$ and $\forall x \in \mathcal{X} : (M | X = x) \sim g(x)$. Consider,

$$\begin{aligned} \sum_{i=1}^k \min_{\gamma_i} \max_{x_i} \text{S}(g_\theta(x_i) \| g_{\theta_{-i}, \gamma_i}) &= \sum_i u_i(\theta) \\ &= \sum_i \min_{\gamma_i} \mathbb{E}_{x_i \leftarrow \theta_i} [\text{S}(g_\theta(x_i) \| g_{\theta_{-i}, \gamma_i})] \\ &\leq \sum_i \mathbb{E}_{x_i \leftarrow \theta_i} [\text{S}(g_\theta(x_i) \| g_{\theta_{-i}, \theta_i})] \\ &= \sum_i \text{I}(X_i : M) && (\text{Fact 2.5}) \\ &\leq \text{I}(X : M) && (\text{Fact 2.2}) \\ &\leq \text{cap}(g) . && (\text{Definition 3.1}) \end{aligned}$$

This concludes the desired. □

We strengthen the chain rule to allow for conditioning on some events. Let

$$\mathcal{T} = \{(T, x_T) \mid T \subseteq [k], x_T \in \mathcal{X}_T\}.$$

Below whenever $i \in T$, define $S(\cdot|\cdot) \stackrel{\text{def}}{=} 0$.

Lemma 3.7 (A chain-rule for capacity).

$$\text{cap}(g) \geq \max_{\alpha \in \mathcal{D}(\mathcal{T})} \min_{(\theta, \gamma) \in \mathcal{A} \times \mathcal{A}} \sum_{i=1}^k \max_{x_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha} [S(g_\theta(x_i, x_T) \| g_{\theta_{-i}, \gamma_i}(x_T))] .$$

Proof. Let $\alpha \in \mathcal{D}(\mathcal{T})$. For all $i \in [k]$, $\mu = (\mu_1, \dots, \mu_k) \in \mathcal{A}$, define,

$$u_i(\mu) = \min_{\gamma_i \in \mathcal{D}(\mathcal{X}_i)} \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \mu_i} [S(g_\mu(x_i, x_T) \| g_{\mu_{-i}, \gamma_i}(x_T))] .$$

For all $\mu, \mu'_i, \mu''_i, p \in [0, 1]$,

$$\begin{aligned} u_i(p\mu'_i + (1-p)\mu''_i, \mu_{-i}) &= \min_{\gamma_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow p\mu'_i + (1-p)\mu''_i} [S(g_\mu(x_i, x_T) \| g_{\mu_{-i}, \gamma_i}(x_T))] \\ &= \min_{\gamma_i} \left(p \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \mu'_i} [S(g_\mu(x_i, x_T) \| g_{\mu_{-i}, \gamma_i}(x_T))] \right. \\ &\quad \left. + (1-p) \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \mu''_i} [S(g_\mu(x_i, x_T) \| g_{\mu_{-i}, \gamma_i}(x_T))] \right) \\ &\geq p \left(\min_{\gamma_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \mu'_i} [S(g_\mu(x_i, x_T) \| g_{\mu_{-i}, \gamma_i}(x_T))] \right) \\ &\quad + (1-p) \left(\min_{\gamma_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \mu''_i} [S(g_\mu(x_i, x_T) \| g_{\mu_{-i}, \gamma_i}(x_T))] \right) \\ &= p \cdot u_i(\mu'_i, \mu_{-i}) + (1-p) \cdot u_i(\mu''_i, \mu_{-i}) . \end{aligned} \tag{6}$$

From Eq. (6) and Fact 2.6 (by letting $\forall i : (\mathcal{A}_i, u_i) \leftarrow (\mathcal{D}(\mathcal{X}_i), u_i)$), we get $\theta = (\theta_1, \dots, \theta_k) \in \mathcal{A}$ such that,

$$\begin{aligned} \forall i : u_i(\theta) &= \max_{\mu_i \in \mathcal{D}(\mathcal{X}_i)} u_i(\mu_i, \theta_{-i}) \\ &= \max_{\mu_i} \min_{\gamma_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \mu_i} [S(g_\theta(x_i, x_T) \| g_{\theta_{-i}, \gamma_i}(x_T))] \\ &= \min_{\gamma_i} \max_{x_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha} [S(g_\theta(x_i, x_T) \| g_{\theta_{-i}, \gamma_i}(x_T))] . \end{aligned} \tag{Fact 2.3 and Fact 2.6} \tag{7}$$

