For written notes on this lecture, please read Chapters 4 and 7 of *The Practical Bioinformatician*, and Koh & Wong, "Recognition of Polyadenylation Sites from Arabidopsis Genomic Sequences", *Proc GIW 2007*, pages 73--82

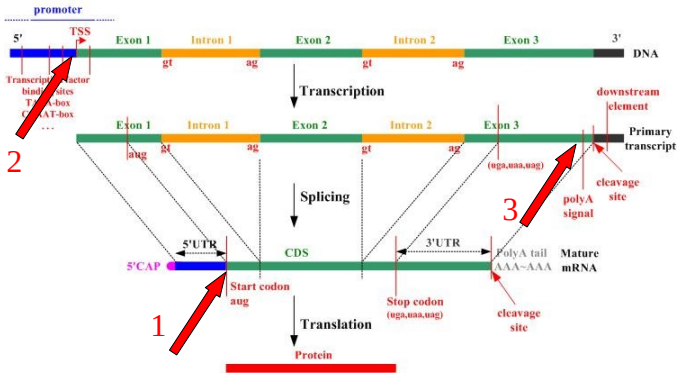
CS2220: Introduction to Computational Biology

Lecture 4: Gene Feature Recognition

Limsoon Wong

15 February 2008

Plan

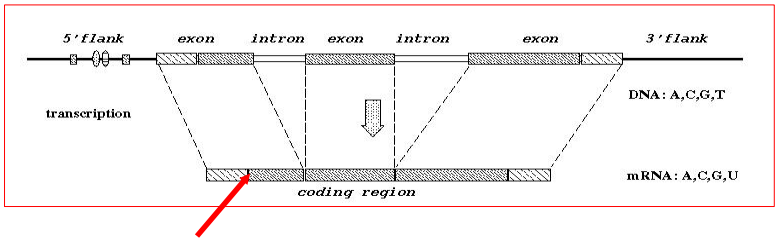


Recognition of Translation Initiation Sites

An introduction to the World's simplest TIS recognition system

NUS National University of Singapore

Translation Initiation Site



A Sample cDNA

```
 299 HSU27655.1 CAT U27655 Homo sapiens
CGTGTGTGCAGCAGCCTGCAGCTGCCCCAAGCCATGGCTGAACACTGACTCCCAGCTGTG      80
CCCAGGGCTTCAAAGACTTCTCAGCTTCGAGCATGGCTTTTGGCTGTCAGGGCAGCTGTA     160
GGAGGCAGATGAGAAGAGGGAGATGGCCTTGGAGGAAGGGAAGGGGCCTGGTGCCGAGGA     240
CCTCTCCTGGCCAGGAGCTTCCTCCAGGACAAGACCTTCCACCCAACAAGGACTCCCCT
.............................................................      80
.............................iEEEEEEEEEEEEEEEEEEEEEEEEEE     160
EEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEE     240
EEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEEE
```

- **What makes the second ATG the TIS?**

Approach

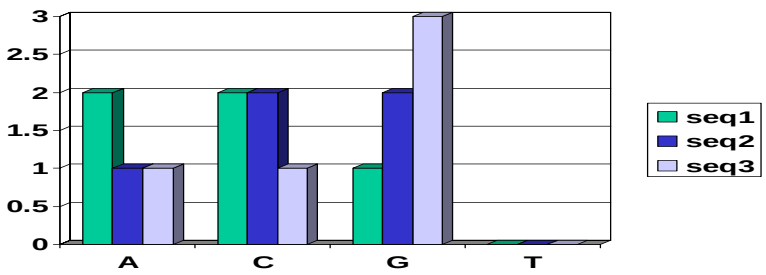
- **Training data gathering**
- **Signal generation**
  - k-grams, distance, domain know-how, ...
- **Signal selection**
  - Entropy, $\chi 2$, CFS, t-test, domain know-how...
- **Signal integration**
  - SVM, ANN, PCL, CART, C4.5, kNN, ...

Training & Testing Data

- **Vertebrate dataset of Pedersen & Nielsen [ISMB'97]**
- **3312 sequences**
- **13503 ATG sites**
- **3312 (24.5%) are TIS**
- **10191 (75.5%) are non-TIS**
- **Use for 3-fold x-validation expts**

Signal Generation

- **K-grams (ie., k consecutive letters)**
  - K = 1, 2, 3, 4, 5, …
  - Window size vs. fixed position
  - Up-stream, downstream vs. any where in window
  - In-frame vs. any frame



Signal Generation: An Example

```
 299 HSU27655.1 CAT U27655 Homo sapiens
CGTGTGTGCAGCAGCCTGCAGCTGCCCCAAGCCATGGCTGAACACTGACTCCCAGCTGTG      80
CCCAGGGCTTCAAAGACTTCTCAGCTTCGAGCATGGCTTTTGGCTGTCAGGGCAGCTGTA     160
GGAGGCAGATGAGAAGAGGGAGATGGCCTTGGAGGAAGGGAAGGGGCCTGGTGCCGAGGA     240
CCTCTCCTGGCCAGGAGCTTCCTCCAGGACAAGACCTTCCACCCAACAAGGACTCCCCT
```

- **Window = ±100 bases**
- **In-frame, downstream**
  - GCT = 1, TTT = 1, ATG = 1…
- **Any-frame, downstream**
  - GCT = 3, TTT = 2, ATG = 2…
- **In-frame, upstream**
  - GCT = 2, TTT = 0, ATG = 0, ...

