

# Automated Temporal Verification for Preemptive Asynchronous Programs

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## Abstract

To make reactive programming more concise and expressive, it is promising to combine two approaches to concurrency that integrates *synchronous preemption* with *asynchronous promises*. Existing temporal verification techniques have not been designed to handle such a marriage of two execution models. This work presents a solution that integrates a modular Hoare-style forward verifier with a novel term rewriting system (TRS) on *(A)Synchronous Effects (ASyncEfts)*. We use the full-featured Esterel as our target language, generalizing the preemptive asynchronous abstraction. We propose *ASyncEfts*, a new effect logic that extends *Synchronous Kleene Algebra* with a *waiting* operator. We establish an effect system for preemptive and asynchronous primitives. Lastly, we present a purely algebraic TRS to efficiently check language inclusions between *ASyncEfts*. We prototype the verification system, prove its correctness, and report case studies and experimental results.

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## 1 Introduction

Synchronous programming [1] has found success in many safety-critical applications, such as fly-by-wire systems and nuclear power plant control software<sup>1</sup>. It exhibits a high concurrency but calls for deterministic and predictable execution, which has been considered a clean formalism for modeling, specifying, validating, and implementing reactive systems. Languages based on this paradigm – such as Esterel [3], Lustre [4] and Signal [5] – assume that time is partitioned into discrete instants (or reactions) and the computation/communication for processing all events that occur within one time instant happen instantaneously.

Many mainstream languages, such as C#, Java, JavaScript, and Python, have recently added support for asynchronous promises, also known as *futures* or *tasks* [6]. These features support basic asynchronous operators, such as *yield* pauses/resumes generator functions asynchronously; *async/await* simplify the blending of asynchronous executions into sequential programming. However, most these languages offer a small set of preemption primitives, often inadequate for concisely modeling interruptions or control-driven computations.

To make reactive programming more concise and expressive, recent innovations are dedicated to integrating synchronous features to asynchronous infrastructures. For example: the language HipHop.js [7] is a mixture of JavaScript and Esterel for reactive web applications, which facilitates JavaScript with preemptions like *every* and *abort*; the Scala library ZIO [8] is for type-safe asynchronous and concurrent programming with rudimentary preemptive operators, such as *fiber interruption* and *racing*; similarly, Microsoft's durable function [9]

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<sup>1</sup> Concretely, it has been used in the creation and verification of fuel control systems; landing gear control functions; virtual display systems at Dassault Aviation [2]; the control software of the N4 nuclear power plants; the Airbus A320 fly-by-wire system; and the specification of part of Texas Instrument's digital signal processors [1].



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41 deploys blended asynchrony/synchrony, including pause, parallel composition for deterministic  
42 orchestration functions, which is available as libraries for C#, JavaScript, Python, etc.

43 There is a growing need to reason about such asynchronous reactive programs with  
44 multifarious preemptions. In particular, we are interested in the techniques for specifying  
45 and verifying temporal behaviors of such a mixed execution model, which has not been  
46 extensively studied. Therefore, this paper studies the challenges of synchronous preemptions  
47 and asynchronous promises and attempts to provide a practical solution accordingly.

```
1 module Main (in login, inout connected, out connState){  
2   par{Identity(...)} // enables the login button  
3   {every(login) { // implements a preemptive loop  
4     Authenticate(...); // preempts the previous Session  
5     present.connected){Session(...)} // then branch  
6     {emit connState("err")}}}}// else ...
```

■ **Figure 1** A preemptive program written in Esterel, for a simple web login procedure, from [7].

48 The power of preemption appears in Figure 1. Module `Main` makes use of three submodules:  
49 `Identity` reads the GUI and enables the login button when the input username and passwords  
50 are both longer than two characters; `Authenticate` calls the authorization service and output  
51 the signal `connected` when authorized; `Session` establishes an active communication session  
52 between the authorized user and the server.

53 Statement `par{...}{...}` (in lines 2 and 3) runs branches in parallel. The signal `login`  
54 is *present* when the login button is pressed in the first thread. Then the presence of `login`  
55 makes the `every` statement restart the sequence of tasks (in lines 4-6), so the current session is  
56 preempted and `Authenticate` begins execution. When `Authenticate` terminates, the status  
57 of `connected` is tested (in line 5). If *present*, `Session` starts running a new session until the  
58 next time the login button is pressed. When `Session` terminates, the `every(login){...}`  
59 statement merely waits for a new login. If after `Authenticate`, the status of `connected` is  
60 *absent*, then the output signal `connState` is emitted with an error message.

61 Although simple, Figure 1 shows that preemption statements allow a clean, hierarchical  
62 description of temporal behaviors. While flexible and expressive, preemption primitives  
63 have fairly complex semantics, which in turn, makes reasoning difficult. In this paper, we  
64 study the subtle operational semantics of various preemptions, including: *interrupt*; *abort*;  
65 *suspend*; *every*; and the *label-based escape*. Furthermore, we show that our approach supports  
66 a comprehensive foundation for verifying preemptions with different keywords, including  
67 *strong* or *weak*, *immediate* or *delayed*.

68 With asynchronous promises, we can perform long-lasting tasks without blocking the  
69 main thread. The keywords *async* and *await* allow sequential-style code to capture concurrent  
70 executions with explicit dependencies via asynchronous signals succinctly. However, promises  
71 are complex and error-prone in their own right. Prior works [10, 11] display a set of broken  
72 promises chain *anti-patterns* shown in asynchronous JavaScript, and propose to detect the  
73 anti-patterns by constructing a promise dependency graph. In this paper, we focus on the  
74 *async/await* related anti-pattern, where *unreachable promises* may have registered reactions  
75 that will never be executed. Different from prior works, we show that our purely algebraic  
76 approach detects such unreachable promises without any constructions of graphs.

77 This work achieves a modular verification - where modules can be replaced by their  
78 already verified properties - for preemptive and asynchronous programs. We propose to use

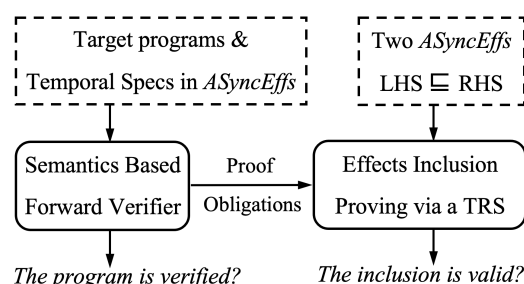
79 *ASyncEffs* to be the temporal specification language and deploy a compositional verification  
 80 strategy via a forward verifier and a term rewriting system (TRS). Specifically, *ASyncEffs*  
 81 enrich the Synchronous Kleene Algebra (SKA) [12, 13] with a new operator to add the  
 82 abstraction for "block waiting across threads" into classic linear temporal verification.

83 This work is a significant extension of [14], which solely targeted the temporal verification  
 84 for a subset of Esterel without preemptions or asynchronous primitives. This work inherits  
 85 the verification framework proposed in [14] but tackles challenges for the full-featured Esterel.  
 86 Our main contributions are:

- 87 **1. Language Abstraction:** we formally define the operational semantics for the full-  
 88 featured Esterel and use it to generalize the preemptive asynchronous execution model.
- 89 **2. Specification Logic:** we propose *ASyncEffs*, by defining its syntax and semantics. We  
 90 show that *ASyncEffs* subsume the expressiveness power of classic linear temporal logics.
- 91 **3. Forward Verifier:** we establish a Hoare-style forward verifier, which is an effect system  
 92 (or axiomatic semantics per se) to reason the temporal behaviors of target programs. It  
 93 deploys a TRS to check the actual behaviors against their annotated specifications.
- 94 **4. An Efficient TRS:** we present rewriting rules to prove/disprove the entailments between  
 95 *ASyncEffs*. The TRS is a back-end solver deployed by the front-end forward verifier.
- 96 **5. Implementation and Evaluation:** we prototype our proposal, validate our implement-  
 97 ation, prove the correctness, and report on case studies and experimental results.

## 98 2 Overview

99 An overview of our automated verification  
 100 system is given in Figure 2. The system  
 101 consists of a forward verifier and a TRS,  
 102 shown in the rounded boxes. The inputs of  
 103 the forward verifier are target programs annotated  
 104 with temporal specifications written  
 105 in *ASyncEffs*. The inputs of the TRS are  
 106 pairs of effects, LHS and RHS, referring to  
 107 the inclusion  $LHS \sqsubseteq RHS^2$  to be checked  
 108 (*LHS* and *RHS* refer to left-hand-side effects  
 109 and right-hand-side effects respectively). The  
 110 forward verifier calls the TRS to solve trace-  
 111 based proof obligations for assertions. The TRS can also be used as a solver independently  
 112 in its rights. We now highlight our primary methodology using examples.



113 ■ **Figure 2** System Overview.

### 113 2.1 Target Programs and *ASyncEffs*

114 Specifications are annotated in `/*@...@*/` for each module, which leads to a compositional  
 115 verification strategy, where temporal verification can be done locally. *ASyncEffs* use curly  
 116 braces `{}` to enclose each logical-time instant (reaction). A time instant is a set of signals  
 117 with status happening conceptually simultaneously.

118 As shown in Figure 3, module `Read` asynchronously loads and processes a JSON file. In  
 119 line 4, the statement `async` is enriched with a completion signal, here `loaded`. When started,

<sup>2</sup> We formally define the *ASyncEffs* inclusion relation  $\sqsubseteq$  in Definition 4.

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120 `async` immediately emits `loading`, and calls `fs.readFile`, that is expected to take time in  
121 terms of reactions, i.e., not to complete during the current reaction. Statement `async` forks  
122 a new thread to execute its body and thus does not block the main execution. Therefore  
123 in line 7, the program can do other computations (i.e., `compOther`) while `loading` the file.  
124 In line 8, the program waits for the signal `loaded` to be emitted, i.e., to synchronize with  
125 the child thread spawned by `async`. Then, it does data processing by emitting `logData` and  
126 waits for the environment to `close` the file.

```
1 module Read (in open, close, out loading, loaded, compOther, logData)
2 /*@ requires {}^*.{open} @*/
3 /*@ ensures {loading,compOther}.{loaded}.{logData}.close? @*/ {
4   async loaded { // "loaded" is emitted after the body is completed
5     emit loading;
6     fs.readFile(open.value);}
7   emit compOther; //do things that do not depend on the loading file
8   await loaded; //block waiting for the signal "loaded" to be emitted
9   emit logData; //data processing and logging
10  await close; }
11
12 module Main (out open, close, loading, loaded, compOther, logData)
13 /*@ requires {} @*/
14 /*@ ensures {open}.{}^*.{close} @*/ {
15   emit open("filePath");
16   par { Read (open, close, loading, loaded, compOther, logData); }
17     { await logData; //await for the signal "logData" to be emitted
18       emit close("filePath"); }} //close the file
```

■ **Figure 3** Asynchronously reading a file, using `async/await` in Esterel.

127 Module `Read`'s precondition  $\{\}^* \cdot \{\text{open}\}$  requires that when `Read` is called, the signal  
128 `open` should be emitted in the latest reaction, indicating that the file is opened before the  
129 current method call<sup>3</sup>. Module `Main` firstly `opens` the file then creates two child threads  
130 via a `par` statement. The first thread calls `Read`, while another thread waits for the data  
131 processing to be done and `closes` the file. Note that *ASyncEffe*s is an *affine logic* that only  
132 describes the signals we are concerned about, regardless of the non-mentioned signals.

### 133 2.2 Forward Verification

134 To reason about program implementations, we deploy a Hoare-style forward verifier. Given a  
135 statement  $p$  and the current program state  $\langle \Phi \rangle$ , we compute  $p$ 's effects by transforming the  
136 program states, based on the forward rules defined in Sec. 4. We use  $\Phi$  to denote *ASyncEffe*s  
137 formulae (defined in Figure 8). Next, we use Figure 4 to demonstrate the verification for  
138 module `Read`. To facilitate this illustration, we mark the deployed forward rules in [gray].