Let $X = (X_1 \dots X_k) \sim \theta$ and $\forall x \in \mathcal{X} : (M \mid X = x) \sim g(x)$. Consider,

$$\begin{aligned} \sum_i u_i(\theta) &= \sum_i \min_{\gamma_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \theta_i} [S(g_\theta(x_i, x_T) \| g_{\theta_{-i}, \gamma_i}(x_T))] \\ &\leq \sum_i \mathbb{E}_{(T, x_T) \leftarrow \alpha, x_i \leftarrow \theta_i} [S(g_\theta(x_i, x_T) \| g_{\theta_{-i}, \theta_i}(x_T))] \\ &= \sum_i \mathbb{E}_{(T, x_T) \leftarrow \alpha} [\mathbb{I}(X_i : M \mid X_T = x_T)] \tag{Fact 2.5} \\ &\leq \mathbb{E}_{(T, x_T) \leftarrow \alpha} [\mathbb{I}(X : M \mid X_T = x_T)] \tag{Fact 2.2} \\ &\leq \text{cap}(g) . \tag{Definition 3.1} \end{aligned}$$

Combining this with Eq. (7) concludes the desired. \square

Following is a strengthening of the above by changing the order of quantifiers.

Lemma 3.8 (A chain-rule for capacity).

$$\text{cap}(g) \geq \min_{(\theta, \gamma) \in \mathcal{A} \times \mathcal{A}} \max_{T, x_T} \sum_{i \notin T} \max_{x_i} \text{S}(g_\theta(x_i, x_T) \| g_{\theta_{-i}, \gamma_i}(x_T)) \ .$$

Proof. For tuples $(\beta_1, \dots, \beta_\ell), (\beta'_1, \dots, \beta'_\ell)$ and $p \in [0, 1]$, define the convex combination,

$$p \cdot (\beta_1, \dots, \beta_\ell) + (1 - p) \cdot (\beta'_1, \dots, \beta'_\ell) = (p\beta_1 + (1 - p)\beta'_1, \dots, p\beta_\ell + (1 - p)\beta'_\ell) \ .$$

For all $\alpha \in \mathcal{D}(\mathcal{T}), i \in [k], (\theta, \gamma), (\theta', \gamma'), p \in [0, 1]$:

$$\begin{aligned} & \max_{x_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha} \left[\text{S} \left(g_{p\theta + (1-p)\theta'}(x_i, x_T) \left\| g_{p\theta_{-i} + (1-p)\theta'_{-i}, p\gamma_i + (1-p)\gamma'_i}(x_T) \right. \right) \right] \\ & \leq \max_{x_i} \left(p \cdot \mathbb{E}_{(T, x_T) \leftarrow \alpha} \left[\text{S} \left(g_\theta(x_i, x_T) \left\| g_{\theta_{-i}, \gamma_i}(x_T) \right. \right) \right] \right. \\ & \quad \left. + (1 - p) \cdot \mathbb{E}_{(T, x_T) \leftarrow \alpha} \left[\text{S} \left(g_{\theta'}(x_i, x_T) \left\| g_{\theta'_{-i}, \gamma'_i}(x_T) \right. \right) \right] \right) \quad (\text{Fact 2.3}) \\ & \leq p \left(\max_{x_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha} \left[\text{S} \left(g_\theta(x_i, x_T) \left\| g_{\theta_{-i}, \gamma_i}(x_T) \right. \right) \right] \right) \\ & \quad + (1 - p) \cdot \left(\max_{x_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha} \left[\text{S} \left(g_{\theta'}(x_i, x_T) \left\| g_{\theta'_{-i}, \gamma'_i}(x_T) \right. \right) \right] \right) \ . \end{aligned} \quad (8)$$

Consider,

$$\begin{aligned} \text{cap}(g) & \geq \max_{\alpha} \min_{\theta, \gamma} \sum_i \max_{x_i} \mathbb{E}_{(T, x_T) \leftarrow \alpha} \left[\text{S} \left(g_\theta(x_i, x_T) \left\| g_{\theta_{-i}, \gamma_i}(x_T) \right. \right) \right] \quad (\text{Lemma 3.7}) \\ & = \min_{\theta, \gamma} \max_{T, x_T} \sum_{i \notin T} \max_{x_i} \text{S} \left(g_\theta(x_i, x_T) \left\| g_{\theta_{-i}, \gamma_i}(x_T) \right. \right) \ . \quad (\text{Fact 2.6, Eq. (8)}) \end{aligned}$$

□

4 Direct-sum

We are now ready to prove the direct-sum result.