Exercise: Find the in-frame downstream ATG

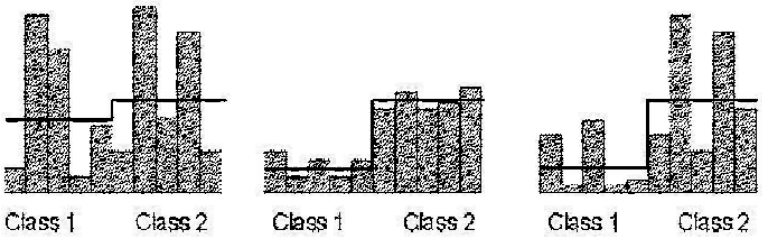
Exercise: What are the possible k-grams (k=3) in this sequence?

Too Many Signals

- **For each value of k, there are $4^k * 3 * 2$ k-grams**
- **If we use k = 1, 2, 3, 4, 5, we have 24 + 96 + 384 + 1536 + 6144 = 8184 features!**
- **This is too many for most machine learning algorithms**

Signal Selection (Basic Idea)

- **Choose a signal w/ low intra-class distance**
- **Choose a signal w/ high inter-class distance**



Signal Selection (e.g., t-statistics)

The t-stats of a signal is defined as

$$t = \frac{|\mu_1 - \mu_2|}{\sqrt{(\sigma_1^2/n_1) + (\sigma_2^2/n_2)}}$$

where σ_i^2 is the variance of that signal in class i, μ_i is the mean of that signal in class i, and n_i is the size of class i.

Signal Selection (e.g., MIT-correlation)

The MIT-correlation value of a signal is defined as

$$MIT = \frac{|\mu_1 - \mu_2|}{\sigma_1 + \sigma_2}$$

where σ_i is the standard deviation of that signal in class i and μ_i is the mean of that signal in class i.

Signal Selection (e.g., $\chi 2$)

The χ^2 value of a signal is defined as:

$$\chi^2 = \sum_{i=1}^{m} \sum_{j=1}^{k} \frac{(A_{ij} - E_{ij})^2}{E_{ij}},$$

where m is the number of intervals, k the number of classes, A_{ij} the number of samples in the ith interval, jth class, R_i the number of samples in the ith interval, C_j the number of samples in the jth class, N the total number of samples, and E_{ij} the expected frequency of A_{ij} ($E_{ij} = R_i * C_j / N$).

Example

- **Suppose you have a sample of 50 men and 50 women and the following weight distribution is observed:**

	obs	exp	$(obs - exp)^2/exp$
HM	40	60*50/100=30	3.3
HW	20	60*50/100=30	3.3
LM	10	40*50/100=20	5.0
LW	30	40*50/100=20	5.0

$\chi 2=16.6$
P = 0.00004,
df = 1
So weight and sex are not indep

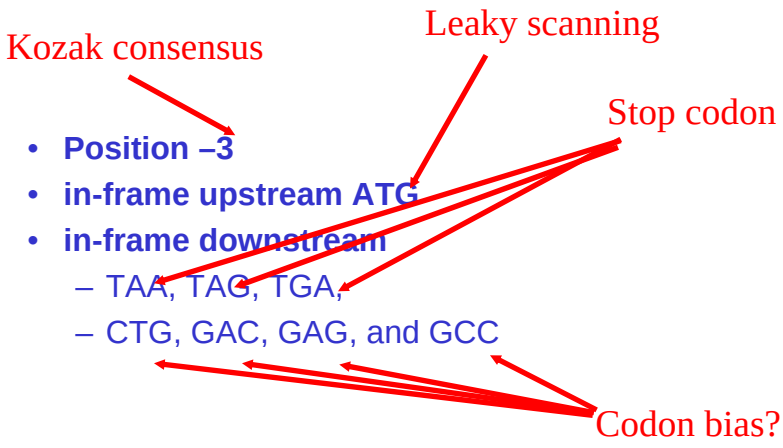
- **Is weight a good attribute for distinguishing men from women?**

Signal Selection (e.g., CFS)

- **Instead of scoring individual signals, how about scoring a group of signals as a whole?**
- **CFS**
  - Correlation-based Feature Selection
  - A good group contains signals that are highly correlated with the class, and yet uncorrelated with each other

Exercise: What is the main challenge in implementing CFS?

Sample k-grams Selected by CFS for Recognizing TIS



- **Position –3**
- **in-frame upstream ATG**
- **in-frame downstream**
  - TAA, TAG, TGA,
  - CTG, GAC, GAG, and GCC

Signal Integration

- **kNN**
  - Given a test sample, find the k training samples that are most similar to it. Let the majority class win
- **SVM**
  - Given a group of training samples from two classes, determine a separating plane that maximises the margin of error
- **Naïve Bayes, ANN, C4.5, ...**

Results (3-fold x-validation)

	predicted as positive	predicted as negative
positive	TP	FN
negative	FP	TN

Exercise:
What is TP/(TP+FP)?