139 At the beginning of the verification, state (1) is initialized with an empty instant. States  
140 (2)(4)(6) are obtained by the rule [FV-Emit], which adds the emitted signal to the latest

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<sup>3</sup> We support parameterized signals, so this abstraction works for manipulating multiple files as well.

```

    module Read (in open, close, out loading, loaded, compOther, logData){
(1) <{> (- initialize the current effects using an empty instant -)
      async loaded {
          emit loading; fs.readFile(open.value);
(2) <{loading}> [FV-Emit]
        } <{loading} · {loaded}> [FV-Async]
(3) <{> (- inherited from state (1), because statement async is non-blocking -)
          emit compOther;
(4) <{compOther}> [FV-Emit]
          await loaded;
(5) <{compOther} · loaded?> [FV-Await]
          emit logData;
(6) <{compOther} · loaded? · {logData}> [FV-Emit]
          await close; }
(7) <{compOther} · loaded? · {logData} · close?> [FV-Await]
(8) <({loading} · {loaded}) || ({compOther} · loaded? · {logData} · close?)> [FV-Async]
       $\Phi_{final} = \langle \{loading, compOther\} \cdot \{loaded\} \cdot \{logData\} \cdot close? \rangle$  [Effects-Parallel-Merge]
(9)  $\Phi_{final} \sqsubseteq \Phi_{post}^{Read}$  [FV-Decl] (-TRS: check the postcondition of Read; Succeed. -)

```

■ **Figure 4** A demonstration of the forward verification for the module `Read`.

141 instant. States (5)(7) are obtained by the rule [FV-Await], which concatenates a blocking  
 142 signal (with a question mark) to the current effects. Since the `async` statement is non-blocking  
 143 and forks a new thread, state (3) is the same as the state (1). Step (8) parallel composes the  
 144 effects from the `async` thread and the main thread and normalizes the final effects. After  
 145 these state transformations, step (9) invokes the TRS to check the postcondition. Besides,  
 146 before each function call, the verifier invokes the TRS to check whether the current effect  
 147 state satisfies the precondition of the callee, cf. [FV-Call].

#### 148 **Case Study I: Detecting Unreachable Promises.**

149 Having the actual program behavior expressed in *ASyncEffs*, and the parallel merge algorithm  
 150 (defined in Sec. 4.1) to eliminate the waiting operators as much as possible based on the  
 151 local environment, we can easily capture the *unreachable promises* via a lexical checking for  
 152 *ASyncEffs*. For example, in step (8), the final trace contains a dangling waiting for the signal  
 153 `close` because there is no locally emitted `close`.

154 ► **Definition 1** (Well-Synchronized Effects). *After parallel merging, we call effects without any*  
 155 *waiting operators well-synchronized effects. Given any effect  $\Phi$ ,  $well(\Phi)$  returns a Boolean*  
 156 *value, defined recursively:*

$$\begin{aligned}
 157 \quad well(\perp) &= well(\epsilon) = well(I) = false & well(S?) &= true & well(\Phi^*) &= well(\Phi) \\
 158 \quad well(\Phi_1 \cdot \Phi_2) &= well(\Phi_1 \vee \Phi_2) = well(\Phi_1 || \Phi_2) & &= well(\Phi_1) \vee well(\Phi_2) \\
 159
 \end{aligned}$$

160 Definition 1 defines *well-synchronized effects*. Any not *well-synchronized effects* indicates  
 161 the existence of registered reactions for unreachable promises. However, not well-synchronized  
 162 effects can be further parallel composed to other threads, i.e., a bigger context, and become

163 well-synchronized. For example, the final effects of module `Main` is well-synchronized after  
 164 parallel composing module `Read` with another thread, shown in Appendix A at step (16).

### 165 2.3 The TRS

166 Having *ASyncEfts* to be the specification language, we are interested in the following  
 167 verification problem: *Given a program  $\mathcal{P}$ , and a temporal property  $\Phi'$ , does  $\Phi^{\mathcal{P}} \sqsubseteq \Phi'$  hold?*  
 168 *In a typical verification context, checking the inclusion between the actual program effects*  
 169  *$\Phi^{\mathcal{P}}$  and the valid traces  $\Phi'$  proves that: the program  $\mathcal{P}$  will never lead to unsafe traces which*  
 170 *violate  $\Phi'$ .*

171 We deploy a purely algebraic term rewriting system (TRS), to check language inclusions  
 172 between *ASyncEfts*. Our TRS is inspired by Antimirov and Mosses's algorithm [15], whose  
 173 rewriting system decides inequalities of regular expressions (REs) through an iterated process  
 174 of checking the inequalities of their *partial derivatives* [16], as defined in Definition 2. There  
 175 are two basic rules: [*Disprove*], which infers false from trivially inconsistent inequalities; and  
 176 [*Unfold*], which applies Definition 3 to recursively generate new inequalities.

177 ► **Definition 2** (Partial Derivatives). *Given any formal language  $L$  over an alphabet  $\Sigma$  and*  
 178 *any alphabet  $a \in \Sigma$ , the partial derivative of  $L$  with respect to  $a$  is defined as:*

$$179 \quad a^{-1}L = \{w \in \Sigma^* \mid aw \in L\}.$$

180 ► **Definition 3** (REs Inequality). *For two REs  $r$  and  $s$ ,  $r \preceq s \Leftrightarrow \forall (\mathbf{A} \in \Sigma). \mathbf{A}^{-1}(r) \preceq \mathbf{A}^{-1}(s)$ .*

181 ► **Definition 4** (*ASyncEfts* Inclusion). *For two *ASyncEfts*  $\Phi_1, \Phi_2$ ,*

$$182 \quad \Phi_1 \sqsubseteq \Phi_2 \Leftrightarrow \forall I. I^{-1}(\Phi_1) \sqsubseteq I^{-1}(\Phi_2).$$

183 Similarly, we defined Definition 4 for *ASyncEfts*' inclusion relation, where  $I^{-1}(\Phi)$  is the  
 184 partial derivative of  $\Phi$  w.r.t the instant  $I$ . Termination of the rewriting is guaranteed because  
 185 the sets of alphabets and derivatives to be considered are finite, and possible cycles are  
 186 detected using *memorization* [17].

187 We use Table 1 to illustrate the rewriting system, which proves that  $\{\mathbf{A}\} \cdot \{\mathbf{C}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\}$   
 188 entails  $\{\mathbf{A}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\}$ . We define "waiting for the signal  $\mathbf{B}$ " as:  $\mathbf{B}^? \equiv \exists n, n \geq 0 \wedge \{\overline{\mathbf{B}}\}^n \cdot \{\mathbf{B}\}$ ,  
 189 where  $\{\overline{\mathbf{B}}\}$  refers to the instants containing  $\mathbf{B}$  to be absent. Therefore intuitively  $\{\mathbf{C}\} \cdot \mathbf{B}^?$  is a  
 190 special case of  $\mathbf{B}^?$ .

■ **Table 1** A demonstration of rewriting *ASyncEfts* inclusions with waiting operators.

$$\begin{array}{c}
 \frac{\epsilon \sqsubseteq \epsilon}{\{\mathbf{D}\} \sqsubseteq \{\mathbf{D}\} \vee \perp} \textcircled{5}[\text{Prove}] \qquad \frac{\mathbf{B}^? \cdot \{\mathbf{D}\} \sqsubseteq \mathbf{B}^? \cdot \{\mathbf{D}\} \textcircled{\ddagger}}{\mathbf{B}^? \cdot \{\mathbf{D}\} \sqsubseteq \perp \vee (\mathbf{B}^? \cdot \{\mathbf{D}\})} \textcircled{7}[\text{Reoccur}] \\
 \frac{}{\{\mathbf{B}\} \cdot \{\mathbf{D}\} \sqsubseteq (\{\mathbf{B}\} \cdot \{\mathbf{D}\}) \vee (\{\overline{\mathbf{B}}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\})} \textcircled{4} \qquad \frac{}{\{\overline{\mathbf{B}}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\} \sqsubseteq (\{\mathbf{B}\} \cdot \{\mathbf{D}\}) \vee (\{\overline{\mathbf{B}}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\})} \textcircled{3} \\
 \frac{\mathbf{B}^? \cdot \{\mathbf{D}\} \sqsubseteq \perp \vee (\mathbf{B}^? \cdot \{\mathbf{D}\}) \textcircled{\ddagger}}{\{\mathbf{C}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\} \sqsubseteq (\{\mathbf{B}\} \cdot \{\mathbf{D}\}) \vee (\{\overline{\mathbf{B}}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\})} \textcircled{2}[\text{Unfold}] \\
 \frac{}{\{\mathbf{A}\} \cdot \{\mathbf{C}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\} \sqsubseteq \{\mathbf{A}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\}} \textcircled{1}[\text{Unfold}]
 \end{array}$$

191 Steps ① ② ④ ⑥ unfolds the inclusions by eliminating the first instants from both sides.  
 192 Step ③ observes a disjunction on the left-hand side (because  $\mathbf{B}^? \cdot \{\mathbf{D}\}$  is a shorthand for  
 193  $(\{\mathbf{B}\} \cdot \{\mathbf{D}\}) \vee (\{\overline{\mathbf{B}}\} \cdot \mathbf{B}^? \cdot \{\mathbf{D}\})$ ), thus creates two sub-trees, and the original inclusion is proved  
 194 only when both branches succeed. The first sub-tree is proved at step ⑤ by the *frame*  
 195 rule. The second sub-tree is proved by the *reoccur* rule, at step ⑦ where we observe the  
 196 proposition is isomorphic with one of the previous step (before ③), marked with ③.



### 3 Language and Specifications

#### 3.1 The Target Language

Prior works [18, 14] on program analysis for Esterel tend to focus on the *perfect synchrony*<sup>4</sup> perspective in Esterel, without dedicated reasoning for asynchronous concurrency or preemptions. We argue that our work cannot be subsumed by prior works, because of the additional modeling for *block waiting*, which is essential for both asynchronous concurrency and preemptions. We summarize a full-featured Esterel in Figure 5 to be our target language, which provides the infrastructure for the preemptive asynchronous abstraction. The statements marked as **purple** are generalized from the preemptive statements in (reactive) synchronous programming, while the statements marked as **blue** provide the *async/await* constructs for asynchronous programming.

(Program)	$\mathcal{P} ::= \overrightarrow{meth}$
(Signal Types)	$\tau ::= in \mid out \mid inout$
(Module Def.)	$meth ::= mn \ (\overrightarrow{\tau S(x)}) \ (\mathbf{req} \ \Phi_{pre} \ \mathbf{ens} \ \Phi_{post}) \ p$
(Values)	$v ::= () \mid i \mid b \mid x$
(Parametrized Signal)	$\mathbb{S} ::= S(v)$
(Statements)	$p, q ::= v \mid yield \mid emit \ \mathbb{S} \mid p; q \mid p \parallel q \mid call \ mn \ (\overrightarrow{\mathbb{S}})$ $\mid loop \ p \mid present \ S \ then \ p \ else \ q \mid \mathbf{async} \ S \ p \ q \mid \mathbf{await} \ [\kappa_1] \ S$ $\mid \mathbf{trap} \ p \mid \mathbf{exit}[\kappa_2] \ d \mid [\kappa_2] \ \mathbf{abort} \ p \ S \mid [\kappa_2] \ \mathbf{suspend} \ p \ S$
(Preemption Keywords)	$\kappa_1 ::= immediate \mid delayed \quad \kappa_2 ::= weak \mid strong$
(Signal Variables) $S \in \Sigma \quad i \in \mathbb{Z} \quad b \in \mathbb{B} \quad mn, x \in \mathbf{var} \quad (\text{Depth}) d \in \mathbb{N} \cup \{0\}$	

Figure 5 Syntax of the target language.

Here,  $S, x$  are meta-variables ranging over signal variables and constants. Signal types are: *in* for input signals, *out* for output signals and *inout* for both. **var** represents the countably infinite set of arbitrary distinct identifiers. A program  $\mathcal{P}$  comprises a list of module definitions  $\overrightarrow{meth}$ <sup>5</sup>. Each *module* has a name  $mn$ , a list of well-typed arguments  $\overrightarrow{\tau S(x)}$ , a statement-oriented body  $p$ , associated with a precondition  $\Phi_{pre}$  and a postcondition  $\Phi_{post}$ . We here present the intuitive semantics of the basic statements.

