

**AN  $O(N^2)$  ALGORITHM FOR SIGNED TRANSLOCATION PROBLEM \***

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Genome rearrangement is an important area in computational biology. There are three basic operations, *reversal*, *translocation*, and *transposition*. Here we study the translocation operations. Multi-chromosomal genomes frequently evolve by *translocation* events that exchange genetic material between two chromosomes. We focus on the signed case, where the direction of each gene is known. The *signed translocation* problem asks to find the minimum number of translocation operations as well as the sequence of translocation operations to transform one genome into the other. A linear-time algorithm that computes the the minimum number of translocation operations was given in Li et al., 2004.<sup>14</sup> However, that algorithm cannot give the optimum sequence of translocation operations. The best known algorithm that can give the optimum sequence of translocation operations for signed translocation problem runs in  $O(n^2 \log n)$  time. In this paper, we design an  $O(n^2)$  algorithm.

**1. Introduction**

Genome rearrangement is a new and rapidly developing area in computational biology.<sup>18,19</sup> It contains rich results in terms of both computation and biology. More than sixty years ago, Dobzhansky and Sturtevant published a milestone paper with an evolutionary tree presenting a rearrangement scenario with 17 reversal operations for the species *Drosophila pseudoobscura* and *Miranda*.<sup>3</sup> Genome rearrangement is a common mode of molecular evolution in plants, mammals, viral, and bacteria.<sup>1,6,7,9,8,13,11,12,18,19</sup> Although the rearrangement process is very complicated, there are three basic operations, *reversal*, *translocation* and *transposition*. In this paper, we study the translocation operations. Multi-chromosomal genomes frequently evolve by translocation events that exchange genetic material between two chromosomes. A genome is a set of chromosomes and a chromosome  $X = x_1, x_2, \dots, x_p$  is a sequence of genes, where  $x_i$  is a signed integer representing a gene.

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Let  $X = x_1, \dots, x_{b-1}, x_b, \dots, x_p$  and  $Y = y_1, \dots, y_{c-1}, y_c, \dots, y_q$  be two chromosomes in a signed genome. A translocation swaps the segments in the chromosomes and results two new chromosomes. For a *prefix-prefix* translocation, the new chromosomes are  $X' = x_1, \dots, x_{b-1}, y_c, \dots, y_q$  and  $Y' = y_1, \dots, y_{c-1}, x_b, \dots, x_p$ . For a *prefix-suffix* translocation, the new chromosomes are  $X' = x_1, \dots, x_{b-1}, -y_{c-1}, \dots, -y_1$  and  $Y' = -x_p, \dots, -x_b, y_c, \dots, y_q$ .

Note that the choices of *prefix-prefix* and *prefix-suffix* translocations implies that one can change the direction of a chromosome without increasing the translocation distance. A chromosome  $X$  is *identical* to chromosome  $Y$  if either  $X = Y$  or  $X = -Y$ . Genome  $A$  is *identical* to genome  $B$  if and only if the sets of chromosomes for  $A$  and  $B$  are the same.

The *translocation distance* between genomes  $A$  and  $B$ , denoted as  $d(A, B)$ , is the minimum number of translocations required to transform  $A$  into  $B$ . Given two genomes, the *signed translocation problem* is to find the minimum number of translocations as well as the sequence of translocation operations to transform one signed genome into the other.

The signed translocation problem was first studied in Kececioğlu and Ravi, 1995.<sup>13</sup> Hannenhalli gave the first polynomial time algorithm to solve the problem.<sup>10</sup> The running time is  $O(n^3)$ , where  $n$  is the total number of genes in the genome. An  $O(n^2 \log n)$  algorithm was given in Zhu and Ma, 2002.<sup>20</sup> A linear-time algorithm that computes the the minimum number of translocation operations was given in Li et al., 2004.<sup>14</sup> However, that algorithm cannot give the optimal sequence of translocation operations. In this paper, we present an  $O(n^2)$  algorithm that can compute the optimum sequence of translocation operations and thus improves upon the best known algorithm.