Theorem 4.1. *Let $f \subseteq \mathcal{X} \times \mathcal{Y} \times \mathcal{Z}$ be a relation, $\varepsilon, \delta > 0$ and $k \geq 1$ be an integer. Then,*

$$\text{R}_\varepsilon^{\text{pub}}(f^k) \cdot \log(\text{R}_\varepsilon^{\text{pub}}(f^k)/\delta) \geq \Omega \left(\delta^2 \cdot k \cdot \text{R}_{\varepsilon+\delta}^{\text{pub}}(f) \right) \ ,$$

implying (using Fact 2.9),

$$\text{R}_\varepsilon^{\text{pub}}(f^k) \cdot \log(\text{R}_\varepsilon^{\text{pub}}(f^k)) \geq \Omega \left(k \cdot \text{R}_\varepsilon^{\text{pub}}(f) \right) \ .$$

Proof. Let $\tilde{\mu} \in \mathcal{D}(\mathcal{X} \times \mathcal{Y})$ be a distribution (guaranteed by Fact 2.8) be such that, $R_{\varepsilon+\delta}^{\text{pub}}(f) = D_{\varepsilon+\delta}^{\tilde{\mu}}(f)$. Assume there is a protocol $\mathcal{P} : \mathcal{X}^k \times \mathcal{Y}^k \rightarrow \mathcal{D}(\mathcal{M})$ with $\text{CC}(\mathcal{P}) = kc$ and $\text{err}_{\mathcal{P}}(f^k) \leq \varepsilon$, where \mathcal{M} denote the set of transcripts of \mathcal{P} .

Let $XY \sim \tilde{\mu}$. Let D be a random variable uniformly distributed in $\{0, 1\}^k$. For $d \in \{0, 1\}^k$, let $T_i^d = \mathcal{X}_i, S_i^d = \mathcal{Y}_i$ if $d_i = 0$ and $T_i^d = \mathcal{Y}_i, S_i^d = \mathcal{X}_i$ if $d_i = 1$. Let $T^d = T_1^d \times \dots \times T_k^d, S^d = S_1^d \times \dots \times S_k^d$. Let $\mu_i^d \sim Y$ if $d_i = 0$ and $\mu_i^d \sim X$ if $d_i = 1$. Let $\mu^d = \mu_1^d \otimes \dots \otimes \mu_k^d$. From Lemma 3.8 (by setting $[k] \leftarrow [2k], \mathcal{X} \leftarrow \mathcal{X}^k \times \mathcal{Y}^k, \mathcal{M} \leftarrow \mathcal{M}, g \leftarrow \mathcal{P}$) we get (θ, γ) such that (below $\theta_i = (\theta_i^A, \theta_i^B)$, similarly $\gamma_i = (\gamma_i^A, \gamma_i^B)$), contains two components, one belonging to Alice and Bob each),

$$kc = \text{CC}(\mathcal{P}) \geq \text{cap}(\mathcal{P}) \tag{Fact 2.5}$$

$$\geq \mathbb{E}_{d \leftarrow D, s \leftarrow \mu^d} \left[\sum_{i=1}^k \max_{t_i \in T_i^d} S(\mathcal{P}_{\theta}(t_i, s) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(s)) \right] \tag{Lemma 3.8}$$