A thread of execution suspends itself for the current instant using the *yield* construct and resumes when the next instant started<sup>6</sup>. Statement *emit*  $\mathbb{S}$  broadcasts the signal  $\mathbb{S}$  to be *present*. The emission of  $\mathbb{S}$  is valid for the current instant only. The sequence statement  $p; q$  starts  $p$  and instantaneously passes the control flow to  $q$  when  $p$  terminates. Statement  $q$  is never started if  $p$  always yields. Parallel statement  $p \parallel q$  runs  $p$  and  $q$  in parallel. The branches can terminate in different instants, and the parallel statement waits for the last one to terminate. Statement *call*  $mn \ (\overrightarrow{\mathbb{S}})$  is a call to module  $mn$ , providing the list of IO signals. Statement *present*  $\mathbb{S} \ p \ q$  immediately starts  $p$  if  $S$  is present in the latest instant; otherwise it starts  $q$  instead. Statement *loop*  $p$  implements an infinite loop of executing  $p$ .

<sup>4</sup> Perfect synchrony is a high-level language abstraction where all reactions of a system are executed in (conceptually) zero time. Hence, outputs are generated simultaneously when the inputs are read.

<sup>5</sup> Here, we use the  $\rightarrow$  script to denote a finite vector (possibly empty) of items.

<sup>6</sup> For a better *cooperative multitasking* [19], processes voluntarily yield control periodically or when idle or logically blocked.

223 Keywords *immediate* and *delayed* are for *await* statements, indicating to wait for a signal  
 224 from the latest instant or the next instant, respectively. Keywords *weak* or *strong* are for  
 225 preemptive statements, indicating to allow or not allow, respectively, the latest instant to  
 226 execute when the preemption condition is met. In this work, we use the most commonly used  
 227 *immediate* waiting and *weak* preemptions by default, with discussions on how to cooperate  
 228 with the *delayed* waiting and *strong* preemptions in detail.

### 229 3.2 Structural Operational Semantics of the Target Language

230 Figure 6 provides the operational semantics of the preemptive and promise-related statements  
 231 and leaves the rest standard semantics rules in Appendix B.

232 The reduction rules are in the form of  $p \xrightarrow[\mathcal{E}]{\alpha, k} p'$ , meaning that a process  $p$  performs an  
 233 action  $\alpha$  then becomes a process  $p'$  with a completion code  $k$ .  $\mathcal{E}$  stands for all the signals  
 234 produced at the instant by the whole program of which  $p$  is part, which gives the global  
 235 information about the presence and absence of signals. In particular,  $\alpha \subseteq \mathcal{E}$ . The *completion*  
 236 *code*  $k$  is a non-negative integer: when  $k=0$ , the reduction completes without exits or yields;  
 237 when  $k=1$ , the reduction completes without exits but with a yield, i.e., starting a new  
 238 instant; when  $k=2$ , the reduction completes with an exit that escapes the nearest trap; when  
 239  $k>2$ , the reduction completes with an exit which escapes a further enclosing *trap*. Such an  
 240 encoding for preemptions was first advocated by Gonthier in [20].

241 Statement *async*  $\mathbb{S} \ p \ q$  is a *syntactic sugar* which spawns a long-lasting background  
 242 computation for  $p$ , which will join back to the main thread later. It essentially performs  $p$   
 243 and  $q$  in parallel, and emits  $\mathbb{S}$  when  $p$  completes, i.e.,  $(p; \text{yield}; \text{emit } \mathbb{S}) \parallel q$ . Statement *await*  $\mathbb{S}$   
 blocks the local thread and waits for  $\mathbb{S}$  to be emitted in the environment.

$$\begin{array}{c}
 \frac{(\mathbb{S} \mapsto \text{present}) \in \mathcal{E}}{\text{await } \mathbb{S} \xrightarrow[\mathcal{E}]{\emptyset, 1} ()} \text{[Await-1]} \quad \frac{(\mathbb{S} \mapsto \text{present}) \notin \mathcal{E}}{\text{await } \mathbb{S} \xrightarrow[\mathcal{E}]{\emptyset, 1} \text{await } \mathbb{S}} \text{[Await-2]} \quad \frac{}{\text{exit } d \xrightarrow[\mathcal{E}]{\emptyset, d+2} ()} \text{[Exit]} \\
 \\
 \frac{p \xrightarrow[\mathcal{E}]{\alpha, k} p' \quad (k \leq 1)}{\text{trap } p \xrightarrow[\mathcal{E}]{\alpha, k} \text{trap } p'} \text{[Trap-1]} \quad \frac{p \xrightarrow[\mathcal{E}]{\alpha, k} p' \quad (k=2)}{\text{trap } p \xrightarrow[\mathcal{E}]{\alpha, 0} ()} \text{[Trap-2]} \quad \frac{p \xrightarrow[\mathcal{E}]{\alpha, k} p' \quad (k > 2)}{\text{trap } p \xrightarrow[\mathcal{E}]{\alpha, k-1} p'} \text{[Trap-3]} \\
 \\
 \frac{(\mathbb{S} \mapsto \text{present}) \in \mathcal{E}}{\text{abort } p \ \mathbb{S} \xrightarrow[\mathcal{E}]{\emptyset, 0} ()} \text{[Abort-1]} \quad \frac{(\mathbb{S} \mapsto \text{present}) \notin \mathcal{E} \quad p \xrightarrow[\mathcal{E}]{\alpha, k} p'}{\text{abort } p \ \mathbb{S} \xrightarrow[\mathcal{E}]{\alpha, k} \text{abort } p' \ \mathbb{S}} \text{[Abort-2]} \quad \frac{}{\text{abort } () \ \mathbb{S} \xrightarrow[\mathcal{E}]{\emptyset, 0} ()} \text{[Abort-3]} \\
 \\
 \frac{\text{[Suspend-1]}}{(\mathbb{S} \mapsto \text{present}) \in \mathcal{E}} \quad \frac{\text{[Suspend-2]}}{(\mathbb{S} \mapsto \text{present}) \notin \mathcal{E} \quad p \xrightarrow[\mathcal{E}]{\alpha, k} p'} \quad \frac{\text{[Suspend-3]}}{} \\
 \hline
 \text{suspend } p \ \mathbb{S} \xrightarrow[\mathcal{E}]{\emptyset, 1} \text{suspend } p \ \mathbb{S} \quad \text{suspend } p \ \mathbb{S} \xrightarrow[\mathcal{E}]{\alpha, k} \text{suspend } p' \ \mathbb{S} \quad \text{suspend } () \ \mathbb{S} \xrightarrow[\mathcal{E}]{\emptyset, 0} () \\
 \\
 \frac{\text{[Par-Base-0]}}{p \xrightarrow[\mathcal{E}]{\alpha, 0} p'} \quad \frac{\text{[Par-Base-1]}}{p \xrightarrow[\mathcal{E}]{\alpha_1, 1} p' \quad q \xrightarrow[\mathcal{E}]{\alpha_2, 1} q'} \quad \frac{\text{[Par-Preemption]}}{p \xrightarrow[\mathcal{E}]{\alpha_1, k_1} p' \quad q \xrightarrow[\mathcal{E}]{\alpha_2, k_2} q' \quad (\max(k_1, k_2) > 1)} \\
 \hline
 p \parallel q \xrightarrow[\mathcal{E}]{\alpha, 0} p' \parallel q \quad p \parallel q \xrightarrow[\mathcal{E}]{\alpha_1 \cup \alpha_2, 1} p' \parallel q' \quad p \parallel q \xrightarrow[\mathcal{E}]{\alpha_1 \cup \alpha_2, \max(k_1, k_2)} ()
 \end{array}$$

■ **Figure 6** Operational semantics of promise-related and preemptive statements in Esterel.



245 We omit the labeling of the traps but use the exact depth value to refer to the nested  
 246 level of *trap* statements. Statement *exit d* instantaneously exits the trap with depth  $d$ . For  
 247 example, when  $d=0$ , the execution exits the nearest *trap*; when  $d=1$ , the execution exits  
 248 one outer layer of *trap*; and so on. Statement *trap p* installs a trap and behaves like  $p$  until  
 249 any *exit* occurs. Statement *abort p S* performs  $p$  and terminates when  $S$  occurs. Statement  
 250 *suspend p S* suspends  $p$  for one instant whenever  $S$  is present in the environment and resumes  
 251  $p$  from the successive instants.

252 The rules for parallel statements execute the branches independently, then merge their  
 253 output events accordingly. If one branch exits with code  $k$ , then both threads are preempted  
 254 with the exception depth  $k$ . If both statements exit distinct traps with  $k_1$  and  $k_2$  in the  
 255 same instant, then the execution exits with the larger value.

### 256 Case Study II: Derived Statements.

257 Figure 7 shows how to construct the derived  
 258 statements [21] via the primitives. In par-  
 259 ticular, *every S p* implements a preemptive  
 260 loop that checks the presence of  $S$ . An *every*  
 261 loop starts its body when  $S$  is present; and  
 262 whenever  $S$  is present again in some fur-  
 263 ther instants, it kills the current execution  
 264 instantly and restarts a new iteration. Be-  
 265 sides, *await [delayed] S* implements a *delayed* waiting, which starts as early as the next  
 266 instant, as opposite to the default *immediate* waiting.

- |     |   |
|-----|---|
| (1) | $halt \triangleq loop\ (yield)$                           |
| (2) | $loop\ p\ each\ S \triangleq loop\ (abort\ (p; halt)\ S)$ |
| (3) | $every\ S\ p \triangleq await\ S; (loop\ p\ each\ S)$     |
| (4) | $await\ [delayed]\ S \triangleq yield; await\ S$          |

■ **Figure 7** Expansion of derived preemptions.

### 267 3.3 An Effect Logic for the Temporal Specification, ASyncEffs

$$\begin{array}{ll}
 \text{(Effects)} & \Phi ::= \perp \mid \epsilon \mid I \mid S? \mid \Phi_1 \cdot \Phi_2 \mid \Phi_1 \vee \Phi_2 \mid \Phi_1 || \Phi_2 \mid \Phi^* \\
 \text{(Instant)} & I ::= \{\} \mid \{S \mapsto \alpha\} \mid I_1 \cup I_2 \\
 \text{(Parametrized Signal)} & S ::= S(v) \\
 \text{(Signal Statuses)} & \alpha ::= present \mid absent \mid undef
 \end{array}$$

---


$$\begin{array}{llll}
 \text{(Signal Variables)} S \in \Sigma & \text{(Values)} v & \text{(Waiting)}? & \text{(Kleene Star)} \star
 \end{array}$$

■ **Figure 8** Syntax of the *ASyncEffs*.

268 As shown in Figure 8, *ASyncEffs* comprise *false* ( $\perp$ ); the empty trace  $\epsilon$ ; the singleton  
 269 instant  $I$ ; waiting for a parametrized signal  $S?$ ; trace concatenation  $\Phi_1 \cdot \Phi_2$ ; trace disjunc-  
 270 tion  $\Phi_1 \vee \Phi_2$ ; synchronous parallelism  $\Phi_1 || \Phi_2$ . *ASyncEffs* can also be constructed by  $\star$ ,  
 271 representing zero or more times of repetition of a trace. There are three possible statuses for  
 272 a signal: present, absent and undefined. The default status of signals in a new instant is  
 273 *undefined*. An instant  $I$  is a set of mappings from signals to their statuses; and instants can  
 274 be empty sets  $\{\}$ , indicating no signal constraints for the instant.

### 275 3.4 Semantics of ASyncEffs

276 To define the semantic model, we use  $\varphi$  (*a trace of instants*) to represent the concrete  
 277 computation execution. Let  $\varphi \models \Phi$  denote the model relation, i.e., the execution trace  $\varphi$   
 278 satisfies the temporal effects  $\Phi$ , with  $\varphi$  from the following concrete domain:  $\varphi \triangleq list(I)$ .

## XX:10 Automated Temporal Verification for Preemptive Asynchronous Programs

279 Figure 9 defines the semantics of  $ASyncEffs$ .  $\square$  represents an empty trace;  
 280  $++$  appends two traces;  $[I]$  represents a singleton trace contains one instant  $I$ .  
 281 Here  $I$  is a set of mappings from signals to statuses. We use  $\{\mathbb{S}\}$  and  $\{\overline{\mathbb{S}}\}$  to short-  
 282 hand  $\{\mathbb{S} \mapsto present\}$  and  $\{\mathbb{S} \mapsto absent\}$  respectively. The pairings shown in one  
 283 instant represent the *minimal* set of constraints for signals that are required/guar-  
 284 anteed to be true. Any instant contains contradictions, such as  $\{\mathbb{S}, \overline{\mathbb{S}}\}$ , will lead  
 285 to *false*, as the signal  $\mathbb{S}$  can not be both present and absent in the same instant.