It seems that it is common to have linear-time algorithms to compute the distance values for various kinds of rearrangement operations. However, it takes more time to give an optimal sequence of operations. For example, for the signed reversal distance, a linear-time algorithm that computes the reversal distance value was given in Bader et al., 2001.<sup>15</sup> However, the best known algorithms to give an optimal sequence of reversal operations still take  $O(n^2)$  time.<sup>16,4,5,2</sup> (Tesler, 2002) dealt with minimum number of reversals, translocations, fissions and fusions.<sup>17</sup> The value can be computed in linear-time. However, it still takes  $O(n^2)$  time to give the sequence of the four operations.<sup>17</sup> The translocation distance is different from the the distance studied in Tesler, 2002.<sup>17</sup> Our algorithm makes use of some new and non-trivial properties and structures.

## 2. Preliminaries

In this section, we give some basic definitions and describe some previous results that are necessary to present our new algorithm.

### 2.1. The cycle graph

For a genome  $A$ , we will construct a graph  $G_A$ . For each chromosome  $X = x_1, x_2, \dots, x_p$  in genome  $A$ , we have  $2p$  vertices in  $G_A$ , two vertices  $x_i^h, x_i^t$  for each gene  $x_i$  in  $X$ . The

$2p$  vertices are arranged in a linear order from left to right as

$$l(x_1)r(x_1)l(x_2)r(x_2)\dots l(x_p)r(x_p), \quad (1)$$

where if  $x_i$  is a positive integer, then  $l(x_i) = x_i^t$  and  $r(x_i) = x_i^h$ ; and if  $x_i$  is a negative integer, then  $l(x_i) = x_i^h$  and  $r(x_i) = x_i^t$ . For each  $i \in \{1, 2, \dots, n-1\}$ , there is a black edge  $(r(x_i), l(x_{i+1}))$  in  $G_A$ . Vertices  $u$  and  $v$  are *neighbors* in  $G_A$  if there is a black edge connecting  $u$  and  $v$  in  $G_A$ .

Given two genomes  $A$  and  $B$ , we can construct the *cycle graph*  $G_{AB}$  from  $G_A$  by adding a *grey* edge to every pair of vertices  $u$  and  $v$ , where  $u$  and  $v$  are neighbors in  $G_B$ . The graph  $G_{AB}$  contains two kinds of edges, *black* edge and *grey* edge. Each vertex in  $G_{AB}$  (except the first and the last in a chromosome) is incident to two edges, one black and one grey. Thus, each vertex is in a unique cycle in  $G_{AB}$ . From the construction, each black edge in the cycle is followed by a grey edge and vice versa. A cycle is *long* if it contains at least two black edges. Otherwise, the cycle is *short*. If  $A = B$ , then all cycles in  $G_{AB}$  are short.  $d(A, B)$  is closely related to the number of cycles in  $G_{AB}$ .

Let  $X = x_1, x_2, \dots, x_p$  be a chromosome in  $A$ . A *sub permutation* is an interval  $x_i, x_{i+1}, \dots, x_{i+l}$  in  $X$  containing at least three genes such that there is another interval of the same length  $y_k, y_{k+1}, \dots, y_{k+l}$  in a chromosome  $Y$  of  $B$  satisfying  $\{|x_i|, |x_{i+1}|, \dots, |x_{i+l}|\} = \{|y_k|, |y_{k+1}|, \dots, |y_{k+l}|\}$ ,  $y_k = x_i$ ,  $y_{k+l} = x_{i+l}$ , and  $x_{i+1}, \dots, x_{i+l-1} \neq y_{k+1}, \dots, y_{k+l-1}$ .  $x_i$  and  $x_{i+l}$  are called the *ending genes* of the sub permutation.

Let  $I = x_i, x_{i+1}, \dots, x_j$  be an interval for chromosome  $X$  in  $A$ .  $V(I) = \{x_i^t, x_i^h, x_{i+1}^t, x_{i+1}^h, \dots, x_j^t, x_j^h\}$  be the set of vertices in  $G_{AB}$ . The leftmost vertex and the rightmost vertex in  $I$  are referred to as  $LEFT(I) = l(x_i)$  and  $RIGHT(I) = r(x_j)$ . Define  $IN(I) = V(I) - \{LEFT(I), RIGHT(I)\}$ . An edge  $(u, v)$  is *inside* the interval  $I$  if both  $u$  and  $v$  are in  $IN(I)$ . A sub permutation  $I$  can be viewed as a sub graph  $G_{AB}(I)$  of  $G_{AB}$  containing the vertex set  $IN(I)$  such that

- (1) there is no edge  $(u, v)$  such that  $u \in IN(I)$  and  $v \notin IN(I)$ .
- (2) the sub graph corresponding to  $I$  has at least one long cycle.