	TP/(TP + FN)	TN/(TN + FP)	TP/(TP + FP)	Accuracy
Naïve Bayes	84.3%	86.1%	66.3%	85.7%
SVM	73.9%	93.2%	77.9%	88.5%
Neural Network	77.6%	93.2%	78.8%	89.4%
Decision Tree	74.0%	94.4%	81.1%	89.4%

Improvement by Voting

- **Apply any 3 of Naïve Bayes, SVM, Neural Network, & Decision Tree. Decide by majority**

	TP/(TP + FN)	TN/(TN + FP)	TP/(TP + FP)	Accuracy
NB+SVM+NN	**79.2%**	**92.1%**	**76.5%**	**88.9%**
NB+SVM+Tree	**78.8%**	**92.0%**	**76.2%**	**88.8%**
NB+NN+Tree	**77.6%**	**94.5%**	**82.1%**	**90.4%**
SVM+NN+Tree	**75.9%**	**94.3%**	**81.2%**	**89.8%**
Best of 4	**84.3%**	**94.4%**	**81.1%**	**89.4%**
Worst of 4	**73.9%**	**86.1%**	**66.3%**	**85.7%**

Improvement by Scanning

- **Apply Naïve Bayes or SVM left-to-right until first ATG predicted as positive. That's the TIS**
- **Naïve Bayes & SVM models were trained using TIS vs. Up-stream ATG**

	TP/(TP + FN)	TN/(TN + FP)	TP/(TP + FP)	Accuracy
NB	**84.3%**	**86.1%**	**66.3%**	**85.7%**
SVM	**73.9%**	**93.2%**	**77.9%**	**88.5%**
NB+Scanning	**87.3%**	**96.1%**	**87.9%**	**93.9%**
SVM+Scanning	**88.5%**	**96.3%**	**88.6%**	**94.4%**

Performance Comparisons

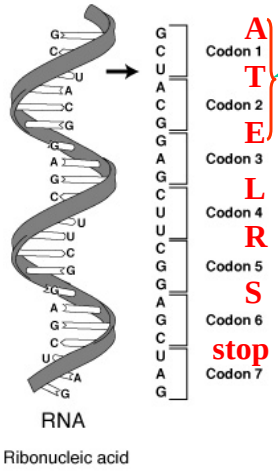
	TP/(TP + FN)	TN/(TN + FP)	TP/(TP + FP)	Accuracy
NB	84.3%	86.1%	66.3%	85.7%
Decision Tree	74.0%	94.4%	81.1%	89.4%
NB+NN+Tree	77.6%	94.5%	82.1%	90.4%
SVM+Scanning	88.5%	96.3%	88.6%	94.4%*
Pedersen&Nielsen	78%	87%	-	85%
Zien	69.9%	94.1%	-	88.1%
Hatzigeorgiou	-	-	-	94%*

* result not directly comparable

Technique Comparisons

- **Pedersen&Nielsen [ISMB'97]**
  - Neural network
  - No explicit features
- **Zien [Bioinformatics'00]**
  - SVM+kernel engineering
  - No explicit features
- **Hatzigeorgiou [Bioinformatics'02]**
  - Multiple neural networks
  - Scanning rule
  - No explicit features
- **Our approach**
  - Explicit feature generation
  - Explicit feature selection
  - Use any machine learning method w/o any form of complicated tuning
  - Scanning rule is optional

mRNA→protein

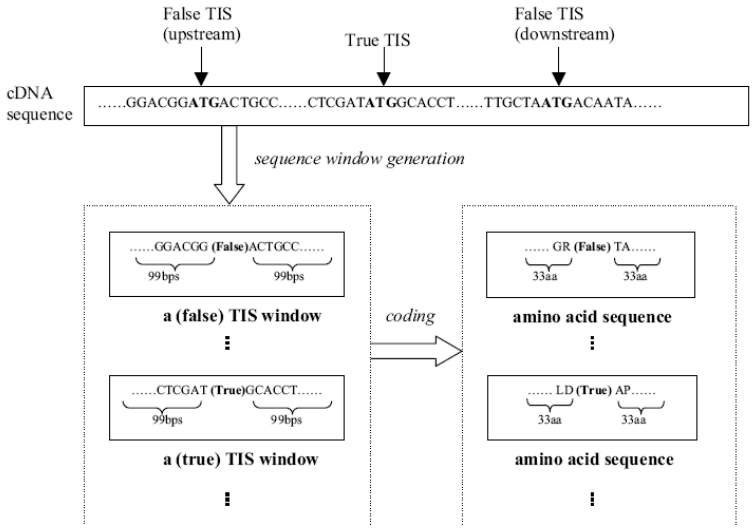


How about using k-grams from the translation?