$\varphi \models \epsilon$	<i>iff</i>	$\varphi = \square$
$\varphi \models I$	<i>iff</i>	$\varphi = [I]$
$\varphi \models \mathbb{S}?$	<i>iff</i>	$\exists n \geq 0. \varphi = \{\overline{\mathbb{S}}\}^n ++ \{\mathbb{S}\}$
$\varphi \models \Phi_1 \cdot \Phi_2$	<i>iff</i>	$\exists \varphi_1, \varphi_2, \varphi = \varphi_1 ++ \varphi_2$ such that $\varphi_1 \models \Phi_1$ and $\varphi_2 \models \Phi_2$
$\varphi \models \Phi_1 \vee \Phi_2$	<i>iff</i>	$\varphi \models \Phi_1$ or $\varphi \models \Phi_2$
$\varphi \models \Phi_1    \Phi_2$	<i>iff</i>	$\varphi \models \Phi_1$ and $\varphi \models \Phi_2$
$\varphi \models \Phi^*$	<i>iff</i>	$\varphi \models \epsilon$ or $\varphi \models (\Phi \cdot \Phi^*)$
$\varphi \models \perp$	<i>iff</i>	<i>false</i>

■ **Figure 9** Semantics of the  $ASyncEffs$  Logic.

### 293 Case Study III: Expressiveness of $ASyncEffs$ .

294 As shown in Table 2, we are able to recursively encode event-based LTL operators into  
 295  $ASyncEffs$ , making it more intuitive and readable, mainly when nested operators occur. By  
 296 putting effects in the postcondition, they restrict *future traces*; whereas in the precondition,  
 297 they naturally encode *past-time* temporal specifications. The basic modal operators are:  $\square$   
 298 for "globally";  $\diamond$  for "finally";  $\bigcirc$  for "next";  $\mathcal{U}$  for "until", and their past time reversed versions:  
 299  $\overleftarrow{\square}$ ;  $\overleftarrow{\diamond}$ ; and  $\ominus$  for "previous";  $\mathcal{S}$  for "since". Besides, the implication operator is expressed as  
 300  $\mathbb{A} \rightarrow \mathbb{B} \equiv \{\overline{\mathbb{A}}\} \vee \{\mathbb{A}, \mathbb{B}\}$ . Apart from the high compatibility with standard first-order logic,  
 301  $ASyncEffs$  make the temporal verification more flexible to incorporate with other logics.

■ **Table 2** Examples for converting LTL formulae into Effects. ( $\{\mathbb{A}\}, \{\mathbb{B}\}$  represent different instants which contain signal  $\mathbb{A}$  and  $\mathbb{B}$  to be present.)

$\Phi_{post}$	$\square \mathbb{A} \equiv \{\mathbb{A}\}^*$	$\diamond \mathbb{A} \equiv \{\overline{\mathbb{A}}\}^* \cdot \{\mathbb{A}\}$	$\bigcirc \mathbb{A} \equiv \{\} \cdot \{\mathbb{A}\}$	$\mathbb{A} \mathcal{U} \mathbb{B} \equiv \{\mathbb{A}\}^* \cdot \{\mathbb{B}\}$
$\Phi_{pre}$	$\overleftarrow{\square} \mathbb{A} \equiv \{\mathbb{A}\}^*$	$\overleftarrow{\diamond} \mathbb{A} \equiv \{\mathbb{A}\} \cdot \{\overline{\mathbb{A}}\}^*$	$\ominus \mathbb{A} \equiv \{\mathbb{A}\} \cdot \{\}$	$\mathbb{A} \mathcal{S} \mathbb{B} \equiv \{\mathbb{B}\} \cdot \{\mathbb{A}\}^*$

## 302 4 Automated Forward Verification

303 Here, we present the forward rules, i.e., an axiomatic semantics model for the target language.  
 304 These rules transfer program states and accumulate the effects syntactically. To define the  
 305 rules, we introduce an environment  $\mathcal{E}$  and the single program state  $\langle h, k \rangle$ , where  $h$  represents  
 306 the trace of *history*;  $k$  is the *completion code*. Concretely:  $\mathcal{E} \triangleq (\overline{\mathbb{S} \mapsto \alpha})$ ,  $h \triangleq \Phi$ ,  $k \in \mathbb{N} \cup \{0\}$ .  
 307 The forward rules are in the form:  $\mathcal{E} \vdash \langle H, K \rangle p \langle H', K' \rangle$ , where  $p$  is the given statement;  
 308  $\langle H, K \rangle$  refers to a set of disjunctive program states, i.e.,  $\langle h, k \rangle$ . The meaning of the transition  
 309 rules can be described as follows:

$$\langle H', K' \rangle = \bigcup_{i=0}^{|\langle H, K \rangle| - 1} \langle H'_i, K'_i \rangle \text{ where } \mathcal{E} \vdash \langle h_i, k_i \rangle p \langle H'_i, K'_i \rangle.$$

310  $[FV-Value]$  obtains the next state by inheriting the current state.  $[FV-Emit]$  extends  
 311 the latest instant with  $\mathbb{S}$  being present (the notation  $+$  unions two instants).  $[FV-Yield]$   
 312 concatenates an empty instant to the tail of the history trace.  $[FV-Seq]$  computes  $p$ 's effects  
 313 first, and if the completion code  $K_1 \leq 1$ , i.e., there are no exits, then continuously computes  
 314 the effects of  $q$ ; otherwise, it discards  $q$  and propagates  $\langle H_1, K_1 \rangle$  directly.

$$\begin{array}{c}
\text{[FV-Value]} \qquad \qquad \qquad \text{[FV-Emit]} \qquad \qquad \qquad \text{[FV-Yield]} \\
\hline
\mathcal{E} \vdash \langle h, k \rangle v \langle h, k \rangle \quad \mathcal{E} \vdash \langle h \cdot I, k \rangle \text{emit } \mathbb{S} \langle h \cdot (I+\{\mathbb{S}\}), k \rangle \quad \mathcal{E} \vdash \langle h, k \rangle \text{yield } \langle h \cdot \{\}, k \rangle \\
\mathcal{E} \vdash \langle h, k \rangle p \langle H_1, K_1 \rangle \quad \mathcal{E} \vdash \langle H_1, K_1 \rangle q \langle H_2, K_2 \rangle \\
\langle H', K' \rangle = \langle H_2, K_2 \rangle \text{ when } K_1 \leq 1 \quad \langle H', K' \rangle = \langle H_1, K_1 \rangle \text{ when } K_1 > 1 \\
\hline
\mathcal{E} \vdash \langle h, k \rangle \text{seq } p q \langle H', K' \rangle \quad \text{[FV-Seq]}
\end{array}$$

[FV-Present] computes the effects of  $p$  and  $q$  by extending the latest instant with  $\mathbb{S}$  being *present* and *absent*, respectively. The final state is a union of the results (the notation  $\cup$  unions two program states).

$$\frac{\mathcal{E} \vdash \langle h \cdot I+\{\mathbb{S}\}, k \rangle p \langle H_1, K_1 \rangle \quad \mathcal{E} \vdash \langle h \cdot I+\{\bar{\mathbb{S}}\}, k \rangle q \langle H_2, K_2 \rangle}{\mathcal{E} \vdash \langle h \cdot I, k \rangle \text{present } \mathbb{S} p q \langle H_1, K_1 \rangle \cup \langle H_2, K_2 \rangle} \text{[FV-Present]}$$

[FV-Async] desugars the asynchronous primitive into a parallel program. [FV-Await] concatenates  $\mathbb{S}?$  to the tail of the history trace. [FV-Exit] updates the value of  $k$  using  $d+2$ . [FV-Trap] computes  $p$ 's effects. When  $K \leq 1$  – there is no exit to be handled – the final state is  $\langle H, K \rangle$ . When  $K$  equals to 2, it means there is an *exit* that needs to be handled by the current *trap*. When  $K$  is greater than 2, it means that there is an *exit* needs to be handled by an outer *trap* statement, therefore it propagates the program state  $\langle H, K-1 \rangle$ .

$$\begin{array}{c}
\mathcal{E} \vdash \langle h, k \rangle (p; \text{yield}; \text{emit } \mathbb{S}) || q \langle H', K' \rangle \quad \mathcal{E} \vdash \langle h, k \rangle \text{exit } d \langle h, k' \rangle \quad k'=d+2 \\
\hline
\mathcal{E} \vdash \langle h, k \rangle \text{async } \mathbb{S} p q \langle H', K' \rangle \quad \mathcal{E} \vdash \langle h, k \rangle \text{exit } d \langle h, k' \rangle \quad \text{[FV-Exit]} \\
\mathcal{E} \vdash \langle \epsilon, k \rangle p \langle H, K \rangle \quad \langle \Delta \rangle = \langle H, K \rangle \text{ when } (K \leq 1) \\
\langle \Delta \rangle = \langle H, 0 \rangle \text{ when } (K = 2) \\
\langle \Delta \rangle = \langle H, K-1 \rangle \text{ when } (K > 2) \\
\hline
\mathcal{E} \vdash \langle h, k \rangle \text{await } \mathbb{S} \langle \Delta \rangle \quad \mathcal{E} \vdash \langle h, k \rangle \text{trap } p \langle h \cdot \Delta \rangle \quad \text{[FV-Trap]}
\end{array}$$

► **Definition 5** (Prepend Program States). *Given a history trace  $h'$ , and program states  $\Delta = \langle H, K \rangle$ , we define that:  $h' \cdot \Delta = \{(h' \cdot h, k) \mid (h, k) \in \langle H, K \rangle\}$ .*

[FV-Call] triggers the back-end solver TRS to check if the instantiated precondition of the callee is satisfied by the current state. If it holds, the final state is obtained by concatenating the instantiated postcondition to the current effect state. Otherwise, the verification fails.

$$\begin{array}{c}
\text{[FV-Call]} \\
\hline
mn(\overrightarrow{\tau S(x)}) \langle \mathbf{req} \Phi_{pre} \mathbf{ens} \Phi_{post} \rangle p \in \mathcal{P} \quad h \sqsubseteq \Phi_{pre}[\overrightarrow{\mathbb{S}}/\overrightarrow{S(x)}] \quad \langle H, K \rangle = \Phi_{post}[\overrightarrow{\mathbb{S}}/\overrightarrow{S(x)}] \\
\hline
\mathcal{E} \vdash \langle h, k \rangle \text{call } mn(\overrightarrow{\mathbb{S}}) \langle h \cdot H, K \rangle \\
\mathcal{E} \vdash \langle \epsilon, k \rangle p \langle H, K \rangle \\
\langle \Delta \rangle = \langle h \cdot (H)^*, K \rangle \text{ when } (K \leq 1) \quad \langle \Delta \rangle = \langle h \cdot H, K \rangle \text{ when } (K > 1) \\
\hline
\mathcal{E} \vdash \langle h, k \rangle \text{loop } p \langle \Delta \rangle \quad \text{[FV-Loop]}
\end{array}$$

[FV-Loop] computes  $p$ 's effects with  $\epsilon$  to be the history trace. If the completion code  $K \leq 1$ , it appends a repeated trace to the history  $h \cdot (H)^*$ . Otherwise, it exits the loop.

$$\begin{array}{c}
 \mathcal{E} \vdash \langle \epsilon, k \rangle p \langle H, K \rangle \\
 \frac{\langle \Delta \rangle = \mathfrak{N}_{Interleave}^{Abort(\mathbb{S}, K)}(H, \epsilon)}{\mathcal{E} \vdash \langle h, k \rangle \text{ abort } p \mathbb{S} \langle h \cdot \Delta \rangle} [FV-Abort]
 \end{array}
 \quad
 \begin{array}{c}
 \mathcal{E} \vdash \langle \epsilon, k \rangle p \langle H, K \rangle \\
 \frac{\langle \Delta \rangle = \mathfrak{N}_{Interleave}^{Suspend(\mathbb{S}, K)}(H)}{\mathcal{E} \vdash \langle h, k \rangle \text{ suspend } p \mathbb{S} \langle h \cdot \Delta \rangle} [FV-Suspend]
 \end{array}$$

348

349 [FV-Abort] and [FV-Suspend] compute  
 350 the effects of  $p$  with  $\epsilon$  to be the history  
 351 traces; then calculate their corresponding  
 352 interleaves<sup>7</sup>; lastly, prepend the original history  
 353 to the final results.