A *minimal sub permutation* (*minSP* for short) is a sub permutation such that any other interval in the minimal sub permutation is not a sub permutation.

Let  $u$  and  $v$  be two vertices in (1).  $u$  is on the left of  $v$  in  $X$ . A *segment*  $[u, v]$  on chromosome  $X$  contains all the vertices in (1) starting at  $u$  and ending at  $v$ . A segment  $[u, v]$  is *inside* a segment  $[x, y]$  if both  $u$  and  $v$  are in  $[x, y]$ .

The following lemma is used to prove other lemmas, e.g., Lemma 4.3.

**Lemma 2.1.** <sup>10</sup> *Cutting a minSP into two segments  $L$  and  $R$ , there must exist a grey edge  $(u, v)$  such that  $u \in V(L)$ ,  $v \in V(R)$  and  $(u, v) \neq (RIGHT(L), LEFT(R))$ .*

There exists an *even isolation* in  $G_{AB}$  if the following three conditions hold: (1) there are even number of *minSP*'s in  $G_{AB}$ , (2) all the *minSP*'s are on a single chromosome of  $A$ , and (3) all the *minSP*'s are contained in a single sub permutation. Note that, there is at most one even isolation. Define  $i_{AB} = 1$  if there is an even isolation and otherwise,  $i_{AB} = 0$ .

$o_{AB}$  is defined as  $o_{AB} = 1$  if the number of  $minSP$  is odd and otherwise  $o_{AB} = 0$ .

$s_{AB}$  denotes the number of minimal sub permutations in  $G_{AB}$  and  $c_{AB}$  denotes the number of cycles in  $G_{AB}$ . The following theorem gives the value of the translocation distance and is the key to design polynomial time algorithm solving the problem.<sup>10</sup>

**Theorem 2.1.**<sup>10</sup> *Let  $n$  be the number of genes in the genomes and  $m$  the number of chromosomes in the genomes. The translocation distance between two signed genomes  $A$  and  $B$  is*

$$d(A, B) = n - m - c_{AB} + s_{AB} + o_{AB} + 2 \cdot i_{AB}. \quad (2)$$

## 2.2. The existing algorithms

Consider two black edges  $(u, v)$  and  $(f, g)$  in a long cycle in  $G_{AB}$ , where  $(u, v)$  is in chromosome  $X$  in  $A$  and  $(f, g)$  is in chromosome  $Y$  in  $A$ . Consider a translocation  $\rho$  acting on  $X$  and  $Y$  cutting the two black edge  $(u, v)$  and  $(f, g)$ .  $\rho$  is a *proper* translocation if the cycle containing  $(u, v)$  and  $(f, g)$  in  $G_{AB}$  becomes two cycles in the new cycle graph. Otherwise,  $\rho$  is *improper*. Sometimes, the two black edges that a translocation cuts might be in different cycles in  $G_{AB}$ . In that case, a translocation merge the two cycles into one. A *bad* translocation merges two cycles into one.

The formula (2) gives the value of the translocation distance between two genomes. We want to find translocations such that after applying such a translocation, the translocation distance is reduce by one. Define function  $\Psi_{AB} = \Psi_{[A,B]} = c_{AB} - s_{AB} - o_{AB} - 2 \cdot i_{AB}$ . A translocation  $\rho$  is *valid* if  $\Delta\Psi_{AB} = \Psi_{[A,\rho,B]} - \Psi_{[A,B]} = 1$ , where  $A \cdot \rho$  is the new genome after  $\rho$  is applied.