$$\begin{aligned} &= k \cdot \mathbb{E}_{i \leftarrow [k], d \leftarrow D, s \leftarrow \mu^d} \left[\max_{t_i \in T_i^d} S(\mathcal{P}_{\theta}(t_i, s) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(s)) \right] \\ &= \frac{k}{2} \cdot \mathbb{E}_{i \leftarrow [k], d_{-i} \leftarrow D_{-i}, (s_{-i}, y_i) \leftarrow (\mu^{d_{-i}}, Y)} \left[\max_{x_i \in \mathcal{X}_i} S(\mathcal{P}_{\theta}(x_i, y_i, s_{-i}) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(y_i, s_{-i})) \right] \\ &\quad + \frac{k}{2} \cdot \mathbb{E}_{i \leftarrow [k], d_{-i} \leftarrow D_{-i}, (s_{-i}, x_i) \leftarrow (\mu^{d_{-i}}, X)} \left[\max_{y_i \in \mathcal{Y}_i} S(\mathcal{P}_{\theta}(x_i, y_i, s_{-i}) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(x_i, s_{-i})) \right] \\ &\geq \frac{k}{2} \cdot \mathbb{E}_{i \leftarrow [k], d_{-i} \leftarrow D_{-i}, s_{-i} \leftarrow \mu^{d_{-i}}, (x_i, y_i) \leftarrow \tilde{\mu}} \left[S(\mathcal{P}_{\theta}(x_i, y_i, s_{-i}) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(y_i, s_{-i})) \right] \\ &\quad + \frac{k}{2} \cdot \mathbb{E}_{i \leftarrow [k], d_{-i} \leftarrow D_{-i}, s_{-i} \leftarrow \mu^{d_{-i}}, (x_i, y_i) \leftarrow \tilde{\mu}} \left[S(\mathcal{P}_{\theta}(x_i, y_i, s_{-i}) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(x_i, s_{-i})) \right] \\ &= \frac{k}{2} \cdot \mathbb{E}_{i \leftarrow [k], d_{-i} \leftarrow D_{-i}, s_{-i} \leftarrow \mu^{d_{-i}}, (x_i, y_i) \leftarrow \tilde{\mu}} \left[S(\mathcal{P}_{\theta}(x_i, y_i, s_{-i}) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(s_{-i})) \right] . \tag{Fact 2.10} \end{aligned}$$

Fix (i, d_{-i}, s_{-i}) such that²,

$$2c \geq \mathbb{E}_{(x_i, y_i) \leftarrow \tilde{\mu}} \left[S(\mathcal{P}_{\theta}(x_i, y_i, s_{-i}) \| \mathcal{P}_{\theta_{-i}, \gamma_i}(s_{-i})) \right] . \tag{9}$$

Consider the following protocol \mathcal{Q} for f .

1. Alice gets input $\tilde{x} \in \mathcal{X}$. Bob gets input $\tilde{y} \in \mathcal{Y}$.
2. They set $(x_i, y_i) = (\tilde{x}, \tilde{y})$.
3. They set s_{-i} in $S^{d_{-i}}$.
4. They generate $t_{-i} \leftarrow \theta_{T^{d_{-i}}}$ using private-coin and set in $T^{d_{-i}}$.
5. They run \mathcal{P} .

²For Fact 2.10, using standard continuity arguments assume w.l.o.g $\gamma_i^A \otimes \gamma_i^B$ has full support in $\mathcal{X}_i \times \mathcal{Y}_i$.

Note that $\text{CC}(\mathcal{Q}) = \text{CC}(\mathcal{P})$ and $\text{err}_{\mathcal{Q}}(f) = \text{err}_{\mathcal{P}}(f^k)$. We have,

$$\begin{aligned} 2c &\geq \mathbb{E}_{(\tilde{x}, \tilde{y}) \leftarrow \tilde{\mu}} [\text{S}(\mathcal{Q}(\tilde{x}, \tilde{y}) \parallel \mathcal{P}_{\theta_{-i}, \gamma_i}(s_{-i}))] && \text{(Eq. (9))} \\ &= \text{S}(XY \mathcal{Q} \parallel XY \otimes \mathcal{P}_{\theta_{-i}, \gamma_i}(s_{-i})) && \text{(Fact 2.4)} \\ &\geq \text{I}(XY : \mathcal{Q}) . && \text{(Fact 2.5)} \end{aligned}$$