354 Algorithm 1 presents the interleaving al-  
 355 gorithm for *weak abortion* (cf. Definition 8  
 356 and Definition 10 for *First(fst)* and *Deriv-*  
 357 *ative(D)* functions respectively).

358 In strong preemption, the latest instant  
 359 does not run when the preemption condi-  
 360 tion holds. In weak preemption, the latest  
 361 instant is allowed to run even when the pre-  
 362 mption condition holds but is terminated  
 363 thereafter [22, 23]. Therefore, to implement  
 364 the strong abortion from Algorithm 1, line  
 365 7 should be revised to  $[(\Phi_{his} \cdot \{\mathbb{S}\}, \theta)]$ . We  
 366 present the *interleaving* algorithm for *sus-*  
 367 *pension* in Appendix C.

368 The rule [FV-Par] computes  $p$  and  $q$ 's effects independently, then *parallel merges* the  
 369 results. Notation  $\vdash_{pm}$  refers to the *parallelMerge* algorithm, detailed in Sec. 4.1.

$$\frac{\mathcal{E} \vdash \langle \epsilon, k \rangle p \langle H_1, K_1 \rangle \quad \mathcal{E} \vdash \langle \epsilon, k \rangle q \langle H_2, K_2 \rangle \quad \vdash_{pm} \langle H_1, K_1 \rangle || \langle H_2, K_2 \rangle \rightsquigarrow \langle \Delta \rangle}{\mathcal{E} \vdash \langle h, k \rangle p || q \langle h \cdot \Delta \rangle} [FV-Par]$$

377

#### 373 4.1 Parallel Merge Algorithm

374 The parallel merging<sup>8</sup> rules are in the form:  $\vdash_p \langle H_1, K_1 \rangle || \langle H_2, K_2 \rangle \rightsquigarrow \langle H', K' \rangle$ . Given  
 375 two sets of program states  $\langle H_1, K_1 \rangle$  and  $\langle H_2, K_2 \rangle$ , the rule [PM-Union] obtains  $\langle \Delta \rangle$  by  
 376 combining the parallel merged states of their cartesian products.

$$\frac{\forall (h_1, k_1) \in \langle H_1, K_1 \rangle \quad \forall (h_2, k_2) \in \langle H_2, K_2 \rangle \quad \langle \Delta \rangle = \bigcup (\vdash_{pm} \langle h_1, k_1 \rangle || \langle h_2, k_2 \rangle \rightsquigarrow \langle h', k' \rangle)}{\vdash_{pm} \langle H_1, K_1 \rangle || \langle H_2, K_2 \rangle \rightsquigarrow \langle \Delta \rangle} [PM-Union]$$

378

<sup>7</sup> The interleaving comes from the over-approximation of all the possible effect traces. For example, for trace  $\{\mathbf{A}\} \cdot \{\mathbf{B}\}$ , the (weak) abort preemption with condition signal  $\mathbb{S}$  creates three possibilities:  $(\{\mathbf{A}, \bar{\mathbb{S}}\} \cdot \{\mathbf{B}, \bar{\mathbb{S}}\}) \vee (\{\mathbf{A}, \bar{\mathbb{S}}\} \cdot \{\mathbf{B}, \mathbb{S}\}) \vee (\{\mathbf{A}, \mathbb{S}\})$ .

<sup>8</sup> To help with the understanding, concrete examples are:

- $\langle \{\mathbf{A}\} \cdot \{\mathbf{B}\} \cdot \{\mathbf{C}\}, \theta \rangle || \langle \{\mathbf{X}\} \cdot \{\mathbf{Y}\} \cdot \{\mathbf{Z}\}, \theta \rangle \rightsquigarrow \langle \{\mathbf{A}, \mathbf{X}\} \cdot \{\mathbf{B}, \mathbf{Y}\} \cdot \{\mathbf{C}, \mathbf{Z}\}, \theta \rangle$ ;
- $\langle \{\mathbf{A}\} \cdot \{\mathbf{C}\}, 2 \rangle || \langle \{\mathbf{X}\} \cdot \{\mathbf{Y}\} \cdot \{\mathbf{Z}\}, \theta \rangle \rightsquigarrow \langle \{\mathbf{A}, \mathbf{X}\} \cdot \{\mathbf{C}, \mathbf{Y}\}, 2 \rangle$ ; and
- $\langle \{\mathbf{A}\} \cdot \{\mathbf{C}\}, \theta \rangle || \langle \{\mathbf{X}\} \cdot \{\mathbf{Y}\} \cdot \{\mathbf{Z}\}, 2 \rangle \rightsquigarrow \langle \{\mathbf{A}, \mathbf{X}\} \cdot \{\mathbf{C}, \mathbf{Y}\}, \{\mathbf{Z}\}, 2 \rangle$ .

380 [PM-Unfold] applies to deductive steps, which deploys auxiliary functions,  $fst(\Phi)$  and  
 381  $D_I(\Phi)$ , to compute the first instants and partial derivatives, cf. Definition 5.1. The first step  
 382 is to get the *first* set from  $h_1$  and  $h_2$ , respectively. For each pair  $(f_1, f_2)$  from the cartesian  
 383 products of the *first* sets, it merges  $f_1$  and  $f_2$  to be the paralleled first instant, denoted as  
 384  $I$ ; then gets the derivatives of  $h_1$  and  $h_2$  w.r.t  $I$  respectively; Finally, it prepends  $I$  to the  
 385 parallel merged derivatives by recursively calling the parallel merge algorithm.

$$\frac{F_1=fst(h_1) \quad F_2=fst(h_2) \quad \forall f_1 \in F_1. \forall f_2 \in F_2. I=f_1 \cup f_2, \quad \begin{array}{l} der_1=D_I(h_1) \quad der_2=D_I(h_2) \quad \langle H', K' \rangle = \bigcup (\vdash_{pm} \langle der_1, k_1 \rangle || \langle der_2, k_2 \rangle) \\ \vdash_{pm} \langle h_1, k_1 \rangle || \langle h_2, k_2 \rangle \rightsquigarrow \langle I \cdot H', K' \rangle \end{array}}{[PM-Unfold]}$$

388  
 389 The next rules deal with the base cases and terminate the merging process. [PM-EqLen]  
 390 is used when two effects have the same length. [PM-Cut] is used when one of the effects is  
 391 shorter than the other and raises an exit. [PM-Absorb] is used when one of the effects are  
 392 shorter than another yet without any exits.

$$\frac{[PM-EqLen] \quad k' = \max(k_1, k_2)}{\vdash_{pm} \langle \epsilon, k_1 \rangle || \langle \epsilon, k_2 \rangle \rightsquigarrow \langle \epsilon, k' \rangle} \quad \frac{[PM-Cut] \quad k_1 > 1}{\vdash_{pm} \langle \epsilon, k_1 \rangle || \langle h_2, k_2 \rangle \rightsquigarrow \langle \epsilon, k_1 \rangle} \quad \frac{[PM-Absorb] \quad k_1 \leq 1}{\vdash_{pm} \langle \epsilon, k_1 \rangle || \langle h_2, k_2 \rangle \rightsquigarrow \langle h_2, k_2 \rangle}$$

## 396 4.2 Soundness Theorem For the Forward Rules

397 ► **Theorem 6** (Soundness of the Forward Rules).  $\forall p, \mathcal{E}$ , if  $\mathcal{E} \vdash \langle h, k \rangle p \langle H', K' \rangle$ , and  $\varphi \models h$ ,  
 398 and  $p \xrightarrow[\mathcal{E}]{e_0, 0^*} p' \xrightarrow[\mathcal{E}]{\emptyset, 1} p_1 \xrightarrow[\mathcal{E}_1]{e_1, 0^*} p'_1 \xrightarrow[\mathcal{E}_1]{\emptyset, 1} p_2 \xrightarrow[\mathcal{E}_2]{e_2, 0^*} p'_2 \xrightarrow[\mathcal{E}_2]{\emptyset, 1} \dots p_n \xrightarrow[\mathcal{E}_n]{e_n, 0^*} p'_n \xrightarrow[\mathcal{E}_n]{\emptyset, k_f} ()$ ,  
 399 then it implies that  $\exists (h', k') \in \langle H', K' \rangle$  such that  $\varphi ++ [e_0; e_1; \dots; e_n] \models h'$  and  $k' = k_f$ .  
 400 (Note that,  $p \xrightarrow[\mathcal{E}]{e, 0^*} p'$  denotes the reflexive, transitive closure of  $p \xrightarrow[\mathcal{E}]{e, 0} p'$ .)

401 **Proof.** By induction on the structure of  $p$ . detailed in Appendix D. ◀

## 402 5 Temporal Verification via a TRS

403 The TRS is inspired by Antimirov and Mosses' algorithm [15] but solving the language  
 404 inclusions between  $ASyncEffs$ . It is triggered i) prior to module calls for the precondition  
 405 checking; and ii) at the end of verifying a module for the post condition checking. More  
 406 specifically, given two effects  $\Phi_1, \Phi_2$ , TRS decides if the inclusion  $\Phi_1 \sqsubseteq \Phi_2$  is valid. During  
 407 the effects rewriting process, the inclusions are in the form of  $\Gamma \vdash \Phi_1 \sqsubseteq^\Phi \Phi_2$ , a shorthand  
 408 for:  $\Gamma \vdash \Phi \cdot \Phi_1 \sqsubseteq \Phi \cdot \Phi_2$ . To prove such inclusions is to check whether all the possible effect  
 409 traces in the antecedent  $\Phi_1$  are legitimately allowed in the possible effects traces from the  
 410 consequent  $\Phi_2$ .  $\Gamma$  is the proof context, i.e., effects inclusion hypotheses,  $\Phi$  is the history  
 411 effects from the antecedent that have been used to match the effects from the consequent.  
 412 The inclusion checking is initially invoked with  $\Gamma = \{\}$ ,  $\Phi = \epsilon$ .

### 413 5.1 Auxiliary Functions: Nullable, First and Derivative

414 We provide definitions and implementations of auxiliary functions  $Nullable(\delta)$ ,  $First(fst)$  and  
 415  $Derivative(D)$  respectively. Intuitively, the Nullable function  $\delta(\Phi)$  returns a boolean value  
 416 indicating whether  $\Phi$  contains the empty trace; the First function  $fst(\Phi)$  computes a set of  
 417 possible head instants of  $\Phi$ ; and the Derivative function  $D_I(\Phi)$  computes a next-state effects

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418 after eliminating one instant  $I$  from the head of current effects  $\Phi$ . Here marks the novel  
419 definitions – opposite to the existing ones in [15] – using ‘ $=_{\clubsuit}$ ’ in Definitions 6, 7, 9.