It is proved that (1) if there are proper translocations for  $G_{AB}$ , there must be a valid proper translocation for  $G_{AB}$ ; and (2) if there is no proper translocation, there must be a valid bad translocation.<sup>10</sup> The algorithm is given in Figure 1.

<ol style="list-style-type: none"> <li>1. <b>while</b> <math>A</math> is not identical to <math>B</math> <b>do</b></li> <li>2.   <b>if</b> there is a proper translocation for <math>G_{AB}(V, E)</math> <b>do</b></li> <li>3.     select a valid proper translocation <math>\rho</math></li> <li>4.   <b>else</b> select a valid bad translocation <math>\rho</math></li> <li>5.     <math>A \leftarrow A \cdot \rho</math></li> <li>6.   <b>end while</b></li> </ol>
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Figure 1. Algorithm 1: The old algorithm.

Suppose there are  $n$  genes in the genomes.  $d(A, B)$  is at most  $O(n)$ . The method in Hannenhalli, 1995 can find a bad valid translocation in  $O(n)$  time when no proper valid translocation is available.<sup>10</sup> Thus, the running time depends on the time to find a proper valid translocation. For the best known algorithm, it takes  $O(n \log n)$  time to find a valid proper translocation.<sup>20</sup> Thus the total time complexity of the algorithm in Zhu and Ma,

$2002^{20}$  is  $O(n^2 \log n)$ . Here we propose a faster algorithm that takes  $O(n)$  time to find a proper valid translocation.

### 3. Computing the translocation distance

We have designed an algorithm that computes all  $minSP$ 's in  $O(n^2)$  time. This algorithm will be used in section 4. Due to space limit, we omit it here.

**Theorem 3.1.** *There exists an algorithm that computes all  $minSP$ 's in  $O(n^2)$  time.*

After all the  $minSP$ 's are determined, it is easy to test if there are odd number of  $minSP$ 's and if there is an even isolation in  $O(n)$  time. Thus by now, translocation distance between two signed genomes can be computed in  $O(n^2)$  time. The remaining work is to find all the valid translocation operations to transform  $A$  into  $B$  in time  $O(n^2)$ .

It is worth to point out that Algorithm 2 is important for the  $O(n)$  algorithm that finds a valid proper translocation described in Section 4, where we assume that all the old  $minSP$ 's have been found by Algorithm 2. Since Algorithm 2 (running in  $O(n^2)$  time) is called once in the whole algorithm, solving the signed translocation problem requires  $O(n^2)$  time in total.

### 4. Finding a valid proper translocation in $O(n)$ time

A grey edge is *proper* if its two ends are in different chromosomes. For a proper grey edge  $(u, v)$ , there are two translocations (prefix-prefix and suffix-prefix) to cut the two black edges adjacent to the grey edge. One of the two translocations breaks a long cycle into two and thus is a proper translocation and the other is improper. From now on, we use a proper grey edge  $(u, v)$  to refer to its proper translocation, denoted as  $\rho(u, v)$ . We use the two terms interchangeably.

Note that some proper translocation may not cut two black edges adjacent to a proper grey edge. However, whenever there is a proper translocation  $\rho$ , there must be a proper grey edge in the long cycle that  $\rho$  breaks. In our algorithm, we always focus on the proper translocations indicated by proper grey edges.

If a proper grey edge (translocation) does not produce a new  $minSP$ , then it is valid. Otherwise, it is not valid. The following lemma shows that in this case, we can find a valid proper grey edge inside the new  $minSP$ .

**Lemma 4.1.** <sup>20</sup> *If a proper translocation for  $G_{AB}$  produces a new  $minSP$ , say,  $P$ , then there must be a proper grey edge inside  $P$  that is valid for  $G_{AB}$ .*

#### 4.1. Finding the new $minSP$

Let  $min = \{P_1, P_2, \dots, P_k\}$  be the set of all  $minSP$ 's for  $G_{AB}$ . Let  $X_1 Y_1$  be a new chromosome produced by a proper grey edge in  $G_{AB}$ , where  $X_1$  is from chromosome  $X$  in genome  $A$  and  $Y_1$  is from chromosome  $Y$  in  $A$ . The black edge

( $RIGHT(X_1), LEFT(Y_1)$ ) connecting the two parts  $X_1$  and  $Y_1$  is called the *connecting edge* in  $X_1Y_1$ . Obviously, a new *minSP* must contain the connecting edge.