From Fact 2.12 and Definition 2.1, we get a protocol \mathcal{Q}_1 that δ -simulates \mathcal{Q} such that

$$\text{CC}(\mathcal{Q}_1) = \mathcal{O}\left(\frac{c}{\delta^2} \log(kc/\delta)\right) \quad \text{and} \quad \text{err}_{\mathcal{Q}_1}^{\tilde{\mu}}(f) \leq \varepsilon + \delta ,$$

implying

$$\text{D}_{\varepsilon+\delta}^{\tilde{\mu}}(f) = \mathcal{O}\left(\frac{c}{\delta^2} \log(kc/\delta)\right) ,$$

which concludes the desired. □

Open questions

1. Braverman and Rao [4] defined a *correlated-pointer-jumping* promise-problem $\text{CPJ}(C, l)$ and showed that it is in a sense *complete* for the direct-sum question. Our result shows

$$\text{R}^{\text{pub}}(\text{CPJ}(C, l)) = \mathcal{O}(l \log C) .$$

Can we get explicit protocols for $\text{CPJ}(C, l)$ with similar communication?

2. Can our arguments be extended to show near optimal direct-product results for communication complexity?

Acknowledgment

This work is supported by the Singapore Ministry of Education and the National Research Foundation (NRF) and in part by the NRF2017- NRF-ANR004 *VanQuTe* Grant.

References

- [1] Z. Bar-Yossef, T.S. Jayram, R. Kumar, and D. Sivakumar. An information statistics approach to data stream and communication complexity. In *Proceedings of the 43th Annual IEEE Symposium on Foundations of Computer Science, FOCS '02*, pages 209–218, 2002.
- [2] Boaz Barak, Mark Braverman, Xi Chen, and Anup Rao. How to compress interactive communication. *SIAM Journal on Computing*, 42(3):1327–1363, 2013.
- [3] A. Ben-Aroya, O. Regev, and R. de Wolf. A hypercontractive inequality for matrix-valued functions with applications to quantum computing and LDCs. In *Proceedings of the 49th Annual IEEE Symposium on Foundations of Computer Science, FOCS '08*, pages 477–486, Oct 2008.
- [4] M. Braverman and A. Rao. Information equals amortized communication. *IEEE Transactions on Information Theory*, 60(10):6058–6069, Oct 2014.

- [5] M. Braverman, A. Rao, O. Weinstein, and A. Yehudayoff. Direct products in communication complexity. In *Proceedings of the 54th Annual IEEE Symposium on Foundations of Computer Science, FOCS '13*, pages 746–755, Oct 2013.
- [6] Mark Braverman. Interactive information complexity. *SIAM Journal on Computing*, 44(6):1698–1739, 2015.
- [7] Mark Braverman and Gillat Kol. Interactive compression to external information. In *Proceedings of the 50th Annual ACM SIGACT Symposium on Theory of Computing, STOC 2018*, page 964977, New York, NY, USA, 2018. Association for Computing Machinery.
- [8] Mark Braverman, Anup Rao, Omri Weinstein, and Amir Yehudayoff. Direct product via round-preserving compression. In *Automata, Languages, and Programming*, volume 7965 of *Lecture Notes in Computer Science*, pages 232–243. Springer Berlin Heidelberg, 2013.
- [9] Mark Braverman and Omri Weinstein. An interactive information odometer and applications. In *Proceedings of the Forty-Seventh Annual ACM Symposium on Theory of Computing, STOC 15*, page 341350, New York, NY, USA, 2015. Association for Computing Machinery.
- [10] A. Chakrabarti, Yaoyun Shi, A. Wirth, and A. Yao. Informational complexity and the direct sum problem for simultaneous message complexity. In *Proceedings of the 42nd Annual IEEE Symposium on Foundations of Computer Science, FOCS '01*, pages 270–278, Oct 2001.
- [11] Thomas M. Cover and Joy A. Thomas. *Elements of Information Theory*. Wiley-Interscience, 2nd edition, 2006.
- [12] Prahladh Harsha, Rahul Jain, David McAllester, and Jaikumar Radhakrishnan. The Communication Complexity of Correlation. *IEEE Transactions on Information Theory*, 56(1):438–449, 2010.
- [13] R. Jain and H. Klauck. New results in the simultaneous message passing model via information theoretic techniques. In *Proceedings of the 24th Annual IEEE Conference on Computational Complexity, CCC '09*, pages 369–378, July 2009.
- [14] R. Jain, J. Radhakrishnan, and P. Sen. A lower bound for the bounded round quantum communication complexity of set disjointness. In *Proceedings of the 44th Annual IEEE Symposium on Foundations of Computer Science, FOCS '03*, pages 220–229, Oct 2003.
- [15] Rahul Jain. A super-additivity inequality for channel capacity of classical-quantum channels, 2005.
- [16] Rahul Jain. Communication complexity of remote state preparation with entanglement. *Quantum Info. Comput.*, 6(4):461464, July 2006.
- [17] Rahul Jain. New strong direct product results in communication complexity. *J. ACM*, 62(3), June 2015.
- [18] Rahul Jain, Hartmut Klauck, and Ashwin Nayak. Direct product theorems for classical communication complexity via subdistribution bounds: Extended abstract. In *Proceedings of the 40th Annual ACM Symposium on Theory of Computing, STOC '08*, pages 599–608, 2008.