420 ► **Definition 7** (Nullable). *Given any effect  $\Phi$ ,  $\delta(\Phi)=true \Leftrightarrow (\epsilon \in \Phi)$ , where:*

$$421 \quad \delta(\perp)=false \quad \delta(\epsilon)=true \quad \delta(I)=false \quad \delta(\mathbb{S}?)=_{\clubsuit}false \quad \delta(\Phi^*)=true$$

$$422 \quad \delta(\Phi_1 \cdot \Phi_2)=\delta(\Phi_1) \wedge \delta(\Phi_2) \quad \delta(\Phi_1 \vee \Phi_2)=\delta(\Phi_1) \vee \delta(\Phi_2) \quad \delta(\Phi_1 || \Phi_2)=_{\clubsuit}\delta(\Phi_1) \wedge \delta(\Phi_2)$$

424 ► **Definition 8** (First). *Let  $fst(\Phi):=\{I \mid (I \cdot \Phi') \in \llbracket \Phi \rrbracket\}$  be the set of first instants derivable  
425 from effect  $\Phi$ . ( $\llbracket \Phi \rrbracket$  represents all the traces contained in  $\Phi$ )*

$$426 \quad fst(\perp)=fst(\epsilon)=\{\} \quad fst(I)=\{I\} \quad fst(\mathbb{S}?)=_{\clubsuit}\{\{\mathbb{S} \mapsto present\}; \{\mathbb{S} \mapsto absent\}\}$$

$$427 \quad fst(\Phi^*)=fst(\Phi) \quad fst(\Phi_1 \cdot \Phi_2)=\begin{cases} fst(\Phi_1) \cup fst(\Phi_2) & \text{if } \delta(\Phi_1)=true \\ fst(\Phi_1) & \text{if } \delta(\Phi_1)=false \end{cases}$$

$$428 \quad 429 \quad fst(\Phi_1 \vee \Phi_2)=fst(\Phi_1) \cup fst(\Phi_2) \quad fst(\Phi_1 || \Phi_2)=_{\clubsuit}\{f_1 + f_2 \mid f_1 \in fst(\Phi_1), f_2 \in fst(\Phi_2)\}$$

430 ► **Definition 9** (Instants Subsumption). *Given two instants  $I$  and  $J$ , we define the subset  
431 relation  $I \subseteq J$  as: the set of present signals in  $J$  is a subset of the set of present signals in  $I$ ,  
432 and the set of absent signals in  $J$  is a subset of the set of absent signals in  $I$ , as in having  
433 more constraints refers to a smaller set of satisfying instants. Formally,*

$$434 \quad I \subseteq J \Leftrightarrow \{\mathbb{S} \mid (\mathbb{S} \mapsto present) \in J\} \subseteq \{\mathbb{S} \mid (\mathbb{S} \mapsto present) \in I\}$$

$$435 \quad \text{and } \{\mathbb{S} \mid (\mathbb{S} \mapsto absent) \in J\} \subseteq \{\mathbb{S} \mid (\mathbb{S} \mapsto absent) \in I\}$$

437 ► **Definition 10** (Partial Derivatives for ASyncEfts). *The partial derivative  $D_I(\Phi)$  of effects  $\Phi$   
438 w.r.t. an instant  $I$  computes the effects for the left quotient  $I^{-1} \llbracket \Phi \rrbracket$ <sup>9</sup>.*

$$439 \quad D_I(\perp)=\perp \quad D_I(\epsilon)=\perp \quad D_I(J)=\begin{cases} \epsilon & \text{if } I \subseteq J \\ \perp & \text{if } I \not\subseteq J \end{cases} \quad D_I(\mathbb{S}?)=_{\clubsuit}\begin{cases} \epsilon & \text{if } I \subseteq \{\mathbb{S} \mapsto present\} \\ \perp & \text{if } I \not\subseteq \{\mathbb{S} \mapsto present\} \\ \mathbb{S} & \text{otherwise} \end{cases}$$

$$440 \quad D_I(\Phi^*)=D_I(\Phi) \cdot \Phi^* \quad D_I(\Phi_1 \cdot \Phi_2)=\begin{cases} D_I(\Phi_1) \cdot \Phi_2 \vee D_I(\Phi_2) & \text{if } \delta(\Phi_1)=true \\ D_I(\Phi_1) \cdot \Phi_2 & \text{if } \delta(\Phi_1)=false \end{cases}$$

$$441 \quad 442 \quad D_I(\Phi_1 \vee \Phi_2)=D_I(\Phi_1) \vee D_I(\Phi_2) \quad D_I(\Phi_1 || \Phi_2)=_{\clubsuit}D_I(\Phi_1) || D_I(\Phi_2)$$

## 443 5.2 Rewriting Rules

444 Given the well-defined auxiliary functions above, we now discuss the key steps and related  
445 rewriting rules that we may use in effects inclusion proofs.

446 **1. Axiom rules.** Analogous to the standard propositional logic,  $\perp$  (referring to *false*)  
447 entails any effects, while no *non-false* effects entails  $\perp$ .

$$448 \quad \frac{[\text{Bot-LHS}]}{\Gamma \vdash \perp \sqsubseteq \Phi} \quad \frac{[\text{Bot-RHS}]}{\Gamma \vdash \Phi \not\sqsubseteq \perp} \quad \frac{[\text{Disprove}]}{\Gamma \vdash \Phi_1 \not\sqsubseteq \Phi_2} \quad \frac{[\text{Prove}]}{\Gamma \vdash \Phi_1 \sqsubseteq \Phi_2}$$

450

<sup>9</sup> For example,  $\{\mathbf{A}\}^{-1} \llbracket \{\mathbf{A}\} \cdot \{\mathbf{B}\} \rrbracket = \llbracket \{\mathbf{B}\} \rrbracket$ , and  $\{\mathbf{A}\}^{-1} \llbracket \{\mathbf{A}\} \vee \{\mathbf{B}\} \rrbracket = \llbracket \epsilon \vee \perp \rrbracket$ , cf Definition 4.

451 **2. Disprove (Heuristic Refutation).** We [Disprove] the inclusions when the ante-  
 452 cedent is nullable, while the consequent is not. Intuitively, the antecedent contains at least  
 453 one more trace, i.e.,  $\epsilon$ , than the consequent.

454 **3. Prove.** We use two rules to prove an inclusion: (i) [Prove] is used when the fst set of  
 455 the antecedent is empty; and (ii) [Reoccur] to prove an inclusion when there exist inclusion  
 456 hypotheses in the proof context  $\Gamma$ , which are able to soundly prove the current goal. One of  
 457 the special cases of this rule is when the identical inclusion is shown in the proof context, we  
 458 then terminate the procedure and prove it as a valid inclusion.

$$459 \frac{(\Phi_1 \sqsubseteq \Phi_3) \in \Gamma \quad (\Phi_3 \sqsubseteq \Phi_4) \in \Gamma \quad (\Phi_4 \sqsubseteq \Phi_2) \in \Gamma}{\Gamma \vdash \Phi_1 \sqsubseteq \Phi_2} \text{ [Reoccur]}$$

461  
 462 **4. Unfolding (Induction).** This is the inductive step of unfolding the inclusions. Firstly,  
 463 we make use of the auxiliary function *fst* to get a set of instants  $F$ , which are all the possible  
 464 initial instants from the antecedent. Secondly, we obtain a new proof context  $\Gamma'$  by adding  
 465 the current inclusion, as an inductive hypothesis, into the current proof context  $\Gamma$ . Thirdly,  
 466 we iterate each element  $I \in F$ , and compute the partial derivatives (*next-state* effects) of  
 467 both the antecedent and consequent w.r.t  $I$ . The proof of the original inclusion succeeds if  
 468 all the derivative inclusions succeeds.

$$469 \frac{F = \text{fst}(\Phi_1) \quad \Gamma' = \Gamma, (\Phi_1 \sqsubseteq \Phi_2) \quad \forall I \in F. (\Gamma' \vdash D_I(\Phi_1) \sqsubseteq D_I(\Phi_2))}{\Gamma \vdash \Phi_1 \sqsubseteq \Phi_2} \text{ [Unfold]}$$

472 ▶ **Theorem 11 (TRS Termination).** *The rewriting system TRS is terminating.*

473 ▶ **Theorem 12 (TRS Soundness).** *Given an inclusion  $\Phi_1 \sqsubseteq \Phi_2$ , if the TRS returns TRUE  
 474 when proving  $\Phi_1 \sqsubseteq \Phi_2$ , then  $\Phi_1 \sqsubseteq \Phi_2$  is valid.*

475 **Proof.** See Appendix E and Appendix F. ◀

## 476 6 Implementation and Evaluation

477 To show the feasibility of our approach, we prototype our automated verification system using  
 478 OCaml; prove soundness for both the forward verifier and the TRS; validate and evaluate  
 479 the implementation for conformance using a microbenchmark [24]. The microbenchmark  
 480 is constructed by manually annotating *ASyncEffs* specifications, including both succeeded  
 481 and failed cases. The validation tests are synthetic examples to test the main contributions,  
 482 including the preemption interleaving computation and the inclusion checking for the parallel  
 483 composition and the waiting operator.

484 Table 3 presents the evaluation results. We select 16 target programs, varying from 15 lines  
 485 to 300 lines, and annotate *ASyncEffs* specifications with a 1:1 ratio for succeeded/failed cases.  
 486 The results record: **No.** for the index of the program; **LOC** for lines of code; **Infer(ms)** for  
 487 effects inference time; **#Prop(✓)** for the number of valid properties; **Avg-Prove(ms)** for the  
 488 average proving time for the valid properties; **#Prop(✗)** for the number of invalid properties;  
 489 and **Avg-Dis(ms)** for the average disproving time for the invalid properties. Times are  
 490 counted using milliseconds, and the experiment is done on a MacBook Pro with a 2.6 GHz  
 491 6-Core Intel Core i7 processor.

492 **Discussion:** Generally, the inference time increases with a linear complexity. We notice  
 493 that the disproving times for invalid properties are constantly low. This finding echoes



**Table 3** Experimental Results.

No.	LOC	Infer(ms)	#Prop(✓)	Avg-Prove(ms)	#Prop(✗)	Avg-Dis(ms)
1	18	0.037	5	0.7634	5	0.0116
2	33	0.145	5	1.3074	5	0.045
3	55	0.34	5	6.0766	5	1.1682
4	84	0.098	5	3.0678	5	0.1058
5	110	0.191	7	1.7544	7	0.5031
6	124	0.323	7	4.0114	7	0.3957
7	138	0.321	7	3.8399	7	0.4261
8	163	0.594	7	6.1009	7	1.5019
9	178	0.941	9	10.7758	9	0.5769
10	185	1.921	9	13.9332	9	0.04422
11	202	3.434	9	27.4447	9	0.0561
12	220	6.439	9	59.2226	9	0.745
13	250	3.6	11	29.5766	11	0.0662
14	261	7.552	11	64.2137	11	0.6121
15	293	14.896	11	115.9795	11	0.5462
16	304	30.889	11	237.2522	11	0.07164

494 the insights from prior TRS-based works [25, 15, 26, 27, 28], which suggest that TRS is a  
 495 better average-case algorithm than those based on the comparison of automata. That is  
 496 because *it only constructs automata as far as it needs*, which makes it more efficient when  
 497 disproving incorrect specifications, as we can disprove it earlier without constructing the  
 498 whole automata. In other words, the more incorrect specifications are, the more efficient our  
 499 solver is. Our proposed effect logic and the abstract semantics for Esterel not only tightly  
 500 capture the behaviors of an preemptive asynchronous execution models but also help to  
 501 mitigate the programming challenges in both worlds.

## 502 **7 Related Work**

### 503 **7.1 Verification framework**

504 This work is a significant extension of [14], which proposes a novel temporal verification  
 505 framework - a forward verifier with a TRS - for pure synchronous languages; while [14] mainly  
 506 tackles the causality checking problem for signal status concerning the *perfect synchrony*  
 507 assumption. Although based on the same verification framework, this work is dedicated to  
 508 the verification for the mixture of synchronous preemptions and asynchronous primitives,  
 509 which have not been covered by [14] or any other prior works.

### 510 **7.2 Synchronous Preemptions and Asynchronous Promises.**

511 Our semantics model for Esterel, closely follows [23, 29, 22, 30], where [29, 22] define  
 512 the operational semantics of synchronous language Esterel and [23, 30] provide general  
 513 perspectives for preemptions. However, the existing state-based operational semantics are  
 514 not ideal for compositional reasoning for preemptive programs at the source level, our  
 515 work proposes novel axiomatic semantics, which can help meet this requirement for better  
 516 modularity.

517 In particular, [30] also provides a solution for verifying synchronous preemptions, but  
518 using classic LTL formulas as the specifications and limited with only *immediate* preemptions.  
519 We are of the opinion that i) our *ASyncEffs* is more flexible in terms of the expressiveness  
520 power; ii) our TRS is more efficient by avoiding the complex translation into automata; iii)  
521 and our forward verifier provides more comprehensive reasoning for preemption primitives.

522 ECMAScript 6 [31] supports primitives *async* and *await* serving for *promises*-based  
523 asynchronous programs, which can be written in a sequential style, leading to more concise  
524 code. However, the ECMAScript 6 standard specifies the semantics of promises informally  
525 and in operational terms, which is not suitable for formal reasoning or program analysis.  
526 Prior works [10, 11], in order to understand promise-related bugs, present the  $\lambda_p$  calculus,  
527 which provides a formal semantics for JavaScript promises. Based on these, our work defines  
528 the semantics of *async* and *await* in the event-driven synchronous concurrency context.