We can find whether a new *minSP* is produced in  $X_1Y_1$  in  $O(n)$  time. The idea of our algorithm is to search the new chromosome  $X_1Y_1$  starting from the two ends of the connecting edge to left and right, respectively. Let  $l$  and  $r$  be the vertices in  $X_1$  and  $Y_1$  that we are going to check.  $L$  denotes the leftmost vertex in  $X_1$  that a new *minSP* could reach and  $R$  denotes the rightmost vertex in  $Y_1$  that a new *minSP* could reach.  $left(u)/right(u)$  denotes the vertex that is on the left/right of vertex  $u$  in the cycle graph  $G_{AB}$ . See Figure 2.

1. **Initialize**  $L = l$  to indicate the rightmost vertex on  $X_1$  in a long cycle. **Initialize**  $R = r$  to indicate the leftmost vertex in  $Y_1$  in a long cycle. (if there is no long cycle in  $X_1$  or  $Y_1$ , **then** return “no new *minSP* is found”.)
2. **Let**  $(l, u)$  and  $(r, v)$  be the grey edges incident to  $l$  and  $r$ , respectively.
  - (a) **if**  $v \in V(X_1)$  and  $v$  is on the left of  $L$  **then** set  $L = v$ .
  - (b) **if**  $v \in V(Y_1)$  and  $v$  is on the right of  $R$  **then** set  $R = v$
  - (c) **if**  $u \in V(X_1)$  and  $u$  is on the left of  $L$  **then** set  $L = u$ .
  - (d) **if**  $u \in V(Y_1)$  and  $u$  is on the right of  $R$  **then** set  $R = u$
  - (e) **if**  $u$  or  $v$  is not in  $V(X_1Y_1)$  **then** return “no new *minSP* is found”.
3. **If**  $l \neq L$  **then**  $l = left(l)$ . **If**  $r \neq R$  **then**  $r = right(r)$ .
4. **If**  $l \neq L$  or  $r \neq R$  goto Step 2.
5. **If**  $[L, R]$  does not contain any *minSP* in  $min$  **then** return  $[L, R]$  **else return** “no new *minSP* is found”.

Figure 2. Algorithm 3: Testing whether a new *minSP* exists in  $O(n)$  time.

In Step 5, we have to test if an old *minSP* is in  $[L, R]$ . This can be done in  $O(n)$  time by looking at all the old *minSP*'s in  $min$  produced by Algorithm 2.

A new sub permutation  $I$  in  $X_1Y_1$  containing the connecting edge is a *nested* sub permutation if  $I$  does not contain any sub permutation  $P' \subset I$  such that  $P' \subseteq X_1$  or  $P' \subseteq Y_1$ .

**Theorem 4.1.** *Algorithm 3 correctly tests whether  $X_1Y_1$  contains a new *minSP* and if yes, outputs the new *minSP*. Algorithm 3 runs in  $O(n)$  time.*

#### 4.2. Partition of the new *minSP*

Let  $X$  and  $Y$  be two chromosomes of  $A$ . Let  $e$  be a proper grey edge and  $b$  and  $c$  the two black edges adjacent to  $e$  in  $G_{AB}$ . Suppose the proper translocation cutting  $b$  and  $c$  produces two new chromosomes  $X_LX_MY_MY_R$  and  $Y_LX_R$  such that  $P = X_MY_M$  is a new *minSP*, where  $X_M$  is from  $X$  and  $Y_M$  is from  $Y$ . See Figure 3. We use  $l(b)$  and  $r(b)$  to represent the left and the right ends of edge  $b$ . Thus, we have  $RIGHT(X_M) = l(b)$  and  $LEFT(Y_M) = r(c)$ .