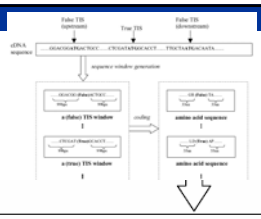
First	U	C	A	G	Last
U	Phe F	Ser S	Tyr Y	Cys C	U
	Phe	Ser	Tyr	Cys	C
	Leu L	Ser	**Stop** (Ochre)	**Stop** (Umber)	A
	Leu	Ser	**Stop** (Amber)	Trp W	G
C	Leu	Pro P	His H	Arg R	U
	Leu	Pro	His	Arg	C
	Leu	Pro	Gln Q	Arg	A
	Leu	Pro	Gln	Arg	G
A	Ile I	Thr T	Asn N	Ser	U
	Ile	Thr	Asn	Ser	C
	Ile	Thr	Lys K	Arg	A
	Met M	Thr	Lys	Arg	G
G	Val V	Ala A	Asp D	Gly G	U
	Val	Ala	Asp	Gly	C
	Val	Ala	Glu E	Gly	A
	Val	Ala	Glu	Gly	G

Exercise: List the first 10 amino acid in our example sequence

Amino-Acid Features

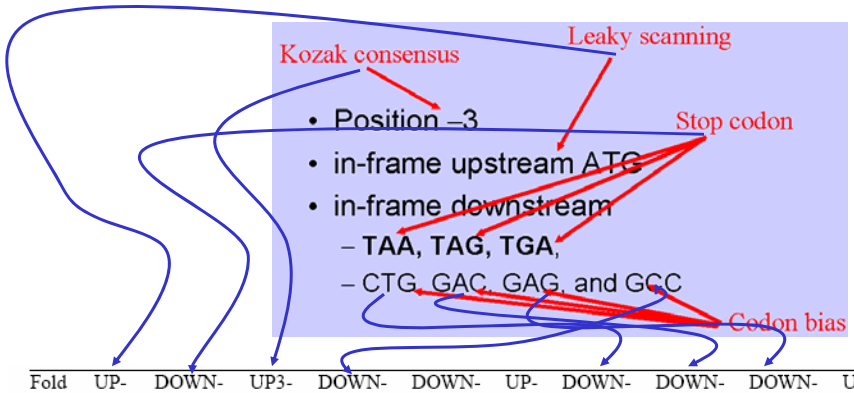


Amino-Acid Features



New feature space (total of 927 features + class label)			
42 1-gram amino acid patterns	882 2-gram amino acid patterns	3 bio-know-ledge patterns	class label
UP-A, UP-R, ….,UP-N, DOWN-A, DOWN-R, …., DOWN-N (numeric type)	UP-AA, UP-AR, …., UP-NN, DOWN-AA, DOWN-AR , …., DOWN-NN (numeric type)	DOWN4-G UP3-AorG, UP-ATG (boolean type, Y or N)	True, False
Frequency as values			
1, 3, 5, 0, 4, … ⋮ 6, 5, 7, 9, 0, … ⋮	6, 2, 7, 0, 5, … ⋮ 2, 0, 3, 10, 0, … ⋮	N, N, N, ⋮ Y, Y, Y, ⋮	False ⋮ True ⋮

Amino Acid K-grams Discovered (by entropy)



Fold	UP-ATG	DOWN-STOP	UP3-AorG	DOWN-A	DOWN-V	UP-A	DOWN-L	DOWN-D	DOWN-E	UP-G
1	1	2	4	3	6	5	8	9	7	10
2	1	2	3	4	5	6	7	8	9	10
3	1	2	3	4	5	6	8	9	7	10

Independent Validation Sets

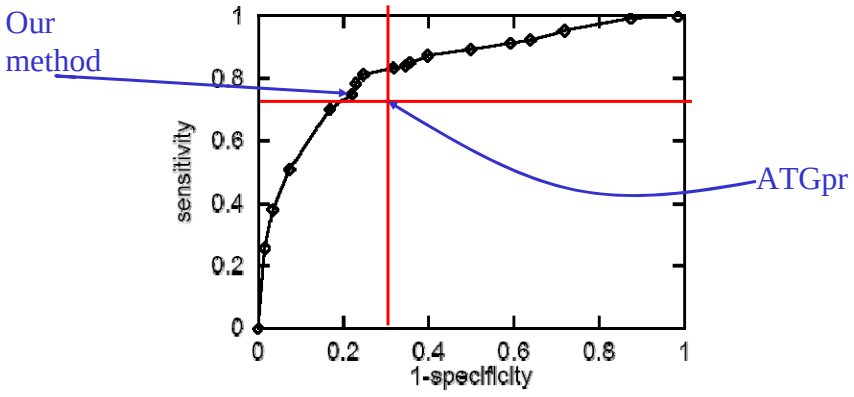
- **A. Hatzigeorgiou:**
  - 480 fully sequenced human cDNAs
  - 188 left after eliminating sequences similar to training set (Pedersen & Nielsen's)
  - 3.42% of ATGs are TIS
- **Our own:**
  - well characterized human gene sequences from chromosome X (565 TIS) and chromosome 21 (180 TIS)

Validation Results (on Hatzigeorgiou's)

Algorithm	Sensitivity	Specificity	Precision	Accuracy
SVMs(linear)	96.28%	89.15%	25.31%	89.42%
SVMs(quad)	94.14%	90.13%	26.70%	90.28%
Ensemble Trees	92.02%	92.71%	32.52%	92.68%