529 To the best of our knowledge, this work is the first to combine the semantics of synchronous  
530 preemptions and asynchronous promises, building the theoretical foundation for analyzing  
531 such a blending of two distinct execution models.

## 532 8 Conclusion

533 We demonstrate how to give axiomatic semantics for the full-featured Esterel by trace  
534 processing functions, and use *ASyncEffs* to capture reactive program behaviors and temporal  
535 properties. Our proposal enables a Hoare-style forward verifier, which computes the program  
536 effects constructively. The proposed modular analysis of preemptions and asynchronous  
537 interactions are new and potentially useful for prior constructiveness analysis. We present  
538 an efficient TRS to prove the annotated *ASyncEffs* properties. We prototype the verification  
539 system and show its feasibility. In summary, our work is the first that formulates the  
540 semantics of an preemptive asynchronous execution model; that automates modular temporal  
541 verification for reactive programs using an expressive effect logic.

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640 **A** Forward verification for the module Main

- ```

module Main (out open, close, loading, loaded, compOther, logData){
(10) ⟨{ }⟩ (– initialize the current effects using precondition’s last instant –)
      emit open("filePath");
(11) ⟨{ open }⟩ [FV-Emit]
      fork{ Read (open, close, loading, loaded, compOther, logData); }
(12) ⟨{ }* · { open } ⊆ ΦpreRead [FV-Call] (–TRS:Read’s precondition is satisfied when called–)
      ⟨{ open } · { loading, compOther } · { loaded } · { logData } · close?⟩ [FV-Call]
(13) ⟨{ open }⟩ (– inherited from state (11) –)
      par { await logData;
(14) ⟨{ open } · logData?⟩ [FV-Await]
      emit close("filePath"); } }
(15) ⟨{ open } · logData? · { close }⟩ [FV-Emit]
(16) ⟨⟨{ open } · { loading, compOther } · { loaded } · { logData } · close?⟩
      ||({ open } · logData? · { close })⟩ [FV-Fork-Par]
      ⟨{ open } · { loading, compOther } · { loaded } · { logData } · { close }⟩ [Effects-Parallel-Merge]
(17) (–TRS: check the postcondition of module Main; Succeed. –)
      ⟨{ open } · { loading, compOther } · { loaded } · { logData } · { close } ⊆ { open } · { }* · { close }

```

■ **Figure 10** A demonstration of the forward verification for the module Main.

641 **B** Operational Semantics Rules for the Basic Statements

642 We start with axioms: statement  $()$  terminates without emitting any signals and  $k=0$ ;  
 643 statement *yield* terminates without emitting any signals and  $k=1$ ; and statement *emit*  $\mathbb{S}$   
 644 sets the signal  $\mathbb{S}$  to be present and terminates with  $k=0$ .

$$\begin{array}{l}
 645 \quad () \xrightarrow[\varepsilon]{\emptyset, 0} () \text{ [Axiom-Nothing]} \quad \textit{yield} \xrightarrow[\varepsilon]{\emptyset, 1} () \text{ [Axiom-Yield]} \quad \textit{emit} \mathbb{S} \xrightarrow[\varepsilon]{\{\mathbb{S}\}, 0} () \text{ [Axiom-Emit]} \\
 646
 \end{array}$$

647 The rules for sequences vary based on the completion code  $k$ : when  $p$  terminates with  $k=0$ ,  
 648 (Seq-0) executes  $q$  immediately; when  $p$  produces a yield, so does the whole sequence; when  
 649  $p$  raises an exception with depth  $k$ , (Seq-n) discards the rest of the code. The rule (Loop)  
 650 performs an instantaneous unfolding of the loop into a sequence.

$$\begin{array}{l}
 \begin{array}{cccc}
 \text{[Seq-0]} & \text{[Seq-1]} & \text{[Seq-n]} & \text{[Loop]} \\
 p \xrightarrow[\varepsilon]{\alpha, 0} () \quad q \xrightarrow[\varepsilon]{f, k} q' & p \xrightarrow[\varepsilon]{\alpha, k} p' \quad (k \leq 1) & p \xrightarrow[\varepsilon]{\alpha, k} p' \quad (k > 1) & p; \textit{loop} p \xrightarrow[\varepsilon]{\alpha, k} p' \\
 \hline
 p; q \xrightarrow[\varepsilon]{e \cup f, k} q' & p; q \xrightarrow[\varepsilon]{\alpha, k} p'; q & p; q \xrightarrow[\varepsilon]{\alpha, k} () & \textit{loop} p \xrightarrow[\varepsilon]{\alpha, k} p'
 \end{array} \\
 651 \\
 652
 \end{array}$$

653 The rule (Call) retrieves the function body  $p$  of  $mn$  from the program, and executes  $p$ .

$$\begin{array}{l}
 654 \quad \frac{x (\overrightarrow{\mathbb{S}}) \langle \text{req } \Phi_{pre} \text{ ens } \Phi_{post} \rangle p \in \mathcal{P} \quad p \xrightarrow[\varepsilon]{\alpha, k} p'}{\textit{call} x (\overrightarrow{\mathbb{S}}) \xrightarrow[\varepsilon]{\alpha, k} p'} \text{ [Call]} \\
 655 \\
 656
 \end{array}$$

## C Preemption Interleaving Algorithms

We present the weak suspend interleaving in Algorithm 2. Furthermore, to implement the strong suspend from Algorithm 2, in line 9 should be:  $\Delta_2 \leftarrow \{\mathbb{S}\} \cdot \{\} \cdot \Delta'$ .

### Algorithm 2 Weak Suspend Interleaving

---

**Input:**  $\mathbb{S}, (\Phi, k)$   
**Output:** Program States,  $\Delta$

```

1 rec function  $\mathbb{N}_{Interleave}^{Suspend(\mathbb{S})}(\Phi)$ 
2   if  $\Phi = \epsilon$  then
3     return  $[(\epsilon, k)]$ 
4   else
5      $\Delta \leftarrow []$ 
6     foreach  $f \in fst(\Phi)$  do
660   7        $\Delta' \leftarrow \mathbb{N}_{Interleave}^{Suspend(\mathbb{S})}(D_f(\Phi))$ 
8          $\Delta_1 \leftarrow (f + \{\bar{\mathbb{S}}\}) \cdot \Delta'$ 
9          $\Delta_2 \leftarrow (f + \{\mathbb{S}\}) \cdot \{\} \cdot \Delta'$ 
10         $\Delta \leftarrow \Delta \cup \Delta_1 \cup \Delta_2$ 
11      end
12    return  $\Delta$ 
13 end
14  $\triangleright$  Notation + unions two instants
15  $\triangleright$  Notation  $\cup$  unions two program states

```

---

661 **► Lemma 13** (Soundness of Weak Abort Interleaving). *For function  $\mathbb{N}_{Interleave}^{Abort}, \forall \mathbb{S}, \Phi, k, \Phi_{his}$ ,*  
662 *if  $\Phi = \epsilon$ , then  $\Delta = [(\epsilon, k)]$ , else  $\Delta = \bigcup_0^{|F|} (\Phi_{his}, f + \{\mathbb{S}\}, 0) :: \mathbb{N}_{Interleave}^{Abort}(D_f(\Phi), \Phi_{his} \cdot (f + \{\bar{\mathbb{S}}\}))$*   
663 *where  $F = fst(\Phi)$ .*

664 **Proof.** By induction on  $\Phi$ , with Algorithm 1. ◀

665 **► Lemma 14** (Soundness of Weak Suspend Interleaving). *For function  $\mathbb{N}_{Interleave}^{Suspend}, \forall \mathbb{S}, \Phi, k$ ,*  
666 *if  $\Phi = \epsilon$ , then  $\Delta = [(\epsilon, k)]$ , else  $\Delta = \bigcup_0^{|F|} ((f + \{\bar{\mathbb{S}}\}) \vee (f + \{\mathbb{S}\}) \cdot \{\}) \cdot \Delta'$ , where  $F = fst(\Phi)$  and*  
667  *$\Delta' = \mathbb{N}_{Interleave}^{Suspend}(D_f(\Phi))$ .*

668 **Proof.** By induction on  $\Phi$ , with Algorithm 2. ◀

## D Soundness of the Forward Rules

670  $\forall p, \mathcal{E}$ , if  $\mathcal{E} \vdash \langle h, k \rangle p \langle H', K' \rangle$ , and  $\varphi \models h$ ,  
671 and  $p \xrightarrow[\mathcal{E}]{e_0, 0^*} p' \xrightarrow[\mathcal{E}]{0, 1} p_1 \xrightarrow[\mathcal{E}_1]{e_1, 0^*} p'_1 \xrightarrow[\mathcal{E}_1]{0, 1} p_2 \xrightarrow[\mathcal{E}_2]{e_2, 0^*} p'_2 \xrightarrow[\mathcal{E}_2]{0, 1} \dots p_n \xrightarrow[\mathcal{E}_n]{e_n, 0^*} p'_n \xrightarrow[\mathcal{E}_n]{0, k_f} ()$ ,  
672 then it implies that  $\exists \langle h', k' \rangle \in \langle H', K' \rangle$  such that  $\varphi ++ [e_0; e_1; \dots; e_n] \models h'$  and  $k' = k_f$ .  
673 (Note that,  $p \xrightarrow[\mathcal{E}]{e, 0^*} p'$  denotes the reflexive, transitive closure of  $p \xrightarrow[\mathcal{E}]{e, 0} p'$ .)

674 **Proof.** By induction on the structure of  $p$ :

- 675 **1. Emit:**  $\mathcal{E} \vdash \langle h, k \rangle emit \mathbb{S} \langle h, c + \{\mathbb{S}\}, k \rangle$ ,  $\varphi \models h$  and  
676  $emit \mathbb{S} \xrightarrow[\mathcal{E} + \{\mathbb{S}\}]{\{\mathbb{S}\}, 0} ()$ , implying  $\varphi ++ [\{\mathbb{S}\}] \models h \cdot (c + \{\mathbb{S}\})$ , is proved.
- 677 **2. Yield:**  $\mathcal{E} \vdash \langle h, k \rangle Yield \langle h \cdot c, \{\}, k \rangle$ ,  $\varphi \models h$  and  
678  $Yield \xrightarrow[\emptyset]{\{\}, 1} () \xrightarrow[\emptyset]{\{\}, 0} ()$ , implying  $\varphi ++ [\{\}] ++ [\{\}] \models h \cdot c \cdot \{\}$ , is proved.