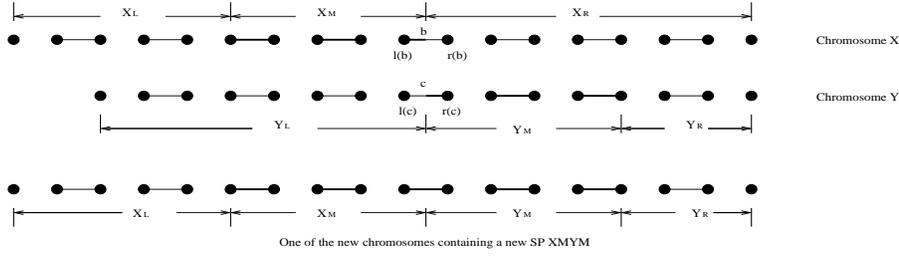


Figure 3. A proper grey edge (translocation) acting on  $X$  and  $Y$  generates a new  $minSP$  in the resulting chromosomes. The bold parts represent segments in the new  $minSP$ .

From Lemma 4.1, we only have to consider the grey edges inside  $X_M Y_M$ . However, this grey edge cannot be  $(RIGHT(X_M), LEFT(Y_M))$ , since if such a grey edge  $(RIGHT(X_M), LEFT(Y_M))$  exists, then  $(RIGHT(X_M), LEFT(Y_M))$  is the grey edge (translocation) resulting in the two new chromosomes  $X_L X_M Y_M Y_R$  and  $Y_L X_R$ . See Figure 3. Thus, we want to find a grey edge  $(v_R, u_R) \neq (RIGHT(X_M), LEFT(Y_M))$  such that  $v_R \in V(X_M), u_R \in V(Y_M)$  and  $\rho(u_R, v_R)$  is a valid proper translocation for  $G_{AB}(V, E)$ .

**Lemma 4.2.** *Let  $\rho$  be a proper translocation acting on chromosomes  $X$  and  $Y$  that produces the two new chromosomes  $X_L X_M Y_M Y_R$  and  $Y_L X_R$  such that  $P = X_M Y_M$  is a new  $minSP$ . Let  $(u, v)$  be a grey edge inside  $X_M Y_M$ .  $\rho(u, v)$  acting on  $X$  and  $Y$  produces two new chromosomes  $X' = X_1 X_V Y_V Y_1$  and  $Y' = X_2 X_U Y_U Y_2$  such that  $V(X_V Y_V) \neq \emptyset, V(X_U Y_U) \neq \emptyset, X_V$  and  $X_U$  form  $X_M$ , and  $Y_V$  and  $Y_U$  form  $Y_M$ . If  $X'$  or  $Y'$  contains a new  $minSP$ , say,  $P$ , then  $P$  must be inside  $X_V Y_V$  or  $X_U Y_U$ .*

Lemma 4.1 does not tell us how to find such a valid grey edge. We can use  $findEdge(X_M Y_M)$  to find a proper grey edge that can produce at most one new  $minSP$  though it may not be valid.

Algorithm 4:  $findEdge(X_M Y_M)$ .  
 We can start from the right end  $l(b)$  of  $X_M$ , go to the left in  $X_M$  and find the first vertex  $v_R$  in  $V(X_M)$  satisfying

- (1)  $v_R$  is connected to vertex  $u_R \in V(Y_M)$  via a grey edge  $(u_R, v_R)$  in  $G_{AB}$ .
- (2)  $(v_R, u_R) \neq (l(b), r(c))$ . ( $RIGHT(X_M) = l(b)$  and  $LEFT(Y_M) = r(c)$ .)

Figure 4. Algorithm 4: Finding a grey edge in  $X_M Y_M$  such that at least one of the new chromosomes does not contain any  $minSP$ .

**Lemma 4.3.** *Let  $X_M Y_M$  be the new  $minSP$ . The grey edge  $(u_R, v_R)$  is found in  $findEdge(X_M Y_M)$ .  $(u_R, u)$  and  $(v_R, v)$  denote the two black edges adjacent to the*

grey edge  $(u_R, v_R)$  in  $G_{AB}$ .  $X_N = v_1, v_2, \dots, v_k$ , where  $v_k = l(b)$  if  $X_N$  is not empty, is the segment of vertices (not including  $v_R$ ) in  $X_M$  checked in  $findEdge(X_M Y_M)$  before vertex  $v_R$  is found in  $X_M$ . At most one of the two new chromosomes produced by translocation  $\rho(u_R, v_R)$  contains a new *minSP*. In particular, if  $X_N$  is not empty, then the new chromosome  $X'$  containing the segment  $X_N$  does not contain any new *minSP*.