- Using top 100 features selected by entropy and trained on Pedersen & Nielsen's dataset

Validation Results (on Chr X and Chr 21)



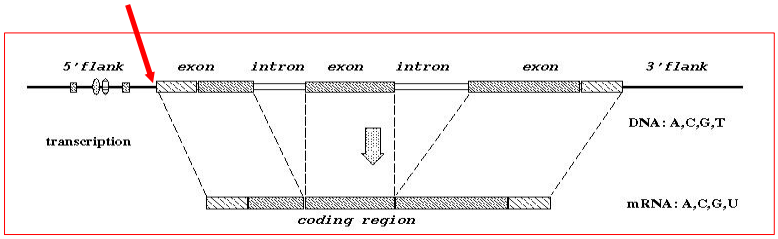
- **Using top 100 features selected by entropy and trained on Pedersen & Nielsen's**

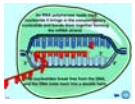
Recognition of Transcription Start Sites

An introduction to the World's best TSS recognition system:

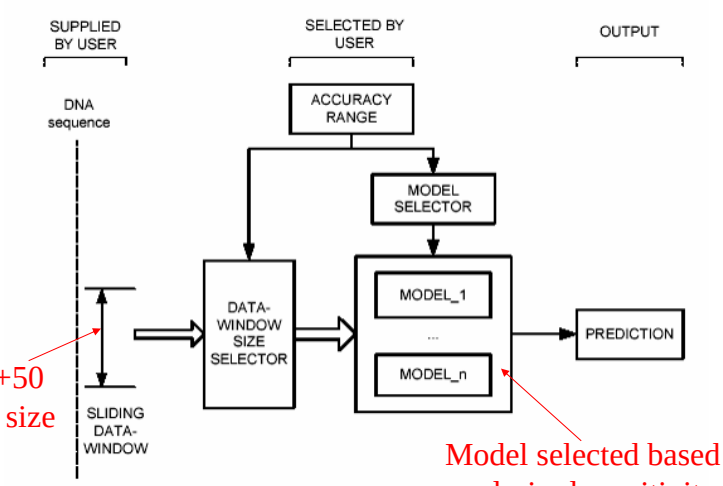
A heavy tuning approach

Transcription Start Site





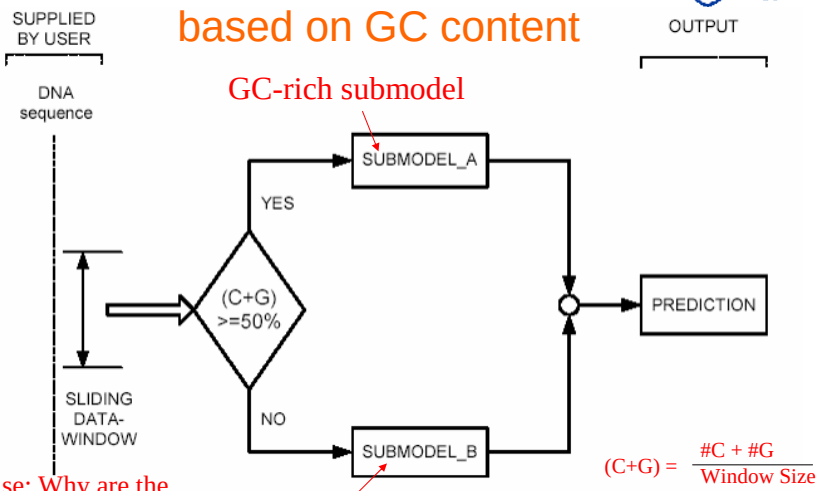
Structure of Dragon Promoter Finder



-200 to +50 window size

Model selected based on desired sensitivity

Each model has two submodels based on GC content

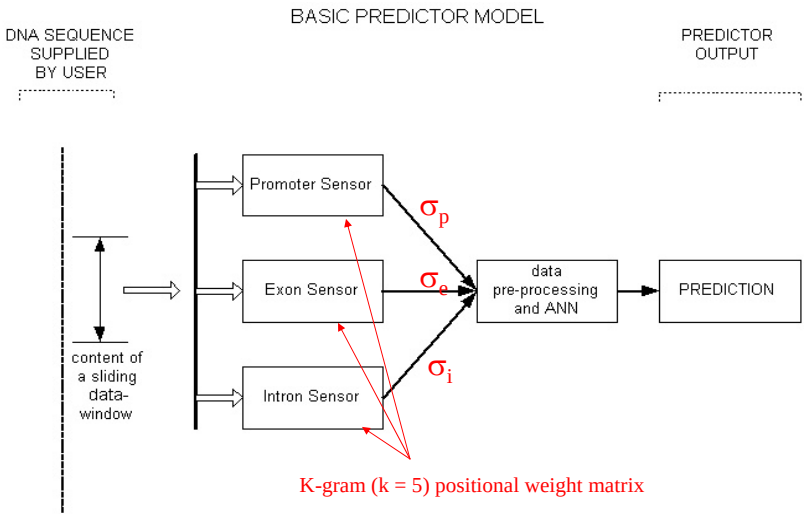


$(C+G) = \frac{\#C + \#G}{\text{Window Size}}$

Exercise: Why are the submodels based on GC content?