- 679 **3. Present:**  $\mathcal{E} \vdash \langle h, k \rangle \text{ present } \mathbb{S} \ p \ q \ \langle H_1, K_1 \rangle \cup \langle H_2, K_2 \rangle, \varphi \models H$ , where  
680  $\mathcal{E} \vdash \langle h, c+\{\mathbb{S}\}, k \rangle \ p \ \langle H_1, K_1 \rangle$  and  $\mathcal{E} \vdash \langle h, c+\{\overline{\mathbb{S}}\}, k \rangle \ q \ \langle H_2, K_2 \rangle$ .  
681 - When  $(\mathbb{S}) \in c$ ,  $\text{present } S \ p \ q \rightsquigarrow p$ , the inclusion is proved by inductive hypothesis.  
682 - When  $(\overline{\mathbb{S}}) \notin c$ ,  $\text{present } S \ p \ q \rightsquigarrow q$ , the inclusion is proved by inductive hypothesis.
- 683 **4. Sequence:**  $\mathcal{E} \vdash \langle h, c, k \rangle \text{ seq } p \ q \ \langle H', K' \rangle, \varphi \models H$ , where  
684  $\mathcal{E} \vdash \langle h, k \rangle \ p \ \langle H_1, K_1 \rangle, \mathcal{E} \vdash \langle H_1, K_1 \rangle \ q \ \langle H_2, K_2 \rangle$  and  
685  $\langle H', K' \rangle = \langle H_2, K_2 \rangle (K_1 \leq 1)$  or  $\langle H', K' \rangle = \langle H_1, K_1 \rangle (K_1 > 1)$   
686 - When  $K_1 = 0$ ,  $\text{seq } p \ q \xrightarrow[\mathcal{E}]{e_0, 0^*} \dots \xrightarrow[\mathcal{E}_n]{e_n, 0^*} q \xrightarrow[\mathcal{E}']{e_n \cup f_0, 0^*} \dots \xrightarrow[\mathcal{E}'_n]{f_m, 0^*} q_n \xrightarrow[\mathcal{E}'_n]{\emptyset, k}$  ();  
687 therefore  $\varphi \text{ ++ } [e_0; \dots; (e_n \cup f_0); \dots; f_m] \models H_2 \cdot C_2$ .  
688 - When  $K_1 = 1$ ,  $\text{seq } p \ q \xrightarrow[\mathcal{E}]{e_0, 0^*} \dots \xrightarrow[\mathcal{E}_n]{e_n, 0^*} p_n \xrightarrow[\mathcal{E}]{\emptyset, 1} q \xrightarrow[\mathcal{E}']{f_0, 0^*} \dots \xrightarrow[\mathcal{E}'_n]{f_m, 0^*} q_n \xrightarrow[\mathcal{E}'_n]{\emptyset, k}$  ();  
689 therefore  $\varphi \text{ ++ } [e_0; \dots; e_n; f_0; \dots; f_n] \models H_2 \cdot C_2$ .  
690 - When  $K_1 > 1$ ,  $\text{seq } p \ q \xrightarrow[\mathcal{E}]{e_0, 0^*} \dots \xrightarrow[\mathcal{E}_n]{e_n, 0^*} p_n \xrightarrow[\mathcal{E}_n]{\emptyset, k}$  (), therefore  $\varphi \text{ ++ } [e_0; \dots; e_n] \models H_1 \cdot C_1$ .
- 691 **5. Exit:**  $\mathcal{E} \vdash \langle h, k \rangle \text{ exit } d \ \langle h, c, d+2 \rangle, \varphi \models H$  and  $\text{exit } d \xrightarrow[\mathcal{E}]{\{\}, d+2}$  (),  
692 implying  $\varphi \text{ ++ } [\{\}] \models h \cdot c$ , is proved .
- 693 **6. Trap:**  $\mathcal{E} \vdash \langle h, k \rangle \text{ trap } p \ \langle h \cdot \Delta \rangle$  and  $\varphi \models H$ , and  $\mathcal{E} \vdash \langle \epsilon, k \rangle p \ \langle H, C, K \rangle$ , where  
694  $\langle \Delta \rangle = \langle H, C, K \rangle \text{ when } (K \leq 1)$ ;  $\langle \Delta \rangle = \langle H, C, 0 \rangle \text{ when } (K = 2)$ ;  $\langle \Delta \rangle = \langle H, C, K-1 \rangle \text{ when } (K > 2)$   
695 - When  $K \leq 1$ :  $\text{trap } p \rightsquigarrow p$ , the entailment is proved by inductive hypothesis.  
696 - When  $K = 2$ : by [Trap-2],  $\text{trap } p \xrightarrow[\mathcal{E}]{\{\}, 0}$  (), implying  $\varphi \text{ ++ } [\{\}] \models H \cdot C$ , is proved.  
697 - When  $K > 2$ : by [Trap-3],  $\text{trap } p \xrightarrow[\mathcal{E}]{\{\}, K-1}$  (), implying  $\varphi \text{ ++ } [\{\}] \models H \cdot C$ , is proved.  
698
- 699 **7. Await:**  $\mathcal{E} \vdash \langle h, k \rangle \text{ await } \mathbb{S} \ \langle h \cdot (c \parallel \mathbb{S}?) \rangle, \{\}, k$  and  $\varphi \models H$ ,  
700 - When  $\mathbb{S} \in \mathcal{E}$ : by [Await-1]  $\text{await } S \xrightarrow[\mathcal{E}]{\{\}, 1} () \xrightarrow[\mathcal{E}_1]{\{\}, 0} ()$ ,  $\varphi \text{ ++ } [\{\}] \text{ ++ } [\{\}] \models h \cdot c \cdot \{\}$ , is proved.  
701 - When  $(\overline{\mathbb{S}}) \notin \mathcal{E}$ : by [Await-2] let  $\varphi' \models \mathbb{S}?$ ,  $\varphi \text{ ++ } [\{\}] \text{ ++ } \varphi' \models h \cdot c \cdot \mathbb{S}?$ , is proved .
- 702 **8. Async:**  $\mathcal{E} \vdash \langle h, k \rangle \text{ async } \mathbb{S} \ p \ q \ \langle H', K' \rangle$  and  $\varphi \models H$  where  
703  $\mathcal{E} \vdash \langle h, k \rangle (p; \text{emit } \mathbb{S}) \parallel q \ \langle H', K' \rangle$  and  $\text{async } S \ p \ q \rightsquigarrow (p; \text{emit } \mathbb{S} \parallel q)$ , therefore the entail-  
704 ment is proved by inductive hypothesis.
- 705 **9. Parallel:**  $\mathcal{E} \vdash \langle h, k \rangle p \parallel q \ \langle h \cdot \Delta \rangle$  and  $\varphi \models H$  where  $\mathcal{E} \vdash \langle \epsilon, c, k \rangle \ p \ \langle H_1, K_1 \rangle$   
706  $\mathcal{E} \vdash \langle \epsilon, c, k \rangle \ q \ \langle H_2, K_2 \rangle$  and  $\vdash_{pm} \langle H_1, K_1 \rangle \parallel \langle H_2, K_2 \rangle \rightsquigarrow \langle \Delta \rangle$ .  
707 -When  $p$  and  $q$  exit at the same instant: the entailment is proved by [PM-Unfold] and  
708 [PM-EqLen].  
709 -When  $p$  exits with an exception, and earlier than  $q$ : the entailment is proved by [PM-Unfold]  
710 and [PM-Cut].  
711 -When  $p$  exits earlier than  $q$  without any exceptions: the entailment is proved by [PM-Unfold]  
712 and [PM-Absorb].
- 713 **10. Abort:**  $\mathcal{E} \vdash \langle h, k \rangle \text{ abort } p \ \mathbb{S} \ \langle h \cdot \Delta \rangle$  and  $\varphi \models H$ , where  $\mathcal{E} \vdash \langle \epsilon, c, k \rangle p \ \langle H, C, K \rangle$  and  
714  $\langle \Delta \rangle = \mathbb{N}_{\text{Interleave}}^{\text{Abort}(\mathbb{S}, C, K)}(H, \epsilon)$ .  
715 By semantics rules [Abort-1] and [Abort-2]; and Lemma 13.
- 716 **11. Suspend:**  $\mathcal{E} \vdash \langle h, k \rangle \text{ suspend } p \ \mathbb{S} \ \langle h \cdot \Delta \rangle$  and  $\varphi \models H$ , where  
717  $\mathcal{E} \vdash \langle \epsilon, c, k \rangle \ p \ \langle H, C, K \rangle$  and  $\langle \Delta \rangle = \mathbb{N}_{\text{Interleave}}^{\text{Suspend}(\mathbb{S}, C, K)}(H)$ . By semantics rules [Suspend-1] and  
718 [Suspend-2]; and Lemma 14.



## E Termination Proof

**Proof.** Let  $\text{Set}[\mathcal{Z}]$  be a data structure representing the sets of inclusions.

We use  $\mathbb{S}$  to denote the inclusions to be proved, and  $H$  to accumulate "inductive hypotheses", i.e.,  $S, H \in \text{Set}[\mathcal{Z}]$ .

Consider the following partial ordering  $\succ$  on pairs  $\langle S, H \rangle$ :

$$\langle S_1, H_1 \rangle \succ \langle S_2, H_2 \rangle \text{ iff } |H_1| < |H_2| \vee (|H_1| = |H_2| \wedge |S_1| > |S_2|).$$

where  $|X|$  stands for the cardinality of a set  $X$ . Let  $\Rightarrow$  denote the rewrite relation, then  $\Rightarrow^*$  denotes its reflexive transitive closure. For any given  $S_0, H_0$ , this ordering is well founded on the set of pairs  $\{\langle S, H \rangle \mid \langle S_0, H_0 \rangle \Rightarrow^* \langle S, H \rangle\}$ , due to the fact that  $H$  is a subset of the finite set of pairs of all possible derivatives in initial inclusion.

Inference rules in our TRS given in Sec. 5.2 transform current pairs  $\langle S, H \rangle$  to new pairs  $\langle S', H' \rangle$ . And each rule either increases  $|H|$  (Unfolding) or, otherwise, reduces  $|S|$  (Axiom, Disprove, Prove), therefore the system is terminating.

## F Soundness Proof

**Proof.** For each inference rules, if inclusions in their premises are valid, and their side conditions are satisfied, then goal inclusions in their conclusions are valid.

### 1. Axiom Rules:

$$\frac{[\text{Bot-LHS}]}{\Gamma \vdash \perp \sqsubseteq \Phi} \quad \frac{[\text{Bot-RHS}]}{\Gamma \vdash \Phi \not\sqsubseteq \perp} \quad \frac{[\text{Disprove}]}{\Gamma \vdash \Phi_1 \not\sqsubseteq \Phi_2} \quad \frac{[\text{Prove}]}{\Gamma \vdash \Phi_1 \sqsubseteq \Phi_2}$$

- It is easy to verify that antecedent of goal entailments in the rule [Bot-LHS] is unsatisfiable. Therefore, these entailments are evidently valid.

- It is easy to verify that consequent of goal entailments in the rule [Bot-RHS] is unsatisfiable. Therefore, these entailments are evidently invalid.

### 2. Disprove Rules:

- It's straightforward to prove soundness of the rule [Disprove], Given that  $\Phi_1$  is nullable, while  $\Phi_2$  is not nullable, thus clearly the antecedent contains more traces than the consequent. Therefore, these entailments are evidently invalid.

### 3. Prove Rules:

$$\frac{(\Phi_1 \sqsubseteq \Phi_3) \in \Gamma \quad (\Phi_3 \sqsubseteq \Phi_4) \in \Gamma \quad (\Phi_4 \sqsubseteq \Phi_2) \in \Gamma}{\Gamma \vdash \Phi_1 \sqsubseteq \Phi_2} \quad [\text{Reoccur}]$$

- For the rule [Prove], we consider an arbitrary model,  $\varphi$  such that:  $\varphi \models \Phi_1$ . Given the side conditions from the promises, we get  $\varphi \models \Phi_1$ . When the *fst* set of  $\Phi_1$  is empty,  $\Phi_1$  is possible  $\perp$  or  $\epsilon$ ; and  $\Phi_2$  is nullable. For both cases, the inclusion is proved .

- For the rule [Reoccur], we consider an arbitrary model,  $\varphi$  such that:  $\varphi \models \Phi_1$ . Given the promises that  $\Phi_1 \sqsubseteq \Phi_3$ , we get  $\varphi \models \Phi_3$ ; Given the promise that there exists a hypothesis  $\Phi_3 \sqsubseteq \Phi_4$ , we get  $\varphi \models \Phi_4$ ; Given the promises that  $\Phi_4 \sqsubseteq \Phi_2$ , we get  $\varphi \models \Phi_2$ . Therefore, the inclusion is proved .

759 **4. Inductive Unfolding Rule:**

$$\begin{array}{c}
 760 \quad \frac{F = fst(\Phi_1) \quad \Gamma' = \Gamma, (\Phi_1 \sqsubseteq \Phi_2) \quad \forall I \in F. (\Gamma' \vdash D_I(\Phi_1) \sqsubseteq D_I(\Phi_2))}{761 \quad \Gamma \vdash \Phi_1 \sqsubseteq \Phi_2} \quad [\text{Unfold}]
 \end{array}$$

762 - For the rule [Unfold], we consider an arbitrary model,  $\varphi_1$  and  $\varphi_2$  such that:  $\varphi_1 \models \Phi_1$  and  
 763  $\varphi_2 \models \Phi_2$ . For an arbitrary instant  $I$ , let  $\varphi_1' \models I^{-1}[\Phi_1]$ ; and  $\varphi_2' \models I^{-1}[\Phi_2]$ .

764 Case 1),  $I \notin F$ ,  $\varphi_1' \models \perp$ , thus automatically  $\varphi_1' \models D_I(\Phi_2)$ ;

765 Case 2),  $I \in F$ , given that inclusions in the rule's premise is proved, then  $\varphi_1' \models D_I(\Phi_2)$ .

766 By Definition 4, since for all  $I$ ,  $D_I(\Phi_1) \sqsubseteq D_I(\Phi_2)$ , the conclusion is proved.

767 All the inference rules used in the TRS are sound, therefore the TRS is sound. ◀