**Corollary 4.1.** *Lemma 4.3 still holds if the input  $X_M Y_M$  of  $findEdge()$  is a nested sub permutation, but not a *minSP*.*

Let  $X' = X_1 X_V Y_V Y_1$  be the new chromosome produced by translocation  $\rho(u_R, v_R)$  that does not contain any new *minSP*, where  $X_1 \cap X_M = \emptyset$ ,  $Y_1 \cap Y_M = \emptyset$ ,  $X_V \subseteq X_M$  and  $Y_V \subseteq Y_M$ . Let  $Y'$  be the other new chromosome produced by  $\rho(u_R, v_R)$ . According to Lemma 4.3,  $Y'$  may contain a new *minSP*, say,  $P$ . Lemma 4.1 says that a valid proper translocation can be found in  $P$  then. Next, we design a method to repeatedly reduce the size of the new *minSP* and eventually find the valid proper grey edge.

#### 4.3. Finding the valid proper grey edge in the new *minSP*

Let  $(u_R, v_R)$  be selected in  $findEdge(X_M Y_M)$ . One of the two new chromosomes  $X' = X_1 X_V Y_V Y_1$  does not contain any new *minSP*. The other chromosome  $Y' = X_2 X_U Y_U Y_2$  (call it *crucial* chromosome) that may contain a new *minSP*. Note that the two segments  $X_U$  and  $X_V$  form  $X_M$  and  $Y_U$  and  $Y_V$  form  $Y_M$  (the order may not be fixed). From Lemmas 4.2 and 4.3, the new *minSP*  $P$  in  $Y'$  must be inside the segment  $X_U Y_U$ . Next, we try to reduce the range in  $X_U Y_U$  that the new *minSP* could be. Since  $X_U \subseteq X_M$ ,  $Y_U \subseteq Y_M$  and  $X_M Y_M$  is a *minSP* at the very beginning, for any grey edge with one end in  $X_U Y_U$ , the other end must be in  $V(X_M Y_M) = V(X_U) \cup V(X_V) \cup V(Y_U) \cup V(Y_V)$ . Thus, it is enough to consider the vertices in  $V(X_U) \cup V(X_V) \cup V(Y_U) \cup V(Y_V)$ .

A vertex is *ignorable* if it is in  $V(X_U Y_U)$ , but not in the new *minSP* in  $Y'$ . We need the following lemma to prune segment  $X_U Y_U$ .

**Lemma 4.4.** *If there is a grey edge  $(u_1, v_1)$  such that  $u_1 \in V(X_V Y_V)$  and  $v_1 \in V(X_U Y_U)$ , then  $v_1$  is ignorable.*

By the definition of *minSP*, the following lemma holds.

**Lemma 4.5.** *If  $u \in V(X_U)$  is ignorable, then any vertex  $v$  on the left of  $u$  in  $X_U$  is ignorable. If  $u \in V(Y_U)$  is ignorable, then any  $v$  on the right of  $u$  in  $Y_U$  is ignorable.*

**Lemma 4.6.** *Let  $(u, v)$  be an grey edge inside  $X_U Y_U$ . If  $u$  is ignorable then  $v$  is ignorable.*

We can reduce the range of  $X_U Y_U$  based on Lemmas 4.4-4.6. Let  $l$  and  $r$  be the rightmost vertex in  $X_U$  and the leftmost vertex in  $Y_U$  such that there are grey edges  $(v_1, l)$  and  $(v_2, r)$  with  $v_1 \in V(X_V Y_V)$  and  $v_2 \in V(X_V Y_V)$ . Let  $L$  and  $R$  be the vertices in  $X_U$  and  $Y_U$  that we are going to check (based on Lemma 4.6). Initially, we set  $L = right(LEFT(X_U))$  and  $R = left(RIGHT(Y_U))$ . We can use the algorithm in Figure 5

to prune the segment  $X_U Y_U$  in  $Y'$ . We claim that there always exists a grey edge  $(u, v)$  with  $u \in V(X_U Y_U)$  and  $v \in V(X_V Y_V)$ . The proof is left to interested readers.