GC-poor submodel

Data Analysis Within Submodel



Promoter, Exon, Intron Sensors

- **These sensors are positional weight matrices of k-grams, k = 5 (aka pentamers)**
- **They are calculated as below using promoter, exon, intron data respectively**

Window size

$$\sigma = \frac{\left(\sum_{i=1}^{L-4} p_j^i \otimes f_{j,i}\right)}{\left(\sum_{i=1}^{L-4} \max_j f_{j,i}\right)}, \qquad p_j^i \otimes f_{j,i} = \begin{cases} f_{j,i}, \text{ if } p_i = p_j^i \\ 0, \text{ if } p_i \neq p_j^i \end{cases},$$

Frequency of jth pentamer at ith position in training window

Pentamer at i^{th} position in input

j^{th} pentamer at i^{th} position in training window

Just to make sure you know what I mean …

- **Give me 3 DNA seq of length 10:**
  - Seq_1 = ACCGAGTTCT
  - Seq_2 = AGTGTACCTG
  - Seq_3 = AGTTCGTATG
- **Then**

1-mer	pos1	pos2	pos3	pos4	pos5	pos6	pos7	pos8	pos9	pos10
A	3/3	0/3	0/3							
C	0/3	1/3	1/3		Exercise: Fill in the rest of the table					
G	0/3	2/3	0/3							
T	0/3	0/3	2/3							

Just to make sure you know what I mean …

- **Give me 3 DNA seq of length 10:**
  - Seq_1 = ACCGAGTTCT
  - Seq_2 = AGTGTACCTG
  - Seq_3 = AGTTCGTATG
- **Then**

Exercise: How many rows should this 2-mer table have? How many rows should the pentamer table have?

2-mer	pos1	pos2	pos3	pos4	pos5	pos6	pos7	pos8	pos9
AA	0/3	0/3	0/3						
AC	1/3	0/3	0/3		Exercise: Fill in the rest of the table				
…	…	…	…						
TT	0/3	0/3	1/3				1/3		

Data Preprocessing & ANN

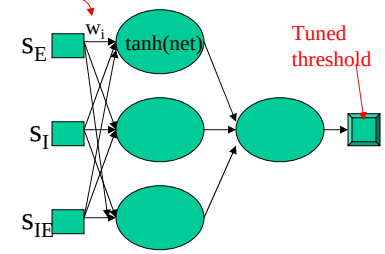
Tuning parameters

$$s_E = sat(\sigma_p - \sigma_e, a_e, b_e),$$
$$s_I = sat(\sigma_p - \sigma_i, a_i, b_i),$$
$$s_{EI} = sat(\sigma_e - \sigma_i, a_{ei}, b_{ei}),$$

where the function sat is defined by

$$sat(x,a,b) = \begin{cases} a, & if \quad x > a \\ x, & if \quad b \le x \le a. \\ b, & if \quad b > x \end{cases}$$

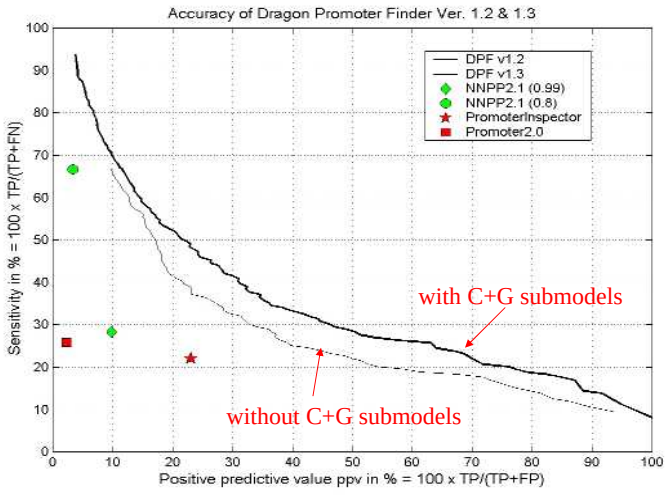
Simple feedforward ANN trained by the Bayesian regularisation method



$$\tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

$$net = \Sigma\, s_i * w_i$$

Accuracy Comparisons



Training Data Criteria & Preparation

- **Contain both positive and negative sequences**
- **Sufficient diversity, resembling different transcription start mechanisms**
- **Sufficient diversity, resembling different non-promoters**
- **Sanitized as much as possible**

- **TSS taken from**
  - 793 vertebrate promoters from EPD
  - -200 to +50 bp of TSS
- **non-TSS taken from**
  - GenBank,
  - 800 exons
  - 4000 introns,
  - 250 bp,
  - non-overlapping,
  - <50% identities

Tuning Data Preparation

- **To tune adjustable system parameters in Dragon, we need a separate tuning data set**