- [19] Rahul Jain, Attila Pereszlényi, and Penghui Yao. A direct product theorem for two-party bounded-round public-coin communication complexity. *Algorithmica*, 76(3):720748, November 2016.
- [20] Rahul Jain, Jaikumar Radhakrishnan, and Pranab Sen. A direct sum theorem in communication complexity via message compression. In *Automata, Languages and Programming*, volume 2719 of *Lecture Notes in Computer Science*, pages 300–315. Springer Berlin Heidelberg, 2003.
- [21] Rahul Jain, Jaikumar Radhakrishnan, and Pranab Sen. Prior entanglement, message compression and privacy in quantum communication. In *20th Annual IEEE Conference on Computational Complexity (CCC 2005), 11-15 June 2005, San Jose, CA, USA*, pages 285–296. IEEE Computer Society, 2005.
- [22] Rahul Jain and Penghui Yao. A strong direct product theorem in terms of the smooth rectangle bound. September 2012. arXiv:1209.0263.
- [23] Hartmut Klauck. A strong direct product theorem for disjointness. In *Proceedings of the 42nd ACM Symposium on Theory of Computing, STOC '10*, pages 77–86, 2010.
- [24] Hartmut Klauck, Robert Špalek, and Ronald de Wolf. Quantum and classical strong direct product theorems and optimal time-space tradeoffs. *SIAM Journal on Computing*, 36(5):1472–1493, 2007.
- [25] Gillat Kol. Interactive compression for product distributions. In *Proceedings of the Forty-Eighth Annual ACM Symposium on Theory of Computing, STOC 16*, page 987998, New York, NY, USA, 2016. Association for Computing Machinery.
- [26] Eyal Kushilevitz and Noam Nisan. *Communication Complexity*. Cambridge University Press, 1996.
- [27] T. Lee, A. Shraibman, and R. Špalek. A direct product theorem for discrepancy. In *Proceedings of the 23rd Annual IEEE Conference on Computational Complexity, CCC '08*, pages 71–80, June 2008.
- [28] M. Osborne and A. Rubinstein. *A course in game theory*. MIT Press, 1994.
- [29] Itzhak Parnafes, Ran Raz, and Avi Wigderson. Direct product results and the GCD problem, in old and new communication models. In *Proceedings of the 29th Annual ACM Symposium on Theory of Computing, STOC '97*, pages 363–372, 1997.
- [30] A.A. Razborov. On the distributional complexity of disjointness. *Theoretical Computer Science*, 106(2):385–390, 1992.
- [31] Ronen Shaltiel. Towards proving strong direct product theorems. *Computational Complexity*, 12(1-2):1–22, 2003.
- [32] Alexander A. Sherstov. Strong direct product theorems for quantum communication and query complexity. *SIAM Journal on Computing*, 41(5):1122–1165, 2012.
- [33] Alexander A. Sherstov. Compressing interactive communication under product distributions. *SIAM Journal on Computing*, 47(2):367–419, 2018.

- [34] Emanuele Viola and Avi Wigderson. Norms, XOR lemmas, and lower bounds for polynomials and protocols. *Theory of Computing*, 4(7):137–168, 2008.
- [35] Andrew Chi-Chih Yao. Some complexity questions related to distributive computing (preliminary report). In *Proceedings of the 11th Annual ACM Symposium on Theory of Computing*, STOC '79, pages 209–213, 1979.