**Algorithm 5:  $\text{prune}(X_U, Y_U, X_V, Y_V)$**

1. Set  $l = \text{right}(\text{LEFT}(X_U))$  and  $r = \text{left}(\text{RIGHT}(Y_U))$ .
2. Search every vertex  $v \in V(X_V Y_V)$  and find the the rightmost vertex  $l$  in  $X_U$  and the leftmost vertex  $r$  in  $Y_U$  such that there are grey edges  $(v_1, l)$  and  $(v_2, r)$  with  $v_1 \in V(X_V Y_V)$  and  $v_2 \in V(X_V Y_V)$ .
3. Let  $L = \text{right}(\text{LEFT}(X_U))$  and  $R = \text{left}(\text{RIGHT}(Y_U))$ .
4. Consider the grey edges  $(L, u)$  and  $(R, v)$ . **if**  $u \in V(X_U)$  ( $v \in V(Y_U)$ ) and  $u$  ( $v$ ) is on the right of  $l$ , **then**  $l = u$  ( $l = v$ ). **if**  $u \in V(Y_U)$  ( $v \in V(X_U)$ ) and  $u$  ( $v$ ) is on the left of  $r$ , **then**  $r = u$  ( $r = v$ ).
5. **if**  $(l \neq L)$  **then**  $L = \text{right}(L)$ . **if**  $(r \neq R)$  **then**  $R = \text{left}(R)$ . **if**  $(l \neq L$  or  $r \neq R)$  **then** goto Step 4.
6.  $l = \text{right}(l)$  and  $r = \text{left}(r)$ .
7. Move  $r$  to the left until no short cycle is on the left of  $r$  in  $Y_U$ . Move  $l$  to the right until no short cycle is on the right of  $l$  in  $X_U$ .
8. output:  $[l, r]$ .

Figure 5. Algorithm 5: Reducing the range of the  $\text{minSP}$  in the crucial chromosome.

**Theorem 4.2.** *If algorithm  $\text{prune}(X_U, Y_U, X_V, Y_V)$  returns  $l$  and  $r$  as the two ends of the connecting edge in  $X_2 X_U Y_U Y_2$ , then  $\rho(u_R, v_R)$  is valid. If  $l$  or  $r$  is not the end of the connecting edge,  $\rho(u_R, v_R)$  is not valid. In this case,  $\rho(u_R, v_R)$  produces a new  $\text{minSP}$  contained in the interval  $[l, r]$ . Moreover,  $[l, r]$  is a nested sub permutation in this case.*

Now, we can use  $\text{findEdge}()$  and  $\text{prune}()$  to find a valid grey edge as in Figure 6.

**Algorithm 6:  $\text{findValid}(G_{AB})$**

- Output  $(u_R, v_R)$ .
1. Arbitrarily select a proper grey edge  $(u, v)$  in  $G_{AB}$  and apply the translocation.
  2. Use algorithm 3 to test if any of the two new chromosomes contains a new  $\text{minSP}$ . **if** no new  $\text{minSP}$  is found **then** return  $(u, v)$  and stop.
  3. Let  $X_M Y_M$  be the new  $\text{minSP}$  found in Step 2.
  4. Call  $\text{findEdge}(X_M Y_M)$  to get  $(u_R, v_R)$ , and determine  $X_U, Y_U, X_V, Y_V$ .
  5. Call  $\text{prune}(X_U, Y_U, X_V, Y_V)$  to get  $[l, r]$ . **if**  $l = \text{RIGHT}(X_U)$  and  $r = \text{LEFT}(Y_U)$  **then** return  $(u_R, v_R)$  and stop.
  6. Update  $X_M = [l, x]$  and  $Y_M = [y, r]$ , where  $x$  and  $y$  are the two ends of the connecting edge and goto Step 4.

Figure 6. Algorithm 6: Finding a valid proper grey edge (translocation) in  $O(n)$  time.

**Theorem 4.3.** *Algorithm 6 finds a valid proper grey edge (translocation) in  $O(n)$  time.*

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