- **TSS taken from**
  - 20 full-length gene seqs with known TSS
  - -200 to +50 bp of TSS
  - no overlap with EPD
- **Non-TSS taken from**
  - 1600 human 3'UTR seqs
  - 500 human exons
  - 500 human introns
  - 250 bp
  - no overlap

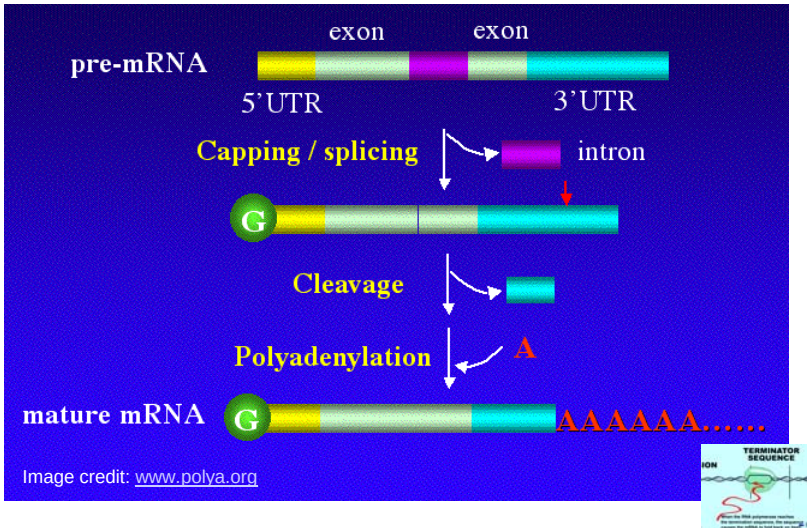
Testing Data Criteria & Preparation

- Seqs should be from the training or evaluation of other systems (no bias!)
- Seqs should be disjoint from training and tuning data sets
- Seqs should have TSS
- Seqs should be cleaned to remove redundancy, <50% identities
- 159 TSS from 147 human and human virus seqs
- cummulative length of more than 1.15Mbp
- Taken from GENESCAN, GeneId, Genie, etc.

Recognition of Poly-A Signal Sites

A twist to the "feature generation, feature selection, feature integration" approach

Eukaryotic Pre-mRNA Processing



Poly-A Signals in Human (Gautheret et al., 2006)

Table 2. Most Significant Hexamers in 3′ Fragments: Clustered Hexamers

Hexamer	Observed (expected)[a]	% sites	p[b]	Position average ± SD	Location[c]
AAUAAA	3286 (317)	58.2	0	−16 ± 4.7	
AUUAAA	843 (112)	14.9	0	−17 ± 5.3	
AGUAAA	156 (32)	2.7	6×10^{-37}	−16 ± 5.9	
UAUAAA	180 (53)	3.2	4×10^{-45}	−18 ± 7.8	
CAUAAA	76 (23)	1.3	1×10^{-16}	−17 ± 5.9	
GAUAAA	72 (21)	1.3	2×10^{-16}	−18 ± 6.9	
AAUAUA	96 (33)	1.7	2×10^{-19}	−18 ± 6.9	
AAUACA	70 (16)	1.2	5×10^{-23}	−18 ± 8.7	
AAUAGA	43 (14)	0.7	1×10^{-9}	−18 ± 6.3	
AAAAAG	49 (11)	0.8	5×10^{-17}	−18 ± 8.9	
ACUAAA	36 (11)	0.6	1×10^{-06}	−17 ± 8.1	
AAGAAA	62 (10)	1.1	9×10^{-26}	−19 ± 11	
AAUGAA	49 (10)	0.8	4×10^{-16}	−20 ± 10	
UUUAAA	69 (20)	1.2	3×10^{-16}	−17 ± 12	
AAAACA	29 (5)	0.5	8×10^{-12}	−20 ± 10	
GGGGCU	22 (3)	0.3	9×10^{-12}	−24 ± 13	

Poly-A Signals in Arabidopsis

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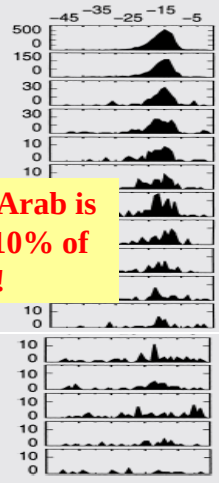
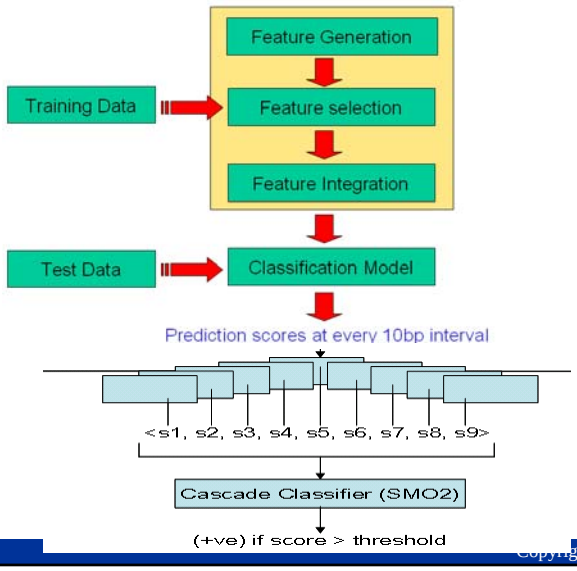
AGUAAA	156 (32)	2.7	6×10^{-37}	−16 ± 5.9	
UAUAAA	180 (53)	3.2	4×10^{-45}	−18 ± 7.8	
CAUAAA	76 (23)	1.3	1×10^{-16}	−17 ± 5.9	
GAUAAA	72				
AAUAUA	96				
AAUACA	70				
AAUAGA	43				
AAAAAG	49				
ACUAAA	36 (11)	0.6	1×10^{-06}	−17 ± 8.1	
AAGAAA	62 (10)	1.1	9×10^{-26}	−19 ± 11	
AAUGAA	49 (10)	0.8	4×10^{-18}	−20 ± 10	
UUUAAA	69 (20)	1.2	3×10^{-18}	−17 ± 12	
AAAACA	29 (5)	0.5	8×10^{-12}	−20 ± 10	
GGGGCU	22 (3)	0.3	9×10^{-12}	−24 ± 13	



In contrast to human, PAS in Arab is highly degenerate. E.g., only 10% of Arab PAS is AAUAAA!

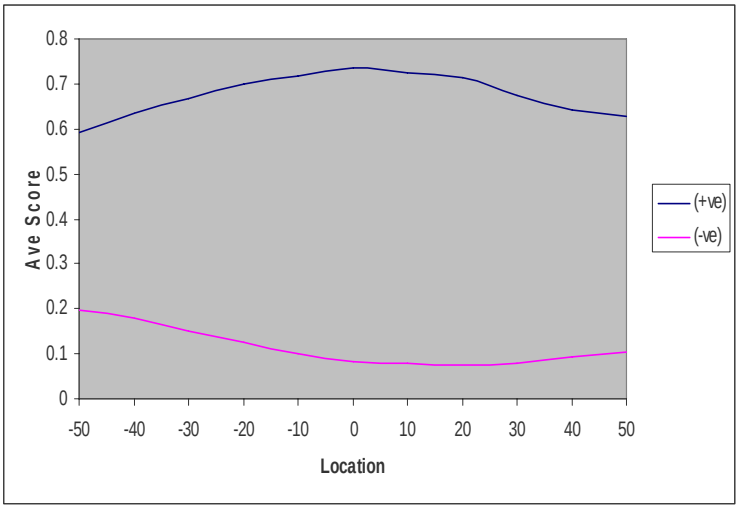
Approach on Arab PAS Sites (I)



Approach on Arab PAS Sites (II)

- **Data collection**
  - #1 from Hao Han, 811 +ve seq (-200/+200)
  - #2 from Hao Han, 9742 –ve seq (-200/+200)
  - #3 from Qingshun Li,
    - **6209 (+ve) seq (-300/+100)**
    - **1581 (-ve) intron (-300/+100)**
    - **1501 (-ve) coding (-300/+100)**
    - **864 (-ve) 5'utr (-300/+100)**
- **Feature generation**
  - 3-grams, compositional features (4U/1N. G/U*7, etc)
  - Freq of features above in 3 diff windows: (-110/+5), (-35/+15), (-50/+30)
- **Feature selection**
  - $\chi 2$
- **Feature integration & Cascade**
  - SVM

Score Profile Relative to Candidate Sites



Validation Results

SN_0	SMO 1		SMO 2		PASS 1.0	
Control Sequences	SN & SP	Threshold	SN & SP	Threshold	SN & SP	Threshold
CDS	90%	0.26	94%	0.24	95%	3.7
5'UTR	79%	0.42	85%	0.49	78%	5.5
Intron	64%	0.59	71%	0.67	63%	6.3

Table 2. Equal-error-rate points of SMO1, SMO2, and PASS 1.0 for SN_10.

SN_10	SMO 1		SMO 2		PASS 1.0	
Control Sequences	SN & SP	Threshold	SN & SP	Threshold	SN & SP	Threshold
CDS	94%	0.36	96%	0.31	96%	4
5'UTR	86%	0.53	89%	0.6	81%	5.7
Intron	73%	0.68	77%	0.77	67%	6.6

Table 3. Equal-error-rate points of SMO1, SMO2, and PASS 1.0 for SN_30.

SN_30	SMO 1		SMO 2		PASS 1.0	
Control Sequences	SN & SP	Threshold	SN & SP	Threshold	SN & SP	Threshold
CDS	97%	0.44	97%	0.37	97%	4.3
5'UTR	90%	0.62	92%	0.67	84%	6.2
Intron	79%	0.75	83%	0.81	72%	6.8

Concluding Remarks…

What have we learned?

- **Gene feature recognition applications**
  - TIS, TSS, PAS
- **General methodology**
  - "Feature generation, feature selection, feature integration"
- **Important tactics**
  - Multiple models to optimize overall performance
  - Feature transformation (DNA → amino acid)
  - Classifier cascades

Any Question?

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- **The slides for PAS site prediction are adapted from slides given to me by Koh Chuan Hock**

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